

110TH CONGRESS
1ST SESSION

S. 73

To amend title XVIII of the Social Security Act to provide for patient protection by establishing minimum nurse staffing ratios at certain Medicare providers, and for other purposes.

IN THE SENATE OF THE UNITED STATES

JANUARY 4, 2007

Mr. REID (for Mr. INOUE) introduced the following bill; which was read twice and referred to the Committee on Finance

A BILL

To amend title XVIII of the Social Security Act to provide for patient protection by establishing minimum nurse staffing ratios at certain Medicare providers, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Registered Nurse Safe
5 Staffing Act of 2007”.

6 **SEC. 2. FINDINGS.**

7 Congress makes the following findings:

1 (1) There are hospitals throughout the United
2 States that have inadequate staffing of registered
3 nurses to protect the well-being and health of the
4 patients.

5 (2) Studies show that the health of patients in
6 hospitals is directly proportionate to the number of
7 registered nurses working in the hospital.

8 (3) There is a critical shortage of registered
9 nurses in the United States.

10 (4) The effect of that shortage is revealed in
11 unsafe staffing levels in hospitals.

12 (5) Patient safety is adversely affected by these
13 unsafe staffing levels, creating a public health crisis.

14 (6) Registered nurses are being required to per-
15 form professional services under conditions that do
16 not support quality health care or a healthful work
17 environment for registered nurses.

18 (7) As a payer for inpatient and outpatient hos-
19 pital services for individuals entitled to benefits
20 under the Medicare program established under title
21 XVIII of the Social Security Act, the Federal Gov-
22 ernment has a compelling interest in promoting the
23 safety of such individuals by requiring any hospital
24 participating in such program to establish minimum
25 safe staffing levels for registered nurses.

1 **SEC. 3. ESTABLISHMENT OF MINIMUM STAFFING RATIOS**
 2 **BY MEDICARE PARTICIPATING HOSPITALS.**

3 (a) REQUIREMENT OF MEDICARE PROVIDER AGREE-
 4 MENT.—Section 1866(a)(1) of the Social Security Act (42
 5 U.S.C. 1395cc(a)(1)) is amended—

6 (1) in subparagraph (U), by striking “and” at
 7 the end;

8 (2) in subparagraph (V), by striking the period
 9 at the end and inserting “, and”; and

10 (3) by inserting after subparagraph (V) the fol-
 11 lowing new subparagraph:

12 “(W) in the case of a hospital, to meet the re-
 13 quirements of section 1890.”.

14 (b) REQUIREMENTS.—Title XVIII of the Social Secu-
 15 rity Act is amended by inserting after section 1889 the
 16 following new section:

17 “STAFFING REQUIREMENTS FOR MEDICARE
 18 PARTICIPATING HOSPITALS

19 “SEC. 1890. (a) ESTABLISHMENT OF STAFFING SYS-
 20 TEM.—

21 “(1) IN GENERAL.—Each participating hospital
 22 shall adopt and implement a staffing system that en-
 23 sures a number of registered nurses on each shift
 24 and in each unit of the hospital to ensure appro-
 25 priate staffing levels for patient care.

1 “(2) STAFFING SYSTEM REQUIREMENTS.—Sub-
2 ject to paragraph (3), a staffing system adopted and
3 implemented under this section shall—

4 “(A) be based upon input from the direct
5 care-giving registered nurse staff or their exclu-
6 sive representatives, as well as the chief nurse
7 executive;

8 “(B) be based upon the number of patients
9 and the level and variability of intensity of care
10 to be provided, with appropriate consideration
11 given to admissions, discharges, and transfers
12 during each shift;

13 “(C) account for contextual issues affect-
14 ing staffing and the delivery of care, including
15 architecture and geography of the environment
16 and available technology;

17 “(D) reflect the level of preparation and
18 experience of those providing care;

19 “(E) account for staffing level effectiveness
20 or deficiencies in related health care classifica-
21 tions, including but not limited to, certified
22 nurse assistants, licensed vocational nurses, li-
23 censed psychiatric technicians, nursing assist-
24 ants, aides, and orderlies;

1 “(F) reflect staffing levels recommended by
2 specialty nursing organizations;

3 “(G) establish upwardly adjustable reg-
4 istered nurse-to-patient ratios based upon reg-
5 istered nurses’ assessment of patient acuity and
6 existing conditions;

7 “(H) provide that a registered nurse shall
8 not be assigned to work in a particular unit
9 without first having established the ability to
10 provide professional care in such unit; and

11 “(I) be based on methods that assure va-
12 lidity and reliability.

13 “(3) LIMITATION.—A staffing system adopted
14 and implemented under paragraph (1) may not—

15 “(A) set registered-nurse levels below those
16 required by any Federal or State law or regula-
17 tion; or

18 “(B) utilize any minimum registered
19 nurse-to-patient ratio established pursuant to
20 paragraph (2)(G) as an upper limit on the
21 staffing of the hospital to which such ratio ap-
22 plies.

