

111TH CONGRESS  
1ST SESSION

# S. 1760

To amend the Public Health Service Act with regard to research on asthma,  
and for other purposes.

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## IN THE SENATE OF THE UNITED STATES

OCTOBER 7, 2009

Mr. LAUTENBERG (for himself and Mrs. GILLIBRAND) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

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## A BILL

To amend the Public Health Service Act with regard to  
research on asthma, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-*  
2       *tives of the United States of America in Congress assembled,*

3       **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Family Asthma Act”.

5       **SEC. 2. FINDINGS.**

6       The Congress makes the following findings:

7               (1) The number of people with asthma more  
8       than doubled between 1980 and 1995. According to  
9       the Centers for Disease Control and Prevention, in  
10       2007 more than 34,000,000 Americans had been di-

agnosed with asthma, including an estimated 9,600,000 children. Asthma rates are highest among Puerto Rican populations. Rates were 140 percent greater among Puerto Rican children compared to non-Hispanic White children. Asthma strikes 1 in 13 Americans.

(2) According to the Centers for Disease Control and Prevention, in 2005 more than 3,800 Americans died from asthma. The rate of mortality from asthma is higher among African-Americans and women.

(3) The Centers for Disease Control and Prevention report that asthma accounted for more than 440,000 hospitalizations and more than 1,600,000 visits to hospital emergency departments in 2006. The rate for asthma-related emergency room visits is 500 percent greater and hospitalization rates are 300 percent higher for Blacks compared to Whites.

(4) According to the National Heart, Lung, and Blood Institute of the National Institutes of Health, the annual cost of asthma to the United States is approximately \$19,700,000,000.

(5) According to the Centers for Disease Control and Prevention, almost 13,000,000 school days

1 and 10,000,000 work days are missed annually as a  
2 result of asthma.

3 (6) Asthma episodes can be triggered by both  
4 outdoor air pollution and indoor air pollution, in-  
5 cluding pollutants such as cigarette smoke and com-  
6 bustion by-products. Asthma episodes can also be  
7 triggered by indoor allergens such as animal dander  
8 and outdoor allergens such as pollen and molds.

9 (7) Public health interventions and medical care  
10 in accordance with existing guidelines have been  
11 proven effective in the treatment and management  
12 of asthma. Better asthma management could reduce  
13 the numbers of emergency department visits and  
14 hospitalizations due to asthma. Studies published in  
15 medical journals have shown that better asthma  
16 management results in improved asthma outcomes  
17 at a lower cost.

18 (8) In 2005, the Centers for Disease Control  
19 and Prevention cited “the urgent need” for en-  
20 hanced public health surveillance data regarding  
21 asthma, noting that the current system has led to a  
22 “patchwork of health effect measures”. National  
23 data are needed to allow comparisons at smaller geo-  
24 graphic levels, such as counties, and to better under-  
25 stand the groups at risk.

1           (9) The alarming rise in the prevalence of asth-  
 2       ma, its adverse effect on school attendance and pro-  
 3       ductivity, and its cost for hospitalizations and emer-  
 4       gency room visits, argue for a more vigorous Federal  
 5       leadership role, including increasing awareness of  
 6       asthma as a chronic illness, its symptoms, the role  
 7       of both indoor and outdoor environmental factors  
 8       that exacerbate the disease, and other factors that  
 9       affect its exacerbations and severity. The goals of  
 10      the Government and its partners in the nonprofit  
 11      and private sectors should include reducing the num-  
 12      ber and severity of asthma attacks, asthma's finan-  
 13      cial burden, and the health disparities associated  
 14      with asthma.

15 **SEC. 3. FAMILY ASTHMA CLINICAL AND ENVIRONMENTAL**  
 16 **HEALTH RESEARCH GRANTS.**

17       Part P of title III of the Public Health Service Act  
 18 (42 U.S.C. 280g et seq.) is amended—

19           (1) by redesignating the second and third sec-  
 20      tions 399R (added by Public Laws 110–373 and  
 21      110–374, respectively) as sections 399S and 399T;  
 22      and

23           (2) by adding at the end the following:

1 **“SEC. 399U. FAMILY ASTHMA CLINICAL AND ENVIRON-**  
2 **MENTAL HEALTH RESEARCH GRANT PRO-**  
3 **GRAM.**

4 “(a) PURPOSE.—The purpose of this section is to au-  
5 thorize the National Institutes of Health to award grants  
6 to carry out pilot projects to prevent and control asthma  
7 symptoms and to reduce asthma attacks and improve pa-  
8 tient self-management for individuals and in families con-  
9 taining individuals with asthma including—

10 “(1) utilizing electronic health records, tele-  
11 health, and other novel electronic communications to  
12 prevent acute asthma attacks, and to improve asth-  
13 ma surveillance activities as described under section  
14 317I(c); and

15 “(2) expanding the understanding of environ-  
16 mental and other factors that cause and contribute  
17 to the burden of asthma.

