

**Pt. 365, App. A**

the FMCSRs and HMRs associated with each factor, and the accident factor. Each carrier's level of basic safety management controls with each factor is determined as follows:

- (i) Factor 1—General: Parts 390 and 387;
- (ii) Factor 2—Driver: Parts 382, 383, and 391;
- (iii) Factor 3—Operational: Parts 392 and 395;
- (iv) Factor 4—Vehicle: Parts 393, 396 and the Out of Service Rate;
- (v) Factor 5—Hazardous Materials: Part 171, 177, 180 and 397; and
- (vi) Factor 6—Accident: Recordable Accident Rate per Million Miles;

(2) For paragraphs IV (k)(1)(i) through (v) (Factors 1 through 5), if the combined violations of acute and or critical regulations for each factor is equal to three or more points, the carrier is determined not to have basic safety management controls for that individual factor.

(3) For paragraphs IV (k)(1)(vi), if the recordable accident rate is greater than 1.7 recordable accidents per million miles for an urban carrier (1.5 for all other carriers), the carrier is determined to have inadequate basic safety management controls.

(1) Notwithstanding FMCSA verification of the items listed in part III (a)(1) through (5) above, if the safety audit determines the carrier has inadequate basic safety management controls in at least three separate factors described in part IV, the carrier's application for provisional operating authority will be denied. For example, FMCSA evaluates a carrier finding:

- (1) One instance of noncompliance with a critical regulation in part 387 scoring one point for Factor 1;
- (2) Two instances of noncompliance with acute regulations in part 382 scoring three points for Factor 2;
- (3) Three instances of noncompliance with critical regulations in part 396 scoring three points for Factor 4; and
- (4) Three instances of noncompliance with acute regulations in parts 171 and 397 scoring four and one-half (4.5) points for Factor 5.

Under this example, the carrier will not receive provisional operating authority because it scored three or more points for Factors 2, 4, and 5 and FMCSA determined the carrier had inadequate basic safety management controls in at least three separate factors.

[67 FR 12714, Mar. 19, 2002, as amended at 78 FR 58478, Sept. 24, 2013]

**49 CFR Ch. III (10–1–24 Edition)**

**APPENDIX A TO PART 365—APPLICABILITY OF THE REGISTRATION, FINANCIAL RESPONSIBILITY, AND SAFETY REGULATIONS TO MOTOR CARRIERS OF PASSENGERS**

For additional guidance on the application of financial responsibility regulations to motor carriers of passengers, refer to appendix A to part 390 of this subchapter.

[87 FR 68371, Nov. 15, 2022]

**PART 366—DESIGNATION OF PROCESS AGENT**

Sec.

- 366.1 Applicability.
- 366.1T Applicability.
- 366.2 Form of designation.
- 366.2T Form of designation.
- 366.3 Eligible persons.
- 366.3T Eligible persons.
- 366.4 Required States.
- 366.4T Required States.
- 366.5 Blanket designations.
- 366.5T Blanket designations.
- 366.6 Cancellation or change.
- 366.6T Cancellation or change.

**AUTHORITY:** 49 U.S.C. 502, 503, 13303, 13304 and 13908; and 49 CFR 1.87.

**SOURCE:** 55 FR 11197, Mar. 27, 1990, unless otherwise noted. Redesignated at 61 FR 54707, Oct. 21, 1996.

**§ 366.1 Applicability.**

The rules in this part, relating to the filing of designations of persons upon whom court or Agency process may be served, apply to for-hire and private motor carriers, brokers, freight forwarders and, as of the moment of succession, their fiduciaries (as defined at 49 CFR 387.319(a)).

[80 FR 63705, Oct. 21, 2015]

**EFFECTIVE DATE NOTE:** At 82 FR 5303, Jan. 17, 2017, § 366.1 was suspended, effective Jan. 14, 2017.

**§ 366.1T Applicability.**

These rules, relating to the filing of designations of persons upon whom court process may be served, govern motor carriers and brokers and, as of the moment of succession, their fiduciaries (as defined at 49 CFR 387.319(a)).

[82 FR 5303, Jan. 17, 2017]

**§ 366.2 Form of designation.**

(a) Designations shall be made on Form BOC-3—Designation of Agents—Motor Carriers, Brokers and Freight Forwarders. Only one completed current form may be on file. It must include all States for which agent designations are required. One copy must be retained by the carrier, broker or freight forwarder at its principal place of business.

(b) All Motor Carriers, Brokers, and Freight Forwarders that are registered with FMCSA on September 30, 2016 must file their Form BOC-3 designation by no later than April 14, 2017. All other Motor Carriers, Brokers, and Freight Forwarders must file the FORM BOC-3 designation at the time of their application for registration. Failure to file a designation in accordance with this paragraph will result in deactivation of the carrier's USDOT Number.

[80 FR 63705, Oct. 21, 2015; 81 FR 49554, July 28, 2016]

EFFECTIVE DATE NOTE: At 82 FR 5303, Jan. 17, 2017, §366.2 was suspended, effective Jan. 14, 2017.

**§ 366.2T Form of designation.**

Designations shall be made on Form BOC-3, *Designation of Agent for Service of Process*. Only one completed current form may be on file. It must include all States for which agent designations are required. One copy must be retained by the carrier or broker at its principal place of business.

