

108TH CONGRESS  
1ST SESSION

# H. R. 34

To authorize appropriations for fiscal years 2004, 2005, 2006, and 2007 for the Department of Energy Office of Science, to ensure that the United States is the world leader in key scientific fields by restoring a healthy balance of science funding, to ensure maximum utilization of the national user facilities, and to secure the Nation's supply of scientists for the 21st century, and for other purposes.

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## IN THE HOUSE OF REPRESENTATIVES

JANUARY 7, 2003

Mrs. BIGGERT (for herself, Mr. EHLERS, Mrs. TAUSCHER, Mr. ANDREWS, Mr. BOSWELL, Mr. JOHNSON of Illinois, Mr. HOLT, Mr. TOM DAVIS of Virginia, Mr. HONDA, Mr. ISRAEL, Mr. MORAN of Virginia, Mr. SHIMKUS, Mr. WAMP, Mr. HOUGHTON, Mr. HASTINGS of Washington, Ms. EDDIE BERNICE JOHNSON of Texas, Mr. NADLER, Mr. LEACH, Mr. BOYD, Mr. HINCHEY, Mr. BAIRD, Mr. ETHERIDGE, Mr. UDALL of New Mexico, Mr. FILNER, Ms. ROYBAL-ALLARD, Mrs. McCARTHY of New York, Mr. CAPUANO, Ms. SLAUGHTER, Mr. McDERMOTT, Mr. CALVERT, Mr. SCHIFF, Mr. DEUTSCH, Mr. WELLER, Mr. ABERCROMBIE, Mr. KENNEDY of Rhode Island, Mr. SIMPSON, Mr. HINOJOSA, Mr. RUSH, Mrs. DAVIS of California, and Mr. STUPAK) introduced the following bill; which was referred to the Committee on Science

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## A BILL

To authorize appropriations for fiscal years 2004, 2005, 2006, and 2007 for the Department of Energy Office of Science, to ensure that the United States is the world leader in key scientific fields by restoring a healthy balance of science funding, to ensure maximum utilization of the national user facilities, and to secure the Nation's

supply of scientists for the 21st century, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-  
2 tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Energy and Science  
5 Research Investment Act of 2003”.

6 **SEC. 2. FINDINGS.**

7       Congress makes the following findings:

8               (1) The Office of Science is the largest Federal  
9               sponsor of civilian research in the physical sciences  
10               and plays a major role in supporting interdisciplinary  
11               research that contributes to other scientific  
12               fields, including the life sciences, mathematics, com-  
13               puter science, engineering, and the environmental  
14               sciences.

15               (2) The Department of Energy’s laboratories  
16               have scientific capabilities that are unmatched in  
17               typical academic or industrial institutions. Their sci-  
18               entific teams can develop integrated approaches to  
19               grand scientific challenges that are often beyond the  
20               reach of individual experimenters. The Human Ge-  
21               nome Project exemplifies this capability.

22               (3) The facilities at the Department of Ener-  
23               gy’s laboratories are invaluable to scientists across

1 disciplines, including those from academia, industry,  
2 and government.

3 (4) For more than half a century, science re-  
4 search has had an extraordinary impact on the econ-  
5 omy, national security, medicine, energy, life  
6 sciences, and the environment. In the economic  
7 arena, studies show that about half of all United  
8 States post-World War II economic growth is a di-  
9 rect result of technological innovation stemming  
10 from scientific research.

11 (5) The Department of Energy's Office of  
12 Science programs, in constant dollars, have been flat  
13 funded for more than a decade, placing our scientific  
14 leadership in jeopardy and limiting the generation of  
15 ideas that will enhance our security and drive future  
16 economic growth.

17 (6) Because the cost of doing research increases  
18 at a faster rate than the Consumer Price Index, flat  
19 funding for the Office of Science has led to a decline  
20 in the number of grants awarded, students trained,  
21 and scientists supported. Flat and erratic funding  
22 has also led to an underutilization of the facilities  
23 that the United States has invested hundreds of mil-  
24 lions of dollars to construct.

