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for any illicit activity, but shall also immediately become the subject of independent action regarding their continued employment. The employer will assess the seriousness of the employee's violation, the position of responsibility held by the employee, past record of employment, etc., in determining whether to suspend, transfer, terminate or take other action against the employee.

§ 1309.73 Employee responsibility to report diversion.

Reports of listed chemical diversion by fellow employees is not only a necessary part of an overall employee security program but also serves the public interest at large. It is, therefore, the position of DEA that an employee who has knowledge of diversion from his employer by a fellow employee has an obligation to report such information to a responsible security official of the employer. The employer shall treat such information as confidential and shall take all reasonable steps to protect the confidentiality of the information and the identity of the employee furnishing information. A failure to report information of chemical diversion will be considered in determining the feasibility of continuing to allow an employee to work in an area with access to chemicals. The employer shall inform all employees concerning this policy.

PART 1310—RECORDS AND REPORTS OF LISTED CHEMICALS AND CERTAIN MACHINES; IMPORTATION AND EXPORTATION OF CERTAIN MACHINES

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AUTHORITY: 21 U.S.C. 802, 827(h), 830, 871(b) 890.

SOURCE: 54 FR 31665, Aug. 1, 1989, unless otherwise noted.

§ 1310.01 Definitions.

Any term used in this part shall have the definition set forth in section 102 of the Act (21 U.S.C. 802) or part 1300 of this chapter.

[62 FR 13968, Mar. 24, 1997]

§ 1310.02 Substances covered.

The following chemicals have been specifically designated by the Administrator of the Drug Enforcement Administration as the listed chemicals subject to the provisions of this part and parts 1309 and 1313 of this chapter. Each chemical has been assigned the DEA Chemical Code Number set forth opposite it.

(a) List I chemicals	
(1) Alpha-phenylacetoacetonitrile and its salts, optical isomers, and salts of optical isomers (APAAN)	8512
(2) Anthranilic acid, its esters, and its salts	8530
(3) Benzyl cyanide	8735
(4) Ephedrine, its salts, optical isomers, and salts of optical isomers	8113
(5) Ergonovine and its salts	8675
(6) Ergotamine and its salts	8676
(7) N-Acetylanthranilic acid, its esters, and its salts	8522
(8) Norpseudoephedrine, its salts, optical isomers, and salts of optical isomers	8317
(9) Phenylacetic acid, its esters, and its salts	8791

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(10) Phenylpropanolamine, its salts, optical isomers, and salts of optical isomers	1225	(34) 3,4-MDP-2-P methyl glycidate (PMK glycidate) and its optical and geometric isomers	8535
(11) Piperidine and its salts	2704	(35) 3,4-MDP-2-P methyl glycidic acid (PMK glycidic acid) and its salts, optical and geometric isomers, and salts of isomers	8525
(12) Pseudoephedrine, its salts, optical isomers, and salts of optical isomers	8112	(36) Alpha-phenylacetoacetamide (APAA) and its optical isomers	8515
(13) 3,4-Methylenedioxyphenyl-2-propanone	8502	(37) methyl alpha-phenylacetoacetate (MAPA; methyl 3-oxo-2-phenylbutanoate) and its optical isomers	8795
(14) Methylamine and its salts ...	8520	(38) [Reserved].	
(15) Ethylamine and its salts	8678	(39) 1-boc-4-AP (<i>tert</i> -butyl 4-(phenylamino)piperidine-1-carboxylate) and its salts	8336
(16) Propionic anhydride	8328	(b) List II chemicals:	
(17) Isosafrole	8704	(1) Acetic anhydride	8519
(18) Safrole	8323	(2) Acetone	6532
(19) Piperonal	8750	(3) Benzyl chloride	8570
(20) N-Methylephedrine, its salts, optical isomers, and salts of optical isomers (N-Methylephedrine)	8115	(4) Ethyl ether	6584
(21) N-Methylpseudoephedrine, its salts, optical isomers, and salts of optical isomers	8119	(5) Potassium permanganate	6579
(22) Hydriodic Acid	6695	(6) 2-Butanone (or Methyl Ethyl Ketone or MEK)	6714
(23) Benzaldehyde	8256	(7) Toluene	6594
(24) Nitroethane	6724	(8) Hydrochloric acid (including anhydrous hydrogen chloride)	6545
(25) Gamma-Butyrolactone (Other names include: GBL; Dihydro-2 (3H)-furanone; 1,2-Butanolide; 1,4-Butanolide; 4-Hydroxybutanoic acid lactone; gamma-hydroxybutyric acid lactone)	2011	(9) Sulfuric acid	6552
(26) Red phosphorus	6795	(10) Methyl Isobutyl Ketone (MIBK)	6715
(27) White phosphorus (Other names: Yellow Phosphorus)	6796	(11) Sodium Permanganate	6588
(28) Hypophosphorous acid and its salts (Including ammonium hypophosphite, calcium hypophosphite, iron hypophosphite, potassium hypophosphite, manganese hypophosphite, magnesium hypophosphite and sodium hypophosphite)	6797	(c) The Administrator may add or delete a substance as a listed chemical by publishing a final rule in the FEDERAL REGISTER following a proposal which shall be published at least 30 days prior to the final rule.	
(29) N-phenethyl-4-piperidone (NPP)	8332	(d) Any person may petition the Administrator to have any substance added or deleted from paragraphs (a) or (b) of this section.	
(30) Iodine	6699	(e) Any petition under this section shall contain the following information:	
(31) Ergocristine and its salts	8612	(1) The name and address of the petitioner;	
(32) <i>N</i> -(1-benzylpiperidin-4-yl)- <i>N</i> -phenylpropionamide (benzylfentanyl) and its salts	8334	(2) The name of the chemical to which the petition pertains;	
(33) <i>N</i> -phenylpiperidin-4-amine(4-anilinopiperidine; <i>N</i> -phenyl-4-piperidinamine; 4-AP), its amides, its carbamates, and its salts	8335	(3) The name and address of the manufacturer(s) of the chemical (if known);	
		(4) A complete statement of the facts which the petitioner believes justifies	

