### § 34.83

# §34.83 Records of personnel monitoring procedures.

Each licensee shall maintain the following exposure records specified in §34.47:

- (a) Direct reading dosimeter readings and yearly operability checks required by §34.47 (b) and (c) for 3 years after the record is made.
- (b) Records of alarm ratemeter calibrations for 3 years after the record is made.
- (c) Personnel dosimeter results until the Commission terminates the license.
- (d) Records of estimates of exposures as a result of: off-scale personal direct reading dosimeters, or lost or damaged personnel dosimeters until the Commission terminates the license.

[62 FR 28963, May 28, 1997, as amended at 65 FR 63752, Oct. 24, 2000; 85 FR 15351, Mar. 18, 2020]

#### § 34.85 Records of radiation surveys.

Each licensee shall maintain a record of each exposure device survey conducted before the device is placed in storage as specified in §34.49(c), if that survey is the last one performed in the workday. Each record must be maintained for 3 years after it is made.

#### §34.87 Form of records.

Each record required by this part must be legible throughout the specified retention period. The record may be the original or a reproduced copy or a microform provided that the copy or microform is authenticated by authorized personnel and that the microform is capable of reproducing a clear copy throughout the required retention period. The record may also be stored in electronic media with the capability for producing legible, accurate, and complete records during the required retention period. Records, such as letters, drawings, and specifications, must include all pertinent information, such as stamps, initials, and signatures. The licensee shall maintain adequate safeguards against tampering with and loss of records.

# §34.89 Location of documents and records.

- (a) Each licensee shall maintain copies of records required by this part and other applicable parts of this chapter at the location specified in §34.13(k).
- (b) Each licensee shall also maintain copies of the following documents and records sufficient to demonstrate compliance at each applicable field station and each temporary jobsite;
- (1) The license authorizing the use of licensed material:
- (2) A copy of 10 CFR parts 19, 20, and 34 of NRC regulations;
- (3) Utilization records for each radiographic exposure device dispatched from that location as required by §34.71.
- (4) Records of equipment problems identified in daily checks of equipment as required by §34.73(a);
- (5) Records of alarm system and entrance control checks required by §34.75, if applicable;
- (6) Records of direct reading dosimeters such as pocket dosimeter and/or electronic personal dosimeters readings as required by §34.83;
- (7) Operating and emergency procedures required by §34.81;
- (8) Evidence of the latest calibration of the radiation survey instruments in use at the site, as required by §34.65;
- (9) Evidence of the latest calibrations of alarm ratemeters and operability checks of pocket dosimeters and/or electronic personal dosimeters as required by §34.83;
- (10) Latest survey records required by §34.85;
- (11) The shipping papers for the transportation of radioactive materials required by §71.5 of this chapter; and
- (12) When operating under reciprocity pursuant to §150.20 of this chapter, a copy of the Agreement State license authorizing the use of licensed materials.

## Subpart F—Notifications

## § 34.101 Notifications.

(a) In addition to the reporting requirements specified in §30.50 and under other sections of this chapter, such as §21.21, each licensee shall send a written report to the NRC's Office of

## **Nuclear Regulatory Commission**

Nuclear Material Safety and Safeguards, by an appropriate method listed in §30.6(a) of this chapter, within 30 days of the occurrence of any of the following incidents involving radiographic equipment:

- (1) Unintentional disconnection of the source assembly from the control cable:
- (2) Inability to retract the source assembly to its fully shielded position and secure it in this position; or
- (3) Failure of any component (critical to safe operation of the device) to properly perform its intended function;
- (b) The licensee shall include the following information in each report submitted under paragraph (a) of this section, and in each report of overexposure submitted under 10 CFR 20.2203 which involves failure of safety components of radiography equipment:
- (1) A description of the equipment problem:
  - roblem;
    (2) Cause of each incident, if known;
- (3) Name of the manufacturer and model number of equipment involved in the incident;
- (4) Place, date, and time of the incident:
- (5) Actions taken to establish normal operations:
- (6) Corrective actions taken or planned to prevent recurrence; and
- (7) Qualifications of personnel involved in the incident.
- (c) Any licensee conducting radiographic operations or storing radioactive material at any location not listed on the license for a period in excess of 180 days in a calendar year, shall notify the appropriate NRC regional office listed in §30.6(b)(2) of this chapter prior to exceeding the 180 days.

[62 FR 28963, May 28, 1997, as amended at 67 FR 3585, Jan. 25, 2002; 68 FR 58805, Oct. 10, 2003; 73 FR 5720, Jan. 31, 2008; 83 FR 30287, June 28, 2018]

# Subpart G—Exemptions

## § 34.111 Applications for exemptions.

The Commission may, upon application of any interested person or upon its own initiative, grant an exemption from the requirements of the regulations in this part if it determines the exemption is authorized by law and would not endanger life or property or

the common defense and security and is otherwise in the public interest.

## **Subpart H—Violations**

## § 34.121 Violations.

- (a) The Commission may obtain an injunction or other court order to prevent a violation of the provisions of—
- (1) The Atomic Energy Act of 1954, as amended:
- (2) Title II of the Energy Reorganization Act of 1974, as amended; or
- (3) A regulation or order issued pursuant to these Acts.
- (b) The Commission may obtain a court order for the payment of a civil penalty imposed under Section 234 of the Atomic Energy Act;
  - (1) For violations of-
- (i) Sections 53, 57, 62, 63, 81, 82, 101, 103, 104, 107, or 109 of the Atomic Energy Act of 1954, as amended;
- (ii) Section 206 of the Energy Reorganization Act;
- (iii) Any rule, regulation, or order issued pursuant to the sections specified in paragraph (b)(1)(i) of this section.
- (iv) Any term, condition, or limitation of any license issued under the sections specified in paragraph (b)(1)(i) of this section.
- (2) For any violation for which a license may be revoked under section 186 of the Atomic Energy Act of 1954, as amended.

### §34.123 Criminal penalties.

- (a) Section 223 of the Atomic Energy Act of 1952, as amended, provides for criminal sanctions for willful violation of, attempted violation of, or conspiracy to violate, any regulation issued under one or more of §§ 161b, 161i, or 1610 of the Act. For purposes of Section 223, all the regulations in 10 CFR part 34 are issued under one or more of §§ 161b, 161i, or 1610, except for the sections listed in paragraph (b) of this section.
- (b) The regulations in 10 CFR part 34 that are not issued under sections 161b, 161i, or 161o for the purposes of Section 223 are as follows: §§ 34.1, 34.3, 34.5, 34.8, 34.11, 34.13, 34.111, 34.121, 34.123.