# **TITLE I—OFFICE OF SCIENCE AUTHORIZATION**

## 9 SEC. 101. AUTHORIZATION OF APPROPRIATIONS.

10 (a) PROGRAM DIRECTION.—The Secretary of En-  
11 ergy, acting through the Office of Science, shall—

12 (1) conduct a comprehensive program of funda-  
13 mental research, including research on chemical  
14 sciences, physics, materials sciences, biological and  
15 environmental sciences, geosciences, engineering  
16 sciences, plasma sciences, mathematics, and ad-  
17 vanced scientific computing;

23 (3) maintain a leading-edge research capability  
24 in the energy-related aspects of nanoscience and

1 nanotechnology, advanced scientific computing and  
2 genome research; and

3 (4) ensure that its fundamental science pro-  
4 grams, where appropriate, help inform the applied  
5 research and development programs of the Depart-  
6 ment.

7 (b) FISCAL YEAR 2004.—

8 (1) IN GENERAL.—There are authorized to be  
9 appropriated to the Office of Science  
10 \$3,624,454,000 for fiscal year 2004.

11 (2) SPECIFIC ALLOCATIONS.—The amount au-  
12 thorized under paragraph (1) shall be allocated as  
13 follows:

14 (A) General research activities (including  
15 university programs, facilities operations, na-  
16 tional laboratory programs, accelerator research  
17 and development, workforce development, con-  
18 struction carryovers from years prior to fiscal  
19 year 2004, and program administration):  
20 \$3,494,454,000.

21 (B) Initiatives consistent with interagency  
22 guidance (among them nanoscience centers, ad-  
23 vanced complex-simulation computing, and  
24 Genomes-to-Life centers): \$80,000,000.

25 (C) New construction: \$50,000,000.

## 1 (c) FISCAL YEAR 2005.—

2 (1) IN GENERAL.—There are authorized to be  
3 appropriated to the Office of Science  
4 \$4,015,000,000 for fiscal year 2005.5 (2) SPECIFIC ALLOCATIONS.—The amount au-  
6 thorized under paragraph (1) shall be allocated as  
7 follows:8 (A) General research activities (including  
9 university programs, facilities operations, na-  
10 tional laboratory programs, accelerator research  
11 and development, workforce development, con-  
12 struction carryovers from years prior to fiscal  
13 year 2004, and program administration):  
14 \$3,820,000,000.15 (B) Initiatives consistent with interagency  
16 guidance (among them nanoscience centers, ad-  
17vanced complex-simulation computing, and  
18 Genomes-to-Life centers): \$130,000,000.

19 (C) New construction: \$65,000,000.

## 20 (d) FISCAL YEAR 2006.—

21 (1) IN GENERAL.—There are authorized to be  
22 appropriated to the Office of Science  
23 \$4,618,000,000 for fiscal year 2006.

11 (B) Initiatives consistent with interagency  
12 guidance (among them nanoscience centers, ad-  
13 vanced complex-simulation computing, and  
14 Genomes-to-Life centers); \$205,000,000.

15 (C) New construction: \$170,000,000.

16 (e) FISCAL YEAR 2007.—

17 (1) IN GENERAL.—There are authorized to be  
18 appropriated to the Office of Science  
19 \$5,310,000,000 for fiscal year 2007.

20 (2) SPECIFIC ALLOCATIONS.—The amount au-  
21 thorized under paragraph (1) shall be allocated as  
22 follows:

23 (A) General research activities (including  
24 university programs, facilities operations, na-  
25 tional laboratory programs, accelerator research

1 and development, workforce development, construction carryovers from years prior to fiscal  
2 year 2004, and program administration):  
3 \$4,815,000,000.