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the addition or deletion of the substance from paragraphs (a) or (b) of this section;

(5) The date of the petition.

(f) The Administrator may require the petitioner to submit such documents or written statements of fact relevant to the petition as he deems necessary in making a determination.

(g) Within a reasonable period of time after the receipt of the petition, the Administrator shall notify the petitioner of his decision and the reason therefor. The Administrator need not accept a petition if any of the requirements prescribed in paragraph (e) of this section or requested pursuant to paragraph (f) of this section are lacking or are not clearly set forth as to be readily understood. If the petitioner desires, he may amend and resubmit the petition to meet the requirements of paragraphs (e) and (f) of this section.

(h) If a petition is granted or the Administrator, upon his own motion, proposes to add or delete substances as listed chemicals as set forth in paragraph (c) of this section, he shall issue and publish in the FEDERAL REGISTER a proposal to add or delete a substance as a listed chemical. The Administrator shall permit any interested person to file written comments regarding the proposal within 30 days of the date of publication of his order in the FEDERAL REGISTER. The Administrator will consider any comments filed by interested persons and publish a final rule in accordance with his decision in the matter.

[54 FR 31665, Aug. 1, 1989, as amended at 56 FR 48733, Sept. 26, 1991; 57 FR 43615, Sept. 22, 1992; 60 FR 19510, Apr. 19, 1995; 60 FR 32460, June 22, 1995; 62 FR 5917, Feb. 10, 1997; 65 FR 21647, Apr. 24, 2000; 65 FR 47316, Aug. 2, 2000; 66 FR 52675, Oct. 17, 2001; 71 FR 60826, Oct. 17, 2006; 72 FR 20046, Apr. 23, 2007; 72 FR 35391, July 2, 2007; 72 FR 40238, July 24, 2007; 76 FR 17781, Mar. 31, 2011; 82 FR 32460, July 14, 2017; 85 FR 20828, Apr. 15, 2020; 86 FR 24707, May 10, 2021; 86 FR 64366, Nov. 18, 2021; 87 FR 67552, Nov. 9, 2022]

§ 1310.03 Persons required to keep records and file reports.

(a) Each regulated person who engages in a regulated transaction involving a listed chemical, a tableting machine, or an encapsulating machine shall keep a record of the transaction

as specified by § 1310.04 and file reports as specified by § 1310.05. However, a non-regulated person who acquires listed chemicals for internal consumption or “end use” and becomes a regulated person by virtue of infrequent or rare distribution of a listed chemical from inventory, shall not be required to maintain receipt records of listed chemicals under this section.

(b) Each regulated person who manufactures a List I or List II chemical shall file reports regarding such manufacture as specified in § 1310.05.

(c)(1) Each regulated person who engages in a transaction with a nonregulated person which:

(i) Involves ephedrine, pseudoephedrine, phenylpropanolamine, or gamma hydroxybutyric acid (including drug products containing these chemicals or controlled substance); and

(ii) Uses or attempts to use the U.S. Postal Service or any private or commercial carrier must, on a monthly basis, report to the Administration each such transaction conducted during the previous month as specified in §§ 1310.05(e) and 1310.06(k) on DEA Form 453 through the DEA Diversion Control Division secure network application.

(2) Each regulated person who engages in an export transaction which:

(i) Involves ephedrine, pseudoephedrine, phenylpropanolamine, or gamma hydroxybutyric acid (including drug products containing these chemicals or controlled substance); and

(ii) Uses or attempts to use the U.S. Postal Service or any private or commercial carrier must, on a monthly basis, report each such transaction conducted during the previous month as specified in §§ 1310.05(e) and 1310.06(k) on DEA Form 453 through the DEA Diversion Control Division secure network application.

[54 FR 31665, Aug. 1, 1989, as amended at 56 FR 8277, Feb. 28, 1991; 61 FR 14023, Mar. 29, 1996; 67 FR 14861, Mar. 28, 2002; 68 FR 57804, Oct. 7, 2003; 70 FR 294, Jan. 4, 2005; 81 FR 97022, Dec. 30, 2016]