#### 111TH CONGRESS 1ST SESSION

# S. 1698

To provide grants to States to improve high schools and raise graduation rates while ensuring rigorous standards, to develop and implement effective school models for struggling students and dropouts, and to improve State policies to raise graduation rates, and for other purposes.

#### IN THE SENATE OF THE UNITED STATES

September 23, 2009

Mr. Bingaman (for himself, Mr. Reid, Mr. Dodd, Mrs. Murray, Mr. Reed, Mr. Brown, Mr. Casey, Mr. Merkley, and Mr. Franken) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

## A BILL

To provide grants to States to improve high schools and raise graduation rates while ensuring rigorous standards, to develop and implement effective school models for struggling students and dropouts, and to improve State policies to raise graduation rates, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Graduation Promise Act of 2009".

#### 1 (b) Table of Contents for

#### 2 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Definitions.

## TITLE I—HIGH SCHOOL IMPROVEMENT AND DROPOUT REDUCTION FUND

- Sec. 101. Findings.
- Sec. 102. Purposes.
- Sec. 103. Definitions.
- Sec. 104. Grants authorized.
- Sec. 105. Secretarial peer review and approval.
- Sec. 106. State plan to develop differentiated high school improvement system.
- Sec. 107. Use of grant funds.
- Sec. 108. Statewide differentiated high school improvement system.
- Sec. 109. Subgrants to local educational agencies.
- Sec. 110. Local educational agency implementation of school improvement system.
- Sec. 111. School improvement activities.
- Sec. 112. Evaluation and reporting.
- Sec. 113. Authorization of appropriations.

#### TITLE II—DEVELOPMENT OF EFFECTIVE SCHOOL MODELS

- Sec. 201. Purposes.
- Sec. 202. Definitions.
- Sec. 203. Grants authorized.
- Sec. 204. Application.
- Sec. 205. Secretarial peer review and approval.
- Sec. 206. Use of funds.
- Sec. 207. Evaluation and reporting.
- Sec. 208. Authorization of appropriations.

#### 3 SEC. 2. DEFINITIONS.

- 4 In this Act:
- 5 (1) In general.—The terms "distance learn-
- 6 ing", "educational service agency", "highly quali-
- 7 fied", "local educational agency", "secondary
- 8 school", and "State educational agency" have the
- 9 meanings given the terms in section 9101 of the Ele-
- mentary and Secondary Education Act of 1965 (20
- 11 U.S.C. 7801).

1	(2) Graduation rate.—The term "graduation
2	rate" has the meaning given the term in section
3	1111(b)(2)(C)(vi) of the Elementary and Secondary
4	Education Act of 1965 (20 U.S.C.
5	6311(b)(2)(C)(vi)), as clarified in section
6	200.19(b)(1) of title 34, Code of Federal Regula-
7	tions.
8	(3) High school.—The term "high school"
9	means a secondary school in which the—
10	(A) entering grade of the school is not
11	lower than grade 6; and
12	(B) highest grade of the school is—
13	(i) grade 12; or
14	(ii) in the case of a secondary school
15	approved by a State to issue a regular di-
16	ploma concurrently with a postsecondary
17	degree or with not more than 2 years
18	worth of postsecondary academic credit
19	grade 13.
20	(4) Institution of higher education.—The
21	term "institution of higher education" has the
22	meaning given the term in section 101(a) of the
23	Higher Education Act of 1965 (20 U.S.C. 1001(a))
24	(5) Secretary.—The term "Secretary" means
25	the Secretary of Education.

1 (6) STATE.—The term "State" means each of 2 the several States of the United States, the District 3 of Columbia, and the Commonwealth of Puerto Rico.

## 4 TITLE I—HIGH SCHOOL IM-

## 5 PROVEMENT AND DROPOUT

### 6 REDUCTION FUND

**SEC. 101. FINDINGS.** 

- 8 The Senate finds the following:
  - (1) About a third of our Nation's high school students fail to graduate in 4 years, and another third graduate without the skills and knowledge needed to succeed in college or the workplace. The outcomes for minority students are even worse: only 50 percent of American-Indian, 51 percent of Black, and about 55 percent of Hispanic students graduate on time, compared to 76 percent of White students.
    - (2) Approximately half of the Nation's dropouts attend a school where 40 percent or more of the freshman class has dropped out by the time the students reach their senior year. These schools, which are located in nearly every State, disproportionately serve minority and poor students, and have fewer resources and less qualified teachers than schools in more affluent neighborhoods. Almost half of African-American students and nearly 40 percent of Latino

- students—compared to only 11 percent of White students—attend high schools in which graduation is not the norm.
  - (3) A high school diploma is increasingly important for success in the 21st century economy. In fact, nearly 90 percent of the fastest-growing, highest-paying jobs require some sort of education beyond high school.
  - (4) For decades, Federal funding has largely been spent on prekindergarten through grade 6 education and higher education, with dramatically less given the middle and high school grades. While children in their early years must build a strong foundation for learning, research also clearly demonstrates the need to continue the investment at each stage of the education process or risk losing much of the benefit of the early effort.
  - (5) High schools receive only 10 percent of funds under title I of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6301 et seq.), leaving millions of title I eligible, high school students in low-performing schools without the focused support, external assistance, and resources for improvement that title I was created to provide. Because title I funds serve as the trigger for school im-

- provement requirements in the Elementary and Secondary Education Act of 1965, this also means that most low-income, low-performing high schools are not required to (or supported to) implement school improvement activities.
  - (6) While the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6301 et seq.) includes a strong focus on identifying low-performing schools, America still needs a comprehensive strategy to support and improve chronically low-performing schools and local educational agencies. School improvement strategies should be tailored based on a variety of indicators and data, so that educators can create and implement successful school improvement strategies to address the needs of the individual schools.
  - (7) Most local educational agencies and State educational agencies do not necessarily have the capacity or infrastructure to guide, support, and fund school improvement strategies where they are needed, but good models for turning around low-performing high schools do exist. Federal support should be used to build this capacity based on evidence from successful high schools.
  - (8) If the Nation is to maintain and increase its competitiveness in the global economy, it must invest

- 1 in a systemic approach to improving its high schools
- 2 so that every child graduates from high school pre-
- 3 pared for success.

### 4 SEC. 102. PURPOSES.

force;

9

16

17

18

19

20

21

22

- 5 The purposes of this title are to—
- (1) improve high school student academic
   achievement and graduation rates and prepare all
   students for postsecondary education and the work-
- 10 (2) help States and local educational agencies 11 develop high school improvement systems to deliver 12 support and technical assistance to high schools 13 identified for whole school reform or replacement, as 14 described in clause (ii)and (iii) ofsection 15 106(b)(2)(B);
  - (3) ensure students graduate from high school with the education and skills necessary to compete in a global economy; and
  - (4) help build the capacity to develop and implement research-based, sustainable, and replicable high school improvement models and interventions that are for high schools in whole school reform and replacement and that engage the whole community.
- 24 SEC. 103. DEFINITIONS.
- 25 In this title:

- 1 (1) ADEQUATE YEARLY PROGRESS.—The term
  2 "adequate yearly progress" has the meaning given
  3 the term in section 1111(b)(2)(C) of the Elementary
  4 and Secondary Education Act of 1965 (20 U.S.C.
  5 6311(b)(2)(C)).
  - (2) External partner.—The term "external partner" means an entity—
    - (A) that is an organization such as a nonprofit organization, community-based organization, local education fund, service organization, educational service agency, or institution of higher education; and
    - (B) that has demonstrated expertise and effectiveness in providing targeted support such as data analysis, professional development, or provision of nonacademic support and integrated student services to local educational agencies, schools, or students that leads to improved teaching, learning, and outcomes for students, including for those students who are failing to make sufficient progress to graduate in the standard amount of years or who have dropped out of high school.
  - (3) Low-income local educational agency.—The term "low-income local educational agen-

- cy" means a local educational agency in which not less than 15 percent of the students served by such agency are from families with incomes below the poverty line.
- 5 (4) MIDDLE GRADES.—The term "middle 6 grades" means any of grades 5 through 8.
  - (5) POVERTY LINE.—The term "poverty line" means the poverty line described in section 673 of the Community Services Block Grant Act (42 U.S.C. 9902), applicable to a family of the size involved.
  - (6) Secondary school reform partner" means an organization, such as a school reform organization, community-based organization, local education fund, educational service agency, or institution of higher education, with expertise in analyzing school performance data and a track record of success in improving student achievement and graduation rates in low-performing high schools.