5 (B) Initiatives consistent with interagency  
6 guidance (among them nanoscience centers, advanced complex-simulation computing, and  
7 Genomes-to-Life centers): \$215,000,000.

9 (C) New construction: \$280,000,000.

10 **SEC. 102. REPORTING.**

11 Not later than 60 days after the date of enactment  
12 of legislation providing for the annual appropriation of  
13 funds for the Office of Science, the Director of the Office  
14 of Science, henceforth referred to as the Assistant Sec-  
15 retary of Science, in accordance with section 201(b) of this  
16 Act, shall submit to the Committee on Science of the  
17 House of Representatives and the Committee on Energy  
18 and Natural Resources of the Senate a plan for the alloca-  
19 tion of funds authorized by this Act for the corresponding  
20 fiscal year. The plan shall include a description of how  
21 the allocation of funding will—

22 (1) affect trends in research support for major  
23 fields and subfields of the physical sciences, mathe-  
24 matics, and engineering, including emerging multi-  
25 disciplinary areas;

3 (3) address the workforce needs by field of  
4 science, mathematics, and engineering; and

5 (4) ensure that research in the physical  
6 sciences, mathematics, and engineering is adequate  
7 to address important research opportunities in these  
8 fields.

11 SEC. 201. IMPROVED COORDINATION AND MANAGEMENT  
12 OF CIVILIAN SCIENCE AND TECHNOLOGY  
13 PROGRAMS.

14 (a) EFFECTIVE TOP-LEVEL COORDINATION OF RE-  
15 SEARCH AND DEVELOPMENT PROGRAMS.—Section 202(b)  
16 of the Department of Energy Organization Act (42 U.S.C.  
17 7132(b)) is amended to read as follows:

18       “(b)(1) There shall be in the Department an Under  
19 Secretary for Energy Research and Science, who shall be  
20 appointed by the President, by and with the advice and  
21 consent of the Senate. The Under Secretary shall be com-  
22 pensated at the rate provided for at level III of the Execu-  
23 tive Schedule under section 5314 of title 5, United States  
24 Code.

1       “(2) The Under Secretary for Energy Research and  
2 Science shall be appointed from among persons who—

3           “(A) have extensive background in scientific or  
4 engineering fields; and

5           “(B) are well qualified to manage the civilian  
6 research and development programs of the Depart-  
7 ment of Energy.

8       “(3) The Under Secretary for Energy Research and  
9 Science shall—

10           “(A) serve as the Science and Technology Advis-  
11 sor to the Secretary;

12           “(B) monitor the Department’s research and  
13 development programs in order to advise the Sec-  
14 retary with respect to any undesirable duplication or  
15 gaps in such programs;

16           “(C) advise the Secretary with respect to the  
17 well-being and management of the science labora-  
18 tories under the jurisdiction of the Department;

19           “(D) advise the Secretary with respect to edu-  
20 cation and training activities required for effective  
21 short- and long-term basic and applied research ac-  
22 tivities of the Department;

23           “(E) advise the Secretary with respect to grants  
24 and other forms of financial assistance required for

1 effective short- and long-term basic and applied re-  
2 search activities of the Department; and

3                 “(F) exercise authority and responsibility over  
4                 Assistant Secretaries carrying out energy research  
5                 and development and energy technology functions  
6                 under sections 203 and 209, as well as other ele-  
7                 ments of the Department assigned by the Sec-  
8                 retary.”.

9                 (b) RECONFIGURATION OF POSITION OF DIRECTOR  
10                 OF THE OFFICE OF SCIENCE.—Section 209 of the Depart-  
11                 ment of Energy Organization Act (41 U.S.C. 7139) is  
12                 amended to read as follows:

13                         “OFFICE OF SCIENCE  
14                 “SEC. 209. (a) There shall be within the Department  
15                 an Office of Science, to be headed by an Assistant Sec-  
16                 retary of Science, who shall be appointed by the President,  
17                 by and with the advice and consent of the Senate, and  
18                 who shall be compensated at the rate provided for level  
19                 IV of the Executive Schedule under section 5315 of title  
20                 5, United States Code.

