

SR-MSRB-99-10 and should be submitted by January 5, 2000.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>13</sup>

**Jonathan G. Katz,**

*Secretary.*

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-42202; File No. SR-Phlx-99-43]

### Self-Regulatory Organizations; Notice of Withdrawal of Proposed Rule Change by the Philadelphia Stock Exchange, Inc. Relating to Assessment of a Capital Funding Fee

December 6, 1999.

On October 1, 1999, the Philadelphia Stock Exchange, Inc. ("Phlx" or "Exchange") filed with the Securities and Exchange Commission ("Commission") a proposed rule change, pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> to amend its schedule of dues, fees, and charges to charge a \$1,500 monthly fee on each seat owner. Notice of the proposed rule change was published on November 1, 1999, in the **Federal Register**, to solicit comment from interested persons.<sup>3</sup> On November 18, 1999, the Exchange withdrew the proposed rule change.<sup>4</sup>

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>5</sup>

**Jonathan G. Katz,**

*Secretary.*

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## SOCIAL SECURITY ADMINISTRATION

### Statement of Organization, Functions and Delegations of Authority

This statement amends Part S of the Statement of the Organization, Functions and Delegations of Authority which covers the Social Security

<sup>13</sup> 17 CFR 200.30-2(a)(12).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> Securities Exchange Act Release No. 42058 (October 22, 1999), 64 FR 58877.

<sup>4</sup> See Letter from Cynthia K. Hoekstra, Counsel, Phlx, to Richard Strasser, Assistant Director, Division of Market Regulation, Commission, dated November 17, 1999.

<sup>5</sup> 17 CFR 2000.30-3(a)(12).

Administration (SSA). Notice is given that Chapter S8 for the Office of the Inspector General (OIG) is being amended to reflect the establishment of the Office of Executive Operations (S8L). Further notice is given that Chapter S8 is being amended to reflect other internal organizational and functional realignments within the OIG. The changes are as follows:

#### *Section S8.10 The Office of the Inspector General—(Organization):*

Delete:

F. The Office of Management Services (OMS) (S8G).

H. The Office of External Affairs (OEA) (S8K).

Establish:

F. The Office of Executive Operations (OEO) (S8L).

#### *Section S8.20 The Office of the Inspector General—(Functions):*

Delete in their entirety:

F. The Office of Management Services (OMS) (S8G).

H. The Office of External Affairs (OEA) (S8K).

Establish:

F. The Office of Executive Operations (OEO) (S8L) formulates and assists the Inspector General (IG) with the execution of the OIG budget and confers with the Office of the Commissioner, the Office of Management and Budget, and the Congress on budget matters. OEO conducts management analyses and establishes and coordinates general management policies of OIG. This office serves as the OIG liaison on personnel management and other administrative and management policies and practices, and equal employment opportunity and civil rights matters. This office is also responsible for the development, design, and upkeep of major automated systems throughout OIG. OEO is responsible for and coordinates OIG's strategic planning function and the development and implementation of performance measures required by the Government Performance and Results Act. OEO is also responsible for administering comprehensive Professional Responsibility and Quality Assurance programs that ensure the adequacy of OIG compliance and internal control programs. In addition, OEO administers programs for public affairs and interagency activities, OIG reporting requirements and publications, and congressional inquiries.

#### *Section S8B.10 The Office of Investigations—(Organization):*

Delete:

F. The Special Inquiries Division (SID) (S8BE).

Establish:

F. The Critical Infrastructure Division (CID) (S8BV).

R. The Manpower and Administration Division (MAD) (S8BW).

#### *Section S8B.20 The Office of Investigations—(Functions):*

Delete in its entirety:

F. The Special Inquiries Division (SID) (S8BE).

Establish:

F. The Critical Infrastructure Division (CID) (S8BV) is responsible for developing and evaluating programs and policies to protect SSA from cyber and physical threats.

1. The Division conducts assessments of the vulnerability of SSA to threats and its crisis management capabilities.

2. The Division maintains liaison and partnerships with other agencies and SSA components to evaluate threat environments.

