107TH CONGRESS 2D SESSION

S. 2626

To protect the public health by providing the Food and Drug Administration with certain authority to regulate tobacco products.

IN THE SENATE OF THE UNITED STATES

June 14, 2002

Mr. Kennedy (for himself, Mr. Dewine, Mr. Harkin, Mr. McCain, Mr. Durbin, Mr. Graham, Mr. Wellstone, Ms. Collins, Mrs. Feinstein, and Mr. Reed) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

- To protect the public health by providing the Food and Drug Administration with certain authority to regulate tobacco products.
 - 1 Be it enacted by the Senate and House of Representa-
 - 2 tives of the United States of America in Congress assembled,
 - 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Youth Smoking Prevention and Public Health Protection
- 6 Act".
- 7 (b) Table of Contents.—The table of contents of
- 8 this Act is as follows:

Sec. 1. Short title.

- Sec. 2. Findings.
- Sec. 3. Purpose.
- Sec. 4. Scope and effect.

TITLE I—AUTHORITY OF THE FOOD AND DRUG ADMINISTRATION

Sec. 101. Amendment of Federal Food, Drug, and Cosmetic Act.

"CHAPTER IX—TOBACCO PRODUCTS

- "Sec. 900. Definitions.
- "Sec. 901. FDA authority over tobacco products.
- "Sec. 902. Adulterated tobacco products.
- "Sec. 903. Misbranded tobacco products.
- "Sec. 904. Submission of health information to the Secretary.
- "Sec. 905. Annual registration.
- "Sec. 906. General provisions respecting control of tobacco products.
- "Sec. 907. Performance standards.
- "Sec. 908. Notification and other remedies.
- "Sec. 909. Records and reports on tobacco products.
- "Sec. 910. Premarket review of certain tobacco products.
- "Sec. 911. Judicial review.
- "Sec. 912. Postmarket surveillance.
- "Sec. 913. Reduced risk tobacco products.
- "Sec. 914. Equal treatment of retail outlets.
- "Sec. 915. Jurisdiction of and coordination with the Federal Trade Commission.
- "Sec. 916. Congressional review provisions.
- "Sec. 917. Regulation requirement.
- "Sec. 918. Preservation of State and local authority.
- "Sec. 919. Tobacco Products Scientific Advisory Committee.
- Sec. 102. Construction of current regulations.
- Sec. 103. Conforming and other amendments to general provisions.

TITLE II—TOBACCO PRODUCT WARNINGS AND SMOKE CONSTITUENT DISCLOSURE

- Sec. 201. Cigarette label and advertising warnings.
- Sec. 202. Authority to revise cigarette warning label Statements.
- Sec. 203. Smokeless tobacco labels and advertising warnings.
- Sec. 204. Authority to revise smokeless tobacco product warning label Statements.
- Sec. 205. Tar, nicotine, and other smoke constituent disclosure to the public.
- Sec. 206. Unlawful advertisements.

1 SEC. 2. FINDINGS.

- 2 The Congress finds the following:
- 3 (1) The use of tobacco products by the Nation's
- 4 children is a pediatric disease of epic and worsening

- proportions that results in new generations of tobacco-dependent children and adults.
- 3 (2) A consensus exists within the scientific and 4 medical communities that tobacco products are in-5 herently dangerous and cause cancer, heart disease, 6 and other serious adverse health effects.
 - (3) Nicotine is an addictive drug.

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- (4) Virtually all new users of tobacco products are under the minimum legal age to purchase such products.
- (5) Tobacco advertising and marketing contribute significantly to the use of nicotine-containing tobacco products by adolescents.
- (6) Because past efforts to restrict advertising and marketing of tobacco products have failed adequately to curb tobacco use by adolescents, comprehensive restrictions on the sale, promotion, and distribution of such products are needed.
- (7) Federal and State governments have lacked the legal and regulatory authority and resources they need to address comprehensively the public health and societal problems caused by the use of tobacco products.
- (8) Federal and State public health officials, the public health community, and the public at large

- recognize that the tobacco industry should be subject to ongoing oversight.
 - (9) Under Article I, Section 8 of the Constitution, the Congress is vested with the responsibility for regulating interstate commerce and commerce with Indian tribes.
 - (10) The sale, distribution, marketing, advertising, and use of tobacco products are activities in and substantially affecting interstate commerce because they are sold, marketed, advertised, and distributed in interstate commerce on a nationwide basis, and have a substantial effect on the Nation's economy.
 - (11) The sale, distribution, marketing, advertising, and use of such products substantially affect interstate commerce through the health care and other costs attributable to the use of tobacco products.
 - (12) It is in the public interest for Congress to enact legislation that provides the Food and Drug Administration with the authority to regulate to-bacco products. The benefits to the American people from enacting such legislation would be significant in human and economic terms.

- (13) Tobacco use is the foremost preventable cause of premature death in America. It causes over 400,000 deaths in the United States each year.
 - (14) Reducing the use of tobacco by minors by 50 percent would prevent well over 10,000,000 of today's children from becoming regular, daily smokers, saving over 3,000,000 of them from premature death due to tobacco induced disease. Such a reduction in youth smoking would also result in approximately \$110,000,000,000 in savings attributable to reduced health care costs.
 - (15) Advertising, marketing, and promotion of tobacco products have been especially directed to attract young persons to use tobacco products and these efforts have resulted in increased use of such products by youth. Past efforts to oversee these activities have not been successful in adequately preventing such increased use.
 - (16) In 1999, the tobacco industry spent close to \$8,240,000,000 to attract new users, retain current users, increase current consumption, and generate favorable long-term attitudes toward smoking and tobacco use.

- 1 (17) Tobacco product advertising often 2 misleadingly portrays the use of tobacco as socially 3 acceptable and healthful to minors.
 - (18) Tobacco product advertising is regularly seen by persons under the age of 18, and persons under the age of 18 are regularly exposed to tobacco product promotional efforts.
 - (19) Through advertisements during and sponsorship of sporting events, tobacco has become strongly associated with sports and has become portrayed as an integral part of sports and the healthy lifestyle associated with rigorous sporting activity.
 - (20) Children are exposed to substantial and unavoidable tobacco advertising that leads to favorable beliefs about tobacco use, plays a role in leading young people to overestimate the prevalence of tobacco use, and increases the number of young people who begin to use tobacco.
 - (21) The use of tobacco products in motion pictures and other mass media glamorizes its use for young people and encourages them to use tobacco products.
 - (22) Tobacco advertising expands the size of the tobacco market by increasing consumption of to-

- bacco products including tobacco use by young people.
- 3 (23) Children are more influenced by tobacco 4 advertising than adults, they smoke the most adver-5 tised brands, and children as young as 3 to 6 years 6 old can recognize a character associated with smok-7 ing at the same rate as they recognize cartoons and 8 fast food characters.
 - (24) Tobacco company documents indicate that young people are an important and often crucial segment of the tobacco market.
 - (25) Comprehensive advertising restrictions will have a positive effect on the smoking rates of young people.
 - (26) Restrictions on advertising are necessary to prevent unrestricted tobacco advertising from undermining legislation prohibiting access to young people and providing for education about tobacco use.
 - (27) International experience shows that advertising regulations that are stringent and comprehensive have a greater impact on overall tobacco use and young people's use than weaker or less comprehensive ones.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

- 1 (28) Text-only requirements, while not as strin-2 gent as a ban, will help reduce underage use of to-3 bacco products while preserving the informational 4 function of advertising.
 - (29) It is in the public interest for Congress to adopt legislation to address the public health crisis created by actions of the tobacco industry.
 - (30) The final regulations promulgated by the Secretary of Health and Human Services in the August 28, 1996, issue of the Federal Register (62 Fed. Reg. 44615-44618) for inclusion as part 897 of title 21, Code of Federal Regulations, are consistent with the standards set forth in the amendments made by this Act for the regulation of tobacco products by the Food and Drug Administration and the restriction on the sale and distribution, including access to and the advertising and promotion of, tobacco products contained in such regulations are substantially related to accomplishing the public health goals of this Act.
 - (31) The regulations described in paragraph (30) will directly and materially advance the Federal Government's substantial interest in reducing the number of children and adolescents who use cigarettes and smokeless tobacco and in preventing the

life-threatening health consequences associated with tobacco use. An overwhelming majority of Americans who use tobacco products begin using such products while they are minors and become addicted to the nicotine in those product before reaching the age of 18. Tobacco advertising and promotion plays a crucial role in the decision of these minors to begin using tobacco products. Less restrictive and less comprehensive approaches have not and will not be effective in reducing the problems addressed by such regulations. The reasonable restrictions on the advertising and promotion of tobacco products contained in such regulations will lead to a significant decrease in the number of minors using and becoming addicted to those products.

(32) The regulations described in paragraph (30) impose no more extensive restrictions on communication by tobacco manufacturers and sellers than are necessary to reduce the number of children and adolescents who use cigarettes and smokeless tobacco and to prevent the life-threatening health consequences associated with tobacco use. Such regulations are narrowly tailored to restrict those advertising and promotional practices which are most likely to be seen or heard by youth and most likely to

- 1 entice them into tobacco use, while affording tobacco
- 2 manufacturers and sellers ample opportunity to con-
- 3 vey information about their products to adult con-
- 4 sumers.