#### 20 SEC. 104. GRANTS AUTHORIZED.

21 (a) IN GENERAL.—The Secretary is authorized to 22 make grants, through allotments under subsection (b), to 23 State educational agencies with approved State plans that 24 will—

7

8

9

10

11

12

13

14

15

16

17

18

1	(1) improve student achievement and gradua-
2	tion rates; and
3	(2) effectively target resources and technical as-
4	sistance to high schools in whole school reform or re-
5	placement, as described in clause (ii) or (iii) of sec-
6	tion $106(b)(2)(B)$ .
7	(b) Determination of Allotments.—
8	(1) Reservation of funds.—From the total
9	amount appropriated under section 113, the Sec-
10	retary shall reserve not more than—
11	(A) the lesser of 3 percent or \$50,000,000,
12	to—
13	(i) provide technical assistance and
14	ongoing regional training programs that
15	are equitably distributed—
16	(I) among the different geo-
17	graphic regions of the United States;
18	and
19	(II) among State and local edu-
20	cational agencies serving urban and
21	rural areas;
22	(ii) evaluate activities authorized
23	under this title in order to determine the
24	most effective strategies for improving stu-
25	dent achievement and outcomes for stu-

1	dents attending high schools identified for
2	targeted intervention, whole school reform,
3	or replacement under section 106(b)(2);
4	and
5	(iii) disseminate the findings of such
6	evaluations;
7	(B) the lesser of 4 percent or \$75,000,000,
8	to build the capacity of secondary school reform
9	partners and external partners to provide serv-
10	ices under this Act that benefit high schools
11	and support the development or enhancement of
12	research-based whole secondary school reform
13	or new secondary school models, of which not
14	less than 35 percent of such reserved funds
15	shall be awarded, on a competitive basis, to sec-
16	ondary school reform partners or external part-
17	ners that will provide services under this Act
18	that benefit high schools designated with a
19	school locale code of Fringe Rural (41), Distant
20	Rural (42), or Remote Rural (43), as deter-
21	mined by the Secretary; and
22	(C) 2 percent to the Secretary of the Inte-
23	rior, to enable the Secretary to carry out the
24	purposes of this Act for schools operated or

funded by the Bureau of Indian Affairs.

- 1 (2) STATE ALLOTMENT.—From the total 2 amount appropriated under section 113 for a fiscal 3 year and not reserved under paragraph (1), the Sec-4 retary shall make allotments as follows:
  - (A) Low-income local educational agencies in the State bears to the total of such percentages for all the States.
  - (B) Lowest graduation rate calculation.—From such amount, the Secretary shall allot to each State for which the graduation rate is within the lowest one-third of the graduation rates for all States, an amount that bears the same ratio to 25 percent of the sums being allotted as the number of students enrolled in high schools in the State bears to the total of such students in all of such States with the lowest one-third graduation rates.
  - (C) MIDDLE GRADUATION RATE CALCULA-TION.—From such amount, the Secretary shall allot to each State for which the graduation

- rate is within the middle one-third of the graduation rates for all States, an amount that bears the same ratio to 15 percent of the sums being allotted as the number of students enrolled in high schools in the State bears to the total of such students in all of such States within the middle one-third graduation rates.
  - (D) Highest Graduation rate calculation.—From such amount, the Secretary shall allot to each State for which the graduation rate is within the highest one-third of the graduation rates for all States, an amount that bears the same ratio to 10 percent of the sums being allotted as the number of students enrolled in high schools in the State bears to the total of such students in all of such States within the highest one-third graduation rates.
  - (3) REALLOTMENT.—If any State does not apply for an allotment under this subsection for any fiscal year, the Secretary shall reallot the amount of the allotment to the remaining States in accordance with this subsection.
  - (4) Using first-year data.—In calculating allotments under this subsection for the second and each subsequent year of the grant period, the Sec-

- retary shall use the data relating to low-income local educational agencies and graduation rates used for the first year of the grant period.
  - (5) Hold Harmless.—Notwithstanding any other provision of this subsection but subject to paragraph (6), no State shall receive an allotment under this section for a fiscal year in an amount that is less than the amount the State received under this section for the first fiscal year of the grant period.
  - (6) RATABLE REDUCTION.—If the amount appropriated in a fiscal year is not sufficient to pay the minimum allotments to all eligible institutions under paragraph (5), the amount of the minimum allotment to each such eligible institution shall be ratably reduced.
- 17 (c) SUPPLEMENT, NOT SUPPLANT.—A State edu-18 cational agency that receives a grant under this title shall 19 use the grant funds to supplement, and not supplant, Fed-20 eral and non-Federal funds available to high schools.
- 21 (d) MATCHING FUNDS.—A State educational agency 22 that receives a grant under this section shall provide 23 matching funds, from non-Federal sources, in an amount 24 equal to 25 percent of the amount of grant funds provided 25 to the State to carry out the activities supported by the

6

7

8

9

10

11

12

13

14

15

1	grant. Such matching funds may be provided in cash or
2	in-kind, except that—
3	(1) not more than 10 percent of the amount of
4	grant funds may be provided through in-kind con-
5	tributions; and
6	(2) any in-kind contributions shall be directed
7	toward supporting the State educational agency's
8	technical assistance efforts or the operation of the
9	State's differentiated high school improvement sys-
10	tem under section 106.
11	SEC. 105. SECRETARIAL PEER REVIEW AND APPROVAL.
12	(a) In General.—The Secretary shall—
13	(1) establish a peer-review process to assist in
14	the review and approval of State plans;
15	(2) appoint individuals to the peer-review proc-
16	ess who are educators and experts in educational
17	standards, assessments, accountability, high school
18	improvement, dropout prevention, academic needs of
19	English language learners, and other educational
20	needs of high school students;
21	(3) approve a State plan submitted under this
22	title not later than 120 days after the date of the
23	submission of the plan unless the Secretary deter-
24	mines that the plan does not meet the requirements

of this title;

1	(4) if the Secretary determines that the State
2	plan does not meet the requirements of this title, im-
3	mediately notify the State of such determination and
4	the reasons for such determination;
5	(5) if the Secretary determines that the State
6	does not have the capacity to carry out the school
7	improvement activities described in sections
8	106(b)(2) and $108$ , offer technical assistance to
9	carry out such activities for States directly or
10	through contracts with secondary school reform
11	partners;
12	(6) not deny a State's plan before—
13	(A) offering the State an opportunity to
14	revise the State's plan;
15	(B) providing the State with technical as-
16	sistance in order to submit a successful plan;
17	and
18	(C) providing the State an opportunity for
19	a hearing or accepting input from the State;
20	and
21	(7) have the authority to deny a State plan for
22	not meeting the requirements of this title.
23	(b) Accuracy.—In approving a State plan, the Sec-
24	retary shall ensure that—

1	(1) the process the State educational agency
2	proposes for differentiating school improvement ac-
3	tions under sections 106(b)(2) and 108, which proc-
4	ess will assign high schools to each of the school im-
5	provement categories described in section 106(b)(2)
6	in such a way that accurately identifies the high
7	school and leads to the implementation of the inter-
8	ventions necessary to meet the needs of the students
9	attending the high school; and
10	(2) the annual growth targets proposed by the
11	State educational agency under section 106(b)(3)(D)
12	are meaningful and achievable, and demonstrate
13	continuous and substantial progress.
14	SEC. 106. STATE PLAN TO DEVELOP DIFFERENTIATED
15	HIGH SCHOOL IMPROVEMENT SYSTEM.
16	(a) In General.—For a State to be eligible to re-
17	ceive a grant under this title, the State educational agency
18	shall submit a plan to the Secretary at such time, in such
19	manner, and containing such information as the Secretary
20	may reasonably require.
21	(b) CONTENTS.—Each plan submitted under this sec-
22	tion shall include the following:
23	(1) SCHOOL IMPROVEMENT PROCESS.—The

State educational agency shall describe how the

State educational agency will use funds authorized

24

1	under this title to establish or expand a statewide
2	differentiated high school improvement system de-
3	scribed in section 108.
4	(2) Statewide differentiated high
5	SCHOOL IMPROVEMENT.—
6	(A) Process of differentiation.—The
7	State educational agency shall describe a data-
8	driven process for categorizing high schools into
9	the categories described in subparagraph (B)
10	using—
11	(i) the indicators used to determine
12	adequate yearly progress; and
13	(ii) data from the school performance
14	indicators described in paragraph (3).
15	(B) Differentiated high school im-
16	PROVEMENT CATEGORIES.—The State edu-
17	cational agency shall describe how local edu-
18	cational agencies will use the process estab-
19	lished under subparagraph (A) to categorize the
20	high schools in the State that do not make ade-
21	quate yearly progress for 2 consecutive years
22	into one of the following school improvement
23	categories:
24	(i) Schools needing targeted
25	INTERVENTIONS —High schools whose per-

1	formance on the school performance indi-
2	cators described in paragraph (3) dem-
3	onstrate a need for targeted interventions
4	described in section 111(b) to improve stu-
5	dent outcomes and make adequate yearly
6	progress.
7	(ii) Schools needing whole
8	SCHOOL REFORMS.—High schools whose
9	performance on the school performance in-
10	dicators demonstrate a need for com-
11	prehensive schoolwide reform described in
12	section 111(c) to improve student out-
13	comes and make adequate yearly progress.
14	(iii) Schools needing replace-
15	MENT.—High schools whose school per-
16	formance indicators demonstrate a need
17	for replacement, as described in section
18	111(d).
19	(C) Special Rule.—A State educational
20	agency may propose in the plan under this sec-
21	tion additional levels of differentiation within a
22	particular school improvement category de-

scribed in subparagraph (B) to further target

and prioritize school needs and to align dif-

23

- ferentiation with the State's existing State accountability systems.
  - (D) DEMONSTRATION OF DEVELOP-MENT.—The State shall demonstrate how the State plan was developed in consultation with a representative group of local educational agencies.
  - (E) Continuous improvement.—The State educational agency shall describe how the State educational agency will evaluate annually the progress of high schools to ensure that each high school is making continuous and substantial improvement in accordance with the annual growth targets described in paragraph (3)(D) and consistent with the requirements described in section 111.
  - (F) Automatic designation.—The process of categorization proposed by the State educational agency shall ensure that a high school shall be automatically identified as a school in need of whole school reform or as a school in need of replacement, if the high school has a graduation rate of 50 percent or less in the most recent year for which data are available.
- 25 (3) School Performance Indicators.—

