Subpart E—Confidentiality of Statistics

§ 600.405 Types of statistics covered.

NOAA is authorized under the Magnuson-Stevens Act and other statutes to collect proprietary or confidential commercial or financial information. This part applies to all pertinent data required to be submitted to the Secretary with respect to any FMP including, but not limited to, information regarding the type and quantity of fishing gear used, catch by species in numbers of fish or weight thereof, areas in which fishing occurred, time of fishing, number of hauls, and the estimated processing capacity of, and the actual processing capacity utilized by, U.S. fish processors.

[61 FR 32540, June 24, 1996, as amended at 63 FR 7075, Feb. 12, 1998]

§ 600.410 Collection and maintenance of statistics.

- (a) General. (1) All statistics required to be submitted to the Secretary are provided to the Assistant Administrator.
- (2) After receipt, the Assistant Administrator will remove all identifying particulars from the statistics if doing so is consistent with the needs of NMFS and good scientific practice.
- (3) Appropriate safeguards as specified by NOAA Directives, or other NOAA or NMFS internal procedures, apply to the collection and maintenance of all statistics, whether separated from identifying particulars or not, so as to ensure their confidentiality.
- (b) Collection agreements with states.
 (1) The Assistant Administrator may enter into an agreement with a state authorizing the state to collect statistics on behalf of the Secretary.
- (2) NMFS will not enter into a cooperative collection agreement with a state unless the state has authority to protect the statistics from disclosure in a manner at least as protective as these regulations.

§ 600.415 Access to statistics.

(a) General. In determining whether to grant a request for access to confidential data, the following informa-

tion will be taken into consideration (also see § 600.130):

- (1) The specific types of data required.
- (2) The relevance of the data to conservation and management issues.
- (3) The duration of time access will be required: continuous, infrequent, or one-time.
- (4) An explanation of why the availability of aggregate or non-confidential summaries of data from other sources would not satisfy the requested needs.
- (b) Federal employees. Statistics submitted as a requirement of an FMP and that reveal the identity of the submitter will only be accessible to the following:
- (1) Personnel within NMFS responsible for the collection, processing, and storage of the statistics.
- (2) Federal employees who are responsible for FMP development, monitoring, and enforcement.
- (3) Personnel within NMFS performing research that requires confidential statistics.
- (4) Other NOAA personnel on a demonstrable need-to-know basis.
- (5) NOAA/NMFS contractors or grantees who require access to confidential statistics to perform functions authorized by a Federal contract or grant.
- (c) State personnel. Upon written request, confidential statistics will only be accessible if:
- (1) State employees demonstrate a need for confidential statistics for use in fishery conservation and management.
- (2) The state has entered into a written agreement between the Assistant Administrator and the head of the state's agency that manages marine and/or anadromous fisheries. The agreement shall contain a finding by the Assistant Administrator that the state has confidentiality protection authority comparable to the Magnuson-Stevens Act and that the state will excise this authority to limit subsequent access and use of the data to fishery management and monitoring purposes.
- (d) Councils. Upon written request by the Council Executive Director, access to confidential data will be granted to: