

Small Business Administration

§ 121.201

to section 16(a) of the Small Business Act, 15 U.S.C. 645(a), as amended, including failure to correct “continuing representations” that are no longer true.

unless and until the firm recertifies its size.

[80 FR 7536, Feb. 11, 2015]

[78 FR 38817, June 28, 2013. Redesignated at 80 FR 7536, Feb. 11, 2015]

§ 121.110 What must a concern do in order to be identified as a small business concern in any Federal procurement databases?

SIZE STANDARDS USED TO DEFINE SMALL BUSINESS CONCERNS

§ 121.201 What size standards has SBA identified by North American Industry Classification System codes?

(a) In order to be identified as a small business concern in the System for Award Management (SAM) database (or any successor thereto), a concern must certify its size in connection with specific size standards at least annually.

The size standards described in this section apply to all SBA programs unless otherwise specified in this part. The size standards themselves are expressed either in number of employees or annual receipts in millions of dollars, unless otherwise specified. The number of employees or annual receipts indicates the maximum allowed for a concern and its affiliates to be considered small.

(b) If a firm identified as a small business concern in SAM fails to certify its size within one year of a size certification, the firm will not be listed as a small business concern in SAM,

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Sector 11—Agriculture, Forestry, Fishing and Hunting			
Subsector 111—Crop Production			
111110	Soybean Farming	\$2.25	
111120	Oilseed (except Soybean) Farming	\$2.25	
111130	Dry Pea and Bean Farming	\$2.75	
111140	Wheat Farming	\$2.25	
111150	Corn Farming	\$2.5	
111160	Rice Farming	\$2.5	
111191	Oilseed and Grain Combination Farming	\$2.25	
111199	All Other Grain Farming	\$2.25	
11211	Potato Farming	\$4.25	
11219	Other Vegetable (except Potato) and Melon Farming	\$3.75	
11310	Orange Groves	\$4.0	
11320	Citrus (except Orange) Groves	\$4.25	
11331	Apple Orchards	\$4.5	
11332	Grape Vineyards	\$4.0	
11333	Strawberry Farming	\$5.5	
11334	Berry (except Strawberry) Farming	\$3.75	
11335	Tree Nut Farming	\$3.75	
11336	Fruit and Tree Nut Combination Farming	\$5.0	
11339	Other Noncitrus Fruit Farming	\$3.5	
11411	Mushroom Production	\$4.5	
11419	Other Food Crops Grown Under Cover	\$4.5	
11421	Nursery and Tree Production	\$3.25	
11422	Floriculture Production	\$3.75	
11910	Tobacco Farming	\$2.5	
11920	Cotton Farming	\$3.25	
11930	Sugarcane Farming	\$5.0	
11940	Hay Farming	\$2.5	
11991	Sugar Beet Farming	\$2.5	
11992	Peanut Farming	\$2.5	
11998	All Other Miscellaneous Crop Farming	\$2.5	
Subsector 112—Animal Production and Aquaculture			
11211	Beef Cattle Ranching and Farming	\$2.5	
11212	Cattle Feedlots	\$22.0	
11220	Dairy Cattle and Milk Production	\$3.75	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
112210	Hog and Pig Farming	\$4.0	
112310	Chicken Egg Production	\$19.0	
112320	Broilers and Other Meat Type Chicken Production	\$3.5	
112330	Turkey Production	\$3.75	
112340	Poultry Hatcheries	\$4.0	
112390	Other Poultry Production	\$3.75	
112410	Sheep Farming	\$3.5	
112420	Goat Farming	\$2.5	
112511	Finfish Farming and Fish Hatcheries	\$3.75	
112512	Shellfish Farming	\$3.75	
112519	Other Aquaculture	\$3.75	
112910	Apiculture	\$3.25	
112920	Horse and Other Equine Production	\$2.75	
112930	Fur-Bearing Animal and Rabbit Production	\$3.75	
112990	All Other Animal Production	\$2.75	
Subsector 113—Forestry and Logging			
113110	Timber Tract Operations	\$19.0	
113210	Forest Nurseries and Gathering of Forest Products	\$20.5	
113310	Logging		500
Subsector 114—Fishing, Hunting and Trapping			
114111	Finfish Fishing	\$25.0	
114112	Shellfish Fishing	\$14.0	
114119	Other Marine Fishing	\$11.5	
114210	Hunting and Trapping	\$8.5	
Subsector 115—Support Activities for Agriculture and Forestry			
115111	Cotton Ginning	\$16.0	
115112	Soil Preparation, Planting, and Cultivating	\$9.5	
115113	Crop Harvesting, Primarily by Machine	\$13.5	
115114	Postharvest Crop Activities (except Cotton Ginning)	\$34.0	
115115	Farm Labor Contractors and Crew Leaders	\$19.0	
115116	Farm Management Services	\$15.5	
115210	Support Activities for Animal Production	\$11.0	
115310	Support Activities for Forestry	\$11.5	
115310 (Exception 1).	Forest Fire Suppression ¹	\$34.0 ¹	
115310 (Exception 2).	Fuels Management Services ¹	\$34.0 ¹	
Sector 21—Mining, Quarrying, and Oil and Gas Extraction			
Subsector 211—Oil and Gas Extraction			
211120	Crude Petroleum Extraction		1,250
211130	Natural Gas Extraction		1,250
Subsector 212—Mining (except Oil and Gas)			
212114	Surface Coal Mining		1,250
212115	Underground Coal Mining		1,500
212210	Iron Ore Mining		1,400
212220	Gold Ore and Silver Ore Mining		1,500
212230	Copper, Nickel, Lead, and Zinc Mining		1,400
212290	Other Metal Ore Mining		1,250
212311	Dimension Stone Mining and Quarrying		500
212312	Crushed and Broken Limestone Mining and Quarrying		750
212313	Crushed and Broken Granite Mining and Quarrying		850
212319	Other Crushed and Broken Stone Mining and Quarrying		550
212321	Construction Sand and Gravel Mining		500
212322	Industrial Sand Mining		750
212323	Kaolin, Clay, and Ceramic and Refractory Minerals Mining		650
212390	Other Nonmetallic Mineral Mining and Quarrying		600
Subsector 213—Support Activities for Mining			
213111	Drilling Oil and Gas Wells		1,000

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
213112	Support Activities for Oil and Gas Operations	\$47.0	
213113	Support Activities for Coal Mining	\$27.5	
213114	Support Activities for Metal Mining	\$41.0	
213115	Support Activities for Nonmetallic Minerals (except Fuels)	\$20.5	
Sector 22—Utilities			
Subsector 221—Utilities			
221111	Hydroelectric Power Generation		750
221112	Fossil Fuel Electric Power Generation		950
221113	Nuclear Electric Power Generation		1,150
221114	Solar Electric Power Generation		500
221115	Wind Electric Power Generation		1,150
221116	Geothermal Electric Power Generation		250
221117	Biomass Electric Power Generation		550
221118	Other Electric Power Generation		650
221121	Electric Bulk Power Transmission and Control		950
221122	Electric Power Distribution		1,100
221210	Natural Gas Distribution		1,150
221310	Water Supply and Irrigation Systems	\$41.0	
221320	Sewage Treatment Facilities	\$35.0	
221330	Steam and Air-Conditioning Supply	\$30.0	
Sector 23—Construction			
Subsector 236—Construction of Buildings			
236115	New Single-family Housing Construction (Except For-Sale Builders)	\$45.0	
236116	New Multifamily Housing Construction (except For-Sale Builders)	\$45.0	
236117	New Housing For-Sale Builders	\$45.0	
236118	Residential Remodelers	\$45.0	
236210	Industrial Building Construction	\$45.0	
236220	Commercial and Institutional Building Construction	\$45.0	
Subsector 237—Heavy and Civil Engineering Construction			
237110	Water and Sewer Line and Related Structures Construction	\$45.0	
237120	Oil and Gas Pipeline and Related Structures Construction	\$45.0	
237130	Power and Communication Line and Related Structures Construction	\$45.0	
237210	Land Subdivision	\$34.0	
237310	Highway, Street, and Bridge Construction	\$45.0	
237990	Other Heavy and Civil Engineering Construction	\$45.0	
237900 (Exception).	Dredging and Surface Cleanup Activities ²	\$37.0 ²	
Subsector 238—Specialty Trade Contractors			
238110	Poured Concrete Foundation and Structure Contractors	\$19.0	
238120	Structural Steel and Precast Concrete Contractors	\$19.0	
238130	Framing Contractors	\$19.0	
238140	Masonry Contractors	\$19.0	
238150	Glass and Glazing Contractors	\$19.0	
238160	Roofing Contractors	\$19.0	
238170	Siding Contractors	\$19.0	
238190	Other Foundation, Structure, and Building Exterior Contractors	\$19.0	
238210	Electrical Contractors and Other Wiring Installation Contractors	\$19.0	
238220	Plumbing, Heating, and Air-Conditioning Contractors	\$19.0	
238290	Other Building Equipment Contractors	\$22.0	
238310	Drywall and Insulation Contractors	\$19.0	
238320	Painting and Wall Covering Contractors	\$19.0	
238330	Flooring Contractors	\$19.0	
238340	Tile and Terrazzo Contractors	\$19.0	
238350	Finish Carpentry Contractors	\$19.0	
238390	Other Building Finishing Contractors	\$19.0	
238910	Site Preparation Contractors	\$19.0	
238990	All Other Specialty Trade Contractors	\$19.0	
238990 (Exception).	Building and Property Specialty Trade Services ¹³	\$19.0 ¹³	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Sectors 31–33—Manufacturing			
Subsector 311—Food Manufacturing			
311111	Dog and Cat Food Manufacturing		1,250
311119	Other Animal Food Manufacturing		650
311211	Flour Milling		1,050
311212	Rice Milling		750
311213	Malt Manufacturing		500
311221	Wet Corn Milling and Starch Manufacturing		1,300
311224	Soybean and Other Oilseed Processing		1,250
311225	Fats and Oils Refining and Blending		1,100
311230	Breakfast Cereal Manufacturing		1,300
311313	Beet Sugar Manufacturing		1,150
311314	Cane Sugar Manufacturing		1,050
311340	Nonchocolate Confectionery Manufacturing		1,000
311351	Chocolate and Confectionery Manufacturing from Cacao Beans		1,250
311352	Confectionery Manufacturing from Purchased Chocolate		1,000
311411	Frozen Fruit, Juice, and Vegetable Manufacturing		1,100
311412	Frozen Specialty Food Manufacturing		1,250
311421	Fruit and Vegetable Canning ³		1,000 ³
311422	Specialty Canning		1,400
311423	Dried and Dehydrated Food Manufacturing		750
311511	Fluid Milk Manufacturing		1,150
311512	Creamery Butter Manufacturing		750
311513	Cheese Manufacturing		1,250
311514	Dry, Condensed, and Evaporated Dairy Product Manufacturing		1,000
311520	Ice Cream and Frozen Dessert Manufacturing		1,000
311611	Animal (except Poultry) Slaughtering		1,150
311612	Meat Processed from Carcasses		1,000
311613	Rendering and Meat Byproduct Processing		750
311615	Poultry Processing		1,250
311710	Seafood Product Preparation and Packaging		750
311811	Retail Bakeries		500
311812	Commercial Bakeries		1,000
311813	Frozen Cakes, Pies, and Other Pastries Manufacturing		750
311821	Cookie and Cracker Manufacturing		1,250
311824	Dry Pasta, Dough, and Flour Mixes Manufacturing from Purchased Flour		850
311830	Tortilla Manufacturing		1,250
311911	Roasted Nuts and Peanut Butter Manufacturing		750
311919	Other Snack Food Manufacturing		1,250
311920	Coffee and Tea Manufacturing		1,000
311930	Flavoring Syrup and Concentrate Manufacturing		1,100
311941	Mayonnaise, Dressing, and Other Prepared Sauce Manufacturing		850
311942	Spice and Extract Manufacturing		650
311991	Perishable Prepared Food Manufacturing		700
311999	All Other Miscellaneous Food Manufacturing		700
Subsector 312—Beverage and Tobacco Product Manufacturing			
312111	Soft Drink Manufacturing		1,400
312112	Bottled Water Manufacturing		1,100
312113	Ice Manufacturing		750
312120	Breweries		1,250
312130	Wineries		1,000
312140	Distilleries		1,100
312230	Tobacco Manufacturing		1,500
Subsector 313—Textile Mills			
313110	Fiber, Yarn, and Thread Mills		1,250
313210	Broadwoven Fabric Mills		1,000
313220	Narrow Fabric Mills and Schiffler Machine Embroidery		550
313230	Nonwoven Fabric Mills		850
313240	Knit Fabric Mills		500
313310	Textile and Fabric Finishing Mills		1,000
313320	Fabric Coating Mills		1,000
Subsector 314—Textile Product Mills			
314110	Carpet and Rug Mills		1,500

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
314120	Curtain and Linen Mills	750
314910	Textile Bag and Canvas Mills	500
314994	Rope, Cordage, Twine, Tire Cord, and Tire Fabric Mills	1,000
314999	All Other Miscellaneous Textile Product Mills	550
Subsector 315—Apparel Manufacturing			
315120	Apparel Knitting Mills	850
315210	Cut and Sew Apparel Contractors	750
315250	Cut and Sew Apparel Manufacturing (except Contractors)	750
315990	Apparel Accessories and Other Apparel Manufacturing	600
Subsector 316—Leather and Allied Product Manufacturing			
316110	Leather and Hide Tanning and Finishing	800
316210	Footwear Manufacturing	1,000
316990	Other Leather and Allied Product Manufacturing	500
Subsector 321—Wood Product Manufacturing			
321113	Sawmills	550
321114	Wood Preservation	550
321211	Hardwood Veneer and Plywood Manufacturing	600
321212	Softwood Veneer and Plywood Manufacturing	1,250
321215	Engineered Wood Member Manufacturing	500
321219	Reconstituted Wood Product Manufacturing	750
321911	Wood Window and Door Manufacturing	1,000
321912	Cut Stock, Resawing Lumber, and Planing	500
321918	Other Millwork (including Flooring)	500
321920	Wood Container and Pallet Manufacturing	500
321991	Manufactured Home (Mobile Home) Manufacturing	1,250
321992	Prefabricated Wood Building Manufacturing	500
321999	All Other Miscellaneous Wood Product Manufacturing	500
Subsector 322—Paper Manufacturing			
322110	Pulp Mills	1,050
322120	Paper Mills	1,250
322130	Paperboard Mills	1,250
322211	Corrugated and Solid Fiber Box Manufacturing	1,250
322212	Folding Paperboard Box Manufacturing	750
322219	Other Paperboard Container Manufacturing	1,000
322220	Paper Bag and Coated and Treated Paper Manufacturing	750
322230	Stationery Product Manufacturing	750
322291	Sanitary Paper Product Manufacturing	1,500
322299	All Other Converted Paper Product Manufacturing	500
Subsector 323—Printing and Related Support Activities			
323111	Commercial Printing (except Screen and Books)	650
323113	Commercial Screen Printing	500
323117	Books Printing	1,250
323120	Support Activities for Printing	550
Subsector 324—Petroleum and Coal Products Manufacturing			
324110	Petroleum Refineries ⁴	1,500 ⁴
324121	Asphalt Paving Mixture and Block Manufacturing	500
324122	Asphalt Shingle and Coating Materials Manufacturing	1,100
324191	Petroleum Lubricating Oil and Grease Manufacturing	900
324199	All Other Petroleum and Coal Products Manufacturing	950
Subsector 325—Chemical Manufacturing			
325110	Petrochemical Manufacturing	1,300
325120	Industrial Gas Manufacturing	1,200
325130	Synthetic Dye and Pigment Manufacturing	1,050
325180	Other Basic Inorganic Chemical Manufacturing	1,000
325193	Ethyl Alcohol Manufacturing	1,000
325194	Cyclic Crude, Intermediate, and Gum and Wood Chemical Manufacturing	1,250
325199	All Other Basic Organic Chemical Manufacturing	1,250

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
325211	Plastics Material and Resin Manufacturing		1,250
325212	Synthetic Rubber Manufacturing		1,000
325220	Artificial and Synthetic Fibers and Filaments Manufacturing		1,050
325311	Nitrogenous Fertilizer Manufacturing		1,050
325312	Phosphatic Fertilizer Manufacturing		1,350
325314	Fertilizer (Mixing Only) Manufacturing		550
325315	Compost Manufacturing		550
325320	Pesticide and Other Agricultural Chemical Manufacturing		1,150
325411	Medicinal and Botanical Manufacturing		1,000
325412	Pharmaceutical Preparation Manufacturing		1,300
325413	In-Vitro Diagnostic Substance Manufacturing		1,250
325414	Biological Product (except Diagnostic) Manufacturing		1,250
325510	Paint and Coating Manufacturing		1,000
325520	Adhesive Manufacturing		550
325611	Soap and Other Detergent Manufacturing		1,100
325612	Polish and Other Sanitation Good Manufacturing		900
325613	Surface Active Agent Manufacturing		1,100
325620	Toilet Preparation Manufacturing		1,250
325910	Printing Ink Manufacturing		750
325920	Explosives Manufacturing		750
325991	Custom Compounding of Purchased Resins		600
325992	Photographic Film, Paper, Plate, Chemical, and Copy Toner Manufacturing		1,500
325998	All Other Miscellaneous Chemical Product and Preparation Manufacturing		650
Subsector 326—Plastics and Rubber Products Manufacturing			
326111	Plastics Bag and Pouch Manufacturing		750
326112	Plastics Packaging Film and Sheet (including Laminated) Manufacturing		1,000
326113	Unlaminated Plastics Film and Sheet (except Packaging) Manufacturing		750
326121	Unlaminated Plastics Profile Shape Manufacturing		600
326122	Plastics Pipe and Pipe Fitting Manufacturing		750
326130	Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing.		650
326140	Polystyrene Foam Product Manufacturing		1,000
326150	Urethane and Other Foam Product (except Polystyrene) Manufacturing		750
326160	Plastics Bottle Manufacturing		1,250
326191	Plastics Plumbing Fixture Manufacturing		750
326199	All Other Plastics Product Manufacturing		750
326211	Tire Manufacturing (except Retreading) ⁵		1,500 ⁵
326212	Tire Retreading		500
326220	Rubber and Plastics Hoses and Belting Manufacturing		800
326291	Rubber Product Manufacturing for Mechanical Use		750
326299	All Other Rubber Product Manufacturing		650
Subsector 327—Nonmetallic Mineral Product Manufacturing			
327110	Pottery, Ceramics, and Plumbing Fixture Manufacturing		1,000
327120	Clay Building Material and Refractories Manufacturing		750
327211	Flat Glass Manufacturing		1,100
327212	Other Pressed and Blown Glass and Glassware Manufacturing		1,250
327213	Glass Container Manufacturing		1,250
327215	Glass Product Manufacturing Made of Purchased Glass		1,000
327310	Cement Manufacturing		1,000
327320	Ready-Mix Concrete Manufacturing		500
327331	Concrete Block and Brick Manufacturing		500
327332	Concrete Pipe Manufacturing		750
327390	Other Concrete Product Manufacturing		500
327410	Lime Manufacturing		1,050
327420	Gypsum Product Manufacturing		1,500
327910	Abrasive Product Manufacturing		900
327991	Cut Stone and Stone Product Manufacturing		500
327992	Ground or Treated Mineral and Earth Manufacturing		600
327993	Mineral Wool Manufacturing		1,500
327999	All Other Miscellaneous Nonmetallic Mineral Product Manufacturing		750
Subsector 331—Primary Metal Manufacturing			
331110	Iron and Steel Mills and Ferroalloy Manufacturing		1,500
331210	Iron and Steel Pipe and Tube Manufacturing from Purchased Steel		1,000
331221	Rolled Steel Shape Manufacturing		1,000
331222	Steel Wire Drawing		1,000

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
331313	Alumina Refining and Primary Aluminum Production		1,300
331314	Secondary Smelting and Alloying of Aluminum		750
331315	Aluminum Sheet, Plate, and Foil Manufacturing		1,400
331318	Other Aluminum Rolling, Drawing, and Extruding		750
331410	Nonferrous Metal (except Aluminum) Smelting and Refining		1,000
331420	Copper Rolling, Drawing, Extruding, and Alloying		1,050
331491	Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing, and Extruding		900
331492	Secondary Smelting, Refining, and Alloying of Nonferrous Metal (except Copper and Aluminum)		850
331511	Iron Foundries		1,000
331512	Steel Investment Foundries		1,050
331513	Steel Foundries (except Investment)		700
331523	Nonferrous Metal Die-Casting Foundries		700
331524	Aluminum Foundries (except Die-Casting)		550
331529	Other Nonferrous Metal Foundries (except Die-Casting)		500
Subsector 332—Fabricated Metal Product Manufacturing			
332111	Iron and Steel Forging		750
332112	Nonferrous Forging		950
332114	Custom Roll Forming		600
332117	Powder Metallurgy Part Manufacturing		550
332119	Metal Crown, Closure, and Other Metal Stamping (except Automotive)		500
332215	Metal Kitchen Cookware, Utensil, Cutlery, and Flatware (except Precious) Manufacturing		1,000
332216	Saw Blade and Handtool Manufacturing		750
332311	Prefabricated Metal Building and Component Manufacturing		750
332312	Fabricated Structural Metal Manufacturing		500
332313	Plate Work Manufacturing		750
332321	Metal Window and Door Manufacturing		750
332322	Sheet Metal Work Manufacturing		500
332323	Ornamental and Architectural Metal Work Manufacturing		500
332410	Power Boiler and Heat Exchanger Manufacturing		750
332420	Metal Tank (Heavy Gauge) Manufacturing		750
332431	Metal Can Manufacturing		1,500
332439	Other Metal Container Manufacturing		600
332510	Hardware Manufacturing		750
332613	Spring Manufacturing		600
332618	Other Fabricated Wire Product Manufacturing		500
332710	Machine Shops		500
332721	Precision Turned Product Manufacturing		500
332722	Bolt, Nut, Screw, Rivet, and Washer Manufacturing		600
332811	Metal Heat Treating		750
332812	Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers		600
332813	Electroplating, Plating, Polishing, Anodizing and Coloring		500
332911	Industrial Valve Manufacturing		750
332912	Fluid Power Valve and Hose Fitting Manufacturing		1,000
332913	Plumbing Fixture Fitting and Trim Manufacturing		1,000
332919	Other Metal Valve and Pipe Fitting Manufacturing		750
332991	Ball and Roller Bearing Manufacturing		1,250
332992	Small Arms Ammunition Manufacturing		1,300
332993	Ammunition (except Small Arms) Manufacturing		1,500
332994	Small Arms, Ordnance, and Ordnance Accessories Manufacturing		1,000
332996	Fabricated Pipe and Pipe Fitting Manufacturing		550
332999	All Other Miscellaneous Fabricated Metal Product Manufacturing		750
Subsector 333—Machinery Manufacturing⁶			
333111	Farm Machinery and Equipment Manufacturing		1,250
333112	Lawn and Garden Tractor and Home Lawn and Garden Equipment Manufacturing		1,500
333120	Construction Machinery Manufacturing		1,250
333131	Mining Machinery and Equipment Manufacturing		900
333132	Oil and Gas Field Machinery and Equipment Manufacturing		1,250
333241	Food Product Machinery Manufacturing		500
333242	Semiconductor Machinery Manufacturing		1,500
333243	Sawmill, Woodworking, and Paper Machinery Manufacturing		550
333248	All Other Industrial Machinery Manufacturing		750
333310	Commercial and Service Industry Machinery Manufacturing		1,000

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
333413	Industrial and Commercial Fan and Blower and Air Purification Equipment Manufacturing.		500
333414	Heating Equipment (except Warm Air Furnaces) Manufacturing		500
333415	Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment Manufacturing.		1,250
333511	Industrial Mold Manufacturing		500
333514	Special Die and Tool, Die Set, Jig and Fixture Manufacturing		500
333515	Cutting Tool and Machine Tool Accessory Manufacturing		500
333517	Machine Tool Manufacturing		500
333519	Rolling Mill and Other Metalworking Machinery Manufacturing		500
333611	Turbine and Turbine Generator Set Units Manufacturing		1,500
333612	Speed Changer, Industrial High-Speed Drive, and Gear Manufacturing		750
333613	Mechanical Power Transmission Equipment Manufacturing		750
333618	Other Engine Equipment Manufacturing		1,500
333912	Air and Gas Compressor Manufacturing		1,000
333914	Measuring, Dispensing, and Other Pumping Equipment Manufacturing		750
333921	Elevator and Moving Stairway Manufacturing		1,000
333922	Conveyor and Conveying Equipment Manufacturing		500
333923	Overhead Traveling Crane, Hoist, and Monorail System Manufacturing		1,250
333924	Industrial Truck, Tractor, Trailer, and Stacker Machinery Manufacturing		900
333991	Power-Driven Hand Tool Manufacturing		950
333992	Welding and Soldering Equipment Manufacturing		1,250
333993	Packaging Machinery Manufacturing		600
333994	Industrial Process Furnace and Oven Manufacturing		500
333995	Fluid Power Cylinder and Actuator Manufacturing		800
333996	Fluid Power Pump and Motor Manufacturing		1,250
333998	All Other Miscellaneous General Purpose Machinery Manufacturing		700
Subsector 334—Computer and Electronic Product Manufacturing⁶			
334111	Electronic Computer Manufacturing		1,250
334112	Computer Storage Device Manufacturing		1,250
334118	Computer Terminal and Other Computer Peripheral Equipment Manufacturing.		1,000
334210	Telephone Apparatus Manufacturing		1,250
334220	Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing.		1,250
334290	Other Communications Equipment Manufacturing		800
334310	Audio and Video Equipment Manufacturing		750
334412	Bare Printed Circuit Board Manufacturing		750
334413	Semiconductor and Related Device Manufacturing		1,250
334416	Capacitor, Resistor, Coil, Transformer, and Other Inductor Manufacturing		550
334417	Electronic Connector Manufacturing		1,000
334418	Printed Circuit Assembly (Electronic Assembly) Manufacturing		750
334419	Other Electronic Component Manufacturing		750
334510	Electromedical and Electrotherapeutic Apparatus Manufacturing		1,250
334511	Search, Detection, Navigation, Guidance, Aeronautical, and Nautical System and Instrument Manufacturing.		1,350
334512	Automatic Environmental Control Manufacturing for Residential, Commercial, and Appliance Use.		650
334513	Instruments and Related Products Manufacturing for Measuring, Displaying, and Controlling Industrial Process Variables.		750
334514	Totalizing Fluid Meter and Counting Device Manufacturing		850
334515	Instrument Manufacturing for Measuring and Testing Electricity and Electrical Signals.		750
334516	Analytical Laboratory Instrument Manufacturing		1,000
334517	Irradiation Apparatus Manufacturing		1,200
334519	Other Measuring and Controlling Device Manufacturing		600
334610	Manufacturing and Reproducing Magnetic and Optical Media		1,250
Subsector 335—Electrical Equipment, Appliance and Component Manufacturing⁶			
335131	Residential Electric Lighting Fixture Manufacturing		750
335132	Commercial, Industrial, and Institutional Electric Lighting Fixture Manufacturing.		600
335139	Electric Lamp Bulb and Other Lighting Equipment Manufacturing		1,250
335210	Small Electrical Appliance Manufacturing		1,500
335220	Major Household Appliance Manufacturing		1,500
335311	Power, Distribution, and Specialty Transformer Manufacturing		800
335312	Motor and Generator Manufacturing		1,250
335313	Switchgear and Switchboard Apparatus Manufacturing		1,250

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
335314	Relay and Industrial Control Manufacturing	750
335910	Battery Manufacturing	1,250
335921	Fiber Optic Cable Manufacturing	1,000
335929	Other Communication and Energy Wire Manufacturing	1,000
335931	Current-Carrying Wiring Device Manufacturing	600
335932	Noncurrent-Carrying Wiring Device Manufacturing	1,000
335991	Carbon and Graphite Product Manufacturing	900
335999	All Other Miscellaneous Electrical Equipment and Component Manufacturing.	600
Subsector 336—Transportation Equipment Manufacturing⁶			
336110	Automobile and Light Duty Motor Vehicle Manufacturing	1,500
336120	Heavy Duty Truck Manufacturing	1,500
336211	Motor Vehicle Body Manufacturing	1,000
336212	Truck Trailer Manufacturing	1,000
336213	Motor Home Manufacturing	1,250
336214	Travel Trailer and Camper Manufacturing	1,000
336310	Motor Vehicle Gasoline Engine and Engine Parts Manufacturing	1,050
336320	Motor Vehicle Electrical and Electronic Equipment Manufacturing	1,000
336330	Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing.	1,000
336340	Motor Vehicle Brake System Manufacturing	1,250
336350	Motor Vehicle Transmission and Power Train Parts Manufacturing	1,500
336360	Motor Vehicle Seating and Interior Trim Manufacturing	1,500
336370	Motor Vehicle Metal Stamping	1,000
336390	Other Motor Vehicle Parts Manufacturing	1,000
336411	Aircraft Manufacturing	1,500
336412	Aircraft Engine and Engine Parts Manufacturing	1,500
336413	Other Aircraft Parts and Auxiliary Equipment Manufacturing ⁷	1,250 ⁷
336414	Guided Missile and Space Vehicle Manufacturing	1,300
336415	Guided Missile and Space Vehicle Propulsion Unit and Propulsion Unit Parts Manufacturing.	1,250
336419	Other Guided Missile and Space Vehicle Parts and Auxiliary Equipment Manufacturing.	1,050
336510	Railroad Rolling Stock Manufacturing	1,500
336611	Ship Building and Repairing	1,300
336612	Boat Building	1,000
336991	Motorcycle, Bicycle, and Parts Manufacturing	1,050
336992	Military Armored Vehicle, Tank, and Tank Component Manufacturing	1,500
336999	All Other Transportation Equipment Manufacturing	1,000
Subsector 337—Furniture and Related Product Manufacturing			
337110	Wood Kitchen Cabinet and Countertop Manufacturing	750
337121	Upholstered Household Furniture Manufacturing	1,000
337122	Nonupholstered Wood Household Furniture Manufacturing	750
337126	Household Furniture (except Wood and Upholstered) Manufacturing	950
337127	Institutional Furniture Manufacturing	500
337211	Wood Office Furniture Manufacturing	1,000
337212	Custom Architectural Woodwork and Millwork Manufacturing	500
337214	Office Furniture (except Wood) Manufacturing	1,100
337215	Showcase, Partition, Shelving, and Locker Manufacturing	500
337910	Mattress Manufacturing	1,000
337920	Blind and Shade Manufacturing	1,000
Subsector 339—Miscellaneous Manufacturing			
339112	Surgical and Medical Instrument Manufacturing	1,000
339113	Surgical Appliance and Supplies Manufacturing	800
339114	Dental Equipment and Supplies Manufacturing	750
339115	Ophthalmic Goods Manufacturing	1,000
339116	Dental Laboratories	500
339910	Jewelry and Silverware Manufacturing	700
339920	Sporting and Athletic Goods Manufacturing	750
339930	Doll, Toy, and Game Manufacturing	700
339940	Office Supplies (except Paper) Manufacturing	750
339950	Sign Manufacturing	500
339991	Gasket, Packing, and Sealing Device Manufacturing	600
339992	Musical Instrument Manufacturing	1,000
339993	Fastener, Button, Needle, and Pin Manufacturing	750