1	(A) In General.—The State educational
2	agency shall define, in consultation with rep-
3	resentatives from urban and rural local edu-
4	cational agencies in the State, a comprehensive
5	set of school performance indicators that—
6	(i) shall be used, in addition to the in-
7	dicators used to determine adequate yearly
8	progress, to—
9	(I) analyze the performance of
10	high schools in the State;
11	(II) determine the amount, inten-
12	sity, and type of support each high
13	school needs; and
14	(III) guide the school improve-
15	ment process;
16	(ii) demonstrate whether a high school
17	is making substantial and continuous
18	progress toward the goal of graduating all
19	of the school's students prepared for suc-
20	cess in higher education and careers; and
21	(iii)(I) directly measure student
22	achievement and advancement in high
23	school; or
24	(II) have been demonstrated by re-
25	search to have a direct impact on high

1	school student achievement and advance-
2	ment.
3	(B) Categories.—
4	(i) In general.—The comprehensive
5	set of school performance indicators re-
6	quired by subparagraph (A) shall include
7	indicators of—
8	(I) high school student engage-
9	ment and effort;
10	(II) student advancement;
11	(III) educator quality; and
12	(IV) academic learning.
13	(ii) Indicators of high school
14	STUDENT ENGAGEMENT AND EFFORT.—
15	With respect to high school student en-
16	gagement and effort, the indicators—
17	(I) shall include student attend-
18	ance rates; and
19	(II) may include—
20	(aa) the percentage of stu-
21	dent suspensions and expulsions;
22	(bb) surveys of high school
23	student engagement and effort;
24	or

1	(cc) other indicators of stu-
2	dent engagement proposed by the
3	State educational agency and ap-
4	proved by the Secretary as part
5	of the peer review process de-
6	scribed in section 105(a).
7	(iii) Indicators of student ad-
8	VANCEMENT.—With respect to student
9	achievement, the indicators—
10	(I) shall include—
11	(aa)(AA) student-earned on-
12	time promotion rates from grade
13	to grade for all grades in the
14	high school; or
15	(BB) the percentage of
16	students who have on-time
17	credit accumulation at the
18	end of each grade; and
19	(bb) the percentage of stu-
20	dents—
21	(AA) failing a core
22	credit-bearing, English lan-
23	guage arts, mathematics, or
24	science course; or

1	(BB) failing 2 or more
2	courses of any type; and
3	(II) may include—
4	(aa) measures of enrollment,
5	retention, persistence, and degree
6	attainment in two-year and four-
7	year institutions of higher edu-
8	cation;
9	(bb) measures of the em-
10	ployment success of students who
11	graduated from the high school;
12	or
13	(cc) other indicators of stu-
14	dent advancement proposed by
15	the State educational agency and
16	approved by the Secretary as
17	part of the peer review process
18	described in section 105(a).
19	(iv) Indicators of Educator qual-
20	ITY.—With respect to educator quality, the
21	indicators—
22	(I) shall include—
23	(aa) measures of teacher at-
24	tendance, vacancies, and turn-
25	over; and

1	(bb) the percentage of highly
2	qualified teachers by grade level;
3	and
4	(II) may include other indicators
5	of educator quality proposed by the
6	State educational agency and ap-
7	proved by the Secretary as part of the
8	peer review process described in sec-
9	tion 105(a).
10	(v) Indicators of academic learn-
11	ING.—With respect to academic learning,
12	the indicators—
13	(I) shall include—
14	(aa) the percentage of stu-
15	dents taking a college-pre-
16	paratory curriculum, which may
17	include the percentage of stu-
18	dents taking Advanced Placement
19	courses, International Bacca-
20	laureate courses, or postsec-
21	ondary courses for dual credit;
22	(bb) the percentage of stu-
23	dents reaching proficiency on the
24	State academic assessments in
25	reading and mathematics re-

1	quired under section 1111 of the
2	Elementary and Secondary Edu-
3	cation Act of 1965 (20 U.S.C.
4	6311), disaggregated by the cat-
5	egories of students identified in
6	section $1111(b)(2)(C)(v)$ of such
7	Act (20 U.S.C. 1111(b)(2)(C)(v);
8	and
9	(cc) student success on
10	State or local educational agency
11	end-of-course examinations or
12	performance-based assessments
13	with standardized scoring rubrics
14	aligned to State standards, where
15	such assessments are available;
16	and
17	(II) may also include—
18	(aa) student achievement on
19	college entrance and placement
20	examinations such as the ACT or
21	SAT, or Advanced Placement ex-
22	aminations; or
23	(bb) other indicators of aca-
24	demic learning proposed by the
25	State educational agency and ap-

1	proved by the Secretary as part
2	of the peer-review process de-
3	scribed in section 105(a).
4	(C) Demonstration of capacity to
5	COLLECT AND REPORT INDICATORS.—The State
6	educational agency shall demonstrate its capac-
7	ity to collect, report, and use the indicators de-
8	fined and used to meet the requirements of sub-
9	paragraph (A), including through the use of a
10	statewide longitudinal data system.
11	(D) Annual growth targets.—The
12	State educational agency shall set State annual
13	growth targets that—
14	(i) include a goal and a minimum per-
15	centage of expected annual growth for each
16	school performance indicator; and
17	(ii) demonstrate continuous and sub-
18	stantial progress toward the State-defined
19	goal and making adequate yearly progress.
20	(4) Demonstration of capacity to support
21	SYSTEM.—The State educational agency shall dem-
22	onstrate capacity to support the statewide differen-
23	tiated high school improvement system, which shall
24	include, at a minimum, the following:
25	(A) System alignment.—

1	(i) Alignment with account-
2	ABILITY SYSTEM.—The State shall dem-
3	onstrate an alignment of the State ac-
4	countability system described in section
5	1111(b)(2) of the Elementary and Sec-
6	ondary Education Act of 1965 (20 U.S.C
7	6311(b)(2)) and the school improvement
8	system under section 1116(b) of such Act
9	(20 U.S.C. 6316(b)) with the statewide
10	differentiated high school system described
11	in section 108.
12	(ii) Additional requirements.—
13	The State educational agency shall dem-
14	onstrate, if the State's statewide differen-
15	tiated high school improvement system in-
16	cludes additional requirements not required
17	under section 108, how such additional re-
18	quirements will lead to improved student
19	achievement and graduation rates and sys-
20	tem alignment.
21	(iii) Strengthening and aligning
22	POLICIES.—The State educational agency
23	shall demonstrate how the State edu-

cational agency will strengthen and align

policies affecting—

24

1	(I) interventions in schools in
2	whole school reform or replacement
3	under clause (ii) or (iii) of paragraph
4	(2)(B);
5	(II) new school development; and
6	(III) implementation of effective
7	school improvement activities that ad-
8	dress the education needs of high
9	school students who are off-track or
10	who have dropped out.
11	(B) Data systems.—The State edu-
12	cational agency shall demonstrate the State
13	educational agency's use and support of a state-
14	wide longitudinal data system, including dem-
15	onstrating—
16	(i) that such system exists, or is being
17	developed, and includes the elements de-
18	scribed in section $6401(e)(2)(D)$ of the
19	America COMPETES Act (20 U.S.C.
20	9871(e)(2)(D)) and any additional ele-
21	ments described in section $14005(d)(3)$ of
22	the American Recovery and Reinvestment
23	Act of 2009 (Public Law 111–5; 123 Stat.
24	283);

1	(ii) a commitment to the maintenance
2	and growth of such system;
3	(iii) State policies that ensure the pro-
4	tection of personally identifiable informa-
5	tion in such system and authorize such
6	system to collect, share, and link data from
7	multiple systems for the purposes of eval-
8	uations and continuous improvement;
9	(iv) governance structures to guide
10	the collection, sharing and use of the data
11	in such system; and
12	(v) that such system includes linkages
13	between kindergarten through grade 12
14	data systems with early learning, postsec-
15	ondary education, workforce, social services
16	and other critical State agency data sys-
17	tems in order to achieve interoperability
18	with systems in other States.
19	(C) CAPACITY AND TECHNICAL ASSIST-
20	ANCE.—The State educational agency shall
21	demonstrate how it will support the statewide
22	differentiated high school improvement system,
23	including—
24	(i) a description of the statewide sys-
25	tem of support, including regional support

1	services and how schools identified under
2	this Act can utilize such supports to im-
3	prove teaching, learning, and student out-
4	comes;
5	(ii) a description of how the State
6	educational agency will review, support,
7	monitor, and provide technical support for
8	local educational agency plans in accord-
9	ance with paragraph (5);
10	(iii) a description of the State edu-
11	cational agency staffing structure that is
12	designed to—
13	(I) carry out the activities de-
14	scribed in clause (ii);
15	(II) assist local educational agen-
16	cy school improvement teams de-
17	scribed in section 110(b)(2), including
18	supporting local educational agencies
19	and school officials in developing and
20	implementing school improvement
21	plans, including though the provision
22	of resources, training and technical
23	assistance; and
24	(III) coordinate services across
25	other State agencies to streamline and