18 “(b) GRANTS.—

19 “(1) IN GENERAL.—The Secretary, acting  
20 through the Director of the National Institutes of  
21 Health, shall award grants to eligible entities to  
22 carry out pilot projects consistent with the activities  
23 described in subsection (a).

24 “(2) AWARDING OF GRANTS.—In awarding the  
25 grants under paragraph (1), the Secretary shall—

1           “(A) give priority to entities that serve dis-  
2           proportionately impacted populations; and

3           “(B) give consideration to an adequate na-  
4           tional understanding of asthma prevalence, so  
5           as to gain better information about asthma at  
6           the national level.

7           “(3) COORDINATION OF AGENCIES.—The Na-  
8           tional Heart, Lung, and Blood Institute (which shall  
9           be the lead agency for purposes of activities carried  
10          out under this section), in coordination with the Na-  
11          tional Institute of Environmental Health Sciences,  
12          the National Institute of Allergy and Infectious Dis-  
13          eases, and the National Institute of Child Health  
14          and Human Development, shall administer grants to  
15          be utilized by entities performing research of the  
16          type described in subsection (a). Such institutes  
17          shall coordinate in writing a request for applications,  
18          reviewing applications, and providing administrative  
19          oversight for the program carried out under this sec-  
20          tion.

21          “(c) ELIGIBILITY.—To be eligible to receive a grant  
22          under subsection (b), an entity shall be—

23               “(1) a hospital, including a children’s hospital;

24               “(2) a community health center;

25               “(3) a medical school;

1 “(4) a nonprofit institution; or

2 “(5) another entity, as designated by the Sec-  
3 retary.

4 “(d) APPLICATION.—

5 “(1) IN GENERAL.—An eligible entity shall sub-  
6 mit an application to the Director of the National  
7 Institutes of Health for a grant under this section  
8 at such time, in such manner, and accompanied by  
9 such information as such Director may require.

10 “(2) REQUIRED INFORMATION.—An application  
11 submitted under this subsection shall, as is applica-  
12 ble and practicable to the area and scope of the pilot  
13 project—

14 “(A) include information demonstrating  
15 the prevalence of chronic asthma among the  
16 population to be served by the applicant on at  
17 least a State-level basis and where practicable,  
18 in areas and localities within the State;

19 “(B) provide assurance that the applicant  
20 will establish consistent communication with pa-  
21 tients, including using the Internet or telephone  
22 for the prompt transmission of patient informa-  
23 tion related to symptoms and conditions, such  
24 as peak flowmeter measurements;

“(C) provide assurance that enrollees will have baseline and ongoing medical data collected, including data related to pulmonary function and skin or in vitro testing for sensitization to allergies;

“(D) propose novel approaches to studying the gene-environment interaction of the patients and have the capacity to engage in such data collection, or partner with an institution with such a capacity;

“(E) contain assurances that the applicant will communicate in a manner designed to preserve patient confidentiality, with at least 1 of the asthma clinical centers of the National Institutes of Health; and

“(F) provide assurances that the applicant can effectively coordinate care between physicians, including asthma specialists, nurses, allied health professionals, community health workers, nonprofit organizations, and the other entities responsible for implementing the pilot project involved.

“(3) COLLABORATION WITH LOCAL INSTITUTIONS.—An eligible entity receiving a grant under this section is encouraged to—



1           “(A) collaborate with 1 or more Head  
2           Start programs to identify children and families  
3           with asthma within the geographic area of the  
4           applicant;

5           “(B) collaborate with local school districts  
6           to recruit children with physician-diagnosed  
7           asthma; and

8           “(C) partner with local, community-based  
9           nonprofit organizations to identify children and  
10          families with asthma within the geographic area  
11          of the entity.

12       “(e) USE OF FUNDS.—

13           “(1) IN GENERAL.—An eligible entity shall use  
14          amounts received under a grant under this section to  
15          carry out the purpose described in subsection (a), in-  
16          cluding—

17           “(A) conducting an assessment of the pa-  
18          tients served to determine possible contributors  
19          to asthma exacerbations in the indoor and out-  
20          door environments, including exposure to diesel  
21          and other particles, ozone and other gases, gas-  
22          eous pollutants and allergens, mold, chemicals  
23          found in the home or workplace, and other in-  
24          door pollutants;

1           “(B) implementing interventions regarding  
2 indoor and outdoor environments to reduce the  
3 severity and persistence of asthma;

4           “(C) developing and maintaining question-  
5 naires completed by the patients, or the parents  
6 or guardians of the patients, regarding their re-  
7 spective occupations and personal exposure his-  
8 tory, in order to increase the understanding of  
9 factors that contribute to asthma prevalence;  
10 and

11           “(D) conducting other research as des-  
12 ignated by the Director of the National Insti-  
13 tutes of Health, particularly in areas that will  
14 advance knowledge of the factors that con-  
15 tribute to asthma.