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
339994	Broom, Brush, and Mop Manufacturing	750
339995	Burial Casket Manufacturing	1,000
339999	All Other Miscellaneous Manufacturing	550

Sector 42—Wholesale Trade

(These NAICS codes shall not be used to classify Government acquisitions for supplies. They also shall not be used by Federal Government contractors when subcontracting for the acquisition for supplies. The applicable manufacturing NAICS code shall be used to classify acquisitions for supplies. A Wholesale Trade or Retail Trade business concern submitting an offer or a quote on a supply acquisition is categorized as a nonmanufacturer and deemed small if it has 500 or fewer employees and meets the requirements of 13 CFR 121.406.)

Subsector 423—Merchant Wholesalers, Durable Goods

423110	Automobile and Other Motor Vehicle Merchant Wholesalers	250
423120	Motor Vehicle Supplies and New Parts Merchant Wholesalers	200
423130	Tire and Tube Merchant Wholesalers	200
423140	Motor Vehicle Parts (Used) Merchant Wholesalers	125
423210	Furniture Merchant Wholesalers	100
423220	Home Furnishing Merchant Wholesalers	100
423310	Lumber, Plywood, Millwork, and Wood Panel Merchant Wholesalers	150
423320	Brick, Stone, and Related Construction Material Merchant Wholesalers	150
423330	Roofing, Siding, and Insulation Material Merchant Wholesalers	225
423390	Other Construction Material Merchant Wholesalers	100
423410	Photographic Equipment and Supplies Merchant Wholesalers	200
423420	Office Equipment Merchant Wholesalers	200
423430	Computer and Computer Peripheral Equipment and Software Merchant Wholesalers	250
423440	Other Commercial Equipment Merchant Wholesalers	100
423450	Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers	200
423460	Ophthalmic Goods Merchant Wholesalers	175
423490	Other Professional Equipment and Supplies Merchant Wholesalers	150
423510	Metal Service Centers and Other Metal Merchant Wholesalers	200
423520	Coal and Other Mineral and Ore Merchant Wholesalers	200
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers	200
423620	Household Appliances, Electric Housewares, and Consumer Electronics Merchant Wholesalers	225
423690	Other Electronic Parts and Equipment Merchant Wholesalers	250
423710	Hardware Merchant Wholesalers	150
423720	Plumbing and Heating Equipment and Supplies (Hydronics) Merchant Wholesalers	200
423730	Warm Air Heating and Air-Conditioning Equipment and Supplies Merchant Wholesalers	175
423740	Refrigeration Equipment and Supplies Merchant Wholesalers	125
423810	Construction and Mining (except Oil Well) Machinery and Equipment Merchant Wholesalers	250
423820	Farm and Garden Machinery and Equipment Merchant Wholesalers	125
423830	Industrial Machinery and Equipment Merchant Wholesalers	100
423840	Industrial Supplies Merchant Wholesalers	125
423850	Service Establishment Equipment and Supplies Merchant Wholesalers	125
423860	Transportation Equipment and Supplies (except Motor Vehicle) Merchant Wholesalers	175
423910	Sporting and Recreational Goods and Supplies Merchant Wholesalers	100
423920	Toy and Hobby Goods and Supplies Merchant Wholesalers	175
423930	Recyclable Material Merchant Wholesalers	125
423940	Jewelry, Watch, Precious Stone, and Precious Metal Merchant Wholesalers	125
423990	Other Miscellaneous Durable Goods Merchant Wholesalers	100

Subsector 424—Merchant Wholesalers, Nondurable Goods

424110	Printing and Writing Paper Merchant Wholesalers	225
424120	Stationery and Office Supplies Merchant Wholesalers	150
424130	Industrial and Personal Service Paper Merchant Wholesalers	150
424210	Drugs and Druggists' Sundries Merchant Wholesalers	250
424310	Piece Goods, Notions, and Other Dry Goods Merchant Wholesalers	100
424340	Footwear Merchant Wholesalers	200
424350	Clothing and Clothing Accessories Merchant Wholesalers	150
424410	General Line Grocery Merchant Wholesalers	250

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
424420	Packaged Frozen Food Merchant Wholesalers		200
424430	Dairy Product (except Dried or Canned) Merchant Wholesalers		200
424440	Poultry and Poultry Product Merchant Wholesalers		150
424450	Confectionery Merchant Wholesalers		225
424460	Fish and Seafood Merchant Wholesalers		100
424470	Meat and Meat Product Merchant Wholesalers		150
424480	Fresh Fruit and Vegetable Merchant Wholesalers		100
424490	Other Grocery and Related Products Merchant Wholesalers		250
424510	Grain and Field Bean Merchant Wholesalers		200
424520	Livestock Merchant Wholesalers		125
424590	Other Farm Product Raw Material Merchant Wholesalers		175
424610	Plastics Materials and Basic Forms and Shapes Merchant Wholesalers		150
424690	Other Chemical and Allied Products Merchant Wholesalers		175
424710	Petroleum Bulk Stations and Terminals		225
424720	Petroleum and Petroleum Products Merchant Wholesalers (except Bulk Stations and Terminals)		200
424810	Beer and Ale Merchant Wholesalers		200
424820	Wine and Distilled Alcoholic Beverage Merchant Wholesalers		250
424910	Farm Supplies Merchant Wholesalers		200
424920	Book, Periodical, and Newspaper Merchant Wholesalers		200
424930	Flower, Nursery Stock, and Florists' Supplies Merchant Wholesalers		100
424940	Tobacco Product and Electronic Cigarette Merchant Wholesalers		250
424950	Paint, Varnish, and Supplies Merchant Wholesalers		150
424990	Other Miscellaneous Nondurable Goods Merchant Wholesalers		100
Subsector 425—Wholesale Trade Agents and Brokers			
425120	Wholesale Trade Agents and Brokers		125
Sector 44—Retail Trade			
(These NAICS codes shall not be used to classify Government acquisitions for supplies. They also shall not be used by Federal Government contractors when subcontracting for the acquisition for supplies. The applicable manufacturing NAICS code shall be used to classify acquisitions for supplies. A Wholesale Trade or Retail Trade business concern submitting an offer or a quote on a supply acquisition is categorized as a nonmanufacturer and deemed small if it has 500 or fewer employees and meets the requirements of 13 CFR 121.406.)			
Subsector 441—Motor Vehicle and Parts Dealers			
441110	New Car Dealers		200
441120	Used Car Dealers	\$30.5	
441210	Recreational Vehicle Dealers	\$40.0	
441222	Boat Dealers	\$40.0	
441227	Motorcycle, ATV, and All Other Motor Vehicle Dealers	\$40.0	
441330	Automotive Parts and Accessories Retailers	\$28.5	
441340	Tire Dealers	\$25.5	
Subsector 444—Building Material and Garden Equipment and Supplies Dealers			
444110	Home Centers	\$47.0	
444120	Paint and Wallpaper Retailers	\$34.0	
444140	Hardware Retailers	\$16.5	
444180	Other Building Material Dealers	\$25.0	
444230	Outdoor Power Equipment Retailers	\$9.5	
444240	Nursery, Garden Center, and Farm Supply Retailers	\$21.5	
Subsector 445—Food and Beverage Stores			
445110	Supermarkets and Other Grocery Retailers (except Convenience Retailers)	\$40.0	
445131	Convenience Retailers	\$36.5	
445132	Vending Machine Operators	\$21.0	
445230	Fruit and Vegetable Retailers	\$9.0	
445240	Meat Retailers	\$9.0	
445250	Fish and Seafood Retailers	\$9.0	
445291	Baked Goods Retailers	\$16.0	
445292	Confectionery and Nut Retailers	\$19.5	
445298	All Other Specialty Food Retailers	\$10.0	
445320	Beer, Wine, and Liquor Retailers	\$10.0	
Subsector 449—Furniture, Home Furnishings, Electronics, and Appliance Retailers			
449110	Furniture Retailers	\$25.0	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
449121	Floor Covering Retailers	\$9.0	
449122	Window Treatment Retailers	\$11.5	
449129	All Other Home Furnishings Retailers	\$33.5	
449210	Electronics and Appliance Retailers	\$40.0	
Subsector 455—General Merchandise Retailers			
455110	Department Stores	\$40.0	
455211	Warehouse Clubs and Supercenters	\$47.0	
455219	All Other General Merchandise Retailers	\$40.0	
Subsector 456—Health and Personal Care Retailers			
456110	Pharmacies and Drug Retailers	\$37.5	
456120	Cosmetics, Beauty Supplies, and Perfume Retailers	\$34.0	
456130	Optical Goods Retailers	\$29.5	
456191	Food (Health) Supplement Retailers	\$22.5	
456199	All Other Health and Personal Care Retailers	\$9.5	
Subsector 457—Gasoline Stations and Fuel Dealers			
457110	Gasoline Stations with Convenience Stores	\$36.5	
457120	Other Gasoline Stations	\$33.5	
457210	Fuel Dealers		100
Subsector 458—Clothing, Clothing Accessories, Shoe, and Jewelry Retailers			
458110	Clothing and Clothing Accessories Retailers	\$47.0	
458210	Shoe Retailers	\$34.0	
458310	Jewelry Retailers	\$20.5	
458320	Luggage and Leather Goods Retailers	\$38.0	
Subsector 459—Sporting Goods, Hobby, Musical Instrument, Book, and Miscellaneous Retailers			
459110	Sporting Goods Retailers	\$26.5	
459120	Hobby, Toy, and Game Retailers	\$35.0	
459130	Sewing, Needlework, and Piece Goods Retailers	\$34.0	
459140	Musical Instrument and Supplies Retailers	\$22.5	
459210	Book Retailers and News Dealers	\$36.0	
459310	Florists	\$9.0	
459410	Office Supplies and Stationery Retailers	\$40.0	
459420	Gift, Novelty, and Souvenir Retailers	\$13.5	
459510	Used Merchandise Retailers	\$14.0	
459910	Pet and Pet Supplies Retailers	\$32.0	
459920	Art Dealers	\$16.5	
459930	Manufactured (Mobile) Home Dealers	\$19.0	
459991	Tobacco, Electronic Cigarette, and Other Smoking Supplies Retailers	\$11.5	
459999	All Other Miscellaneous Retailers	\$11.5	
Sectors 48–49—Transportation and Warehousing			
Subsector 481—Air Transportation			
481111	Scheduled Passenger Air Transportation		1,500
481112	Scheduled Freight Air Transportation		1,500
481211	Nonscheduled Chartered Passenger Air Transportation		1,500
481212	Nonscheduled Chartered Freight Air Transportation		1,500
481219	Other Nonscheduled Air Transportation	\$25.0	
Subsector 482—Rail Transportation			
482111	Line-Haul Railroads		1,500
482112	Short Line Railroads		1,500
Subsector 483—Water Transportation			
483111	Deep Sea Freight Transportation		1,050
483112	Deep Sea Passenger Transportation		1,500
483113	Coastal and Great Lakes Freight Transportation		800
483114	Coastal and Great Lakes Passenger Transportation		550
483211	Inland Water Freight Transportation		1,050

