#### 112TH CONGRESS 1ST SESSION

# H. R. 503

To provide whistleblower protections to certain workers in the offshore oil and gas industry.

# IN THE HOUSE OF REPRESENTATIVES

January 26, 2011

Mr. George Miller of California (for himself and Mr. Markey) introduced the following bill; which was referred to the Committee on Education and the Workforce

# A BILL

To provide whistleblower protections to certain workers in the offshore oil and gas industry.

- 1 Be it enacted by the Senate and House of Representa2 tives of the United States of America in Congress assembled,
  3 SECTION 1. SHORT TITLE.
  4 This Act may be cited as the "Offshore Oil and Gas
  5 Worker Whistleblower Protection Act of 2011".
  6 SEC. 2. WHISTLEBLOWER PROTECTIONS; EMPLOYEE PRO7 TECTION FROM OTHER RETALIATION.
  8 (a) PROHIBITION AGAINST RETALIATION.—
- 9 (1) IN GENERAL.—No employer may discharge 10 or otherwise discriminate against a covered employee

1	because the covered employee, whether at the cov-
2	ered employee's initiative or in the ordinary course
3	of the covered employee's duties—

- (A) provided, caused to be provided, or is about to provide or cause to be provided to the employer or to a Federal or State Government official, information relating to any violation of, or any act or omission the covered employee reasonably believes to be a violation of, any provision of the Outer Continental Shelf Lands Act (43 U.S.C. 1301 et seq.), or any order, rule, regulation, standard, or prohibition under that Act, or exercised any rights provided to employees under that Act;
- (B) testified or is about to testify in a proceeding concerning such violation;
- (C) assisted or participated or is about to assist or participate in such a proceeding;
- (D) testified or is about to testify before Congress on any matter covered by such Act;
- (E) objected to, or refused to participate in any activity, policy, practice, or assigned task that the covered employee reasonably believed to be in violation of any provision of such Act,

or any order, rule, regulation, standard, or ban under such Act;

- (F) reported to the employer or a State or Federal Government official any of the following related to the employer's activities described in section 3(1): an illness, injury, unsafe condition, or information regarding the adequacy of any oil spill response plan required by law; or
- (G) refused to perform the covered employee's duties, or exercised stop work authority, related to the employer's activities described in section 3(1) if the covered employee had a good faith belief that performing such duties could result in injury to or impairment of the health of the covered employee or other employees, or cause an oil spill to the environment.
- (2) Good faith belief.—For purposes of paragraph (1)(E), the circumstances causing the covered employee's good faith belief that performing such duties would pose a health and safety hazard shall be of such a nature that a reasonable person under circumstances confronting the covered employee would conclude there is such a hazard.
- 25 (b) Process.—

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(1) IN GENERAL.—A covered employee who believes that he or she has been discharged or otherwise discriminated against (hereafter referred to as the "complainant") by any employer in violation of subsection (a)(1) may, not later than 180 days after the date on which such alleged violation occurs or the date on which the covered employee knows or should reasonably have known that such alleged violation occurred, file (or have any person file on his or her behalf) a complaint with the Secretary of Labor (referred to in this section as the "Secretary") alleging such discharge or discrimination and identifying employer or employers responsible for such act. Upon receipt of such a complaint, the Secretary shall notify, in writing, the employer or employers named in the complaint of the filing of the complaint, of the allegations contained in the complaint, of the substance of evidence supporting the complaint, and of the opportunities that will be afforded to such person under paragraph (2).

#### (2) Investigation.—

(A) IN GENERAL.—Not later than 90 days after the date of receipt of a complaint filed under paragraph (1) the Secretary shall initiate an investigation and determine whether there is

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reasonable cause to believe that the complaint has merit and notify, in writing, the complainant and the employer or employers alleged to have committed a violation of subsection (a)(1)of the Secretary's findings. The Secretary shall, during such investigation afford the complainant and the employer or employers named in the complaint an opportunity to submit to the Secretary a written response to the complaint and an opportunity to meet with a representative of the Secretary to present statements from witnesses. The complainant shall be provided with an opportunity to review the information and evidence provided by employer or employers to the Secretary, and to review any response or rebuttal by such the complaint, as part of such investigation.

(B) REASONABLE CAUSE FOUND; PRELIMI-NARY ORDER.—If the Secretary concludes that there is reasonable cause to believe that a violation of subsection (a)(1) has occurred, the Secretary shall accompany the Secretary's findings with a preliminary order providing the relief prescribed by paragraph (3)(B). Not later than 30 days after the date of notification of find-

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ings under this paragraph, the employer or employers alleged to have committed the violation or the complainant may file objections to the findings or preliminary order, or both, and request a hearing on the record before an administrative law judge of the Department of Labor. The filing of such objections shall not operate to stay any reinstatement remedy contained in the preliminary order. Any such hearing shall be conducted expeditiously. If a hearing is not requested in such 30-day period, the preliminary order shall be deemed a final order that is not subject to judicial review. The Secretary of Labor is authorized to enforce preliminary reinstatement orders in the United States district court for the district in which the violation was found to occur, or in the United States district court for the District of Columbia.