16           “(2) RESEARCH OF SIGNIFICANT INTEREST.—  
17 An eligible entity receiving a grant under this sec-  
18 tion is encouraged to conduct research under this  
19 section on the interactions between environmental  
20 exposures and genetic susceptibilities that contribute  
21 to the development or exacerbation of asthma.

22           “(f) PROTECTION OF INFORMATION.—The Secretary  
23 shall ensure the implementation of protections of indi-  
24 vidual health privacy under this section consistent with the  
25 regulations promulgated under section 264(c) of the

1 Health Insurance Portability and Accountability Act of  
2 1996.

3 “(g) REPORT.—The Secretary shall submit a report  
4 to the Congress on the success of and the next steps re-  
5 sulting from the pilot projects funded under this section  
6 not later than 5 years after the date of the enactment of  
7 this section.

8 “(h) AUTHORIZATION OF APPROPRIATIONS.—There  
9 are authorized to be appropriated such sums as may be  
10 necessary for each of fiscal years 2010 through 2014 to  
11 carry out this section.”.

12 **SEC. 4. NATIONAL ASTHMA EDUCATION AND PREVENTION**  
13 **PROGRAM OF THE NATIONAL HEART, LUNG,**  
14 **AND BLOOD INSTITUTE.**

15 Part C of title IV of the Public Health Service Act  
16 (42 U.S.C. 285 et seq.) is amended by inserting after sec-  
17 tion 424C the following:

18 **“SEC. 424D. EXPANSION OF THE NATIONAL ASTHMA EDU-**  
19 **CATION AND PREVENTION PROGRAM.**

20 “(a) DEVELOPMENT OF A NATIONAL ASTHMA AC-  
21 TION PLAN.—

22 “(1) IN GENERAL.—In addition to any other  
23 authorization of appropriation available to the Na-  
24 tional Heart, Lung, and Blood Institute for the pur-  
25 pose of carrying out the National Asthma Education

1 and Prevention Program (referred to in this section  
 2 as the ‘program’), there is authorized to be appro-  
 3 priated to such Institute such sums as may be nec-  
 4 essary for each of fiscal years 2010 through 2014 to  
 5 develop a National Asthma Action Plan.

6 “(2) USE OF APPROPRIATIONS.—The amounts  
 7 appropriated pursuant to paragraph (1) shall be  
 8 used to fund the report by the program described  
 9 under subsection (b).

10 “(b) REPORT TO CONGRESS.—

11 “(1) IN GENERAL.—Not later than 2 years  
 12 after the date of the enactment of the Family Asth-  
 13 ma Act, the program shall, in consultation with pa-  
 14 tient groups, nonprofit organizations, medical soci-  
 15 eties, and other relevant governmental and non-  
 16 governmental entities that participate in the pro-  
 17 gram, submit to the Congress a report that—

18 “(A) catalogs, with respect to asthma pre-  
 19 vention, management, and surveillance—

20 “(i) the activities of the Federal Gov-  
 21 ernment, including an assessment of the  
 22 progress of the Federal Government and  
 23 States, with respect to achieving the goals  
 24 of the Healthy People 2020 initiative; and

1 “(ii) the activities of other entities  
2 that participate in the program, including  
3 nonprofit organizations, patient advocacy  
4 groups, and medical societies; and

5 “(B) makes recommendations for the fu-  
6 ture direction of asthma activities, in consulta-  
7 tion with researchers from the National Insti-  
8 tutes of Health and other member bodies of the  
9 National Asthma Education and Prevention  
10 Program who are qualified to review and ana-  
11 lyze data and evaluate interventions, includ-  
12 ing—

13 “(i) description of how the Federal  
14 Government may improve its response to  
15 asthma including identifying any barriers  
16 that may exist;

17 “(ii) description of how the Federal  
18 Government may continue, expand, and  
19 improve its private-public partnerships  
20 with respect to asthma including identi-  
21 fying any barriers that may exist;

22 “(iii) identification of steps that may  
23 be taken to reduce the—

24 “(I) morbidity, mortality, and  
25 overall prevalence of asthma;

1 “(II) financial burden of asthma  
2 on society;

3 “(III) burden of asthma on dis-  
4 proportionately affected areas, par-  
5 ticularly those in medically under-  
6 served populations (as defined in sec-  
7 tion 330(b)(3)); and

8 “(IV) burden of asthma as a  
9 chronic disease;

10 “(iv) identification of programs and  
11 policies that have achieved the steps de-  
12 scribed under clause (iii), and steps that  
13 may be taken to expand such programs  
14 and policies to benefit larger populations;  
15 and

16 “(v) recommendations for future re-  
17 search and interventions.