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
483212	Inland Water Passenger Transportation		550
Subsector 484—Truck Transportation			
484110	General Freight Trucking, Local	\$34.0	
484121	General Freight Trucking, Long-Distance, Truckload	\$34.0	
484122	General Freight Trucking, Long-Distance, Less Than Truckload	\$43.0	
484210	Used Household and Office Goods Moving	\$34.0	
484220	Specialized Freight (except Used Goods) Trucking, Local	\$34.0	
484230	Specialized Freight (except Used Goods) Trucking, Long-Distance	\$34.0	
Subsector 485—Transit and Ground Passenger Transportation			
485111	Mixed Mode Transit Systems	\$29.0	
485112	Commuter Rail Systems	\$47.0	
485113	Bus and Other Motor Vehicle Transit Systems	\$32.5	
485119	Other Urban Transit Systems	\$37.5	
485210	Interurban and Rural Bus Transportation	\$32.0	
485310	Taxi and Ridesharing Services	\$19.0	
485320	Limousine Service	\$19.0	
485410	School and Employee Bus Transportation	\$30.0	
485510	Charter Bus Industry	\$19.0	
485991	Special Needs Transportation	\$19.0	
485999	All Other Transit and Ground Passenger Transportation	\$19.0	
Subsector 486—Pipeline Transportation			
486110	Pipeline Transportation of Crude Oil		1,500
486210	Pipeline Transportation of Natural Gas	\$41.5	
486910	Pipeline Transportation of Refined Petroleum Products		1,500
486990	All Other Pipeline Transportation	\$46.0	
Subsector 487—Scenic and Sightseeing Transportation			
487110	Scenic and Sightseeing Transportation, Land	\$20.5	
487210	Scenic and Sightseeing Transportation, Water	\$14.0	
487990	Scenic and Sightseeing Transportation, Other	\$25.0	
Subsector 488—Support Activities for Transportation			
488111	Air Traffic Control	\$40.0	
488119	Other Airport Operations	\$40.0	
488190	Other Support Activities for Air Transportation	\$40.0	
488210	Support Activities for Rail Transportation	\$34.0	
488310	Port and Harbor Operations	\$47.0	
488320	Marine Cargo Handling	\$47.0	
488330	Navigational Services to Shipping	\$47.0	
488390	Other Support Activities for Water Transportation	\$47.0	
488410	Motor Vehicle Towing	\$9.0	
488490	Other Support Activities for Road Transportation	\$18.0	
488510	Freight Transportation Arrangement ¹⁰	\$20.0 ¹⁰	
488510 (Exception).	Non-Vessel Owning Common Carriers and Household Goods Forwarders	\$34.0	
488991	Packing and Crating	\$34.0	
488999	All Other Support Activities for Transportation	\$25.0	
Subsector 491—Postal Service			
491110	Postal Service	\$9.0	
Subsector 492—Couriers and Messengers			
492110	Couriers and Express Delivery Services		1,500
492210	Local Messengers and Local Delivery	\$34.0	
Subsector 493—Warehousing and Storage			
493110	General Warehousing and Storage	\$34.0	
493120	Refrigerated Warehousing and Storage	\$36.5	
493130	Farm Product Warehousing and Storage	\$34.0	
493190	Other Warehousing and Storage	\$36.5	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Sector 51—Information			
Subsector 512—Motion Picture and Sound Recording Industries			
512110	Motion Picture and Video Production	\$40.0	
512120	Motion Picture and Video Distribution	\$39.0	
512131	Motion Picture Theaters (except Drive-Ins)	\$47.0	
512132	Drive-In Motion Picture Theaters	\$12.5	
512191	Teleproduction and Other Postproduction Services	\$39.0	
512199	Other Motion Picture and Video Industries	\$28.5	
512230	Music Publishers		900
512240	Sound Recording Studios	\$11.0	
512250	Record Production and Distribution		900
512290	Other Sound Recording Industries	\$22.5	
Subsector 513—Publishing Industries			
513110	Newspaper Publishers		1,000
513120	Periodical Publishers		1,000
513130	Book Publishers		1,000
513140	Directory and Mailing List Publishers		1,000
513191	Greeting Card Publishers		1,000
513199	All Other Publishers		1,000
513210	Software Publishers ¹⁵	\$47.0 ¹⁵	
Subsector 516—Broadcasting and Content Providers			
516110	Radio Broadcasting Stations	\$47.0	
516120	Television Broadcasting Stations	\$47.0	
516210	Media Streaming Distribution Services, Social Networks, and Other Media Networks and Content Providers.	\$47.0	
Subsector 517—Telecommunications			
517111	Wired Telecommunications Carriers		1,500
517112	Wireless Telecommunications Carriers (except Satellite)		1,500
517121	Telecommunications Resellers		1,500
517122	Agents for Wireless Telecommunications Services		1,500
517410	Satellite Telecommunications	\$44.0	
517810	All Other Telecommunications	\$40.0	
Subsector 518—Computing Infrastructure Providers, Data Processing, Web Hosting, and Related Services			
518210	Computing Infrastructure Providers, Data Processing, Web Hosting, and Related Services.	\$40.0	
Subsector 519—Web Search Portals, Libraries, Archives, and Other Information Services			
519210	Libraries and Archives	\$21.0	
519290	Web Search Portals and All Other Information Services		1,000
Sector 52—Finance and Insurance			
Subsector 522—Credit Intermediation and Related Activities			
522110	Commercial Banking ^a	\$850 million in assets ^a	
522130	Credit Unions ^a	\$850 million in assets ^a	
522180	Savings Institutions and Other Depository Credit Intermediation ^a	\$850 million in assets ^a	
522210	Credit Card Issuing ^a	\$850 million in assets ^a	
522220	Sales Financing	\$47.0	
522291	Consumer Lending	\$47.0	
522292	Real Estate Credit	\$47.0	
522299	International, Secondary Market, and All Other Nondepository Credit Intermediation.	\$47.0	
522310	Mortgage and Nonmortgage Loan Brokers	\$15.0	
522320	Financial Transactions Processing, Reserve, and Clearinghouse Activities	\$47.0	
522390	Other Activities Related to Credit Intermediation	\$28.5	