3. The Division identifies preventative measures to reduce the risk of threat against SSA.

4. The Division oversees the operations of the Electronic Crimes Team.

R. The Manpower and Administration Division (MAD) (S8BW) is responsible for staffing plans, policy development, training and preparation and circulation of internal and external reports on the activities of the Office of Investigations.

1. The Division provides monthly reports relating to the investigative productivity for OI.

2. The Division is responsible for identifying and procuring special technical investigative equipment for use by OI personnel.

3. The Division is responsible for managing and developing training programs for OI personnel.

Amend as follows:

E. The Enforcement Operations Division (EOD) (S8BC) is responsible for day-to-day coordination of the investigative and administrative information flow between OI headquarters and the field division offices.

1. The Division is responsible for the oversight of the Regional Anti-Fraud Committees in each of the 10 field divisions.

2. The Division coordinates all international investigative activities.

3. The Division manages the polygraph program.

#### *Section S8C.10 The Office of Audit—(Organization):*

Retitle:

D. The Management Audits and Technical Services Division (MATSD)

(S8CB) to the General Management Audit Division (GMAD) (S8CB).

E. The Eastern Program Audit Division (EPAD) (S8CE) to the Operational Audit Division (OAD) (S8CE).

F. The Northern Program Audit Division (NPAD) (S8CG) to the Disability Program Audit Division (DPAD) (S8CG).

G. The Western Program Audit Division (WPAD) (S8CH) to the Program Benefits Audit Division (PBAD) (S8CH).

I. The Financial Audit Division (FAD) (S8CL) to the Financial Management and Performance Monitoring Audit Division (FMPMAD) (S8CL).

Establish:

J. The Policy, Planning and Technical Services Division (PPTSD) (S8CM).

*Section S8C.20 The Office of Audit—(Functions):*

Retitle and amend as follows:

D. The Management Audits and Technical Services Division (MATSD) (S8CB) to the General Management Audit Division (GMAD) (S8CB).

1. The Division performs audits and evaluations of SSA program and administrative functions.

2. The Division conducts short-duration, time sensitive projects that address requests from Congress, senior SSA management, and others.

3. The Division also responds to inquiries and provides support for initiatives that encourage cooperative investigation-audit efforts.

4. The Division may also assist other performance audit divisions in additional issue areas, such as performance monitoring.

E. The Eastern Program Audit Division (EPAD) (S8CE) to the Operational Audit Division (OAD) (S8CE).

2. Delete “representative payees or aliens” and add “performance monitoring”.

F. The Northern Program Audit Division (NPAD) (S8CG) to the Disability Program Audit Division (DPAD) (S8CG).

1. Delete “Program Service Centers’ operations; Teleservice Centers’ operations; and Disability” and add “Disability Insurance, Disability Determination Services’ delivery and the Office of Hearings and Appeals”.

2. Delete “representative payees or aliens” and add “performance monitoring”.

G. The Western Program Audit Division (WPAD) (S8CH) to the Program Benefits Audit Division (PBAD) (S8CH).

1. Delete “Disability Determination Services’ delivery and the Office of Hearings and Appeals” and add “Non-

Disability related Supplemental Security Income issues”.

2. Delete “representative payees or aliens” and add “performance monitoring”.

I. The Financial Audit Division (FAD) (S8CL) to the Financial Management and Performance Monitoring Audit Division (FMPMAD) (S8CL).

3. The division also is responsible for monitoring SSA’s performance in accordance with the Government Performance and Results Act by performing an oversight role.

H. The Systems Audit Division (SAD) (S8CK).

Add as last sentence:

The division may also assist other PADs in additional issue areas, such as performance monitoring.

Establish:

J. The Policy, Planning and Technical Services Division (PPTSD) (S8CM) provides the Assistant Inspector General and the Deputy Assistant Inspector General with technical assistance, operational and staff support on the full range of his/her responsibilities.