1	improve support provided to schools
2	identified as needing targeted inter-
3	vention, whole school reform, or re-
4	placement under paragraph (2)(B);
5	(iv) a description of how the State
6	educational agency will develop and iden-
7	tify school improvement planning tools for
8	use by the local educational agencies and
9	schools, such as needs assessments; and
10	(v) a description of how the State
11	educational agency will ensure local edu-
12	cational agencies with high numbers of
13	schools in whole school reform and replace-
14	ment and such schools will be prioritized
15	and targeted with support.
16	(D) Increasing local capacity for im-
17	PROVEMENT.—The State educational agency
18	shall demonstrate how the State educational
19	agency will align its resources and policies to
20	increase State and local capacity to ensure com-
21	prehensive support for schools identified as
22	needing targeted intervention, whole school re-
23	form, or replacement under paragraph (2)(B),
24	including how the State educational agency

will—

1	(i) target resources, including re-
2	sources from additional funding sources, to
3	improve teacher and principal effectiveness
4	in such schools including using data for de-
5	cision-making;
6	(ii) leverage resources from other
7	funding sources, such as school improve-
8	ment funds, technology and data funds,
9	and professional development funds;
10	(iii) provide local educational agencies
11	with support in finding and utilizing sec-
12	ondary school reform partners and other
13	external partners;
14	(iv) increase access to State and re-
15	gional technical assistance services;
16	(v) ensure an equitable distribution of
17	teachers and principals with a dem-
18	onstrated record of improving student
19	achievement and graduation rates among
20	the schools in the State that are identified
21	for targeted intervention, whole school re-
22	form, or replacement under paragraph
23	(2)(B), particularly those schools in whole

school reform or replacement, as compared

1	to schools not identified under paragraph
2	(2)(B);
3	(vi) ensure access to substantially
4	equal educational funding (for each stu-
5	dent in the State), such as through ad-
6	dressing per pupil expenditures or inter-
7	district funding disparities;
8	(vii) support the development of effec-
9	tive school leaders for high schools identi-
10	fied for targeted intervention, whole school
11	reform, or replacement under paragraph
12	(2)(B);
13	(viii) assist local educational agencies
14	in developing early warning indicator sys-
15	tems described in section $110(b)(6)(A)$ ;
16	and
17	(ix) assist local educational agencies
18	in developing education options as de-
19	scribed in section 110(b)(6)(B).
20	(5) STATE REVIEW OF LOCAL EDUCATIONAL
21	AGENCY PLANS.—
22	(A) REVIEW LOCAL EDUCATIONAL AGENCY
23	PLANS.—The State educational agency shall de-
24	scribe how the State educational agency will
25	collect and review high school improvement

1	plans described in section 110(b)(4), including
2	a description of—
3	(i) how the State educational agency
4	will measure and ensure local educational
5	agencies have the capacity to carry out
6	such high school improvement plans;
7	(ii) how a local educational agency
8	may propose additional levels of differen-
9	tiation within a particular school improve-
10	ment category described in paragraph
11	(2)(B) that are aligned with the State ac-
12	countability system under section
13	1111(b)(2) of the Elementary and Sec-
14	ondary Education Act of 1965 (20 U.S.C.
15	6311(b)(2)) and the local educational
16	agency's school improvement system under
17	section 1116(b) of such Act (20 U.S.C.
18	6136(b)) existing as of the time of the
19	plan;
20	(iii) how the State educational agency
21	will allow consortia of local educational
22	agencies, particularly those in rural areas,
23	to collaborate to develop and implement
24	school improvement plans;

1	(iv) how the State educational agency
2	will review plans with the assistance and
3	advice of a peer review panel that includes
4	educators and individuals who are experts
5	in—
6	(I) educational standards, assess-
7	ments, and accountability;
8	(II) high school improvement;
9	(III) dropout prevention, inter-
10	vention, and recovery;
11	(IV) parental involvement; and
12	(V) other educational needs of
13	high school students;
14	(v) how the State, in consultation with
15	the peer review panel, shall ensure the
16	local educational agency has identified the
17	school improvement category described in
18	section $106(b)(2)$ for each high school
19	served by the local educational agency that
20	did not make adequate yearly progress for
21	2 consecutive years in such a way that ac-
22	curately identifies the high school and
23	leads to the implementation of the inter-
24	ventions necessary to meet student needs;

1	(vi) how the State will provide local
2	educational agencies the opportunity to re-
3	vise high school improvement plans, includ-
4	ing, if the State educational agency, in
5	consultation with the peer review panel de-
6	scribed in clause (iv), determines that the
7	local educational agency's plan does not
8	meet the requirements of this title—
9	(I) immediately notifying the
10	local educational agency of such deter-
11	mination and the reasons for such de-
12	termination; and
13	(II) offering the local educational
14	agency an opportunity to revise the
15	plan, and technical assistance for re-
16	vising the plan; and
17	(vii) how the State will make the
18	school improvement plans available to the
19	public.
20	(B) ALLOCATION OF SUBGRANTS.—The
21	State educational agency shall describe how it
22	will award subgrants to local educational agen-
23	cies consistent with section 109.
24	(C) Monitoring of school improve-
25	MENT PLANS.—The State educational agency

shall describe how the State educational agency will review and monitor the implementation of high school improvement plans, including how the State will analyze the implementation of the high school improvement plans of high schools that do not meet the annual growth targets set in accordance with paragraph (3)(D) and defined in the school improvement plan described in section 110(b)(4).

- (D) Providing technical assistance to scribe how it will provide technical assistance to local educational agencies and high schools that need support to develop and to implement high school improvement plans described in section 110(b)(4) and improve graduation rates and student achievement, including through the use of secondary school reform partners, where appropriate.
- (6) EVALUATION OF SUCCESS.—The State educational agency shall describe how, every 5 years, the State educational agency will evaluate how the activities assisted under this title have been successful in improving student achievement and outcomes of the cohort of students whose year of entry into

1	high school was 4 years before the evaluation, in-
2	cluding measurement of the State educational agen-
3	cy's effectiveness in carrying out the activities de-
4	scribed in the application under this subsection.
5	SEC. 107. USE OF GRANT FUNDS.
6	A State educational agency that receives a grant
7	under this title—
8	(1) shall reserve not more than 10 percent of
9	the grant funds—
10	(A) to carry out the activities described in
11	the State plan under section 106; and
12	(B) to establish or expand a statewide dif-
13	ferentiated high school improvement system de-
14	scribed in section 108; and
15	(2) shall use not less than 90 percent of the
16	grant funds to make subgrants to local educational
17	agencies in accordance with section 109.
18	SEC. 108. STATEWIDE DIFFERENTIATED HIGH SCHOOL IM-
19	PROVEMENT SYSTEM.
20	A Statewide differentiated high school improvement
21	system shall be designed by the State educational agency
22	to—
23	(1) use data to identify high schools for whole
24	school reform or replacement, as described in clause
25	(ii) or (iii) of section 106(b)(2)(B), within the State:

- 1 (2) differentiate school improvement actions 2 under section 106(b)(2) based on the amount and 3 type of supports necessary to improve student 4 achievement and graduation rates in high schools 5 within the State;
  - (3) provide resources to support the evidencebased activities that school improvement teams choose, based on school performance data, to carry out under section 111;
  - (4) target resources and support to those high schools in the State that are identified for whole school reform and replacement;
  - (5) ensure that each high school identified for targeted intervention, whole school reform, or replacement that is making progress on the State's school performance indicators described in section 106(b)(3)) continues to implement effective school improvement strategies identified in the high school's school improvement plan;
  - (6) ensure that high schools identified for whole school reform or replacement making progress on the State's school performance indicators have the resources and supports necessary to improve high school graduation rates and student achievement;

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

1	(7) build the capacity of the State educational
2	agency and local educational agencies to assist in
3	improving student achievement and graduation rates
4	in high schools identified for whole school reform
5	and replacement; and
6	(8) ensure that high schools identified for whole
7	school reform and replacement making progress on
8	school performance indicators continue to have the
9	resources and support necessary to further improve
10	high school graduation rates and student achieve-
11	ment.
12	SEC. 109. SUBGRANTS TO LOCAL EDUCATIONAL AGENCIES.
13	(a) Award Basis.—
14	(1) Priority of whole school reform and
15	REPLACEMENT.—In awarding subgrants under this
16	
U	section, a State educational agency shall—
17	section, a State educational agency shall—  (A) before awarding any subgrants to local
	,
17	(A) before awarding any subgrants to local
17 18	(A) before awarding any subgrants to local educational agencies serving high schools identi-
17 18 19	(A) before awarding any subgrants to local educational agencies serving high schools identi- fied for targeted intervention under section
17 18 19 20	(A) before awarding any subgrants to local educational agencies serving high schools identified for targeted intervention under section 106(b)(2), award subgrants to, on a competitive
17 18 19 20 21	(A) before awarding any subgrants to local educational agencies serving high schools identified for targeted intervention under section 106(b)(2), award subgrants to, on a competitive basis, local educational agencies serving high
17 18 19 20 21 22	(A) before awarding any subgrants to local educational agencies serving high schools identified for targeted intervention under section 106(b)(2), award subgrants to, on a competitive basis, local educational agencies serving high schools identified as needing whole school re-

1	quate to fulfill the school improvement needs
2	outlined in the local educational agency's school
3	plan, as approved by the State educational
4	agency.
5	(2) Targeted interventions.—If subgrant

- (2) Targeted interventions.—If subgrant funds remain after the application of subsection (a), then the State educational agency shall award remaining subgrant funds to local educational agencies serving high schools needing targeted interventions.
- (3) Competitive basis.—A State educational agency that receives a grant under this title shall award subgrants, in accordance with subsections (a) and (b), to local educational agencies on the basis of—
  - (A) the quality of the school improvement plan to improve student graduation rates and student achievement in high schools that have not made adequate yearly progress for 2 consecutive years;
  - (B) the capacity of the local educational agency to implement the plan; and
  - (C) the need of the local educational agency, based on student high school graduation rates and the percentage of students from families with incomes below the poverty line.