5 SEC. 3. PURPOSE.

- 6 The purposes of this Act are—
- 7 (1) to provide authority to the Food and Drug 8 Administration to regulate tobacco products under 9 the Federal Food, Drug, and Cosmetic Act (21 10 U.S.C. 301 et seq.), by recognizing it as the primary
- 11 Federal regulatory authority with respect to the
- manufacture, marketing, and distribution of tobacco
- 13 products;
- 14 (2) to ensure that the Food and Drug Adminis-
- tration has the authority to address issues of par-
- ticular concern to public health officials, especially
- 17 the use of tobacco by young people and dependence
- on tobacco;
- 19 (3) to authorize the Food and Drug Adminis-
- tration to set national standards controlling the
- 21 manufacture of tobacco products and the identity,
- public disclosure, and amount of ingredients used in
- 23 such products;
- 24 (4) to provide new and flexible enforcement au-
- 25 thority to ensure that there is effective oversight of

1	the tobacco industry's efforts to develop and intro-
2	duce less harmful tobacco products;
3	(5) to vest the Food and Drug Administration
4	with the authority to regulate the levels of tar, nico-
5	tine, and other harmful components of tobacco prod-
6	ucts;
7	(6) in order to ensure that adults are better in-
8	formed, to require tobacco product manufacturers to
9	disclose research which has not previously been
10	made available, as well as research generated in the
11	future, relating to the health and dependency effects
12	or safety of tobacco products;
13	(7) to continue to permit the sale of tobacco
14	products to adults in conjunction with measures to
15	ensure that they are not sold or accessible to under-
16	age purchasers; and
17	(8) to impose appropriate regulatory controls on
18	the tobacco industry
19	SEC. 4. SCOPE AND EFFECT.
20	(a) Intended Effect.—Nothing in this Act (or an
21	amendment made by this Act) shall be construed to—
22	(1) establish a precedent with regard to any
23	other industry, situation, circumstance, or legal ac-

tion; or

1	(2) affect any action pending in State, Tribal
2	or Federal court, or any agreement, consent decree
3	or contract of any kind.
4	(b) AGRICULTURAL ACTIVITIES.—The provisions of
5	this Act (or an amendment made by this Act) which au-
6	thorize the Secretary to take certain actions with regard
7	to tobacco and tobacco products shall not be construed to
8	affect any authority of the Secretary of Agriculture under
9	existing law regarding the growing, cultivation, or curing
10	of raw tobacco.
11	TITLE I—AUTHORITY OF THE
12	FOOD AND DRUG ADMINIS-
13	TRATION
14	SEC. 101. AMENDMENT OF FEDERAL FOOD, DRUG, AND
	SEC. 101. AMENDMENT OF FEDERAL FOOD, DRUG, AND COSMETIC ACT.
15	
15 16	COSMETIC ACT.
15 16 17	cosmetic act. (a) Definition of Tobacco Products.—Section
	cosmetic act. (a) Definition of Tobacco Products.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21)
15 16 17 18	cosmetic act. (a) Definition of Tobacco Products.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321) is amended by adding at the end the following statement of the company of the
15 16 17 18	COSMETIC ACT. (a) DEFINITION OF TOBACCO PRODUCTS.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321) is amended by adding at the end the following:
115 116 117 118 119 220	cosmetic act. (a) Definition of Tobacco Products.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321) is amended by adding at the end the following: "(kk) The term 'tobacco product' means any
115 116 117 118 119 220 221	cosmetic act. (a) Definition of Tobacco Products.—Section 201 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 321) is amended by adding at the end the following: "(kk) The term 'tobacco product' means any product made or derived from tobacco that is in-

1	manufacturing a component, part, or accessory of a
2	tobacco product).".
3	(b) FDA AUTHORITY OVER TOBACCO PRODUCTS.—
4	The Federal Food, Drug, and Cosmetic Act (21 U.S.C.
5	301 et seq.) is amended—
6	(1) by redesignating chapter IX as chapter X;
7	(2) by redesignating sections 901 through 907
8	as sections 1001 through 1007; and
9	(3) by inserting after section 803 the following:
10	"CHAPTER IX—TOBACCO
11	PRODUCTS
12	"SEC. 900. DEFINITIONS.
13	"In this chapter:
14	"(1) Brand.—The term 'brand' means a vari-
15	ety of tobacco product distinguished by the tobacco
16	used, tar content, nicotine content, flavoring used,
17	size, filtration, or packaging, logo, registered trade-
18	mark or brand name, identifiable pattern of colors,
19	or any combination of such attributes.
20	"(2) Cigarette.—The term 'cigarette' has the
21	meaning given that term by section 3(1) of the Fed-
22	eral Cigarette Labeling and Advertising Act (15
23	U.S.C. 1332(1)), but also includes tobacco, in any
24	form, that is functional in the product, which, be-
25	cause of its appearance, the type of tobacco used in

- the filler, or its packaging and labeling, is likely to be offered to, or purchased by, consumers as a cigarette or as roll-your-own tobacco.
- "(3) CIGARETTE TOBACCO.—The term 'cigarette tobacco' means any product that consists of loose tobacco that is intended for use by consumers in a cigarette. Unless otherwise stated, the requirements for cigarettes shall also apply to cigarette tobacco.
 - "(4) COMMERCE.—The term 'commerce' has the meaning given that term by section 3(2) of the Federal Cigarette Labeling and Advertising Act (15 U.S.C. 1332(2)).
 - "(5) DISTRIBUTOR.—The term 'distributor' as regards a tobacco product means any person who furthers the distribution of cigarette or smokeless tobacco, whether domestic or imported, at any point from the original place of manufacture to the person who sells or distributes the product to individuals for personal consumption. Common carriers are not considered distributors for purposes of this chapter.
 - "(6) Indian tribe.—The term 'Indian tribe' has the meaning given such term in section 4(e) of the Indian Self Determination and Education Assistance Act (25 U.S.C. 450b(e)).

- 1 "(7) LITTLE CIGAR.—The term 'little cigar' has 2 the meaning given that term by section 3(7) of the 3 Federal Cigarette Labeling and Advertising Act (15 4 U.S.C. 1332(7)).
- 5 "(8) NICOTINE.—The term 'nicotine' means the 6 chemical substance named 3-(1-Methyl-2-7 pyrrolidinyl) pyridine or C[10]H[14]N[2], including 8 any salt or complex of nicotine.
 - "(9) Package.—The term 'package' means a pack, box, carton, or container of any kind or, if no other container, any wrapping (including cellophane), in which cigarettes or smokeless tobacco are offered for sale, sold, or otherwise distributed to consumers.
 - "(10) Retailer.—The term 'retailer' means any person who sells cigarettes or smokeless tobacco to individuals for personal consumption, or who operates a facility where self-service displays of tobacco products are permitted.
 - "(11) ROLL-YOUR-OWN TOBACCO.—The term 'roll-your-own tobacco' means any tobacco which, because of its appearance, type, packaging, or labeling, is suitable for use and likely to be offered to, or purchased by, consumers as tobacco for making cigarettes.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1	"(12) Smokeless tobacco.—The term
2	'smokeless tobacco' means any product that consists
3	of cut, ground, powdered, or leaf tobacco and that
4	is intended to be placed in the oral or nasal cavity.
5	"(13) State.—The term 'State' means any
6	State of the United States and, for purposes of this
7	chapter, includes the District of Columbia, the Com-
8	monwealth of Puerto Rico, Guam, the Virgin Is-
9	lands, American Samoa, Wake Island, Midway Is-
10	lands, Kingman Reef, Johnston Atoll, the Northern
11	Mariana Islands, and any other trust territory or
12	possession of the United States.
13	"(14) Tobacco product manufacturer.—
14	Term 'tobacco product manufacturer' means any
15	person, including any repacker or relabeler, who—
16	"(A) manufactures, fabricates, assembles,
17	processes, or labels a finished cigarette or
18	smokeless tobacco product; or
19	"(B) imports a finished cigarette or
20	smokeless tobacco product for sale or distribu-
21	tion in the United States.
22	"(15) United states.—The term 'United
23	States' means the 50 States of the United States of
24	America and the District of Columbia, the Common-
25	wealth of Puerto Rico, Guam, the Virgin Islands,

- American Samoa, Wake Island, Midway Islands, Kingman Reef, Johnston Atoll, the Northern Mariana Islands, and any other trust territory or possession of the United States.
- 5 "SEC. 901. FDA AUTHORITY OVER TOBACCO PRODUCTS.
- 6 "(a) IN GENERAL.—Tobacco products shall be regu-
- 7 lated by the Secretary under this chapter and shall not
- 8 be subject to the provisions of chapter V, unless—
- 9 "(1) such products are intended for use in the
- diagnosis, cure, mitigation, treatment, or prevention
- of disease (within the meaning of section
- 12 201(g)(1)(B) or section 201(h)(2); or
- "(2) a health claim is made for such products
- under section 201(g)(1)(C) or 201(h)(3).
- 15 "(b) APPLICABILITY.—This chapter shall apply to all
- 16 tobacco products subject to the regulations referred to in
- 17 section 102 of the Youth Smoking Prevention and Public
- 18 Health Protection Act, and to any other tobacco products
- 19 that the Secretary by regulation deems to be subject to
- 20 this chapter.
- 21 "(c) Scope.—
- 22 "(1) IN GENERAL.—Nothing in this chapter, or
- any policy issued or regulation promulgated there-
- under, or the Youth Smoking Prevention and Public
- 25 Health Protection Act, shall be construed to affect

the Secretary's authority over, or the regulation of, products under this Act that are not tobacco products under chapter V or any other chapter.