18 “(2) UPDATES TO CONGRESS.—

19 “(A) CONGRESSIONAL REQUEST.—During  
20 the 5-year period following the submission of  
21 the report under paragraph (1), the program  
22 shall submit updates and revisions of the report  
23 upon the request of the Congress.

24 “(B) FIVE-YEAR REEVALUATION.—At the  
25 end of the 5-year period following the submis-

sion of the report under paragraph (1), the program shall evaluate its analyses and recommendations under such report and determine whether a new report to the Congress is necessary, and make appropriate recommendations to the Congress.”.

**SEC. 5. ASTHMA-RELATED ACTIVITIES OF THE CENTERS  
FOR DISEASE CONTROL AND PREVENTION.**

Section 317I of the Public Health Service Act (42 U.S.C. 247b–10) is amended to read as follows:

**“SEC. 317I. ASTHMA-RELATED ACTIVITIES OF THE CENTERS  
FOR DISEASE CONTROL AND PREVENTION.**

“(a) PROGRAM FOR PROVIDING INFORMATION AND EDUCATION TO THE PUBLIC.—The Secretary, acting through the Director of the Centers for Disease Control and Prevention, shall collaborate with State and local health departments to conduct activities, including the provision of information and education to the public regarding asthma including—

“(1) deterring the harmful consequences of uncontrolled asthma; and

“(2) disseminating health education and information regarding prevention of asthma episodes and strategies for managing asthma.

1       “(b) DEVELOPMENT OF STATE ASTHMA PLANS.—

2   The Secretary, acting through the Director of the Centers  
3   for Disease Control and Prevention, shall collaborate with  
4   State and local health departments to develop State plans  
5   incorporating public health responses to reduce the burden  
6   of asthma, particularly regarding disproportionately af-  
7   fected populations.

8       “(c) COMPILATION OF DATA.—The Secretary, acting  
9   through the Director of the Centers for Disease Control  
10   and Prevention, shall, in cooperation with State and local  
11   public health officials—

12           “(1) conduct asthma surveillance activities to  
13       collect data on the prevalence and severity of asth-  
14       ma, the effectiveness of public health asthma inter-  
15       ventions, and the quality of asthma management, in-  
16       cluding—

17                   “(A) collection of household data on the  
18               local burden of asthma;

19                   “(B) surveillance of health care facilities;  
20               and

21                   “(C) collection of data not containing indi-  
22               vidually identifiable information from electronic  
23               health records or other electronic communica-  
24               tions;



1           “(2) compile and annually publish data regard-  
2           ing the prevalence and incidence of childhood asth-  
3           ma, the child mortality rate, and the number of hos-  
4           pital admissions and emergency department visits by  
5           children associated with asthma nationally and in  
6           each State and at the county level by age, sex, race,  
7           and ethnicity, as well as lifetime and current preva-  
8           lence; and

9           “(3) compile and annually publish data regard-  
10          ing the prevalence and incidence of adult asthma,  
11          the adult mortality rate, and the number of hospital  
12          admissions and emergency department visits by  
13          adults associated with asthma nationally and in each  
14          State and at the county level by age, sex, race, eth-  
15          nicity, industry, and occupation, as well as lifetime  
16          and current prevalence.

17          “(d) COORDINATION OF DATA COLLECTION.—The  
18          Director of the Centers for Disease Control and Preven-  
19          tion, in conjunction with State and local health depart-  
20          ments, shall coordinate data collection activities under  
21          subsection (c)(2) so as to maximize comparability of re-  
22          sults.

23          “(e) COLLABORATION.—

24                 “(1) IN GENERAL.—The Centers for Disease  
25          Control and Prevention are encouraged to collabo-

1       rate with national, State, and local nonprofit organi-  
2       zations to provide information and education about  
3       asthma, and to strengthen such collaborations when  
4       possible.

5           “(2) SPECIFIC ACTIVITIES.—The Division of  
6       Adolescent and School Health is encouraged to ex-  
7       pand its activities with non-Federal partners, espe-  
8       cially State-level entities.

9           “(f) ADDITIONAL FUNDING.—In addition to any  
10      other authorization of appropriations that is available to  
11      the Centers for Disease Control and Prevention for the  
12      purpose of carrying out this section, there is authorized  
13      to be appropriated to such Centers such sums as may be  
14      necessary for each of fiscal years 2010 through 2014 for  
15      the purpose of carrying out this section.”.

○