23 “(b) REPORTING, AND RELEASE TO PUBLIC, OF
24 CERTAIN STAFFING INFORMATION.—

1 “(1) REQUIREMENTS FOR HOSPITALS.—Each
2 participating hospital shall—

3 “(A) post daily for each shift, in a clearly
4 visible place, a document that specifies in a uni-
5 form manner (as prescribed by the Secretary)
6 the current number of licensed and unlicensed
7 nursing staff directly responsible for patient
8 care in each unit of the hospital, identifying
9 specifically the number of registered nurses;

10 “(B) upon request, make available to the
11 public—

12 “(i) the nursing staff information de-
13 scribed in subparagraph (A); and

14 “(ii) a detailed written description of
15 the staffing system established by the hos-
16 pital pursuant to subsection (a); and

17 “(C) submit to the Secretary in a uniform
18 manner (as prescribed by the Secretary) the
19 nursing staff information described in subpara-
20 graph (A) through electronic data submission
21 not less frequently than quarterly.

22 “(2) SECRETARIAL RESPONSIBILITIES.—The
23 Secretary shall—

24 “(A) make the information submitted pur-
25 suant to paragraph (1)(C) publicly available, in-

1 cluding by publication of such information on
 2 the Internet site of the Department of Health
 3 and Human Services; and

4 “(B) provide for the auditing of such infor-
 5 mation for accuracy as a part of the process of
 6 determining whether an institution is a hospital
 7 for purposes of this title.

8 “(c) RECORDKEEPING; DATA COLLECTION; EVALUA-
 9 TION.—

10 “(1) RECORDKEEPING.—Each participating
 11 hospital shall maintain for a period of at least 3
 12 years (or, if longer, until the conclusion of pending
 13 enforcement activities) such records as the Secretary
 14 deems necessary to determine whether the hospital
 15 has adopted and implemented a staffing system pur-
 16 suant to subsection (a).

17 “(2) DATA COLLECTION ON CERTAIN OUT-
 18 COMES.—The Secretary shall require the collection,
 19 maintenance, and submission of data by each par-
 20 ticipating hospital sufficient to establish the link be-
 21 tween the staffing system established pursuant to
 22 subsection (a) and—

23 “(A) patient acuity from maintenance of
 24 acuity data through entries on patients’ charts;

1 “(B) patient outcomes that are nursing
2 sensitive, such as patient falls, adverse drug
3 events, injuries to patients, skin breakdown,
4 pneumonia, infection rates, upper gastro-
5 intestinal bleeding, shock, cardiac arrest, length
6 of stay, and patient readmissions;

7 “(C) operational outcomes, such as work-
8 related injury or illness, vacancy and turnover
9 rates, nursing care hours per patient day, on-
10 call use, overtime rates, and needle-stick inju-
11 ries; and

12 “(D) patient complaints related to staffing
13 levels.

14 “(3) EVALUATION.—Each participating hospital
15 shall annually evaluate its staffing system and estab-
16 lish minimum registered nurse staffing ratios to as-
17 sure ongoing reliability and validity of the system
18 and ratios. The evaluation shall be conducted by a
19 joint management-staff committee comprised of at
20 least 50 percent of registered nurses who provide di-
21 rect patient care.

22 “(d) ENFORCEMENT.—

23 “(1) RESPONSIBILITY.—The Secretary shall en-
24 force the requirements and prohibitions of this sec-

1 tion in accordance with the succeeding provisions of
2 this subsection.

3 “(2) PROCEDURES FOR RECEIVING AND INVES-
4 TIGATING COMPLAINTS.—The Secretary shall estab-
5 lish procedures under which—

6 “(A) any person may file a complaint that
7 a participating hospital has violated a require-
8 ment or a prohibition of this section; and

9 “(B) such complaints are investigated by
10 the Secretary.

11 “(3) REMEDIES.—If the Secretary determines
12 that a participating hospital has violated a require-
13 ment of this section, the Secretary—

14 “(A) shall require the facility to establish
15 a corrective action plan to prevent the recur-
16 rence of such violation; and

17 “(B) may impose civil money penalties
18 under paragraph (4).

19 “(4) CIVIL MONEY PENALTIES.—

20 “(A) IN GENERAL.—In addition to any
21 other penalties prescribed by law, the Secretary
22 may impose a civil money penalty of not more
23 than \$10,000 for each knowing violation of a
24 requirement of this section, except that the Sec-
25 retary shall impose a civil money penalty of

1 more than \$10,000 for each such violation in
 2 the case of a participating hospital that the
 3 Secretary determines has a pattern or practice
 4 of such violations (with the amount of such ad-
 5 ditional penalties being determined in accord-
 6 ance with a schedule or methodology specified
 7 in regulations).

8 “(B) PROCEDURES.—The provisions of
 9 section 1128A (other than subsections (a) and
 10 (b)) shall apply to a civil money penalty under
 11 this paragraph in the same manner as such
 12 provisions apply to a penalty or proceeding
 13 under section 1128A.