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Subsector 523—Securities, Commodity Contracts, and Other Financial Investments and Related Activities			
523150	Investment Banking and Securities Intermediation	\$47.0	
523160	Commodity Contracts Intermediation	\$41.5	
523210	Securities and Commodity Exchanges	\$47.0	
523910	Miscellaneous Intermediation	\$47.0	
523940	Portfolio Management and Investment Advice	\$47.0	
523991	Trust, Fiduciary and Custody Activities	\$47.0	
523999	Miscellaneous Financial Investment Activities	\$47.0	
Subsector 524—Insurance Carriers and Related Activities			
524113	Direct Life Insurance Carriers	\$47.0	
524114	Direct Health and Medical Insurance Carriers	\$47.0	
524126	Direct Property and Casualty Insurance Carriers		1,500
524127	Direct Title Insurance Carriers	\$47.0	
524128	Other Direct Insurance (except Life, Health and Medical) Carriers	\$47.0	
524130	Reinsurance Carriers	\$47.0	
524210	Insurance Agencies and Brokerages	\$15.0	
524291	Claims Adjusting	\$25.0	
524292	Pharmacy Benefit Management and Other Third Party Administration of Insurance and Pension Funds	\$45.5	
524298	All Other Insurance Related Activities	\$30.5	
Subsector 525—Funds, Trusts and Other Financial Vehicles			
525110	Pension Funds	\$40.0	
525120	Health and Welfare Funds	\$40.0	
525190	Other Insurance Funds	\$40.0	
525910	Open-End Investment Funds	\$40.0	
525920	Trusts, Estates, and Agency Accounts	\$40.0	
525990	Other Financial Vehicles	\$40.0	
Sector 53—Real Estate and Rental and Leasing			
Subsector 531—Real Estate			
531110	Lessors of Residential Buildings and Dwellings ⁹	\$34.0 ⁹	
531120	Lessors of Nonresidential Buildings (except Miniwarehouses) ⁹	\$34.0 ⁹	
531130	Lessors of Miniwarehouses and Self-Storage Units ⁹	\$34.0 ⁹	
531190	Lessors of Other Real Estate Property ⁹	\$34.0 ⁹	
531210	Offices of Real Estate Agents and Brokers ¹⁰	\$15.0 ¹⁰	
531311	Residential Property Managers	\$12.5	
531312	Nonresidential Property Managers	\$19.5	
531320	Offices of Real Estate Appraisers	\$9.5	
531390	Other Activities Related to Real Estate	\$19.5	
Subsector 532—Rental and Leasing Services			
532111	Passenger Car Rental	\$47.0	
532112	Passenger Car Leasing	\$47.0	
532120	Truck, Utility Trailer, and RV (Recreational Vehicle) Rental and Leasing	\$47.0	
532210	Consumer Electronics and Appliances Rental	\$47.0	
532281	Formal Wear and Costume Rental	\$25.0	
532282	Video Tape and Disc Rental	\$35.0	
532283	Home Health Equipment Rental	\$41.0	
532284	Recreational Goods Rental	\$9.0	
532289	All Other Consumer Goods Rental	\$12.5	
532310	General Rental Centers	\$9.0	
532411	Commercial Air, Rail, and Water Transportation Equipment Rental and Leasing	\$45.5	
532412	Construction, Mining and Forestry Machinery and Equipment Rental and Leasing	\$40.0	
532420	Office Machinery and Equipment Rental and Leasing	\$40.0	
532490	Other Commercial and Industrial Machinery and Equipment Rental and Leasing	\$40.0	
Subsector 533—Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)			
533110	Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)	\$47.0	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Sector 54—Professional, Scientific and Technical Services			
Subsector 541—Professional, Scientific and Technical Services			
541110	Offices of Lawyers	\$15.5	
541191	Title Abstract and Settlement Offices	\$19.5	
541199	All Other Legal Services	\$20.5	
541211	Offices of Certified Public Accountants	\$26.5	
541213	Tax Preparation Services	\$25.0	
541214	Payroll Services	\$39.0	
541219	Other Accounting Services	\$25.0	
541310	Architectural Services	\$12.5	
541320	Landscape Architectural Services	\$9.0	
541330	Engineering Services	\$25.5	
541330 (Exception 1).	Military and Aerospace Equipment and Military Weapons	\$47.0	
541330 (Exception 2).	Contracts and Subcontracts for Engineering Services Awarded Under the National Energy Policy Act of 1992.	\$47.0	
541330 (Exception 3).	Marine Engineering and Naval Architecture	\$47.0	
541340	Drafting Services	\$9.0	
541350	Building Inspection Services	\$11.5	
541360	Geophysical Surveying and Mapping Services	\$28.5	
541370	Surveying and Mapping (except Geophysical) Services	\$19.0	
541380	Testing Laboratories and Services	\$19.0	
541410	Interior Design Services	\$9.0	
541420	Industrial Design Services	\$17.0	
541430	Graphic Design Services	\$9.0	
541490	Other Specialized Design Services	\$13.5	
541511	Custom Computer Programming Services	\$34.0	
541512	Computer Systems Design Services	\$34.0	
541513	Computer Facilities Management Services	\$37.0	
541519	Other Computer Related Services	\$34.0	
541519 (Exception).	Information Technology Value Added Resellers ¹⁸		150 ¹⁸
541611	Administrative Management and General Management Consulting Services.	\$24.5	
541612	Human Resources Consulting Services	\$29.0	
541613	Marketing Consulting Services	\$19.0	
541614	Process, Physical Distribution and Logistics Consulting Services	\$20.0	
541618	Other Management Consulting Services	\$19.0	
541620	Environmental Consulting Services	\$19.0	
541690	Other Scientific and Technical Consulting Services	\$19.0	
541713	Research and Technology in Nanotechnology ¹¹		1,000 ¹¹
541714	Research and Technology in Biotechnology (except Nanobiotechnology) ¹¹		1,000 ¹¹
541715	Research and Development in the Physical, Engineering, and Life Sciences (except Nanotechnology and Biotechnology) ¹¹ .		¹¹ 1,000
541715 (Exception 1).	Aircraft, Aircraft Engine and Engine Parts ¹¹		¹¹ 1,500
541715 (Exception 2).	Other Aircraft Parts and Auxiliary Equipment ¹¹		¹¹ 1,250
541715 (Exception 3).	Guided Missiles and Space Vehicles, Their Propulsion Units and Propulsion Parts ¹¹ .		¹¹ 1,300
541720	Research and Development in the Social Sciences and Humanities	\$28.0	
541810	Advertising Agencies ¹⁰	\$25.5 ¹⁰	
541820	Public Relations Agencies	\$19.0	
541830	Media Buying Agencies	\$32.5	
541840	Media Representatives	\$21.0	
541850	Indoor and Outdoor Display Advertising	\$34.5	
541860	Direct Mail Advertising	\$22.0	
541870	Advertising Material Distribution Services	\$28.5	
541890	Other Services Related to Advertising	\$19.0	
541910	Marketing Research and Public Opinion Polling	\$22.5	
541921	Photography Studios, Portrait	\$16.0	
541922	Commercial Photography	\$9.0	
541930	Translation and Interpretation Services	\$22.5	
541940	Veterinary Services	\$10.0	
541990	All Other Professional, Scientific and Technical Services	\$19.5	

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
Sector 55—Management of Companies and Enterprises			
Subsector 551—Management of Companies and Enterprises			
551111	Offices of Bank Holding Companies	\$38.5	
551112	Offices of Other Holding Companies	\$45.5	
Sector 56—Administrative and Support and Waste Management and Remediation Services			
Subsector 561—Administrative and Support Services			
561110	Office Administrative Services	\$12.5	
561210	Facilities Support Services ¹²	\$47.0 ¹²	
561311	Employment Placement Agencies	\$34.0	
561312	Executive Search Services	\$34.0	
561320	Temporary Help Services	\$34.0	
561330	Professional Employer Organizations	\$41.5	
561410	Document Preparation Services	\$19.0	
561421	Telephone Answering Services	\$19.0	
561422	Telemarketing Bureaus and Other Contact Centers	\$25.5	
561431	Private Mail Centers	\$19.0	
561439	Other Business Service Centers (including Copy Shops)	\$26.5	
561440	Collection Agencies	\$19.5	
561450	Credit Bureaus	\$41.0	
561491	Repossession Services	\$19.0	
561492	Court Reporting and Stenotype Services	\$19.0	
561499	All Other Business Support Services	\$21.5	
561510	Travel Agencies ¹⁰	\$25.0 ¹⁰	
561520	Tour Operators ¹⁰	\$25.0 ¹⁰	
561591	Convention and Visitors Bureaus	\$25.0	
561599	All Other Travel Arrangement and Reservation Services	\$32.5	
561611	Investigation and Personal Background Check Services	\$25.0	
561612	Security Guards and Patrol Services	\$29.0	
561613	Armored Car Services	\$43.0	
561621	Security Systems Services (except Locksmiths)	\$25.0	
561622	Locksmiths	\$25.0	
561710	Exterminating and Pest Control Services	\$17.5	
561720	Janitorial Services	\$22.0	
561730	Landscaping Services	\$9.5	
561740	Carpet and Upholstery Cleaning Services	\$8.5	
561790	Other Services to Buildings and Dwellings	\$9.0	
561910	Packaging and Labeling Services	\$19.5	
561920	Convention and Trade Show Organizers ¹⁰	\$20.0 ¹⁰	
561990	All Other Support Services	\$16.5	
Subsector 562—Waste Management and Remediation Services			
562111	Solid Waste Collection	\$47.0	
562112	Hazardous Waste Collection	\$47.0	
562119	Other Waste Collection	\$47.0	
562211	Hazardous Waste Treatment and Disposal	\$47.0	
562212	Solid Waste Landfill	\$47.0	
562213	Solid Waste Combustors and Incinerators	\$47.0	
562219	Other Nonhazardous Waste Treatment and Disposal	\$47.0	
562910	Remediation Services	\$25.0	
562910 (Exception)	Environmental Remediation Services ¹⁴		¹⁴ 1,000
562920	Materials Recovery Facilities	\$25.0	
562991	Septic Tank and Related Services	\$9.0	
562998	All Other Miscellaneous Waste Management Services	\$16.5	
Sector 61—Educational Services			
Subsector 611—Educational Services			
611110	Elementary and Secondary Schools	\$20.0	
611210	Junior Colleges	\$32.5	
611310	Colleges, Universities and Professional Schools	\$34.5	
611410	Business and Secretarial Schools	\$20.5	
611420	Computer Training	\$16.0	
611430	Professional and Management Development Training	\$15.0	
611511	Cosmetology and Barber Schools	\$13.0	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
611512	Flight Training	\$34.0	
611513	Apprenticeship Training	\$11.5	
611519	Other Technical and Trade Schools	\$21.0	
611519 (Exception).	Job Corps Centers ¹⁶	\$47.0 ¹⁶	
611610	Fine Arts Schools	\$9.0	
611620	Sports and Recreation Instruction	\$9.0	
611630	Language Schools	\$20.5	
611691	Exam Preparation and Tutoring	\$12.5	
611692	Automobile Driving Schools	\$10.0	
611699	All Other Miscellaneous Schools and Instruction	\$16.5	
611710	Educational Support Services	\$24.0	
Sector 62—Health Care and Social Assistance			
Subsector 621—Ambulatory Health Care Services			
621111	Offices of Physicians (except Mental Health Specialists)	\$16.0	
621112	Offices of Physicians, Mental Health Specialists	\$13.5	
621210	Offices of Dentists	\$9.0	
621310	Offices of Chiropractors	\$9.0	
621320	Offices of Optometrists	\$9.0	
621330	Offices of Mental Health Practitioners (except Physicians)	\$9.0	
621340	Offices of Physical, Occupational and Speech Therapists and Audiologists	\$12.5	
621391	Offices of Podiatrists	\$9.0	
621399	Offices of All Other Miscellaneous Health Practitioners	\$10.0	
621410	Family Planning Centers	\$19.0	
621420	Outpatient Mental Health and Substance Abuse Centers	\$19.0	
621491	HMO Medical Centers	\$44.5	
621492	Kidney Dialysis Centers	\$47.0	
621493	Freestanding Ambulatory Surgical and Emergency Centers	\$19.0	
621498	All Other Outpatient Care Centers	\$25.5	
621511	Medical Laboratories	\$41.5	
621512	Diagnostic Imaging Centers	\$19.0	
621610	Home Health Care Services	\$19.0	
621910	Ambulance Services	\$22.5	
621991	Blood and Organ Banks	\$40.0	
621999	All Other Miscellaneous Ambulatory Health Care Services	\$20.5	
Subsector 622—Hospitals			
622110	General Medical and Surgical Hospitals	\$47.0	
622210	Psychiatric and Substance Abuse Hospitals	\$47.0	
622310	Specialty (except Psychiatric and Substance Abuse) Hospitals	\$47.0	
Subsector 623—Nursing and Residential Care Facilities			
623110	Nursing Care Facilities (Skilled Nursing Facilities)	\$34.0	
623210	Residential Intellectual and Developmental Disability Facilities	\$19.0	
623220	Residential Mental Health and Substance Abuse Facilities	\$19.0	
623311	Continuing Care Retirement Communities	\$34.0	
623312	Assisted Living Facilities for the Elderly	\$23.5	
623990	Other Residential Care Facilities	\$16.0	
Subsector 624—Social Assistance			
624110	Child and Youth Services	\$15.5	
624120	Services for the Elderly and Persons with Disabilities	\$15.0	
624190	Other Individual and Family Services	\$16.0	
624210	Community Food Services	\$19.5	
624221	Temporary Shelters	\$13.5	
624229	Other Community Housing Services	\$19.0	
624230	Emergency and Other Relief Services	\$41.5	
624310	Vocational Rehabilitation Services	\$15.0	
624410	Child Care Services	\$9.5	
Sector 71—Arts, Entertainment and Recreation			
Subsector 711—Performing Arts, Spectator Sports and Related Industries			
711110	Theater Companies and Dinner Theaters	\$25.0	
711120	Dance Companies	\$18.0	
711130	Musical Groups and Artists	\$15.0	