# (C) Dismissal of Complaint.—

(i) STANDARD FOR COMPLAINANT.—
The Secretary shall dismiss a complaint filed under this subsection and shall not conduct an investigation otherwise required under subparagraph (A) unless the complainant makes a prima facie showing that

1	any behavior described in subparagraphs
2	(A) through (G) of subsection (a)(1) was a
3	contributing factor in the adverse action
4	alleged in the complaint.
5	(ii) Standard for employer.—Not-
6	withstanding a finding by the Secretary
7	that the complainant has made the show-
8	ing required under clause (i), no investiga-
9	tion otherwise required under subpara-
10	graph (A) shall be conducted if the em-
11	ployer demonstrates, by clear and con-
12	vincing evidence, that the employer would
13	have taken the same adverse action in the
14	absence of that behavior.
15	(iii) Violation standard.—The
16	Secretary may determine that a violation
17	of subsection (a)(1) has occurred only if
18	the complainant demonstrates that any be-
19	havior described in subparagraphs (A)
20	through (G) of such subsection was a con-
21	tributing factor in the adverse action al-
22	leged in the complaint.
23	(iv) Relief standard.—Relief may
24	not be ordered under subparagraph (A) if

the employer demonstrates by clear and

convincing evidence that the employer
would have taken the same adverse action
in the absence of that behavior.

#### (3) Orders.—

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(A) IN GENERAL.—Not later than 90 days after the receipt of a request for a hearing under subsection (b)(2)(B), the administrative law judge shall issue findings of fact and order the relief provided under this paragraph or deny the complaint. At any time before issuance of an order, a proceeding under this subsection may be terminated on the basis of a settlement agreement entered into by the Secretary, the complainant, and the person alleged to have committed the violation. Such a settlement may not be agreed by such parties if it contains conditions which conflict with rights protected under this Act, are contrary to public policy, or include a restriction on a complainant's right to future employment with employers other than the specific employers named in the complaint.

(B) CONTENT OF ORDER.—If, in response to a complaint filed under paragraph (1), the administrative law judge determines that a violation of subsection (a)(1) has occurred, the ad-

1	ministrative law judge shall order the employer
2	or employers who committed such violation—
3	(i) to take affirmative action to abate
4	the violation;
5	(ii) to reinstate the complainant to his
6	or her former position together with com-
7	pensation (including back pay and prejudg-
8	ment interest) and restore the terms, con-
9	ditions, and privileges associated with his
10	or her employment; and
11	(iii) to provide compensatory and con-
12	sequential damages, and, as appropriate,
13	exemplary damages to the complainant.
14	(C) Attorney fees.—If such an order is
15	issued under this paragraph, the Secretary, at
16	the request of the complainant, shall assess
17	against the employer or employers a sum equal
18	to the aggregate amount of all costs and ex-
19	penses (including attorneys' and expert witness
20	fees) reasonably incurred by the complainant
21	for, or in connection with, the bringing of the
22	complaint upon which the order was issued at
23	the conclusion of any stage of the proceeding.
24	(D) BAD FAITH CLAIM.—If the Secretary
25	finds that a complaint under paragraph (1) is

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frivolous or has been brought in bad faith, the Secretary may award to the prevailing employer reasonable attorneys' fees, not exceeding \$1,000, to be paid by the complainant.

(E) Administrative appeal.—Not later than 30 days after the receipt of findings of fact or an order under subparagraph (B), the employer or employers alleged to have committed the violation or the complainant may file, with objections, an administrative appeal with the Secretary, who may designate such appeal to a review board. In reviewing a decision and order of the administrative law judge, the Secretary shall affirm the decision and order if it is determined that the factual findings set forth therein are supported by substantial evidence and the decision and order are made in accordance with applicable law. The Secretary shall issue a final decision and order affirming, or reversing, in whole or in part, the decision under review within 90 days after receipt of the administrative appeal under this subparagraph. If it is determined that a violation of subsection (a)(1) has occurred, the Secretary shall order relief provided under subparagraphs (B) and

1	(C). Such decision shall constitute a final agen-
2	cy action with respect to the matter appealed
3	(4) ACTION IN COURT.—
4	(A) IN GENERAL.—If the Secretary has
5	not issued a final decision within 330 days after
6	the filing of the complaint, the complainant
7	may bring an action at law or equity for de
8	novo review in the appropriate district court of
9	the United States, which action shall, at the re-
10	quest of either party to such action, be tried by
11	the court with a jury. The proceedings shall be
12	governed by the same legal burdens of proof
13	specified in paragraph (2)(C).
14	(B) Relief.—The court may award al
15	appropriate relief including injunctive relief
16	compensatory and consequential damages, in-
17	cluding—
18	(i) reinstatement with the same se-
19	niority status that the covered employee
20	would have had, but for the discharge or
21	discrimination;
22	(ii) the amount of back pay sufficient
23	to make the covered employee whole, with
24	prejudgment interest;