21                 “(b) The Assistant Secretary of Science shall be in  
22                 addition to the Assistant Secretaries provided for under  
23                 section 203 of this Act.

24                 “(c) It shall be the duty and responsibility of the As-  
25                 sistant Secretary of Science to carry out the fundamental  
26                 science and engineering research functions of the Depart-

1 ment, including the responsibility for policy and manage-  
2 ment of such research, as well as other functions vested  
3 in the Secretary which he may assign to the Assistant Sec-  
4 retary.”.

5 (c) ADDITIONAL ASSISTANT SECRETARY POSITION  
6 TO ENABLE IMPROVED MANAGEMENT OF NUCLEAR EN-  
7 ERGY ISSUES.—(1) Section 203(a) of the Department of  
8 Energy Organization Act (42 U.S.C. 7133(a)) is amended  
9 by striking “There shall be in the Department six Assist-  
10 ant Secretaries” and inserting “Except as provided in sec-  
11 tion 209, there shall be in the Department seven Assistant  
12 Secretaries”.

13 (2) It is the sense of the House of Representatives  
14 that the leadership for departmental missions in nuclear  
15 energy should be at the Assistant Secretary level.

16 (d) TECHNICAL AND CONFORMING AMENDMENTS.—  
17 (1) Section 202 of the Department of Energy Organiza-  
18 tion Act (42 U.S.C. 7132) is further amended by adding  
19 the following at the end:

20 “(d) There shall be in the Department an Under Sec-  
21 retary, who shall be appointed by the President, by and  
22 with the advice and consent of the Senate, and who shall  
23 perform such functions and duties as the Secretary shall  
24 prescribe, consistent with this section. The Under Sec-  
25 retary shall be compensated at the rate provided for level

1 III of the Executive Schedule under section 5314 of title  
2 5, United States Code.

3       “(e) There shall be in the Department a General  
4 Counsel, who shall be appointed by the President, by and  
5 with the advice and consent of the Senate. The General  
6 Counsel shall be compensated at the rate provided for level  
7 IV of the Executive Schedule under section 5315 of title  
8 5, United States Code.”.

9       (2) Section 5314 of title 5, United States Code, is  
10 amended by striking “Under Secretaries of Energy (2)”  
11 and inserting “Under Secretaries of Energy (3)”.

12       (3) Section 5315 of title 5, United States Code, is  
13 amended by—

14           (A) striking “Director, Office of Science, De-  
15 partment of Energy.”; and

16           (B) striking “Assistant Secretaries of Energy  
17 (6)” and inserting “Assistant Secretaries of Energy  
18 (8)”.

19       (4) The table of contents for the Department of En-  
20 ergy Organization Act (42 U.S.C. 7101 note) is amend-  
21 ed—

22           (A) by striking “Section 209” and inserting  
23 “Sec. 209”;

24           (B) by striking “213.” and inserting “Sec.  
25 213.”;

1 (C) by striking "214." and inserting "Sec.  
2 214.";

3 (D) by striking "215." and inserting "Sec.  
4 215."; and

5 (E) by striking "216." and inserting "Sec.  
6 216."

7 SEC. 202. SCIENCE ADVISORY BOARD FOR THE OFFICE OF  
8 SCIENCE.

9 (a) ESTABLISHMENT.—There shall be in the Office  
10 of Science a Science Advisory Board, comprising the  
11 chairs of the advisory panels for each of the programs.

12 (b) RESPONSIBILITIES.—The Science Advisory  
13 Board shall—

14 (1) serve as the science advisor to the Assistant  
15 Secretary of Science;

1 (4) advise the Assistant Secretary with respect  
2 to the well-being of the university research programs  
3 supported by the Office of Science.

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