"(2) Tobacco leaf.—

- "(A) In General.—The provisions of this chapter shall not apply to tobacco leaf that is not in the possession of the manufacturer, or to the producers of tobacco leaf, including tobacco growers, tobacco warehouses, and tobacco grower cooperatives, nor shall any employee of the Food and Drug Administration have any authority to enter onto a farm owned by a producer of tobacco leaf without the written consent of such producer.
- "(B) EXCEPTION.—Notwithstanding any other provision of this subparagraph, if a producer of tobacco leaf is also a tobacco product manufacturer or controlled by a tobacco product manufacturer, the producer shall be subject to this chapter in the producer's capacity as a manufacturer.
- "(C) RULE OF CONSTRUCTION.—Nothing in this chapter shall be construed to grant the Secretary authority to promulgate regulations on any matter that involves the production of

1 tobacco leaf or a producer thereof, other than 2 activities by a manufacturer affecting produc-3 tion. For purposes of the preceding sentence, 4 the term 'controlled by' means a member of the 5 same controlled group of corporations as that term is used in section 52(a) of the Internal 6 7 Revenue Code of 1986, or under common con-8 trol within the meaning of the regulations pro-9 mulgated under section 52(b) of such Code.

10 "SEC. 902. ADULTERATED TOBACCO PRODUCTS.

- 11 "A tobacco product shall be deemed to be adulterated 12 if—
- "(1) it consists in whole or in part of any filthy,

 putrid, or decomposed substance, or is otherwise

 contaminated by any poisonous or deleterious substance that may render the product injurious to

 health;
 - "(2) it has been prepared, packed, or held under insanitary conditions whereby it may have been contaminated with filth, or whereby it may have been rendered injurious to health;
- 22 "(3) its container is composed, in whole or in 23 part, of any poisonous or deleterious substance 24 which may render the contents injurious to health;

18

19

20

- 1 "(4) it is, or purports to be or is represented 2 as, a tobacco product which is subject to a perform-3 ance standard established under section 907 unless 4 such tobacco product is in all respects in conformity 5 with such standard;
 - "(5) it is required by section 910(a) to have premarket approval, is not exempt under section 906(f), and does not have an approved application in effect;
 - "(6) the methods used in, or the facilities or controls used for, its manufacture, packing or storage are not in conformity with applicable requirements under section 906(e)(1) or an applicable condition prescribed by an order under section 906(e)(2); or
 - "(7) it is a tobacco product for which an exemption has been granted under section 906(f) for investigational use and the person who was granted such exemption or any investigator who uses such tobacco product under such exemption fails to comply with a requirement prescribed by or under such section.

23 "SEC. 903. MISBRANDED TOBACCO PRODUCTS.

24 "(a) In General.—A tobacco product shall be 25 deemed to be misbranded—

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

1	"(1) if its labeling is false or misleading in any
2	particular;
3	"(2) if in package form unless it bears a label
4	containing—
5	"(A) the name and place of business of the
6	tobacco product manufacturer, packer, or dis-
7	tributor;
8	"(B) an accurate statement of the quantity
9	of the contents in terms of weight, measure, or
10	numerical count; and
11	"(C) an accurate statement of the percent-
12	age of the tobacco used in the product that is
13	domestically grown tobacco and the percentage
14	that is foreign grown tobacco,
15	except that under subparagraph (B) reasonable vari-
16	ations shall be permitted, and exemptions as to
17	small packages shall be established, by regulations
18	prescribed by the Secretary;
19	"(3) if any word, statement, or other informa-
20	tion required by or under authority of this chapter
21	to appear on the label or labeling is not prominently
22	placed thereon with such conspicuousness (as com-
23	pared with other words, statements or designs in the
24	labeling) and in such terms as to render it likely to

- be read and understood by the ordinary individual
 under customary conditions of purchase and use;
- "(4) if it has an established name, unless its label bears, to the exclusion of any other nonproprietary name, its established name prominently printed in type as required by the Secretary by regulation;
 - "(5) if the Secretary has issued regulations requiring that its labeling bear adequate directions for use, or adequate warnings against use by children, that are necessary for the protection of users unless its labeling conforms in all respects to such regulations;
 - "(6) if it was manufactured, prepared, propagated, compounded, or processed in any State in an establishment not duly registered under section 905(b), if it was not included in a list required by section 905(i), if a notice or other information respecting it was not provided as required by such section or section 905(j), or if it does not bear such symbols from the uniform system for identification of tobacco products prescribed under section 905(e) as the Secretary by regulation requires;
 - "(7) if, in the case of any tobacco product distributed or offered for sale in any State—

1	"(A) its advertising is false or misleading
2	in any particular; or
3	"(B) it is sold or distributed in violation of
4	regulations prescribed under section 906(d);
5	"(8) unless, in the case of any tobacco product
6	distributed or offered for sale in any State, the man-
7	ufacturer, packer, or distributor thereof includes in
8	all advertisements and other descriptive printed mat-
9	ter issued or caused to be issued by the manufac-
10	turer, packer, or distributor with respect to that to-
11	bacco product—
12	"(A) a true statement of the tobacco prod-
13	uct's established name as defined in paragraph
14	(4), printed prominently; and
15	"(B) a brief statement of—
16	"(i) the uses of the tobacco product
17	and relevant warnings, precautions, side
18	effects, and contraindications; and
19	"(ii) in the case of specific tobacco
20	products made subject to a finding by the
21	Secretary after notice and opportunity for
22	comment that such action is necessary to
23	protect the public health, a full description
24	of the components of such tobacco product
25	or the formula showing quantitatively each

1	ingredient of such tobacco product to the
2	extent required in regulations which shall
3	be issued by the Secretary after an oppor-
4	tunity for a hearing;
5	"(9) if it is a tobacco product subject to a per-
6	formance standard established under section 907,
7	unless it bears such labeling as may be prescribed in
8	such performance standard; or
9	"(10) if there was a failure or refusal—
10	"(A) to comply with any requirement pre-
11	scribed under section 904 or 908;
12	"(B) to furnish any material or informa-
13	tion required by or under section 909; or
14	"(C) to comply with a requirement under
15	section 912.
16	"(b) Prior Approval of Label Statements.—
17	The Secretary may, by regulation, require prior approval
18	of statements made on the label of a tobacco product. No
19	regulation issued under this subsection may require prior
20	approval by the Secretary of the content of any advertise-
21	ment. No advertisement of a tobacco product, published
22	after the date of enactment of the Youth Smoking Preven-
23	tion and Public Health Protection Act shall, with respect
24	to the language of label statements as prescribed under
25	section 4 of the Cigarette Labeling and Advertising Act

1	and section 3 of the Comprehensive Smokeless Tobacco
2	Health Education Act of 1986 or the regulations issued
3	under such sections, be subject to the provisions of sec-
4	tions 12 through 15 of the Federal Trade Commission Act
5	(15 U.S.C. 52 through 55).
6	"SEC. 904. SUBMISSION OF HEALTH INFORMATION TO THE
7	SECRETARY.
8	"(a) Requirement.—Not later than 6 months after
9	the date of enactment of the Youth Smoking Prevention
10	and Public Health Protection Act, each tobacco product
11	manufacturer or importer of tobacco products, or agents
12	thereof, shall submit to the Secretary the following infor-
13	mation:
14	"(1) A listing of all tobacco ingredients, sub-
15	stances and compounds that are, on such date,
16	added by the manufacturer to the tobacco, paper, fil-
17	ter, or other component of each tobacco product by
18	brand and by quantity in each brand and subbrand.
19	"(2) A description of the content, delivery, and
20	form of nicotine in each tobacco product measured
21	in milligrams of nicotine.
22	"(3) All documents (including underlying sci-
23	entific information) relating to research activities,
24	and research findings, conducted, supported, or pos-

sessed by the manufacturer (or agents thereof) on

- 1 the health, behavioral, or physiologic effects of to-
- 2 bacco products, their constituents, ingredients, and
- 3 components, and tobacco additives, described in
- 4 paragraph (1).
- 5 "(4) All documents (including underlying sci-
- 6 entific information) relating to research activities,
- 7 and research findings, conducted, supported, or pos-
- 8 sessed by the manufacturer (or agents thereof) that
- 9 relate to the issue of whether a reduction in risk to
- 10 health from tobacco products can occur upon the
- employment of technology available or known to the
- manufacturer.
- 13 "(5) All documents (including underlying sci-
- entific information) relating to marketing research
- involving the use of tobacco products.
- 16 An importer of a tobacco product not manufactured in the
- 17 United States shall supply the information required of a
- 18 tobacco product manufacturer under this subsection.
- 19 "(b) Annual Submission.—A tobacco product man-
- 20 ufacturer or importer that is required to submit informa-
- 21 tion under subsection (a) shall update such information
- 22 on an annual basis under a schedule determined by the
- 23 Secretary.
- 24 "(c) Time for Submission.—

"(1) New Products.—At least 90 days prior 1 2 to the delivery for introduction into interstate com-3 merce of a tobacco product not on the market on the date of enactment of the Youth Smoking Prevention 5 and Public Health Protection Act, the manufacturer 6 of such product shall provide the information re-7 quired under subsection (a) and such product shall 8 be subject to the annual submission under sub-9 section (b).