1	(iii) exemplary damages, as appro-
2	priate; and
3	(iv) litigation costs, including reason-
4	able attorney fees and expert witness fees.
5	(5) Review.—
6	(A) In General.—Any person aggrieved
7	by a final order issued under paragraph (3) or
8	a judgment or order under paragraph (4) may
9	obtain review of the order in the appropriate
10	United States Court of Appeals. The petition
11	for review must be filed not later than 60 days
12	after the date of the issuance of the final order
13	of the Secretary. Review shall be in accordance
14	with chapter 7 of title 5, United States Code.
15	The commencement of proceedings under this
16	subparagraph shall not, unless ordered by the
17	court, operate as a stay of the order.
18	(B) NO OTHER JUDICIAL REVIEW.—An
19	order of the Secretary with respect to which re-
20	view could have been obtained under subpara-
21	graph (A) shall not be subject to judicial review
22	in any other proceeding.
23	(6) Failure to comply with order.—When-
24	ever any employer has failed to comply with an order
25	issued under paragraph (3), the Secretary may ob-

tain in a civil action in the United States district court for the district in which the violation was found to occur, or in the United States district court for the District of Columbia, all appropriate relief including, but not limited to, injunctive relief and compensatory damages.

# (7) CIVIL ACTION TO REQUIRE COMPLIANCE.—

- (A) IN GENERAL.—Whenever an employer has failed to comply with an order issued under paragraph (3), the complainant on whose behalf the order was issued may obtain in a civil action in an appropriate United States district court against the employer to whom the order was issued, all appropriate relief.
- (B) AWARD.—The court, in issuing any final order under this paragraph, may award costs of litigation (including reasonable attorneys' and expert witness fees) to any party whenever the court determines such award is appropriate.

### (c) Construction.—

(1) Effect on other laws.—Nothing in this section preempts or diminishes any other safeguards against discrimination, demotion, discharge, suspension, threats, harassment, reprimand, retaliation, or

- any other manner of discrimination provided by Federal or State law.
- 3 (2) RIGHTS OF EMPLOYEES.—Nothing in this 4 section shall be construed to diminish the rights, 5 privileges, or remedies of any employee under any 6 Federal or State law or under any collective bar-7 gaining agreement. The rights and remedies in this 8 section may not be waived by any agreement, policy, 9 form, or condition of employment.
- 10 (d) Enforcement of Nondiscretionary Du-11 ties.—Any nondiscretionary duty imposed by this section 12 shall be enforceable in a mandamus proceeding brought 13 under section 1361 of title 28, United States Code.
- 14 (e) Posting of Notice and Training.—All em-15 ployers shall post a notice which has been approved as to form and content by the Secretary of Labor in a con-16 17 spicuous location in the place of employment where cov-18 ered employees frequent which explains employee rights and remedies under this section. Each employer shall pro-19 20 vide training to covered employees of their rights under 21 this section within 30 days of employment, and at not less than once every 12 months thereafter, and provide covered 22 23 employees with a card which contains a toll free telephone number at the Department of Labor which covered em-

1	ployees can call to get information or file a complaint
2	under this section.
3	(f) Designation by the Secretary.—The Sec-
4	retary of Labor shall, within 30 days of the date of enact-
5	ment of this Act, designate by order the appropriate agen-
6	cy officials to receive, investigate, and adjudicate com-
7	plaints of violations of subsection (a)(1).
8	SEC. 3. DEFINITIONS.
9	As used in this Act the following definitions apply:
10	(1) The term "covered employee"—
11	(A) means an individual performing serv-
12	ices on behalf of an employer that is engaged
13	in activities on or in waters above the Outer
14	Continental Shelf related to—
15	(i) supporting, or carrying out explo-
16	ration, development, production, proc-
17	essing, or transportation of oil or gas; or
18	(ii) oil spill cleanup, emergency re-
19	sponse, environmental surveillance, protec-
20	tion, or restoration, or other oil spill activi-
21	ties related to occupational safety and
22	health; and
23	(B) includes an applicant for such employ-
24	ment.

	(2) The term "employer" means one or more
2	individuals, partnerships, associations, corporations,
3	trusts, unincorporated organizations, nongovern-
1	mental organizations, or trustees, and includes any
5	agent, contractor, subcontractor, grantee or consult-
5	ant of such employer.

(3) The term "Outer Continental Shelf" has the meaning that the term "outer Continental Shelf" has in the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.).

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