## 1 (b) APPLICATION.— 2 (1) In General.—To be eligible to receive a 3 subgrant under this title, a local educational agency 4 shall submit an application to the State educational 5 agency at such time, in such manner, and containing 6 such information as the State educational agency 7 may reasonably require. 8 (2) Contents.—Each application submitted 9 under this subsection shall include— 10 (A) a description, for each high school 11 identified pursuant to section 110(b)(1), of how 12 the local educational agency will carry out ac-13 tivities described in section 111 for the high 14 school; 15 (B) a description of the local educational 16 agency staffing structure that is designed to— 17 (i) carry out the activities described in 18 section 110(a); 19 (ii) assist school improvement teams, 20 including supporting local educational 21 agency and school officials in developing 22 and implementing high school improvement 23 plans, by providing resources, training, and 24 technical assistance, and through other

means; and

1	(iii) coordinate services across other
2	governmental agencies and nongovern-
3	mental organizations to streamline and im-
4	prove support provided to schools identified
5	for a school improvement category de-
6	scribed in section 106(b)(2);
7	(C) a description of the policies and proce-
8	dures the local educational agency shall imple-
9	ment to ensure the distribution and assignment
10	of high-quality teachers and leaders in a man-
11	ner that first fulfills the needs of the schools
12	identified as needing targeted intervention,
13	whole school reform, or replacement;
14	(D) an assurance that the local educational
15	agency will use subgrant funds under this title
16	first to meet the needs of high schools served
17	by the local educational agency that are identi-
18	fied for whole school reform or replacement
19	under clause (ii) or (iii) of section 106(b)(2);
20	(E) an assurance that the local educational
21	agency shall provide ongoing support and re-
22	sources to high schools identified for whole
23	school reform or replacement, and are making

progress on the State's school performance indi-

1	cators described in section 106(b)(3), to ensure
2	continued improvement;
3	(F) a description of how the local edu-
4	cational agency will increase its capacity to im-
5	prove high schools with low student achieve-
6	ment and graduation rates; and
7	(G) an assurance that the local educational
8	agency will conduct the capacity and needs as-
9	sessment required under subsection (b)(9) and
10	provide the results of the assessment to the
11	State educational agency and the Secretary.
12	(3) USE OF DATA.—The local educational agen-
13	cy shall describe how data will be used, consistent
14	with the requirements of this section, to inform the
15	classification of high schools, and development and
16	implementation of school improvement plans, includ-
17	ing that data described in section $110(b)(1)(A)$ .
18	(c) Supplement, Not Supplant.—A local edu-
19	cational agency that receives a subgrant under this section
20	shall use the subgrant funds to supplement, and not sup-
21	plant, other Federal and non-Federal funds available for
22	high schools served by the local educational agency.
23	(d) Matching Funds.—
24	(1) In general.—A local educational agency
25	receiving a subgrant under this section shall provide

- matching funds, from non-Federal sources, in an amount equal to not less than 15 percent of the total subgrant award for the local educational agency, which may be provided in cash or in-kind.
  - (2) Use of matching funds.—The matching funds shall be used to provide technical assistance to high schools served by the local educational agency in—
    - (A) developing the high schools' high school improvement plans described in section 110(b)(4);
    - (B) conducting the capacity and needs assessments described in section 110(b)(9); and
    - (C) implementing and monitoring the implementation of the high school improvement plans.
  - (3) WAIVER.—The Secretary may waive all or part of the matching requirement described in paragraph (1) for any fiscal year for a local educational agency if the Secretary determines that applying the matching requirement to such local educational agency would result in serious hardship or an inability to carry out the authorized activities described in section 111.

1	SEC. 110. LOCAL EDUCATIONAL AGENCY IMPLEMENTATION
2	OF SCHOOL IMPROVEMENT SYSTEM.
3	(a) DISTRICT-WIDE HIGH SCHOOL IMPROVEMENT.—
4	A local educational agency that receives a subgrant under
5	section 109 shall use subgrant funds to develop, lead, and
6	implement a district-wide approach to high school im-
7	provement that meets the requirements of subsection (b)
8	and carry out the activities described in section 111.
9	(b) System Requirements.—
10	(1) DIFFERENTIATE HIGH SCHOOLS.—The local
11	educational agency shall—
12	(A) identify the category of high school im-
13	provement, as described in section $106(b)(2)$ ,
14	using data from the school performance indica-
15	tors as prescribed by the State educational
16	agency in accordance with section 106(b), for
17	each high school served by such agency that
18	does not make adequate yearly progress for $2$
19	consecutive years; and
20	(B) publicly identify such schools by school
21	improvement category.
22	(2) School improvement teams.—
23	(A) IN GENERAL.—The local educational
24	agency shall convene a school improvement
25	team for each high school served by such agen-

1	cy that is assigned to one of the school improve-
2	ment categories described in section 106(b)(2).
3	(B) Members.—
4	(i) Mandatory members.—The
5	school improvement team for a high school
6	shall include—
7	(I) the principal of the high
8	school;
9	(II) at least 2 teachers from the
10	high school representing different
11	grade levels or disciplines; and
12	(III) local educational agency
13	staff.
14	(ii) Additional members.—The
15	school improvement team for a high school
16	shall include at least one of the following:
17	(I) A parent of a student in the
18	high school.
19	(II) A community representative,
20	such as a representative of nonprofit
21	organizations serving young people
22	and the business community.
23	(III) A pupil service representa-
24	tive.

1	(IV) In the case of a school in
2	whole school reform or replacement,
3	secondary school reform partners.
4	(iii) Optional members.—The
5	school improvement team for a high school
6	may include State educational agency staff,
7	if requested by the local educational agency
8	or assigned by the State educational agen-
9	cy.
10	(C) COLLABORATION.—The local edu-
11	cational agency shall ensure collaboration—
12	(i) of school improvement teams with
13	personnel of middle grades schools served
14	by the local educational agency whose stu-
15	dents will attend high schools that are
16	identified for one of the categories de-
17	scribed in section 106(b)(2), to the extent
18	appropriate; and
19	(ii) among or between school improve-
20	ment teams at schools assigned to one of
21	the school improvement categories and
22	school leadership and other personnel at
23	schools served by the local educational
24	agency that have made adequate yearly
25	progress.

1	(3) Use of data.—Consistent with the re-
2	quirements of this section, the local educational
3	agency shall use, at minimum, data on the following
4	to inform the classification of high schools:
5	(A) School performance indicators de-
6	scribed in section 106(b)(3).
7	(B) Indicators used to determine adequate
8	yearly progress.
9	(C) Information about incoming students
10	in the initial grade of the high school.
11	(D) Information about the student popu-
12	lation, including data provided through the
13	early warning indicator system described in
14	paragraph (6)(A).
15	(E) The schools' capacity and needs, as de-
16	scribed in paragraph (9).
17	(4) Develop high school improvement
18	PLANS.—The school improvement team convened
19	under paragraph (2) for each school shall use the
20	data described in paragraph (3), and other relevant
21	data and knowledge regarding the school, to develop
22	a multiyear school improvement plan. Such plan
23	shall—
24	(A) identify the school annual growth tar-
25	gets for the State's school performance indica-

1	tors described in section 106(b)(3) that meet or
2	exceed the State's annual growth targets de-
3	scribed in such section;
4	(B) define the evidence-based academic
5	and nonacademic interventions and resources
6	necessary to meet the school annual growth tar-
7	gets and make adequate yearly progress;
8	(C) identify the roles of the State edu-
9	cational agency, the local educational agency,
10	the school, and secondary school reform part-
11	ners and other external partners, as appro-
12	priate, in providing such interventions and the
13	resources necessary to meet the school annual
14	growth targets and make adequate yearly
15	progress;
16	(D) provide for the involvement of business
17	and community organizations and other enti-
18	ties, including parents and institutions of high-
19	er education, in the activities to be assisted
20	under the subgrant;
21	(E) describe and direct the use of—
22	(i) any additional funding to be pro-
23	vided by the State educational agency, the
24	local educational agency, or other sources