1. Develops audit and evaluation policies and procedures, standards, and instructions for all OIG audit and evaluation activities performed by, or on behalf of, or conforming with SSA, programs, grants, contracts or operations, complying with generally accepted Government auditing standards; President’s Council on Integrity and Efficiency inspection standards; and other legal, regulatory, and administrative requirements.

2. Develops policies and procedures for internal quality assurance system to provide reasonable assurance that applicable laws, regulations, procedures, standards, and other requirements are followed in all audit activities performed by, or on behalf of, SSA.

3. The division also is responsible for the management of the Office of Audit’s management information system, provides support in the areas of audit resolution, training, and technical support for OIG audits and evaluations.

Delete:

Subchapter S8G The Office of Management Services (S8G).

Subchapter S8K The Office of External Affairs (S8K).

Add Subchapter:

Subchapter S8L Office of Executive Operations

S8L.00 Mission

S8L.10 Organization

S8L.20 Functions

*Section S8L.00 The Office of Executive Operations— (Mission):*

The Office of Executive Operations (OEO) provides staff assistance to the Inspector General (IG) and Deputy Inspector General (DIG). OEO formulates and assists the IG with the execution of the OIG budget and confers with the Office of the Commissioner, the Office of Management and Budget, and the Congress on budget matters. OEO conducts management analyses and establishes and coordinates general management policies of OIG. This office serves as the OIG liaison on personnel management and other administrative and management policies and practices, as well as on equal employment opportunity and civil rights matters. This office is also responsible for the development, design, and redesign of major automated systems throughout OIG. OEO is responsible for public affairs, interagency activities, OIG reporting requirements and publications, and congressional inquiries. OEO is also responsible for directing reviews and actions to ensure the adequacy of OIG compliance, quality assurance, and internal control programs. OEO also directs reviews and actions to ensure the adequacy of OIG internal controls in accordance with the Federal Managers’ Financial Integrity Act and directs the development and implementation of an OIG strategic plan.

*Section S8L.10 The Office of Executive Operations— (Organization):*

The Office of Executive Operations (S8L) under the leadership of the Assistant Inspector General for Executive Operations includes:

A. The Assistant Inspector General for Executive Operations (S8L).

B. The Immediate Office of the Assistant Inspector General for Executive Operations (S8L).

1. The Budget Staff (S8L-1).

C. The Division of Human Resources and Facilities (S8LA).

D. The Division of Quality Assurance and Professional Responsibility (S8LB).

E. The Division of Systems Support (S8LC).

F. The Division of External Affairs (S8LE).

*Section S8L.20 The Office of Executive Operations— (Functions):*

A. The Assistant Inspector General for Executive Operations (AIGEO) (S8L) is directly responsible to the Inspector General for carrying out the OEO mission and providing general supervision to the major components of OEO.

B. The Immediate Office of the Assistant Inspector General for Executive Operations (S8L) provides the AIG with staff assistance on the full range of his/her responsibilities.

1. The Budget Staff (S8L-1) is responsible for formulating and executing the OIG budget; performing a wide range of analytical, technical, and advisory functions related to the budgetary process; and analyzing financial and economic issues and activities facing the organization including a review and analysis of proposed and/or enacted legislation and regulations.

C. The Division of Human Resources and Facilities (S8LA) is responsible for the development, presentation, and implementation of OIG nationwide management policy; a national personnel management and training program; and coordination and integration of a national facilities management program.

D. The Division of Quality Assurance and Professional Responsibility (S8LB) is responsible for planning, directing and coordinating internal comprehensive reviews of OIG operations. These reviews are conducted to evaluate and strengthen the overall performance of OIG by ensuring compliance with Governmentwide standards and internal policies and procedures. This division is also responsible for conducting investigations of allegations against OIG employees.

E. The Division of Systems Support (S8LC) is responsible for the design, development, and execution of systems operations for OIG nationwide; including the development and enforcement of network policies; implementation of networks and interfaces; monitoring and evaluating hardware, software and communications lines; and applications software interfaces with network devices.