Small Business Administration

§ 121.201

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
711190	Other Performing Arts Companies	\$34.0	
711211	Sports Teams and Clubs	\$47.0	
711212	Racetracks	\$47.0	
711219	Other Spectator Sports	\$16.5	
711310	Promoters of Performing Arts, Sports and Similar Events with Facilities	\$40.0	
711320	Promoters of Performing Arts, Sports and Similar Events without Facilities	\$22.0	
711410	Agents and Managers for Artists, Athletes, Entertainers and Other Public Figures	\$17.5	
711510	Independent Artists, Writers, and Performers	\$9.0	
Subsector 712—Museums, Historical Sites and Similar Institutions			
712110	Museums	\$34.0	
712120	Historical Sites	\$13.0	
712130	Zoos and Botanical Gardens	\$34.0	
712190	Nature Parks and Other Similar Institutions	\$19.5	
Subsector 713—Amusement, Gambling and Recreation Industries			
713110	Amusement and Theme Parks	\$47.0	
713120	Amusement Arcades	\$9.0	
713210	Casinos (except Casino Hotels)	\$34.0	
713290	Other Gambling Industries	\$40.0	
713910	Golf Courses and Country Clubs	\$19.0	
713920	Skiing Facilities	\$35.0	
713930	Marinas	\$11.0	
713940	Fitness and Recreational Sports Centers	\$17.5	
713950	Bowling Centers	\$12.5	
713990	All Other Amusement and Recreation Industries	\$9.0	
Sector 72—Accommodation and Food Services			
Subsector 721—Accommodation			
721110	Hotels (except Casino Hotels) and Motels	\$40.0	
721120	Casino Hotels	\$40.0	
721191	Bed-and-Breakfast Inns	\$9.0	
721199	All Other Traveler Accommodation	\$9.0	
721211	RV (Recreational Vehicle) Parks and Campgrounds	\$10.0	
721214	Recreational and Vacation Camps (except Campgrounds)	\$9.0	
721310	Rooming and Boarding Houses, Dormitories, and Workers' Camps	\$14.0	
Subsector 722—Food Services and Drinking Places			
722310	Food Service Contractors	\$47.0	
722320	Caterers	\$9.0	
722330	Mobile Food Services	\$9.0	
722410	Drinking Places (Alcoholic Beverages)	\$9.0	
722511	Full-Service Restaurants	\$11.5	
722513	Limited-Service Restaurants	\$13.5	
722514	Cafeterias, Grill Buffets, and Buffets	\$34.0	
722515	Snack and Nonalcoholic Beverage Bars	\$22.5	
Sector 81—Other Services (Except Public Administration)			
Subsector 811—Repair and Maintenance			
811111	General Automotive Repair	\$9.0	
811114	Specialized Automotive Repair	\$9.0	
811121	Automotive Body, Paint and Interior Repair and Maintenance	\$9.0	
811122	Automotive Glass Replacement Shops	\$17.5	
811191	Automotive Oil Change and Lubrication Shops	\$11.0	
811192	Car Washes	\$9.0	
811198	All Other Automotive Repair and Maintenance	\$10.0	
811210	Electronic and Precision Equipment Repair and Maintenance	\$34.0	
811310	Commercial and Industrial Machinery and Equipment (except Automotive and Electronic) Repair and Maintenance	\$12.5	
811411	Home and Garden Equipment Repair and Maintenance	\$9.0	
811412	Appliance Repair and Maintenance	\$19.0	
811420	Reupholstery and Furniture Repair	\$9.0	
811430	Footwear and Leather Goods Repair	\$9.0	

SMALL BUSINESS SIZE STANDARDS BY NAICS INDUSTRY—Continued

NAICS codes	NAICS U.S. industry title	Size standards in millions of dollars	Size standards in number of employees
811490	Other Personal and Household Goods Repair and Maintenance	\$9.0	
Subsector 812—Personal and Laundry Services			
812111	Barber Shops	\$9.5	
812112	Beauty Salons	\$9.5	
812113	Nail Salons	\$9.0	
812191	Diet and Weight Reducing Centers	\$27.5	
812199	Other Personal Care Services	\$9.0	
812210	Funeral Homes and Funeral Services	\$12.5	
812220	Cemeteries and Crematories	\$25.0	
812310	Coin-Operated Laundries and Drycleaners	\$13.0	
812320	Drycleaning and Laundry Services (except Coin-Operated)	\$8.0	
812331	Linen Supply	\$40.0	
812332	Industrial Launderers	\$47.0	
812910	Pet Care (except Veterinary) Services	\$9.0	
812921	Photofinishing Laboratories (except One-Hour)	\$29.5	
812922	One-Hour Photofinishing	\$19.0	
812930	Parking Lots and Garages	\$47.0	
812990	All Other Personal Services	\$15.0	
Subsector 813—Religious, Grantmaking, Civic, Professional and Similar Organizations			
813110	Religious Organizations	\$13.0	
813211	Grantmaking Foundations	\$40.0	
813212	Voluntary Health Organizations	\$34.0	
813219	Other Grantmaking and Giving Services	\$47.0	
813311	Human Rights Organizations	\$34.0	
813312	Environment, Conservation and Wildlife Organizations	\$19.5	
813319	Other Social Advocacy Organizations	\$18.0	
813410	Civic and Social Organizations	\$9.5	
813910	Business Associations	\$15.5	
813920	Professional Organizations	\$23.5	
813930	Labor Unions and Similar Labor Organizations	\$16.5	
813940	Political Organizations	\$14.0	
813990	Other Similar Organizations (except Business, Professional, Labor, and Political Organizations).	\$13.5	
Sector 92—Public Administration ¹⁷			

(Small business size standards are not established for this sector. Establishments in the Public Administration sector are Federal, state, and local government agencies which administer and oversee government programs and activities that are not performed by private establishments.)

Footnotes

1. *NAICS code 115310*—Support Activities for Forestry: Forest Fire Suppression and Fuels Management Services are two components of Support Activities for Forestry. Forest Fire Suppression includes establishments which provide services to fight forest fires. These firms usually have fire-fighting crews and equipment. Fuels Management Services firms provide services to clear land of hazardous materials that would fuel forest fires. The treatments used by these firms may include prescribed fire, mechanical removal, establishing fuel breaks, thinning, pruning, and piling.

2. *NAICS code 237990*—Dredging: To be considered small for purposes of Government procurement, a firm or its similarly situated subcontractors must perform at least 40 percent of the volume dredged with their own

equipment or equipment owned by another small dredging concern.

3. *NAICS code 311421*—For purposes of Government procurement for food canning and preserving, the standard of 1,000 employees excludes agricultural labor as defined in 3306(k) of the Internal Revenue Code, 26 U.S.C. 3306(k).

4. *NAICS code 324110*—To qualify as small for purposes of Government procurement, the petroleum refiner, including its affiliates, must be a concern that has either no more than 1,500 employees or no more than 200,000 barrels per calendar day total Operable Atmospheric Crude Oil Distillation capacity. Capacity includes all domestic and foreign affiliates, all owned or leased facilities, and all facilities under a processing agreement or an arrangement such as an exchange agreement or a throughput. To qualify under the capacity size standard, the

Small Business Administration

§ 121.201

firm, together with its affiliates, must be primarily engaged in refining crude petroleum into refined petroleum products. A firm's "primary industry" is determined in accordance with 13 CFR 121.107.

5. *NAICS code 326211*—For Government procurement, a firm is small for bidding on a contract for pneumatic tires within Census NAICS Product Classification codes 3262111 and 3262113, provided that:

(a) The value of tires within Census NAICS Product Classification codes 3262111 and 3262113 that it manufactured in the United States during the previous calendar year is more than 50 percent of the value of its total worldwide manufacture,

(b) The value of pneumatic tires within Census NAICS Product Classification codes 3262111 and 3262113 comprising its total worldwide manufacture during the preceding calendar year was less than 5 percent of the value of all such tires manufactured in the United States during that period, and

(c) The value of the principal product that it manufactured, produced, or sold worldwide during the preceding calendar year is less than 10 percent of the total value of such products manufactured or otherwise produced or sold in the United States during that period.

6. *NAICS Subsectors 333, 334, 335 and 336*—For rebuilding machinery or equipment on a factory basis, or equivalent, use the NAICS code for a newly manufactured product. Concerns performing major rebuilding or overhaul activities do not necessarily have to meet the criteria for being a "manufacturer" although the activities may be classified under a manufacturing NAICS code. Ordinary repair services or preservation are not considered rebuilding.