[82 FR 5303, Jan. 17, 2017]

**§ 366.3 Eligible persons.**

All persons (as defined at 49 U.S.C. 13102(18)) designated as process agents must reside in or maintain an office in the State for which they are designated. If a State official is designated, evidence of his or her willingness to accept service of process must be furnished.

[80 FR 63705, Oct. 21, 2015]

EFFECTIVE DATE NOTE: At 82 FR 5303, Jan. 17, 2017, §366.3 was suspended, effective Jan. 14, 2017.

**§ 366.3T Eligible persons.**

All persons (as defined at 49 U.S.C. 13102(18)) designated as process agents must reside in or maintain an office in the State for which they are designated. If a State official is designated, evidence of his or her willingness to accept service of process must be furnished.

[82 FR 5303, Jan. 17, 2017]

**§ 366.4 Required States.**

(a) Every motor carrier, except a motor carrier operating exclusively in Alaska or Hawaii, must designate process agents for all 48 contiguous States and the District of Columbia, unless its operating authority registration is limited to fewer than 48 States and DC. When a motor carrier's operating authority registration is limited to fewer than 48 States and DC, it must designate process agents for each State in which it is authorized to operate and for each State traversed during such operations. Every motor carrier operating in the United States in the course of transportation between points in a foreign country shall file a designation for each State traversed. Every motor carrier maintaining a principal place of business and operating exclusively in Alaska or Hawaii must designate a process agent for the State where operations are conducted.

(b) *Brokers*. Every broker shall make a designation for each State, including DC, in which its offices are located or in which contracts will be written.

(c) *Freight forwarders*. Every freight forwarder shall make a designation for each State, including DC, in which its offices are located or in which contracts will be written.

[80 FR 63706, Oct. 21, 2015; 81 FR 49554, July 28, 2016]

EFFECTIVE DATE NOTE: At 82 FR 5303, Jan. 17, 2017, §366.4 was suspended, effective Jan. 14, 2017.

**§ 366.4T Required States.**

(a) *Motor carriers*. Every motor carrier (of property or passengers) shall make a designation for each State in which it is authorized to operate and for each State traversed during such operations. Every motor carrier (including private carriers) operating in

**§ 366.5**

the United States in the course of transportation between points in a foreign country shall file a designation for each State traversed.

(b) *Brokers.* Every broker shall make a designation for each State in which its offices are located or in which contracts will be written.

[82 FR 5303, Jan. 17, 2017]

**§ 366.5 Blanket designations.**

Where an association or corporation has filed with the FMCSA a list of process agents for each State and DC (blanket agent), motor carriers, brokers and freight forwarders may make the required designations by using the following statement:

I designate those persons named in the list of process agents on file with the Federal Motor Carrier Safety Administration

by \_\_\_\_\_  
(name of association or corporation)

and any subsequently filed revisions thereof, for the States in which this carrier is or may be authorized to operate (or arrange) as an entity of motor vehicle transportation, including States traversed during such operations, except those States for which individual designations are named.

[80 FR 63706, Oct. 21, 2015]

EFFECTIVE DATE NOTE: At 82 FR 5303, Jan. 17, 2017, §366.5 was suspended, effective Jan. 14, 2017.

**§ 366.5T Blanket designations.**

Where an association or corporation has filed with the FMCSA a list of process agents for each State, motor carriers may make the required designations by using the following statement:

Those persons named in the list of process agents on file with the Federal Motor Carrier Safety Administration by \_\_\_\_\_ (Name of association or corporation) and any subsequently filed revisions thereof, for the States in which this carrier is or may be authorized to operate, including States traversed during such operations, except those States for which individual designations are named.

[82 FR 5303, Jan. 17, 2017]

**49 CFR Ch. III (10–1–24 Edition)**

**§ 366.6 Cancellation or change.**

(a) A designation may be canceled or changed only by a new designation made by the motor carrier, broker, or freight forwarder, or by the process agent or company filing a blanket designation in accordance with §366.5. However, where a motor carrier, broker or freight forwarder's USDOT Number is inactive for at least 1 year, designation is no longer required and may be canceled without making another designation.

(b) A change to a designation, such as name, address, or contact information, must be reported to FMCSA within 30 days of the change.

(c) Whenever a motor carrier, broker or freight forwarder changes its name, address, or contact information, it must report the change to its process agents and/or the company making a blanket designation on its behalf in accordance with §366.5 within 30 days of the change.

(d) Whenever a process agent and/or company making a blanket designation on behalf of a motor carrier, broker, or freight forwarder terminates its contract or relationship with the entity, it should report the termination to FMCSA within 30 days of the termination. If process agents and/or blanket agents do not keep their information up to date, FMCSA may withdraw its approval of their authority to make process agent designations with the Agency.

[80 FR 63706, Oct. 21, 2015]

EFFECTIVE DATE NOTE: At 82 FR 5303, Jan. 17, 2017, §366.6 was suspended, effective Jan. 14, 2017.

**§ 366.6T Cancellation or change.**

A designation may be canceled or changed only by a new designation except that, where a carrier or broker ceases to be subject to §366.4T in whole or in part for 1 year, designation is no longer required and may be canceled without making another designation.

[82 FR 5303, Jan. 17, 2017]