1	to support activities carried out under this
2	title; and
3	(ii) in the case of a high school identi-
4	fied for whole school reform or replace-
5	ment, secondary school reform partners
6	and external partners.
7	(5) Implement high school improve-
8	MENT.—The local educational agency shall use funds
9	to—
10	(A) engage in a planning period of not
11	longer than 180 days to prepare to implement
12	the school improvement plan for each high
13	school, including preparation activities such
14	as—
15	(i) creating a skilled leadership team
16	and providing professional development in
17	best practice and successful school models
18	that educate similar student populations;
19	(ii) working with secondary school re-
20	form partners to identify roles and respon-
21	sibilities to create a comprehensive ap-
22	proach and effort to implementing the
23	school improvement plan for each school
24	identified for targeted intervention, whole
25	school improvement, or replacement:

1	(iii) planning and providing profes-
2	sional development to high school teachers
3	in instruction, use of data, and working in
4	the identified schools;
5	(iv) appropriately identifying teachers
6	for each grade and course;
7	(v) establishing and implementing use
8	of the early warning indicator system de-
9	scribed in paragraph (6)(A); and
10	(vi) establishing a school schedule that
11	enables the implementation of the high
12	school improvement plan; and
13	(B) ensure the implementation of the high
14	school improvement plans for the high schools
15	identified for one of the categories described in
16	section $106(b)(2)$ .
17	(6) Implement district-wide activities.—
18	The local educational agency shall support successful
19	implementation of high school improvement plans
20	and district-wide improvement through—
21	(A) establishing an early warning indicator
22	system to identify students who are at risk of
23	dropping out of high school and to guide pre-
24	ventive and recuperative school improvement
25	strategies, including—

1	(i) identifying and analyzing the aca-
2	demic risk factors that most reliably pre-
3	diet dropouts, such as by using longitu-
4	dinal data of past cohorts of students;
5	(ii) identifying specific indicators of
6	student progress and performance, such as
7	attendance, academic performance in core
8	courses, and credit accumulation, to guide
9	decisionmaking;
10	(iii) identifying or developing a mech-
11	anism for regularly collecting and ana-
12	lyzing data about the impact of interven-
13	tions on the indicators of student progress
14	and performance; and
15	(iv) analyzing academic indicators to
16	determine whether students are on track to
17	graduate secondary school in the standard
18	number of years;
19	(B) providing academically rigorous edu-
20	cation options that lead to a secondary school
21	diploma consistent with readiness for postsec-
22	ondary education and the workforce, based on
23	an analysis of data described in paragraph (3)
24	and other student-level data and designed to

1	meet the students' needs and interests, such
2	as—
3	(i) effective research-based dropout
4	prevention, credit and dropout recovery,
5	and recuperative education programs for
6	students who are not making sufficient
7	progress to graduate high school in the
8	standard number of years or have dropped
9	out of high school;
10	(ii) providing students with post-sec-
11	ondary learning opportunities, such as
12	through access to a relevant curriculum or
13	course of study that enables a student to
14	earn a secondary school diploma and—
15	(I) an associate's degree; or
16	(II) not more than 2 years of
17	transferable credit toward a postsec-
18	ondary degree or credential;
19	(iii) combining rigorous academic edu-
20	cation with career training, including
21	training that leads to postsecondary cre-
22	dentials, for students;
23	(iv) increasing access to Advanced
24	Placement or International Baccalaureate
25	courses and examinations; or

1	(v) developing and utilizing innovative,
2	high quality distance learning strategies to
3	improve student academic achievement;
4	(C) providing targeted research-based
5	interventions for middle schools that feed into
6	the high schools identified by the local edu-
7	cational agency as needing whole school reform
8	or replacement;
9	(D) identifying and implement strategies
10	for pairing academic support with integrated
11	student services and case-managed interven-
12	tions for students requiring intensive supports,
13	which may include partnership with other exter-
14	nal partners;
15	(E) providing technical assistance to high
16	schools identified for 1 of the categories de-
17	scribed in section 106(b)(2) through—
18	(i) streamlining and prioritizing re-
19	sources to organize support for schools in
20	whole school reform or replacement, such
21	as through identifying and developing cat-
22	egories or clusters of schools with similar
23	school improvement needs; and

1	(ii) assisting schools in identifying
2	secondary school reform partners and
3	other external partners; and
4	(F) supporting the use of data to improve
5	teaching and learning, including—
6	(i) improving longitudinal student
7	data systems;
8	(ii) regularly analyzing and commu-
9	nicating data to educators, parents, and
10	students that they can use; and
11	(iii) building principals' and teachers'
12	data and assessment literacy.
13	(7) Ensure continuous high school im-
14	PROVEMENT.—
15	(A) In general.—The local educational
16	agency shall ensure the continuous improve-
17	ment of high schools by—
18	(i) evaluating the progress of each
19	high school in making continuous and sub-
20	stantial progress based on the high school's
21	annual growth targets identified under
22	paragraph (4) for the school; and
23	(ii) determining the high school's
24	progress and taking appropriate actions, as
25	provided in subparagraphs (B) and (C).

1 (B) ON TRACK.—Each high school that is 2 meeting the school's annual growth targets 3 identified in the high school improvement plan 4 for the high school, shall continue to implement 5 school improvement activities in accordance 6 with the high school improvement plan. 7 (C) Not on track.— 8 (i) Annual review.—For each high 9 school that is not meeting the high school's 10 annual growth targets, the local edu-11 cational agency shall— 12 (I) after the first year that the 13 high school fails to meet the high 14 school's annual growth targets, review 15 the high school improvement plan and 16 develop and implement a new plan; 17 and 18 (II) after the high school fails to 19 meet the high school's annual growth 20 targets for 2 or more consecutive 21 years, reclassify the school as a school 22 in need of whole school reform or re-23 placement, as appropriate based on

the State educational agency's cat-

1	egorization system described in sec-
2	tion $106(b)(2)$ .
3	(ii) Resubmission of school
4	PLAN.—For each high school that fails to
5	meet the high school's annual growth tar-
6	gets for 2 or more consecutive years, the
7	local educational agency may develop and
8	submit to the State educational agency for
9	review a new school improvement plan, as
10	the local educational agency determines ap-
11	propriate.
12	(8) Assurances.—The local educational agen-
13	cy shall ensure that high schools receiving additional
14	students due to other high schools being replaced
15	under subsection (c) will have sufficient capacity, re-
16	sources, and funding to deliver a high quality edu-
17	cation to all students.
18	(9) Capacity and needs assessment.—
19	(A) In General.—Each school improve-

(A) IN GENERAL.—Each school improvement team described in subsection (b)(2) and the local educational agency shall conduct a high school capacity and needs assessment for the high school served by the team that includes—

1	(i) a description and analysis of the
2	high school's capacity to implement the
3	school improvement activities identified in
4	the high school improvement plan, includ-
5	ing an analysis of—
6	(I) the number, experience, train-
7	ing level, responsibilities, and stability
8	of existing administrative, instruc-
9	tional, and noninstructional staff for
10	the high school; and
11	(II) a review of the budget, in-
12	cluding how Federal, State, and local
13	funds are being spent, as of the time
14	of the assessment, for instruction and
15	operations at the school level for staff
16	salaries, instructional materials, pro-
17	fessional development, and student
18	support services, in order to establish
19	the extent to which existing resources
20	need to and can be reallocated to sup-
21	port the needed school improvement
22	activities;
23	(ii) additional resources and staff nec-
24	essary to implement the school improve-

1	ment activities identified in the high school
2	improvement plan; and
3	(iii) an analysis of the local edu-
4	cational agency's capacity to provide tech-
5	nical assistance, additional staff, and re-
6	sources to implement the high school im-
7	provement plan and to improve the high
8	school's performance.
9	(B) Assessment requirements.—A
10	local educational agency shall use the informa-
11	tion provided in the capacity and needs assess-
12	ment for a high school, in coordination with the
13	high school's school improvement plan and the
14	understanding of the reform history of high
15	schools, to—
16	(i) determine the level and direct the
17	use of—
18	(I) the funds requested by the
19	local educational agency for the high
20	school under the subgrant under this
21	section; and
22	(II) any additional funding to be
23	provided by the State educational
24	agency, the local educational agency,
25	or other sources; and

1	(ii) to determine the number and di-
2	rect the use of secondary school reform
3	partners and external partners.
4	(C) TECHNICAL ASSISTANCE.—A local edu-
5	cational agency may request technical assist-
6	ance from the State educational agency in pre-
7	paring the plan and the capacity and needs as-
8	sessment required under this paragraph.
9	(c) Authority To Intervene.—The State edu-
10	cational agency may intervene to develop or implement the
11	high school improvement plans, or enter into contracts
12	with secondary school reform partners to assist local edu-
13	cational agencies with the development and implementa-
14	tion of high school improvement plans, if the State edu-
15	cational agency determines that—
16	(1) a local educational agency serving a high
17	school in whole school reform or replacement has not
18	submitted an application described in section 109(b);
19	or
20	(2) a local educational agency does not have the
21	capacity to implement the school improvement activi-
22	ties described in the school improvement plan sub-
23	mitted under subsection (b)(4).