"(2) Modification of existing products.—

If at any time a tobacco product manufacturer adds to its tobacco products a new tobacco additive, increases or decreases the quantity of an existing tobacco additive or the nicotine content, delivery, or form, or eliminates a tobacco additive from any tobacco product, the manufacturer shall within 60 days of such action so advise the Secretary in writing and reference such modification in submissions made under subsection (b).

20 "SEC. 905. ANNUAL REGISTRATION.

- 21 "(a) DEFINITIONS.—In this section:
- 22 "(1) Manufacture, Preparation, 23 Compounding, or Processing.—The term 'manu-24 facture, preparation, compounding, or processing' 25 shall include repackaging or otherwise changing the

10

11

12

13

14

15

16

17

18

- 1 container, wrapper, or labeling of any tobacco prod-
- 2 uct package in furtherance of the distribution of the
- 3 tobacco product from the original place of manufac-
- 4 ture to the person who makes final delivery or sale
- 5 to the ultimate consumer or user.
- 6 "(2) Name.—The term 'name' shall include in
- 7 the case of a partnership the name of each partner
- 8 and, in the case of a corporation, the name of each
- 9 corporate officer and director, and the State of in-
- 10 corporation.
- 11 "(b) Registration by Owners and Operators.—
- 12 On or before December 31 of each year every person who
- 13 owns or operates any establishment in any State engaged
- 14 in the manufacture, preparation, compounding, or proc-
- 15 essing of a tobacco product or tobacco products shall reg-
- 16 ister with the Secretary the name, places of business, and
- 17 all such establishments of that person.
- 18 "(c) Registration of New Owners and Opera-
- 19 TORS.—Every person upon first engaging in the manufac-
- 20 ture, preparation, compounding, or processing of a tobacco
- 21 product or tobacco products in any establishment owned
- 22 or operated in any State by that person shall immediately
- 23 register with the Secretary that person's name, place of
- 24 business, and such establishment.

- 1 "(d) Registration of Added Establishments.—
- 2 Every person required to register under subsection (b) or
- 3 (c) shall immediately register with the Secretary any addi-
- 4 tional establishment which that person owns or operates
- 5 in any State and in which that person begins the manufac-
- 6 ture, preparation, compounding, or processing of a tobacco
- 7 product or tobacco products.
- 8 "(e) Uniform Product Identification Sys-
- 9 TEM.—The Secretary may by regulation prescribe a uni-
- 10 form system for the identification of tobacco products and
- 11 may require that persons who are required to list such
- 12 tobacco products under subsection (i) shall list such to-
- 13 bacco products in accordance with such system.
- 14 "(f) Public Access to Registration Informa-
- 15 Tion.—The Secretary shall make available for inspection,
- 16 to any person so requesting, any registration filed under
- 17 this section.
- 18 "(g) Biennial Inspection of Registered Estab-
- 19 LISHMENTS.—Every establishment in any State registered
- 20 with the Secretary under this section shall be subject to
- 21 inspection under section 704, and every such establish-
- 22 ment engaged in the manufacture, compounding, or proc-
- 23 essing of a tobacco product or tobacco products shall be
- 24 so inspected by one or more officers or employees duly
- 25 designated by the Secretary at least once in the 2-year

- 1 period beginning with the date of registration of such es-
- 2 tablishment under this section and at least once in every
- 3 successive 2-year period thereafter.
- 4 "(h) Foreign Establishments May Register.—
- 5 Any establishment within any foreign country engaged in
- 6 the manufacture, preparation, compounding, or processing
- 7 of a tobacco product or tobacco products, may register
- 8 under this section under regulations promulgated by the
- 9 Secretary. Such regulations shall require such establish-
- 10 ment to provide the information required by subsection (i)
- 11 of this section and shall include provisions for registration
- 12 of any such establishment upon condition that adequate
- 13 and effective means are available, by arrangement with the
- 14 government of such foreign country or otherwise, to enable
- 15 the Secretary to determine from time to time whether to-
- 16 bacco products manufactured, prepared, compounded, or
- 17 processed in such establishment, if imported or offered for
- 18 import into the United States, shall be refused admission
- 19 on any of the grounds set forth in section 801(a).
- 20 "(i) Registration Information.—
- 21 "(1) Product list.—Every person who reg-
- isters with the Secretary under subsection (b), (c),
- or (d) shall, at the time of registration under any
- such subsection, file with the Secretary a list of all
- 25 tobacco products which are being manufactured, pre-

pared, compounded, or processed by that person for commercial distribution and which has not been included in any list of tobacco products filed by that person with the Secretary under this paragraph or paragraph (2) before such time of registration. Such list shall be prepared in such form and manner as the Secretary may prescribe and shall be accompanied by—

"(A) in the case of a tobacco product contained in the applicable list with respect to which a performance standard has been established under section 907 or which is subject to section 910, a reference to the authority for the marketing of such tobacco product and a copy of all labeling for such tobacco product;

"(B) in the case of any other tobacco product contained in an applicable list, a copy of all consumer information and other labeling for such tobacco product, a representative sampling of advertisements for such tobacco product, and, upon request made by the Secretary for good cause, a copy of all advertisements for a particular tobacco product; and

"(C) if the registrant filing a list has determined that a tobacco product contained in

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

such list is not subject to a performance standard established under section 907, a brief statement of the basis upon which the registrant made such determination if the Secretary requests such a statement with respect to that particular tobacco product.

- "(2) BIANNUAL REPORT OF ANY CHANGE IN PRODUCT LIST.—Each person who registers with the Secretary under this section shall report to the Secretary once during the month of June of each year and once during the month of December of each year the following:
 - "(A) A list of each tobacco product introduced by the registrant for commercial distribution which has not been included in any list previously filed by that person with the Secretary under this subparagraph or paragraph (1). A list under this subparagraph shall list a tobacco product by its established name and shall be accompanied by the other information required by paragraph (1).
 - "(B) If since the date the registrant last made a report under this paragraph that person has discontinued the manufacture, preparation, compounding, or processing for commercial dis-

tribution of a tobacco product included in a list filed under subparagraph (A) or paragraph (1), notice of such discontinuance, the date of such discontinuance, and the identity of its established name.

"(C) If since the date the registrant reported under subparagraph (B) a notice of discontinuance that person has resumed the manufacture, preparation, compounding, or processing for commercial distribution of the tobacco product with respect to which such notice of discontinuance was reported, notice of such resumption, the date of such resumption, the identity of such tobacco product by established name, and other information required by paragraph (1), unless the registrant has previously reported such resumption to the Secretary under this subparagraph.

- "(D) Any material change in any information previously submitted under this paragraph or paragraph (1).
- 22 "(j) Report Preceding Introduction of Cer-23 tain Substantially-equivalent Products Into 24 Interstate Commerce.—

"(1) IN GENERAL.—Each person who is required to register under this section and who proposes to begin the introduction or delivery for introduction into interstate commerce for commercial distribution of a tobacco product intended for human use that was not commercially marketed (other than for test marketing) in the United States as of June 1, 2002, as defined by the Secretary by regulation shall, at least 90 days before making such introduction or delivery, report to the Secretary (in such form and manner as the Secretary shall by regulation prescribe)—

"(A) the basis for such person's determination that the tobacco product is substantially equivalent, within the meaning of section 910, to a tobacco product commercially marketed (other than for test marketing) in the United States as of June 1, 2002, that is in compliance with the requirements of this Act; and

- "(B) action taken by such person to comply with the requirements under section 907 that are applicable to the tobacco product.
- "(2) APPLICATION TO CERTAIN POST-JUNE 1, 2002 PRODUCTS.—A report under this subsection for a tobacco product that was first introduced or deliv-

- 1 ered for introduction into interstate commerce for
- 2 commercial distribution in the United States after
- June 1, 2002, and before the date of enactment of
- 4 the Youth Smoking Prevention and Public Health
- 5 Protection Act shall be submitted to the Secretary
- 6 within 6 months after the date of enactment of that
- 7 Act.

8 "SEC. 906. GENERAL PROVISIONS RESPECTING CONTROL

- 9 OF TOBACCO PRODUCTS.
- 10 "(a) IN GENERAL.—Any requirement established by
- 11 or under section 902, 903, 905, or 909 applicable to a
- 12 tobacco product shall apply to such tobacco product until
- 13 the applicability of the requirement to the tobacco product
- 14 has been changed by action taken under section 907, sec-
- 15 tion 910, or subsection (d) of this section, and any re-
- 16 quirement established by or under section 902, 903, 905,
- 17 or 909 which is inconsistent with a requirement imposed
- 18 on such tobacco product under section 907, section 910,
- 19 or subsection (d) of this section shall not apply to such
- 20 tobacco product.
- 21 "(b) Information on Public Access and Com-
- 22 MENT.—Each notice of proposed rulemaking under section
- 23 907, 908, 909, or 910, or under this section, any other
- 24 notice which is published in the Federal Register with re-
- 25 spect to any other action taken under any such section

- 1 and which states the reasons for such action, and each
- 2 publication of findings required to be made in connection
- 3 with rulemaking under any such section shall set forth—
- 4 "(1) the manner in which interested persons
- 5 may examine data and other information on which
- 6 the notice or findings is based; and
- 7 "(2) the period within which interested persons
- 8 may present their comments on the notice or find-
- 9 ings (including the need therefore) or ally or in writ-
- ing, which period shall be at least 60 days but may
- 11 not exceed 90 days unless the time is extended by
- the Secretary by a notice published in the Federal
- Register stating good cause therefore.
- 14 "(c) Limited Confidentiality of Informa-
- 15 TION.—Any information reported to or otherwise obtained
- 16 by the Secretary or the Secretary's representative under
- 17 section 904, 907, 908, 909, or 910 or 704, or under sub-
- 18 section (e) or (f) of this section, which is exempt from
- 19 disclosure under subsection (a) of section 552 of title 5,
- 20 United States Code, by reason of subsection (b)(4) of that
- 21 section shall be considered confidential and shall not be
- 22 disclosed, except that the information may be disclosed to
- 23 other officers or employees concerned with carrying out
- 24 this chapter, or when relevant in any proceeding under
- 25 this chapter.