14 “(C) PUBLIC NOTICE OF VIOLATIONS.—

15 “(i) INTERNET SITE.—The Secretary
 16 shall publish on the Internet site of the
 17 Department of Health and Human Serv-
 18 ices the names of participating hospitals on
 19 which civil money penalties have been im-
 20 posed under this section, the violation for
 21 which the penalty was imposed, and such
 22 additional information as the Secretary de-
 23 termines appropriate.

24 “(ii) CHANGE OF OWNERSHIP.—With
 25 respect to a participating hospital that had

1 a change in ownership, as determined by
2 the Secretary, penalties imposed on the
3 hospital while under previous ownership
4 shall no longer be published by the Sec-
5 retary of such Internet site after the 1-
6 year period beginning on the date of
7 change in ownership.

8 “(e) WHISTLEBLOWER PROTECTIONS.—

9 “(1) PROHIBITION OF DISCRIMINATION AND
10 RETALIATION.—A participating hospital shall not
11 discriminate or retaliate in any manner against any
12 patient or employee of the hospital because that pa-
13 tient or employee, or any other person, has pre-
14 sented a grievance or complaint, or has initiated or
15 cooperated in any investigation or proceeding of any
16 kind, relating to the staffing system or other re-
17 quirements and prohibitions of this section.

18 “(2) RELIEF FOR PREVAILING EMPLOYEES.—

19 An employee of a participating hospital who has
20 been discriminated or retaliated against in employ-
21 ment in violation of this subsection may initiate judi-
22 cial action in a United States district court and shall
23 be entitled to reinstatement, reimbursement for lost
24 wages, and work benefits caused by the unlawful
25 acts of the employing hospital. Prevailing employees

1 are entitled to reasonable attorney's fees and costs
 2 associated with pursuing the case.

3 “(3) RELIEF FOR PREVAILING PATIENTS.—A
 4 patient who has been discriminated or retaliated
 5 against in violation of this subsection may initiate
 6 judicial action in a United States district court. A
 7 prevailing patient shall be entitled to liquidated
 8 damages of \$5,000 for a violation of this statute in
 9 addition to any other damages under other applica-
 10 ble statutes, regulations, or common law. Prevailing
 11 patients are entitled to reasonable attorney's fees
 12 and costs associated with pursuing the case.

13 “(4) LIMITATION ON ACTIONS.—No action may
 14 be brought under paragraph (2) or (3) more than 2
 15 years after the discrimination or retaliation with re-
 16 spect to which the action is brought.

17 “(5) TREATMENT OF ADVERSE EMPLOYMENT
 18 ACTIONS.—For purposes of this subsection—

19 “(A) an adverse employment action shall
 20 be treated as retaliation or discrimination; and

21 “(B) the term ‘adverse employment action’
 22 includes—

23 “(i) the failure to promote an indi-
 24 vidual or provide any other employment-re-

1 lated benefit for which the individual would
2 otherwise be eligible;

3 “(ii) an adverse evaluation or decision
4 made in relation to accreditation, certifi-
5 cation, credentialing, or licensing of the in-
6 dividual; and

7 “(iii) a personnel action that is ad-
8 verse to the individual concerned.

9 “(f) RELATIONSHIP TO STATE LAWS.—Nothing in
10 this section shall be construed as exempting or relieving
11 any person from any liability, duty, penalty, or punish-
12 ment provided by any present or future law of any State
13 or political subdivision of a State, other than any such
14 law which purports to require or permit the doing of any
15 act which would be an unlawful practice under this title.

16 “(g) RELATIONSHIP TO CONDUCT PROHIBITED
17 UNDER THE NATIONAL LABOR RELATIONS ACT OR
18 OTHER COLLECTIVE BARGAINING LAWS.—Nothing in
19 this section shall be construed as permitting conduct pro-
20 hibited under the National Labor Relations Act or under
21 any other Federal, State, or local collective bargaining law.

22 “(h) REGULATIONS.—The Secretary shall promul-
23 gate such regulations as are appropriate and necessary to
24 implement this section.

25 “(i) DEFINITIONS.—In this section:

1 “(1) PARTICIPATING HOSPITAL.—The term
2 ‘participating hospital’ means a hospital that has en-
3 tered into a provider agreement under section 1866.

4 “(2) REGISTERED NURSE.—The term ‘reg-
5 istered nurse’ means an individual who has been
6 granted a license to practice as a registered nurse in
7 at least 1 State.

8 “(3) UNIT.—The term ‘unit’ of a hospital is an
9 organizational department or separate geographic
10 area of a hospital, such as a burn unit, a labor and
11 delivery room, a post-anesthesia service area, an
12 emergency department, an operating room, a pedi-
13 atric unit, a stepdown or intermediate care unit, a
14 specialty care unit, a telemetry unit, a general med-
15 ical care unit, a subacute care unit, and a transi-
16 tional inpatient care unit.

17 “(4) SHIFT.—The term ‘shift’ means a sched-
18 uled set of hours or duty period to be worked at a
19 participating hospital.

20 “(5) PERSON.—The term ‘person’ means 1 or
21 more individuals, associations, corporations, unincor-
22 porated organizations, or labor unions.”.

23 (c) EFFECTIVE DATE.—The amendments made by
24 this section shall take effect on January 1, 2008.