F. The Division of External Affairs (S8LE) is responsible for directing the OIG public affairs and congressional liaison activities. DEA responds to media, congressional and constituent inquiries relating to OIG. This division is responsible for arranging press releases, coordinating press conferences, and preparing congressional testimonies, and interagency activities. DEA also prepares speeches, publications, and communicates OIG's planned and current activities and their results to the Commissioner and the Congress as well as other entities.

Dated: November 29, 1999.

**James G. Huse, Jr.,**

*Inspector General for Social Security.*

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## DEPARTMENT OF STATE

### BUREAU OF NONPROLIFERATION

[Public Notice 3175]

#### Imposition of Lethal Military Equipment Sanctions Against the Government of Kazakhstan and Partial Waiver of These Sanctions

**AGENCY:** Department of State.

**ACTION:** Notice.

**SUMMARY:** The United States Government has determined that the Government of Kazakhstan transferred lethal military equipment to a country determined by the Secretary of State to be a state sponsor of terrorism. The United States Government determined that, despite the transfers, furnishing assistance to the Government of Kazakhstan, other than assistance furnished to a Government of Kazakhstan-owned entity, a private Czech entity and three officials from the private Czech entity that were specifically involved in the transfer of lethal military equipment, is important to the national interests of the United States. Further, it is the policy of the United States Government to deny all U.S. Government assistance to these entities and foreign persons.

**EFFECTIVE DATE:** November 17, 1999.

**FOR FURTHER INFORMATION CONTACT:** Joseph Smaldone, Office of Export Controls and Conventional Arms Nonproliferation Policy, Bureau of Nonproliferation, Department of State, (202-647-4231).

**SUPPLEMENTARY INFORMATION:** Pursuant to section 620H of the Foreign Assistance Act of 1961, as amended (22 U.S.C. 2378), section 573 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994 (Pub. L. 103-87), section 563 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1995 (Pub. L. 103-306), section 552 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1996 (Pub. L. 104-107), section 551 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1997 (Pub. L. 104-208), section 550 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1998 (Pub. L. 105-

118), section 551 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (Pub. L. 105-277), and Executive Order 12163, as amended, on November 17, 1999, the United States Government determined that the Government of Kazakhstan has provided lethal military equipment to a country determined by the Secretary of State to be a state sponsor of terrorism. Also on November 17, 1999 and pursuant to the aforementioned provisions of law, the United States Government determined furnishing assistance restricted by these provisions to the Government of Kazakhstan, with the exceptions that follow, is important to the national interests of the United States. Assistance to the following entity, its sub-units, subsidiaries and successors, is prohibited:

(1) Uralsk Plant Metallist (including at 1 Urdinskaya Street, City of Uralsk, Republic of Kazakhstan 417024).

In addition, providing U.S. Government assistance to the Government of Kazakhstan that directly or indirectly pays for goods, services or technology of the following foreign entity and individuals and providing U.S. Government assistance to the following foreign entity and individuals is prohibited:

(1) Agroplast, a.s. (including at nam. Dr. E. Benese Square 13, Liberec 1, Czech Republic);

(2) Petr Pernicka (including at 26/2 Soukenne nam., Liberec, Czech Republic);

(3) Zbynek Svejnoha, (including at 603/4 Vodnyanska, Liberec 14, Czech Republic);

(4) Alexander Petrenko, (Kazakhstani citizen, currently residing in the Republic of Kazakhstan).

Further, it is the policy of the United States Government to deny all types of U.S. Government assistance to the aforementioned foreign entities and individuals.

These measures shall remain in place until further notice.

Dated: December 9, 1999.

**John P. Barker, Jr.,**

*Deputy Assistant Secretary of State for Nonproliferation Controls.*

[FR Doc. 99-32499 Filed 12-14-99; 8:45 am]

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## DEPARTMENT OF STATE

[Public Notice Number 3172]

### Overseas Schools Advisory Council; Notice of Meeting

The Overseas Schools Advisory Council, Department of State, will hold