"(d) Restrictions.—

1

2

3

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

"(1) IN GENERAL.—The Secretary may by regulation require restrictions on the sale and distribution of a tobacco product, including restrictions on the access to, and the advertising and promotion of, the tobacco product, if the Secretary determines that such regulation would be appropriate for the protection of the public health. The Secretary may by regulation impose restrictions on the advertising and promotion of tobacco products consistent with and to full extent permitted by the first amendment to the Constitution. The finding as to whether such regulation would be appropriate for the protection of the public health shall be determined with respect to the risks and benefits to the population as a whole, including users and non-users of the tobacco product, and taking into account— "(A) the increased or decreased likelihood

- "(A) the increased or decreased likelihood that existing users of tobacco products will stop using such products; and
- "(B) the increased or decreased likelihood that those who do not use tobacco products will start using such products.

No such regulation may require that the sale or distribution of a tobacco product be limited to the writ-

- ten or oral authorization of a practitioner licensed by law to prescribe medical products.
- "(2) Label Statements.—The label of a tobacco product shall bear such appropriate statements of the restrictions required by a regulation under subsection (a) as the Secretary may in such regulation prescribe.
- 6 "(3) LIMITATION.—No restriction under para-9 graph (1) may prohibit the sale of any tobacco prod-10 uct in face-to face transactions by a specific category 11 of retail outlets.
- 12 "(e) Good Manufacturing Practice Require-13 ments.—
- 14 "(1) Methods, facilities, and controls to 15 conform.—

"(A) In General.—The Secretary may, in accordance with subparagraph (B), prescribe regulations requiring that the methods used in, and the facilities and controls used for, the manufacture, pre-production design validation (including a process to assess the performance of a tobacco product), packing and storage of a tobacco product, conform to current good manufacturing practice, as prescribed in such regulations, to assure that the public health is pro-

16

17

18

19

20

21

22

23

24

1	tected and that the tobacco product is in com-
2	pliance with this chapter.
3	"(B) REQUIREMENTS.—The Secretary
4	shall—
5	"(i) before promulgating any regula-
6	tion under subparagraph (A), afford an ad-
7	visory committee an opportunity to submit
8	recommendations with respect to the regu-
9	lation proposed to be promulgated;
10	"(ii) before promulgating any regula-
11	tion under subparagraph (A), afford oppor-
12	tunity for an oral hearing;
13	"(iii) provide the advisory committee a
14	reasonable time to make its recommenda-
15	tion with respect to proposed regulations
16	under subparagraph (A); and
17	"(iv) in establishing the effective date
18	of a regulation promulgated under this
19	subsection, take into account the dif-
20	ferences in the manner in which the dif-
21	ferent types of tobacco products have his-
22	torically been produced, the financial re-
23	sources of the different tobacco product
24	manufacturers, and the state of their exist-
25	ing manufacturing facilities, and shall pro-

1 vide for a reasonable period of time for 2 such manufacturers to conform to good manufacturing practices. 3 4 "(2) Exemptions; variances.— "(A) Petition.—Any person subject to 6 any requirement prescribed under paragraph 7 (1) may petition the Secretary for a permanent 8 or temporary exemption or variance from such 9 requirement. Such a petition shall be submitted 10 to the Secretary in such form and manner as 11 the Secretary shall prescribe and shall— 12 "(i) in the case of a petition for an ex-13 emption from a requirement, set forth the 14 basis for the petitioner's determination 15 that compliance with the requirement is 16 not required to assure that the tobacco 17 product will be in compliance with this 18 chapter; 19 "(ii) in the case of a petition for a 20 variance from a requirement, set forth the 21 methods proposed to be used in, and the 22 facilities and controls proposed to be used 23 for, the manufacture, packing, and storage

of the tobacco product in lieu of the meth-

1	ods, facilities, and controls prescribed by
2	the requirement; and
3	"(iii) contain such other information
4	as the Secretary shall prescribe.
5	"(B) Referral to Advisory Com-
6	MITTEE.—The Secretary may refer to an advi-
7	sory committee any petition submitted under
8	subparagraph (A). The advisory committee
9	shall report its recommendations to the Sec-
10	retary with respect to a petition referred to it
11	within 60 days after the date of the petition's
12	referral. Within 60 days after—
13	"(i) the date the petition was sub-
14	mitted to the Secretary under subpara-
15	graph (A); or
16	"(ii) the day after the petition was re-
17	ferred to an advisory committee,
18	whichever occurs later, the Secretary shall by
19	order either deny the petition or approve it.
20	"(C) APPROVAL.—The Secretary may
21	approve—
22	"(i) a petition for an exemption for a
23	tobacco product from a requirement if the
24	Secretary determines that compliance with
25	such requirement is not required to assure

that the tobacco product will be in compliance with this chapter; and

> "(ii) a petition for a variance for a tobacco product from a requirement if the Secretary determines that the methods to be used in, and the facilities and controls to be used for, the manufacture, packing, and storage of the tobacco product in lieu of the methods, controls, and facilities prescribed by the requirement are sufficient to assure that the tobacco product will be in compliance with this chapter.

"(D) CONDITIONS.—An order of the Secretary approving a petition for a variance shall prescribe such conditions respecting the methods used in, and the facilities and controls used for, the manufacture, packing, and storage of the tobacco product to be granted the variance under the petition as may be necessary to assure that the tobacco product will be in compliance with this chapter.

"(E) Hearing.—After the issuance of an order under subparagraph (B) respecting a petition, the petitioner shall have an opportunity for an informal hearing on such order.

3

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

	10
1	"(3) Compliance with require-
2	ments under this subsection shall not be required be-
3	fore the period ending 3 years after the date of en-
4	actment of the Youth Smoking Prevention and Pub-
5	lic Health Protection Act.
6	"(f) Exemption for Investigational Use.—The
7	Secretary may exempt tobacco products intended for in-
8	vestigational use from this chapter under such conditions
9	as the Secretary may prescribe by regulation.
10	"(g) Research and Development.—The Sec-
11	retary may enter into contracts for research, testing, and
12	demonstrations respecting tobacco products and may ob-
13	tain tobacco products for research, testing, and dem-
14	onstration purposes without regard to section 3324(a) and
15	(b) of title 31, United States Code, and section 5 of title
16	41, United States Code.
17	"SEC. 907. PERFORMANCE STANDARDS.
18	"(a) In General.—
19	"(1) FINDING REQUIRED.—The Secretary may
20	adopt performance standards for a tobacco product
21	if the Secretary finds that a performance standard
22	is appropriate for the protection of the public health

This finding shall be determined with respect to the

risks and benefits to the population as a whole, in-

23

1	cluding users and non-users of the tobacco product,
2	and taking into account—
3	"(A) the increased or decreased likelihood
4	that existing users of tobacco products will stop
5	using such products; and
6	"(B) the increased or decreased likelihood
7	that those who do not use tobacco products will
8	start using such products.
9	"(2) Content of Performance Stand-
10	ARDS.—A performance standard established under
11	this section for a tobacco product—
12	"(A) shall include provisions to provide
13	performance that is appropriate for the protec-
14	tion of the public health, including provisions,
15	where appropriate—
16	"(i) for the reduction or elimination of
17	nicotine yields of the product;
18	"(ii) for the reduction or elimination
19	of other constituents or harmful compo-
20	nents of the product; or
21	"(iii) relating to any other require-
22	ment under (B);
23	"(B) shall, where necessary to be appro-
24	priate for the protection of the public health,
25	include—

1	"(i) provisions respecting the con-
2	struction, components, ingredients, and
3	properties of the tobacco product;
4	"(ii) provisions for the testing (on a
5	sample basis or, if necessary, on an indi-
6	vidual basis) of the tobacco product;
7	"(iii) provisions for the measurement
8	of the performance characteristics of the
9	tobacco product;
10	"(iv) provisions requiring that the re-
11	sults of each or of certain of the tests of
12	the tobacco product required to be made
13	under clause (ii) show that the tobacco
14	product is in conformity with the portions
15	of the standard for which the test or tests
16	were required; and
17	"(v) a provision requiring that the
18	sale and distribution of the tobacco prod-
19	uct be restricted but only to the extent
20	that the sale and distribution of a tobacco
21	product may be restricted under a regula-
22	tion under section 906(d); and
23	"(C) shall, where appropriate, require the
24	use and prescribe the form and content of label-
25	ing for the proper use of the tobacco product.