## 1 SEC. 111. SCHOOL IMPROVEMENT ACTIVITIES.

- 2 (a) IN GENERAL.—The school improvement team de-
- 3 scribed in section 110(b)(2) for each high school identified
- 4 for a school improvement category described in section
- 5 106(b)(2) shall ensure that the school improvement activi-
- 6 ties included in the school improvement plan are imple-
- 7 mented.
- 8 (b) Targeted Interventions.—A high school
- 9 identified for targeted interventions under section
- 10 110(b)(1) or the local educational agency serving such
- 11 high school, shall implement research-based targeted
- 12 interventions, using data from the school performance in-
- 13 dicators, the early warning indicator system, other student
- 14 indicators, and the capacity and needs assessment for the
- 15 high school. The targeted interventions shall be designed,
- 16 at a minimum, to address the specific problems identified
- 17 by the indicators, including the needs of students who are
- 18 not making sufficient progress to graduate in the standard
- 19 number of years.
- 20 (c) Whole School Reform.—The local educational
- 21 agency or State educational agency, with technical assist-
- 22 ance from secondary school reform partners, shall enable
- 23 and assist each school identified as needing whole school
- 24 reform pursuant to section 110(b)(1) to implement whole
- 25 school reform based on scientifically valid research using
- 26 the data described in section 110(b)(3). Such reform—

1	(1) shall address the comprehensive aspects of
2	high school reform, including—
3	(A) schoolwide needs;
4	(B) students who need targeted assistance;
5	and
6	(C) students who need intensive interven-
7	tions, including those who are not making suffi-
8	cient progress to graduate on time;
9	(2) shall address schoolwide factors to improve
10	student achievement, including—
11	(A) setting high expectations and infusing
12	relevance into learning for all students;
13	(B) personalizing the high school experi-
14	ence; and
15	(C) improving school climate, including
16	student attendance and behavior;
17	(3) shall include activities that—
18	(A) ensure continuous improvement by—
19	(i) ensuring the school improvement
20	plan is supported to the extent practicable
21	by all school staff;
22	(ii) establishing clear—
23	(I) goals and growth targets for
24	implementation outcomes; and

1	(II) school annual growth tar-
2	gets; and
3	(iii) regularly evaluating implementa-
4	tion of and fidelity to the high school im-
5	provement plan, such as dedicating a staff
6	member to support implementation of the
7	school improvement plan;
8	(B) organize the school to improve teach-
9	ing and learning, including through—
10	(i) strategic use of time, such as—
11	(I) establishing common planning
12	time for subject area teachers and
13	interdisciplinary teams who share
14	common groups of students;
15	(II) utilizing block scheduling or
16	redesigning the school calendar year
17	or day to create extended learning
18	time in core subjects; or
19	(III) creating a flexible school pe-
20	riod to address specific student aca-
21	demic needs and interests such as
22	credit recovery, electives, or service
23	learning;
24	(ii) alignment of resources to improve-
25	ment goals, such as through ensuring that

1	students in their initial year in the high
2	school are taught by teachers prepared to
3	meet their specific learning needs; and
4	(iii) development of effective leader-
5	ship structures, supports, and clear deci-
6	sion-making processes, such as through de-
7	veloping distributive leadership and leader-
8	ship teams;
9	(C) improve curriculum and instruction,
10	including through—
11	(i) increasing access to rigorous and
12	advanced coursework, including adoption
13	and implementation of a college- and work-
14	ready curriculum, and evidence-based, en-
15	gaging instructional materials aligned with
16	such a curriculum, for all students;
17	(ii) increasing access to contextualized
18	learning opportunities aligned with readi-
19	ness for postsecondary education and the
20	workforce, such as—
21	(I) providing work-based, project-
22	based, and service-learning opportuni-
23	ties; or
24	(II) providing a high quality, col-
25	lege preparatory curriculum in the

1	context of a rigorous career and tech-
2	nical education core;
3	(iii) regularly collecting and using
4	data to inform instruction, such as—
5	(I) through use of formative as-
6	sessments;
7	(II) creating and using common
8	grading rubries; or
9	(III) identifying effective instruc-
10	tional approaches to meet student
11	needs; and
12	(iv) emphasizing core skills instruc-
13	tion, such as literacy, across content areas;
14	(D) provide students with academic and
15	social support to address individual student
16	learning needs, including through—
17	(i) increasing personalization through
18	learning structures that facilitate the de-
19	velopment of student and staff relation-
20	ships such as—
21	(I) implementing grade 9 acad-
22	emies or thematic smaller learning
23	communities;

1	(II) establishing teams of teach-
2	ers who work exclusively with small
3	groups of students; or
4	(III) creating advisor positions to
5	provide students with study, organiza-
6	tional, and social skills;
7	(ii) offering extended-learning, credit
8	recovery, mentoring, or tutoring options of
9	sufficient scale to meet student needs;
10	(iii) providing evidence-based acceler-
11	ated learning for students with academic
12	skill levels below grade level;
13	(iv) coordinating and increasing ac-
14	cess to integrated services, such as pro-
15	viding additional counselors, social work-
16	ers, and behavior and mental health pro-
17	viders to deliver such services; and
18	(v) providing graduation and postsec-
19	ondary planning and transition supports,
20	including college awareness and planning;
21	(E) increase teacher and school leader ef-
22	fectiveness, including through—
23	(i) professional development activities
24	that respond to student and schoolwide

1	needs as identified through the data de-
2	scribed in section 110(b)(3), such as—
3	(I) training teachers, leaders, and
4	administrators together with staff
5	from high schools making adequate
6	yearly progress that serve similar pop-
7	ulations and in such schools; and
8	(II) establishing peer learning
9	and coaching among teachers; and
10	(ii) facilitating collaboration, including
11	through professional communities across
12	subject area and interdisciplinary groups
13	and similar high schools; and
14	(F) engage families and community part-
15	ners, including community-based organizations,
16	organizations assisting parent involvement, in-
17	stitutions of higher education, and industry, in
18	school improvement activities through evidence-
19	based strategies; and
20	(4) may include—
21	(A) providing enabling policies, such as ad-
22	ditional flexibility regarding staffing and com-
23	pensation, budgeting, student credit attainment,
24	or use of school time, that support the imple-

- 1 mentation of effective school improvement ac-2 tivities and educational options;
  - (B) implementing multiple school options or effective school models that address the needs of students who are not making sufficient progress to graduate in the standard number of years or have dropped out of high school, as informed by analysis of school performance indicator data described in section 106(b)(3) and early warning indicator system data described in section 110(b)(6)(A); and
    - (C) other activities designed to address whole school needs, such as implementing a comprehensive reform model for the high school.
- (d) Replacement.—The local educational agency, in consultation with the State educational agency, sec18 ondary school reform partners, and external partners,
  19 shall replace each high school that, using data under sec20 tion 110(b)(3), is identified for replacement pursuant to
  21 section 110(b)(1). The local educational agency shall en22 sure successful implementation of the replacement strat23 egy through—
- 24 (1) closing and reopening the schools or imple-25 menting multiple school options or effective school

1 models that address the needs of students in the re-2 placed schools, including students who are not mak-3 ing sufficient progress to graduate in the standard 4 number of years or have dropped out of high school; (2) providing enabling policies, such as addi-5 6 tional flexibility regarding staffing and compensation, budgeting, or use of school time; and 7 8 (3) implementing activities described in sub-9 section (c). 10 SEC. 112. EVALUATION AND REPORTING. 11 (a) Local Educational Agency Reporting.—On 12 an annual basis, each local educational agency receiving a subgrant under section 109 shall report to the State 13 14 educational agency and to the public on— 15 (1) the identified category of school improve-16 ment for each high school in the school that failed 17 to make adequate yearly progress for the most re-18 cent 2 consecutive years; 19 (2) the school performance indicators (as de-20 scribed in section 106(b)(3)) for each such high 21 school, in the aggregate and disaggregated by the 22 subgroups described in section 1111(b)(2)(C)(v)(II) 23 of the Elementary and Secondary Education Act of

1965 (20 U.S.C. 6311(b)(2)(C)(v)(II));

1	(3) each such high school's progress in meeting
2	the high school's annual growth targets under sec-
3	tion $110(b)(4)(A)$ ; and
4	(4) the use of funds by the local educational
5	agency and each such school.
6	(b) STATE EDUCATIONAL AGENCY REPORTING.—On
7	an annual basis, each State educational agency receiving
8	a grant under this title shall prepare and submit to the
9	Secretary, and make available to the public, a report on—
10	(1) the school performance indicators (as de-
11	scribed in section 106(b)(3)) for each high school
12	served by the State educational agency that receives
13	assistance under this title, in the aggregate and
14	disaggregated by the subgroups described in section
15	1111(b)(2)(C)(v)(II) of the Elementary and Sec-
16	ondary Education Act of 1965 (20 U.S.C.
17	6311(b)(2)(C)(v)(II));
18	(2) progress in meeting the annual growth tar-
19	gets under section $110(b)(4)(A)$ for each such high
20	school;
21	(3) the high schools in the State that have
22	changed school improvement categories pursuant to
23	section $110(b)(7)$ ;
24	(4) the use of funds by each local educational
25	agency and each school served with such funds;

