

114TH CONGRESS
2D SESSION

H. R. 5991

To amend the Securities Exchange Act of 1934 with respect to risk-based examinations of Nationally Recognized Statistical Rating Organizations.

IN THE HOUSE OF REPRESENTATIVES

SEPTEMBER 9, 2016

Mrs. WAGNER (for herself and Mr. FOSTER) introduced the following bill;
which was referred to the Committee on Financial Services

A BILL

To amend the Securities Exchange Act of 1934 with respect to risk-based examinations of Nationally Recognized Statistical Rating Organizations.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Risk-Based Credit Ex-

5 amination Act”.

6 **SEC. 2. RISK-BASED EXAMINATIONS OF NATIONALLY REC-**
7 **OGNIZED STATISTICAL RATING ORGANIZA-**
8 **TIONS.**

9 Section 15E(p)(3)(B) of the Securities Exchange Act
10 of 1934 (15 U.S.C. 78o–7(p)(3)(B)) is amended in the

1 matter preceding clause (i), by inserting “, as appro-
2 priate,” after “Each examination under subparagraph (A)
3 shall include”.

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