7. *NAICS code 336413*—Contracts for the rebuilding or overhaul of aircraft ground support equipment on a contract basis are classified under NAICS code 336413.

8. *NAICS Codes 522110, 522130, 522180, and 522210*—A financial institution's assets are determined by averaging the assets reported on its four quarterly financial statements for the preceding year. "Assets" for the purposes of this size standard means the assets defined according to the Federal Financial Institutions Examination Council 041 call report form for NAICS codes 522110, 522180, and 522210 and the National Credit Union Administration 5300 call report form for NAICS code 522130.

9. *NAICS codes 531110, 531120, 531130, and 531190*—Leasing of Building Space to the Federal Government by Owners: For Government procurement, a size standard of \$47 million in gross receipts applies to the owners of building space leased to the Federal Government. The standard does not apply to an agent.

10. *NAICS codes 488510 (excluding the exception), 531210, 541810, 561510, 561520 and 561920*—

As measured by total revenues, but excluding funds received in trust for an unaffiliated third party, such as bookings or sales subject to commissions. The commissions received are included as revenue.

11. *NAICS code 541713, 541714, and 541715*—

(a) Research and Development" means laboratory or other physical research and development. It does not include economic, educational, engineering, operations, systems, or other nonphysical research; or computer programming, data processing, commercial and/or medical laboratory testing.

(b) For research and development contracts requiring the delivery of a manufactured product, the appropriate size standard is that of the manufacturing industry.

(c) For purposes of the Small Business Innovation Research (SBIR) and Small Business Transfer Technology (STTR) programs, the term "research" or "research and development" means any activity which is (A) a systematic, intensive study directed toward greater knowledge or understanding of the subject studied; (B) a systematic study directed specifically toward applying new knowledge to meet a recognized need; or (C) a systematic application of knowledge toward the production of useful materials, devices, and systems or methods, including design, development, and improvement of prototypes and new processes to meet specific requirements. See 15 U.S.C. 638(e)(5) and section 3 of the SBIR and STTR policy directives available at www.sbir.gov. For size eligibility requirements for the SBIR and STTR programs, see §121.702 of this part.

(d) "Research and Development" for guided missiles and space vehicles includes evaluations and simulation, and other services requiring thorough knowledge of complete missiles and spacecraft.

12. *NAICS code 561210*—Facilities Support Services:

(a) If one or more activities of Facilities Support Services as defined in paragraph (b) (below in this footnote) can be identified with a specific industry and that industry accounts for 50 percent or more of the value of an entire procurement, then the proper classification of the procurement is that of the specific industry, not Facilities Support Services.

(b) "Facilities Support Services" requires the performance of three or more separate activities in the areas of services or specialty trade contractors industries. If services are performed, these service activities must each be in a separate NAICS industry. If the procurement requires the use of specialty trade contractors (plumbing, painting, plastering, carpentry, etc.), all such specialty trade contractors activities are considered a single activity and classified as "Building and Property Specialty Trade Services." Since "Building and Property

Specialty Trade Services” is only one activity, two additional activities of separate NAICS industries are required for a procurement to be classified as “Facilities Support Services.”

13. *NAICS code 238990*—Building and Property Specialty Trade Services: If a procurement requires the use of multiple specialty trade contractors (*i.e.*, plumbing, painting, plastering, carpentry, etc.), and no specialty trade accounts for 50 percent or more of the value of the procurement, all such specialty trade contractors activities are considered a single activity and classified as Building and Property Specialty Trade Services.

14. *NAICS 562910*—Environmental Remediation Services:

(a) For SBA assistance as a small business concern in the industry of Environmental Remediation Services, other than for Government procurement, a concern must be engaged primarily in furnishing a range of services for the remediation of a contaminated environment to an acceptable condition including, but not limited to, preliminary assessment, site inspection, testing, remedial investigation, feasibility studies, remedial design, containment, remedial action, removal of contaminated materials, storage of contaminated materials and security and site closeouts. If one of such activities accounts for 50 percent or more of a concern’s total revenues, employees, or other related factors, the concern’s primary industry is that of the particular industry and not the Environmental Remediation Services Industry.

(b) For purposes of classifying a Government procurement as Environmental Remediation Services, the general purpose of the procurement must be to restore or directly support the restoration of a contaminated environment (such as, preliminary assessment, site inspection, testing, remedial investigation, feasibility studies, remedial design, remediation services, containment, removal of contaminated materials, storage of contaminated materials or security and site closeouts), although the general purpose of the procurement need not necessarily include remedial actions. Also, the procurement must be composed of activities in three or more separate industries with separate NAICS codes or, in some instances (*e.g.*, engineering), smaller sub-components of NAICS codes with separate, distinct size standards. These activities may include, but are not limited to, separate activities in industries such as: Heavy Construction; Specialty Trade Contractors; Engineering Services; Architectural Services; Management Consulting Services; Hazardous and Other Waste Collection; Remediation Services, Testing Laboratories; and Research and Development in the Physical, Engineering and Life Sciences. If any activity in the procurement can be identified with a separate NAICS

code, or component of a code with a separate distinct size standard, and that industry accounts for 50 percent or more of the value of the entire procurement, then the proper size standard is the one for that particular industry, and not the Environmental Remediation Service size standard.

15. *NAICS code 513210*—For purposes of Government procurement, the purchase of software subject to potential waiver of the non-manufacturer rule pursuant to §121.1203(d) should be classified under this NAICS code.

16. *NAICS code 611519*—Job Corps Centers. For classifying a Federal procurement, the purpose of the solicitation must be for the management and operation of a U.S. Department of Labor Job Corps Center. The activities involved include admissions activities, life skills training, educational activities, comprehensive career preparation activities, career development activities, career transition activities, as well as the management and support functions and services needed to operate and maintain the facility. For SBA assistance as a small business concern, other than for Federal Government procurements, a concern must be primarily engaged in providing the services to operate and maintain Federal Job Corps Centers.

17. *NAICS Sector 92*—Small business size standards are not established for this sector. Establishments in the Public Administration sector are Federal, State, and local government agencies which administer and oversee government programs and activities that are not performed by private establishments. Concerns performing operational services for the administration of a government program are classified under the NAICS private sector industry based on the activities performed. Similarly, procurements for these types of services are classified under the NAICS private sector industry that best describes the activities to be performed. For example, if a government agency issues a procurement for law enforcement services, the requirement would be classified using one of the NAICS industry codes under 56161, Investigation, Guard, and Armored Car Services.

18. *NAICS code 541519*—An Information Technology Value Added Reseller (ITVAR) provides a total solution to information technology acquisitions by providing multi-vendor hardware and software along with significant value added services. Significant value added services consist of, but are not limited to, configuration consulting and design, systems integration, installation of multi-vendor computer equipment, customization of hardware or software, training, product technical support, maintenance, and end user support. For purposes of Government procurement, an information technology procurement classified under this exception and 150-employee size standard must consist of at least 15% and not more

Small Business Administration

§ 121.301

than 50% of value added services, as measured by the total contract price. In addition, the offeror must comply with the manufacturing performance requirements, or comply with the non-manufacturer rule by supplying the products of small business concerns, unless SBA has issued a class or contract specific waiver of the non-manufacturer rule. If the contract consists of less than 15% of value added services, then it must be classified under a NAICS manufacturing industry. If the contract consists of more than 50% of value added services, then it must be classified under the NAICS industry that best describes the predominate service of the procurement.

[65 FR 30840, May 15, 2000]

EDITORIAL NOTES: For FEDERAL REGISTER citations affecting §121.201, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.govinfo.gov.

SIZE ELIGIBILITY REQUIREMENTS FOR SBA FINANCIAL ASSISTANCE

§ 121.301 What size standards and affiliation principles are applicable to financial assistance programs?

The Small Business Act defines a small business concern as one which is independently owned and operated, and which is not dominant in its field of operation. SBA interprets this statutory definition to require, in certain circumstances, the inclusion of other entities (“Affiliates”) owned by the applicant or an owner of the applicant in determining the size of the applicant.

(a) For Business Loans (other than for 7(a) Business Loans) and for Disaster Loans (other than physical disaster loans), an applicant business concern must satisfy two criteria:

(1) The size of the applicant alone (without affiliates) must not exceed the size standard designated for the industry in which the applicant is primarily engaged; and

(2) The size of the applicant combined with its affiliates must not exceed the size standard designated for either the primary industry of the applicant alone or the primary industry of the applicant and its affiliates, which ever is higher. These size standards are set forth in §121.201.

(b) For 7(a) Business Loans and Development Company programs, an applicant business concern must meet one of the following standards:

(1) The same standards applicable under paragraph (a) of this section; or

(2) Including its affiliates, tangible net worth not in excess of \$20 million, and average net income after Federal income taxes (excluding any carry over losses) for the preceding two completed fiscal years not in excess of \$6.5 million.

(i) If the applicant is not required by law to pay State (and local, if any) income taxes at the enterprise level, multiply its net income by the marginal State income tax rate (or by the combined State and local income tax rates, as applicable) that would have applied if it were a taxable corporation.

(ii) Multiply the applicant’s net income, less any deduction for State and local income taxes calculated under paragraph (b)(2)(i) of this section, by the marginal Federal income tax rate that would have applied if the applicant were a taxable corporation.

(iii) Sum the results obtained in paragraphs (b)(2)(i) and (b)(2)(ii) of this section.

(c) For the Small Business Investment Company (SBIC) program, an applicant must meet one of the following standards:

(1) The same standards applicable under paragraph (a) of this section; or

(2) Including its affiliates, tangible net worth not in excess of \$24 million, and average net income after Federal income taxes (excluding any carry-over losses) for the preceding two completed fiscal years not in excess of \$8 million. If the applicant is not required by law to pay Federal income taxes at the enterprise level, but is required to pass income through to its shareholders, partners, beneficiaries, or other equitable owners, the applicant’s “net income after Federal income taxes” will be its net income reduced by an amount computed as follows:

(i) If the applicant is not required by law to pay State (and local, if any) income taxes at the enterprise level, multiply its net income by the marginal State income tax rate (or by the combined State and local income tax rates, as applicable) that would have applied if it were a taxable corporation.