1 "(3) Periodic re-evaluation of perform-2 ANCE STANDARDS.—The Secretary shall provide for 3 periodic evaluation of performance standards estab-4 lished under this section to determine whether such 5 standards should be changed to reflect new medical, 6 scientific, or other technological data. The Secretary 7 may provide for testing under paragraph (2) by any 8 person. 9 "(4) Involvement of other agencies; in-10

- "(4) Involvement of other agencies; informed persons.—In carrying out duties under this section, the Secretary shall, to the maximum extent practicable—
 - "(A) use personnel, facilities, and other technical support available in other Federal agencies;
 - "(B) consult with other Federal agencies concerned with standard-setting and other nationally or internationally recognized standardsetting entities; and
 - "(C) invite appropriate participation, through joint or other conferences, workshops, or other means, by informed persons representative of scientific, professional, industry, or consumer organizations who in the Secretary's judgment can make a significant contribution.

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1	"(b) Establishment of Standards.—
2	"(1) Notice.—
3	"(A) IN GENERAL.—The Secretary shall
4	publish in the Federal Register a notice of pro-
5	posed rulemaking for the establishment, amend-
6	ment, or revocation of any performance stand-
7	ard for a tobacco product.
8	"(B) REQUIREMENTS OF NOTICE.—A no-
9	tice of proposed rulemaking for the establish-
10	ment or amendment of a performance standard
11	for a tobacco product shall—
12	"(i) set forth a finding with sup-
13	porting justification that the performance
14	standard is appropriate for the protection
15	of the public health;
16	"(ii) set forth proposed findings with
17	respect to the risk of illness or injury that
18	the performance standard is intended to
19	reduce or eliminate; and
20	"(iii) invite interested persons to sub-
21	mit an existing performance standard for
22	the tobacco product, including a draft or
23	proposed performance standard, for consid-
24	eration by the Secretary.

"(C) FINDING.—A notice of proposed rulemaking for the revocation of a performance
standard shall set forth a finding with supporting justification that the performance
standard is no longer necessary to be appropriate for the protection of the public health.

"(D) Consideration by secretary.—
The Secretary shall consider all information submitted in connection with a proposed standard, including information concerning the countervailing effects of the performance standard on the health of adolescent tobacco users, adult tobacco users, or non-tobacco users, such as the creation of a significant demand for contraband or other tobacco products that do not meet the requirements of this chapter and the significance of such demand, and shall issue the standard if the Secretary determines that the standard would be appropriate for the protection of the public health.

"(E) COMMENT.—The Secretary shall provide for a comment period of not less than 60 days.

"(2) Promulgation.—

1 "(A) IN GENERAL.—After the expiration of
2 the period for comment on a notice of proposed
3 rulemaking published under paragraph (1) re4 specting a performance standard and after con5 sideration of such comments and any report
6 from an advisory committee, the Secretary
7 shall—

- "(i) promulgate a regulation establishing a performance standard and publish in the Federal Register findings on the matters referred to in paragraph (1); or
- "(ii) publish a notice terminating the proceeding for the development of the standard together with the reasons for such termination.
- "(B) EFFECTIVE DATE.—A regulation establishing a performance standard shall set forth the date or dates upon which the standard shall take effect, but no such regulation may take effect before one year after the date of its publication unless the Secretary determines that an earlier effective date is necessary for the protection of the public health. Such date or dates shall be established so as to minimize, consistent with the public health, economic loss

1	to, and disruption or dislocation of, domestic
2	and international trade.
3	"(3) Special rule for standard banning
4	CLASS OF PRODUCT OR ELIMINATING NICOTINE CON-
5	TENT.—Because of the importance of a decision of
6	the Secretary to issue a regulation establishing a
7	performance standard—
8	"(A) eliminating all cigarettes, all smoke-
9	less tobacco products, or any similar class of to-
10	bacco products, or
11	"(B) requiring the reduction of nicotine
12	yields of a tobacco product to zero,
13	it is appropriate for the Congress to have the oppor-
14	tunity to review such a decision. Therefore, any such
15	standard may not take effect before a date that is
16	2 years after the President notifies the Congress
17	that a final regulation imposing the restriction has
18	been issued.
19	"(4) Amendment; revocation.—
20	"(A) AUTHORITY.—The Secretary, upon
21	the Secretary's own initiative or upon petition
22	of an interested person may by a regulation,
23	promulgated in accordance with the require-
24	ments of paragraphs (1) and (2)(B), amend or
25	revoke a performance standard.

1	"(B) Effective date.—The Secretary
2	may declare a proposed amendment of a per-
3	formance standard to be effective on and after
4	its publication in the Federal Register and until
5	the effective date of any final action taken on
6	such amendment if the Secretary determines
7	that making it so effective is in the public inter-
8	est.
9	"(5) Reference to Advisory Committee.—
10	The Secretary—
11	"(A) may, on the Secretary's own initia-
12	tive, refer a proposed regulation for the estab-
13	lishment, amendment, or revocation of a per-
14	formance standard; or
15	"(B) shall, upon the request of an inter-
16	ested person which demonstrates good cause for
17	referral and which is made before the expiration
18	of the period for submission of comments on
19	such proposed regulation,
20	refer such proposed regulation to an advisory committee,
21	for a report and recommendation with respect to any mat-
22	ter involved in the proposed regulation which requires the
23	exercise of scientific judgment. If a proposed regulation
24	is referred under this paragraph to the advisory com-
25	mittee, the Secretary shall provide the advisory committee

- 1 with the data and information on which such proposed
- 2 regulation is based. The advisory committee shall, within
- 3 60 days after the referral of a proposed regulation and
- 4 after independent study of the data and information fur-
- 5 nished to it by the Secretary and other data and informa-
- 6 tion before it, submit to the Secretary a report and rec-
- 7 ommendation respecting such regulation, together with all
- 8 underlying data and information and a statement of the
- 9 reason or basis for the recommendation. A copy of such
- 10 report and recommendation shall be made public by the
- 11 Secretary.
- 12 "SEC. 908. NOTIFICATION AND OTHER REMEDIES.
- 13 "(a) Notification.—If the Secretary determines
- 14 that—
- 15 "(1) a tobacco product which is introduced or
- delivered for introduction into interstate commerce
- for commercial distribution presents an unreasonable
- risk of substantial harm to the public health; and
- 19 "(2) notification under this subsection is nec-
- essary to eliminate the unreasonable risk of such
- 21 harm and no more practicable means is available
- 22 under the provisions of this chapter (other than this
- section) to eliminate such risk,
- 24 the Secretary may issue such order as may be necessary
- 25 to assure that adequate notification is provided in an ap-

- 1 propriate form, by the persons and means best suited
- 2 under the circumstances involved, to all persons who
- 3 should properly receive such notification in order to elimi-
- 4 nate such risk. The Secretary may order notification by
- 5 any appropriate means, including public service announce-
- 6 ments. Before issuing an order under this subsection, the
- 7 Secretary shall consult with the persons who are to give
- 8 notice under the order.
- 9 "(b) No Exemption From Other Liability.—
- 10 Compliance with an order issued under this section shall
- 11 not relieve any person from liability under Federal or
- 12 State law. In awarding damages for economic loss in an
- 13 action brought for the enforcement of any such liability,
- 14 the value to the plaintiff in such action of any remedy
- 15 provided under such order shall be taken into account.
- 16 "(c) Recall Authority.—
- 17 "(1) IN GENERAL.—If the Secretary finds that
- there is a reasonable probability that a tobacco prod-
- uct contains a manufacturing or other defect not or-
- dinarily contained in tobacco products on the market
- 21 that would cause serious, adverse health con-
- sequences or death, the Secretary shall issue an
- order requiring the appropriate person (including
- 24 the manufacturers, importers, distributors, or retail-
- ers of the tobacco product) to immediately cease dis-

tribution of such tobacco product. The order shall provide the person subject to the order with an opportunity for an informal hearing, to be held not later than 10 days after the date of the issuance of the order, on the actions required by the order and on whether the order should be amended to require a recall of such tobacco product. If, after providing an opportunity for such a hearing, the Secretary determines that inadequate grounds exist to support the actions required by the order, the Secretary shall vacate the order.

"(2) Amendment of order to require re-

"(A) IN GENERAL.—If, after providing an opportunity for an informal hearing under paragraph (1), the Secretary determines that the order should be amended to include a recall of the tobacco product with respect to which the order was issued, the Secretary shall, except as provided in subparagraph (B), amend the order to require a recall. The Secretary shall specify a timetable in which the tobacco product recall will occur and shall require periodic reports to the Secretary describing the progress of the recall.