1	(5) the State definition of a new school, for
2	purposes of whole school reform or replacement;
3	(6) the number of schools closed for each local
4	educational agency in the State;
5	(7) the number of new schools for each local
6	educational agency in the State; and
7	(8) the new schools in the State that have made
8	adequate yearly progress.
9	(c) Report to Congress.—Every 2 years, the Sec-
10	retary shall prepare and submit to Congress and make
11	available to the public—
12	(1) a summary of the State reports under sub-
13	section (b); and
14	(2) a report on the use of funds by each State
15	under this title.
16	SEC. 113. AUTHORIZATION OF APPROPRIATIONS.
17	There is authorized to be appropriated to carry out
18	the activities authorized under this title, \$2,440,000,000
19	for fiscal year 2011 and each of the 4 succeeding fiscal
20	years.
21	TITLE II—DEVELOPMENT OF
22	EFFECTIVE SCHOOL MODELS
23	SEC. 201. PURPOSES.
24	The purposes of this title are—

1	(1) to facilitate the development and implemen-
2	tation of effective secondary school models for strug-
3	gling students and dropouts in order to raise sec-
4	ondary school graduation rates and more effectively
5	prepare students for postsecondary education and
6	the workforce; and
7	(2) to build the capacity of State educational
8	agencies, local educational agencies, nonprofit orga-
9	nizations, and institutions of higher education to im-
10	plement effective secondary school models for strug-
11	gling students and dropouts.
12	SEC. 202. DEFINITIONS.
13	In this title:
14	(1) Dropout.—The term "dropout" means an
15	individual who—
16	(A) is not older than 21;
17	(B) is not attending any school; and
18	(C) has not received a secondary school di-
19	ploma or its recognized equivalent.
20	(2) Effective school model.—The term
21	"effective school model" means—
22	(A) an existing secondary school model
23	with demonstrated effectiveness in improving
24	student academic achievement and outcomes for
25	off-track students or dropouts; or

1	(B) a proposed new secondary school
2	model design that is based on research-based
3	organizational and instructional practices for
4	improving student academic achievement and
5	outcomes for struggling students or dropouts.
6	(3) Eligible enti-
7	ty" means—
8	(A) a local educational agency, nonprofit
9	organization, or institution of higher edu-
10	cation—
11	(i) that proposes to enhance or ex-
12	pand an existing effective school model for
13	off-track students or dropouts; or
14	(ii) that has a track record of serving
15	struggling students or dropouts and pro-
16	poses to develop a new effective school
17	model for off-track students or dropouts;
18	or
19	(B) a partnership involving 2 or more enti-
20	ties described in subparagraph (A).
21	(4) Late entrant english language
22	LEARNER.—The term "late entrant English lan-
23	guage learner" means a high school student who—
24	(A) enters a school served by a local edu-
25	cational agency at grade 9 or higher; and

1	(B) is identified by the local educational
2	agency as being limited English proficient and
3	as having experienced interrupted formal edu-
4	cation.
5	(5) Struggling student.—The term "strug-
6	gling student''—
7	(A) means a high school-aged student who
8	is not making sufficient progress toward grad-
9	uating from secondary school with a regular di-
10	ploma in the standard number of years; and
11	(B) includes a student who—
12	(i) has been retained in grade level;
13	(ii) is an undercredited student; or
14	(iii) is a late entrant English language
15	learner.
16	(6) Undercredited student.—The term
17	"undercredited student" means a high school stu-
18	dent who lacks either the necessary credits or
19	courses, as determined by the relevant local edu-
20	cational agency and State educational agency, to
21	graduate from secondary school with a regular di-
22	ploma in the standard number of years.
23	SEC. 203. GRANTS AUTHORIZED.
24	(a) In General.—The Secretary is authorized to
25	award grants, on a competitive basis, to eligible entities

1	to enable the eligible entities to develop and implement,
2	or replicate, effective school models for struggling students
3	and dropouts.
4	(b) Period of Grant.—A grant awarded under this
5	section shall be for a period of 5 years.
6	SEC. 204. APPLICATION.
7	(a) In General.—Each eligible entity desiring a
8	grant under this title shall submit an application to the
9	Secretary at such time, in such manner, and containing
10	such information as the Secretary may require.
11	(b) Contents.—Each application submitted under
12	this section shall include a description of—
13	(1) how the eligible entity will carry out the
14	mandatory activities under section 206(a);
15	(2) the research or evidence concerning the ef-
16	fective school model that the eligible entity proposes
17	to develop and implement or replicate, including—
18	(A) for an existing effective school model
19	described in section 202(2)(A), the evidence
20	that the model has improved academic out-
21	comes for struggling students or dropouts; or
22	(B) for a proposed effective school model
23	described in section 202(2)(B), the research
24	that supports the key organizational and in-

1	structional practices of the proposed effective
2	school model;
3	(3) the eligible entity's school design elements
4	and principles that will be used in the effective
5	school model, including—
6	(A) the academic program;
7	(B) the instructional practices;
8	(C) the methods of assessment; and
9	(D) student supports and services, such as
10	the supports and services provided by the school
11	or offered by other organizations and agencies
12	in the community, to support positive student
13	academic achievement and outcomes;
14	(4) how the eligible entity will use student data
15	from the local educational agency or State edu-
16	cational agency to evaluate and improve academic
17	outcomes for struggling students or dropouts;
18	(5) for each school in which the eligible entity
19	implements or replicates an effective school model
20	under this title, how the eligibility entity will sustain
21	the implementation or replication of the effective
22	school model, including the financing mechanism to
23	be used;
24	(6) how the eligible entity will collect data and
25	information to assess the performance of the effec-

- 1 tive school model and will make necessary adjust-2 ments to ensure continuous and substantial improvement in student academic achievement and out-3 comes; and (7) how the eligible entity will make the per-6 formance data available to State educational agen-7 cies, local educational agencies, and schools serving 8 struggling students or dropouts. SEC. 205. SECRETARIAL PEER REVIEW AND APPROVAL. 10 The Secretary shall— 11 (1) establish a peer-review process to assist in 12 the review and approval of applications submitted by 13 eligible entities under section 204; and 14 (2) appoint individuals to the peer-review proc-15 ess who are experts in high school reform, dropout 16 prevention and recovery, new school development for 17 struggling students and dropouts, and adolescent 18 and academic development. 19 SEC. 206. USE OF FUNDS. 20 (a) Mandatory Use of Funds.—An eligible entity 21 receiving a grant under this title shall use grant funds 22 to-
- 23 (1) enhance and expand, or replicate an existing 24 effective school model described in section 25 202(2)(A), or develop a proposed effective school

1	model described in section 202(2)(B), for struggling
2	students and dropouts;
3	(2) assess the progress of the implementation
4	or replication of the effective school model and make
5	necessary adjustments to ensure continuous im-
6	provement;
7	(3) provide opportunities for professional devel-
8	opment associated with the continuous improvement
9	and implementation or replication of the effective
10	school model;
11	(4) collect data and information on the school
12	model's effectiveness in improving student academic
13	achievement and outcomes for struggling students
14	and dropouts and disseminate such data and infor-
15	mation to State educational agencies, local edu-
16	cational agencies, and schools; and
17	(5) build the capacity of the eligible entity to—
18	(A) sustain the implementation or replica-
19	tion of the effective school model assisted under
20	paragraph (1) after the grant period has ended;
21	and
22	(B) replicate the effective school model.
23	(b) OPTIONAL USE OF FUNDS.—An eligible entity re-
24	ceiving a grant under this title may use grant funds—

1	(1) to identify and create partnerships needed
2	to improve the academic achievement and outcomes
3	of the students attending a school assisted under
4	this title;
5	(2) to support family and community engage-
6	ment in the effective school model; and
7	(3) to carry out any additional activities that
8	the Secretary determines are within the purposes de-
9	scribed in section 201.
10	SEC. 207. EVALUATION AND REPORTING.
11	(a) Contents of Report.—Each eligible entity re-
12	ceiving a grant under this title shall annually report to
13	the Secretary on—
14	(1) the data and information being gathered to
15	assess the effective school model's effectiveness in
16	improving student academic achievement and out-
17	comes for struggling students and dropouts;
18	(2) the implementation status of the models.
19	any barriers to implementation, and actions taken to
20	overcome the barriers;
21	(3) any professional development activities to
22	build the capacity of—
23	(A) the eligible entity to sustain or rep-
24	licate the effective school model: or

1	(B) the staff of a school assisted under
2	this title to implement or improve the effective
3	school model;
4	(4) the progress made in improving student
5	academic achievement and outcomes in the effective
6	school models for struggling students and dropouts;
7	and
8	(5) the use of grant funds by the eligible entity.
9	(b) Independent Evaluations.—The Secretary
10	shall reserve not more than \$5,000,000 to carry out an
11	independent evaluation of the grant program under this
12	title and the progress of the eligible entities receiving
13	grants under this title.
14	SEC. 208. AUTHORIZATION OF APPROPRIATIONS.
15	There is authorized to be appropriated to carry out

 $\circ$ 

17 the 4 succeeding fiscal years.

 $16\,$  this title  $\$60,\!000,\!000$  for fiscal year 2011 and each of