1	"(B) Notice.—An amended order under
2	subparagraph (A)—
3	"(i) shall not include recall of a to-
4	bacco product from individuals; and
5	"(ii) shall provide for notice to per-
6	sons subject to the risks associated with
7	the use of such tobacco product.
8	In providing the notice required by clause (ii),
9	the Secretary may use the assistance of retail-
10	ers and other persons who distributed such to-
11	bacco product. If a significant number of such
12	persons cannot be identified, the Secretary shall
13	notify such persons under section 705(b).
14	"(3) Remedy not exclusive.—The remedy
15	provided by this subsection shall be in addition to
16	remedies provided by subsection (a) of this section.
17	"SEC. 909. RECORDS AND REPORTS ON TOBACCO PROD-
18	UCTS.
19	"(a) In General.—Every person who is a tobacco
20	product manufacturer or importer of a tobacco product
21	shall establish and maintain such records, make such re-
22	ports, and provide such information, as the Secretary may
23	by regulation reasonably require to assure that such to-
24	bacco product is not adulterated or misbranded and to

- 1 otherwise protect public health. Regulations prescribed
 2 under the preceding sentence—
- 3 "(1) may require a tobacco product manufac-4 turer or importer to report to the Secretary when-5 ever the manufacturer or importer receives or other-6 wise becomes aware of information that reasonably 7 suggests that one of its marketed tobacco products 8 may have caused or contributed to a serious unex-9 pected adverse experience associated with the use of 10 the product or any significant increase in the fre-11 quency of a serious, expected adverse product experi-
 - "(2) shall require reporting of other significant adverse tobacco product experiences as determined by the Secretary to be necessary to be reported;
 - "(3) shall not impose requirements unduly burdensome to a tobacco product manufacturer or importer, taking into account the cost of complying with such requirements and the need for the protection of the public health and the implementation of this chapter;
 - "(4) when prescribing the procedure for making requests for reports or information, shall require that each request made under such regulations for submission of a report or information to the Sec-

13

14

15

16

17

18

19

20

21

22

23

24

25

ence;

- retary state the reason or purpose for such request and identify to the fullest extent practicable such report or information;
 - "(5) when requiring submission of a report or information to the Secretary, shall state the reason or purpose for the submission of such report or information and identify to the fullest extent practicable such report or information; and
- 9 "(6) may not require that the identity of any 10 patient or user be disclosed in records, reports, or 11 information required under this subsection unless re-12 quired for the medical welfare of an individual, to 13 determine risks to public health of a tobacco prod-14 uct, or to verify a record, report, or information sub-15 mitted under this chapter.
- 16 In prescribing regulations under this subsection, the Sec-17 retary shall have due regard for the professional ethics of 18 the medical profession and the interests of patients. The
- 19 prohibitions of paragraph (6) continue to apply to records, 20 reports, and information concerning any individual who
- 21 has been a patient, irrespective of whether or when he
- 22 ceases to be a patient.

6

7

- 23 "(b) Reports of Removals and Corrections.—
- 24 "(1) In general.—Except as provided in para-
- 25 graph (2), the Secretary shall by regulation require

1	a tobacco product manufacturer or importer of a to-
2	bacco product to report promptly to the Secretary
3	any corrective action taken or removal from the
4	market of a tobacco product undertaken by such
5	manufacturer or importer if the removal or correc-
6	tion was undertaken—
7	"(A) to reduce a risk to health posed by
8	the tobacco product; or
9	"(B) to remedy a violation of this chapter
10	caused by the tobacco product which may
11	present a risk to health.
12	A tobacco product manufacturer or importer of a to-
13	bacco product who undertakes a corrective action or
14	removal from the market of a tobacco product which
15	is not required to be reported under this subsection
16	shall keep a record of such correction or removal.
17	"(2) Exception.—No report of the corrective
18	action or removal of a tobacco product may be re-
19	quired under paragraph (1) if a report of the correc-
20	tive action or removal is required and has been sub-
21	mitted under subsection (a).
22	"SEC. 910. PREMARKET REVIEW OF CERTAIN TOBACCO
23	PRODUCTS.
24	"(a) In General.—
25	"(1) Premarket approval required.—

"(A) NEW PRODUCTS.—Approval under 1 2 this section of an application for premarket ap-3 proval for any tobacco product that is not com-4 mercially marketed (other than for test mar-5 keting) in the United States as of June 1, 6 2002, is required unless the manufacturer has 7 submitted a report under section 905(j), and 8 the Secretary has issued an order that the to-9 bacco product is substantially equivalent to a 10 tobacco product commercially marketed (other than for test marketing) in the United States 12 as of June 1, 2002, that is in compliance with 13 the requirements of this Act.

> "(B) Products introduced between June 1, 2002, and enactment of this CHAPTER.—Subparagraph (A) does not apply to a tobacco product that—

"(i) was first introduced or delivered for introduction into interstate commerce for commercial distribution in the United States after June 1, 2002, and before the date of enactment of the Youth Smoking Prevention and Public Health Protection Act; and

11

14

15

16

17

18

19

20

21

22

23

1	"(ii) for which a report was submitted
2	under section 905(j) within 6 months after
3	such date,
4	until the Secretary issues an order that the to-
5	bacco product is substantially equivalent for
6	purposes of this section or requires premarket
7	approval.
8	"(2) Substantially equivalent defined.—
9	"(A) In general.—For purposes of this
10	section and section 905(j), the terms 'substan-
11	tially equivalent' or 'substantial equivalence'
12	mean, with respect to the tobacco product being
13	compared to the predicate tobacco product, that
14	the Secretary by order has found that the to-
15	bacco product—
16	"(i) has the same characteristics as
17	the predicate tobacco product; or
18	"(ii) has different characteristics and
19	the information submitted contains infor-
20	mation, including clinical data if deemed
21	necessary by the Secretary, that dem-
22	onstrates that it is not appropriate to reg-
23	ulate the product under this section be-
24	cause the product does not raise different
25	questions of public health.

"(B) CHARACTERISTICS.—For purposes of subparagraph (A), the term 'characteristics' means the materials, ingredients, design, composition, heating source, or other features of a tobacco product.

"(C) LIMITATION.—A tobacco product may not be found to be substantially equivalent to a predicate tobacco product that has been removed from the market at the initiative of the Secretary or that has been determined by a judicial order to be misbranded or adulterated.

"(3) Health information.—

"(A) Summary.—As part of a submission under section 905(j) respecting a tobacco product, the person required to file a premarket notification under such section shall provide an adequate summary of any health information related to the tobacco product or state that such information will be made available upon request by any person.

"(B) REQUIRED INFORMATION.—Any summary under subparagraph (A) respecting a tobacco product shall contain detailed information regarding data concerning adverse health effects and shall be made available to the public

1	by the Secretary within 30 days of the issuance
2	of a determination that such tobacco product is
3	substantially equivalent to another tobacco
4	product.
5	"(b) Application.—
6	"(1) Contents.—An application for premarket
7	approval shall contain—
8	"(A) full reports of all information, pub-
9	lished or known to, or which should reasonably
10	be known to, the applicant, concerning inves-
11	tigations which have been made to show the
12	health risks of such tobacco product and wheth-
13	er such tobacco product presents less risk than
14	other tobacco products;
15	"(B) a full statement of the components,
16	ingredients, and properties, and of the principle
17	or principles of operation, of such tobacco prod-
18	uct;
19	"(C) a full description of the methods used
20	in, and the facilities and controls used for, the
21	manufacture, processing, and, when relevant,
22	packing and installation of, such tobacco prod-
23	uct;
24	"(D) an identifying reference to any per-
25	formance standard under section 907 which

1	would be applicable to any aspect of such to-
2	bacco product, and either adequate information
3	to show that such aspect of such tobacco prod-
4	uct fully meets such performance standard or
5	adequate information to justify any deviation
6	from such standard;
7	"(E) such samples of such tobacco product
8	and of components thereof as the Secretary
9	may reasonably require;
10	"(F) specimens of the labeling proposed to
11	be used for such tobacco product; and
12	"(G) such other information relevant to
13	the subject matter of the application as the Sec-
14	retary may require.
15	"(2) Reference to advisory committee.—
16	Upon receipt of an application meeting the require-
17	ments set forth in paragraph (1), the Secretary—
18	"(A) may, on the Secretary's own initia-
19	tive; or
20	"(B) shall, upon the request of an appli-
21	cant,
22	refer such application to an advisory committee and
23	for submission (within such period as the Secretary
24	may establish) of a report and recommendation re-
25	specting approval of the application, together with

1	all underlying data and the reasons or basis for the
2	recommendation.
3	"(c) ACTION ON APPLICATION.—
4	"(1) Deadline.—
5	"(A) In general.—As promptly as pos-
6	sible, but in no event later than 180 days after
7	the receipt of an application under subsection
8	(b), the Secretary, after considering the report
9	and recommendation submitted under para-
10	graph (2) of such subsection, shall—
11	"(i) issue an order approving the ap-
12	plication if the Secretary finds that none of
13	the grounds for denying approval specified
14	in paragraph (2) of this subsection applies
15	or
16	"(ii) deny approval of the application
17	if the Secretary finds (and sets forth the
18	basis for such finding as part of or accom-
19	panying such denial) that one or more
20	grounds for denial specified in paragraph
21	(2) of this subsection apply.
22	"(B) RESTRICTIONS ON SALE AND DIS-
23	TRIBUTION.—An order approving an application
24	for a tobacco product may require as a condi-
25	tion to such approval that the sale and distribu-

1	tion of the tobacco product be restricted but
2	only to the extent that the sale and distribution
3	of a tobacco product may be restricted under a
4	regulation under section 906(d).
5	"(2) Denial of Approval.—The Secretary
6	shall deny approval of an application for a tobacco
7	product if, upon the basis of the information sub-
8	mitted to the Secretary as part of the application
9	and any other information before the Secretary with
10	respect to such tobacco product, the Secretary finds
11	that—
12	"(A) there is a lack of a showing that per-
13	mitting such tobacco product to be marketed
14	would be appropriate for the protection of the
15	public health;
16	"(B) the methods used in, or the facilities
17	or controls used for, the manufacture, proc-
18	essing, or packing of such tobacco product do
19	not conform to the requirements of section
20	906(e);
21	"(C) based on a fair evaluation of all mate-
22	rial facts, the proposed labeling is false or mis-
23	leading in any particular; or
24	"(D) such to bacco product is not shown to
25	conform in all respects to a performance stand-

1 ard in effect under section 907, compliance with 2 which is a condition to approval of the applica-3 tion, and there is a lack of adequate informa-4 tion to justify the deviation from such standard. 5 "(3) DENIAL INFORMATION.—Any denial of an 6 application shall, insofar as the Secretary determines 7 to be practicable, be accompanied by a statement in-8 forming the applicant of the measures required to 9 place such application in approvable form (which 10 measures may include further research by the appli-11 cant in accordance with one or more protocols pre-12 scribed by the Secretary). "(4) Basis for finding.—For purposes of 13 14 this section, the finding as to whether approval of a 15 tobacco product is appropriate for the protection of 16 the public health shall be determined with respect to 17 the risks and benefits to the population as a whole, 18 including users and non-users of the tobacco prod-19 uct, and taking into account— 20 "(A) the increased or decreased likelihood 21 that existing users of tobacco products will stop

using such products; and

"(B) the increased or decreased likelihood that those who do not use tobacco products will start using such products.

22

23

24

"(5) Basis for action.—

"(A) Investigations.—For purposes of paragraph (2)(A), whether permitting a tobacco product to be marketed would be appropriate for the protection of the public health shall, when appropriate, be determined on the basis of well-controlled investigations, which may include one or more clinical investigations by experts qualified by training and experience to evaluate the tobacco product.

"(B) OTHER EVIDENCE.—If the Secretary determines that there exists valid scientific evidence (other than evidence derived from investigations described in subparagraph (A)) which is sufficient to evaluate the tobacco product the Secretary may authorize that the determination for purposes of paragraph (2)(A) be made on the basis of such evidence.

"(d) WITHDRAWAL AND TEMPORARY SUSPENSION.—

"(1) IN GENERAL.—The Secretary shall, upon obtaining, where appropriate, advice on scientific matters from an advisory committee, and after due notice and opportunity for informal hearing to the holder of an approved application for a tobacco

1	product, issue an order withdrawing approval of the
2	application if the Secretary finds—
3	"(A) that the continued marketing of such
4	tobacco product no longer is appropriate for the
5	protection of the public health;
6	"(B) that the application contained or was
7	accompanied by an untrue statement of a mate-
8	rial fact;
9	"(C) that the applicant—
10	"(i) has failed to establish a system
11	for maintaining records, or has repeatedly
12	or deliberately failed to maintain records
13	or to make reports, required by an applica-
14	ble regulation under section 909;
15	"(ii) has refused to permit access to,
16	or copying or verification of, such records
17	as required by section 704; or
18	"(iii) has not complied with the re-
19	quirements of section 905;
20	"(D) on the basis of new information be-
21	fore the Secretary with respect to such tobacco
22	product, evaluated together with the evidence
23	before the Secretary when the application was
24	approved, that the methods used in, or the fa-
25	cilities and controls used for, the manufacture,

2

3

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

processing, packing, or installation of such tobacco product do not conform with the requirements of section 906(e) and were not brought into conformity with such requirements within a reasonable time after receipt of written notice from the Secretary of nonconformity;

"(E) on the basis of new information before the Secretary, evaluated together with the evidence before the Secretary when the application was approved, that the labeling of such tobacco product, based on a fair evaluation of all material facts, is false or misleading in any particular and was not corrected within a reasonable time after receipt of written notice from the Secretary of such fact; or

"(F) on the basis of new information before the Secretary, evaluated together with the
evidence before the Secretary when the application was approved, that such tobacco product is
not shown to conform in all respects to a performance standard which is in effect under section 907, compliance with which was a condition to approval of the application, and that
there is a lack of adequate information to justify the deviation from such standard.

- "(2) APPEAL.—The holder of an application subject to an order issued under paragraph (1) withdrawing approval of the application may, by petition filed on or before the 30th day after the date upon which such holder receives notice of such withdrawal, obtain review thereof in accordance with subsection (e).
- "(3) Temporary suspension.—If, after pro-8 9 viding an opportunity for an informal hearing, the 10 Secretary determines there is reasonable probability 11 that the continuation of distribution of a tobacco 12 product under an approved application would cause 13 serious, adverse health consequences or death, that 14 is greater than ordinarily caused by tobacco prod-15 ucts on the market, the Secretary shall by order 16 temporarily suspend the approval of the application 17 approved under this section. If the Secretary issues 18 such an order, the Secretary shall proceed expedi-19 tiously under paragraph (1) to withdraw such appli-20 cation.
- 21 "(e) Service of Order.—An order issued by the 22 Secretary under this section shall be served—
- "(1) in person by any officer or employee of the
 department designated by the Secretary; or

1	"(2) by mailing the order by registered mail or
2	certified mail addressed to the applicant at the ap-
3	plicant's last known address in the records of the
4	Secretary.
5	"SEC. 911. JUDICIAL REVIEW.
6	"(a) RIGHT TO REVIEW.—
7	"(1) In general.—Not later than 30 days
8	after—
9	"(A) the promulgation of a regulation
10	under section 907 establishing, amending, or
11	revoking a performance standard for a tobacco
12	product; or
13	"(B) a denial of an application for ap-
14	proval under section 910(c),
15	any person adversely affected by such regulation or
16	order may file a petition with the United States
17	Court of Appeals for the District of Columbia or for
18	the circuit wherein such person resides or has his or
19	her principal place of business for judicial review of
20	such regulation or order.
21	"(2) Requirements.—
22	"(A) COPY OF PETITION.—A copy of the
23	petition filed under paragraph (1) shall be
24	transmitted by the clerk of the court to the Sec-

retary or other officer designated by the Secretary for that purpose.

"(B) RECORD OF PROCEEDINGS.—With respect to an action under paragraph (1), the Secretary shall file in the court the record of the proceedings on which the Secretary based the Secretary's regulation or order and each record or order shall contain a statement of the reasons for its issuance and the basis, on the record, for its issuance.

"(C) DEFINITION.—For purposes of this section, the term 'record' means all notices and other matter published in the Federal Register with respect to the regulation or order reviewed, all information submitted to the Secretary with respect to such regulation or order, proceedings of any panel or advisory committee with respect to such regulation or order, any hearing held with respect to such regulation or order, and any other information identified by the Secretary, in the administrative proceeding held with respect to such regulation or order, as being relevant to such regulation or order.

"(b) COURT MAY ORDER SECRETARY TO MAKE ADDITIONAL FINDINGS.—

"(1) In General.—If the petitioner in an action under subsection (a)(1) applies to the court for leave to adduce additional data, views, or arguments respecting the regulation or order being reviewed and shows to the satisfaction of the court that such additional data, views, or arguments are material and that there were reasonable grounds for the petitioner's failure to adduce such data, views, or arguments in the proceedings before the Secretary, the court may order the Secretary to provide additional opportunity for the oral presentation of data, views, or arguments and for written submissions.

"(2) Modification of or additional findings.—The Secretary may modify the Secretary's findings, or make new findings by reason of the additional data, views, or arguments under paragraph (1) and shall file with the court such modified or new findings, and the Secretary's recommendation, if any, for the modification or setting aside of the regulation or order being reviewed, with the return of such additional data, views, or arguments.

"(c) STANDARD OF REVIEW.—Upon the filing of the petition under subsection (a) for judicial review of a regulation or order, the court shall have jurisdiction to review the regulation or order in accordance with chapter 7 of

- 1 title 5, United States Code, and to grant appropriate re-
- 2 lief, including interim relief, as provided in such chapter.
- 3 A regulation or order described in paragraph (1) or (2)
- 4 of subsection (a) shall not be affirmed if it is found to
- 5 be unsupported by substantial evidence on the record
- 6 taken as a whole.
- 7 "(d) FINALITY OF JUDGMENT.—The judgment of the
- 8 court affirming or setting aside, in whole or in part, any
- 9 regulation or order shall be final, subject to review by the
- 10 Supreme Court of the United States upon certiorari or
- 11 certification, as provided in section 1254 of title 28,
- 12 United States Code.
- 13 "(e) Other Remedies.—The remedies provided for
- 14 in this section shall be in addition to and not in lieu of
- 15 any other remedies provided by law.
- 16 "(f) Regulations and Orders Must Recite
- 17 Basis in Record.—To facilitate judicial review under
- 18 this section or under any other provision of law or a regu-
- 19 lation or order issued under section 906, 907, 908, 909,
- 20 910, or 914, each such regulation or order shall contain
- 21 a statement of the reasons for its issuance and the basis,
- 22 in the record of the proceedings held in connection with
- 23 its issuance, for its issuance.

1 "SEC. 912. POSTMARKET SURVEILLANCE.

- 2 "(a) DISCRETIONARY SURVEILLANCE.—The Sec-
- 3 retary may require a tobacco product manufacturer to
- 4 conduct postmarket surveillance for a tobacco product of
- 5 the manufacturer if the Secretary determines that
- 6 postmarket surveillance of the tobacco product is nec-
- 7 essary to protect the public health or is necessary to pro-
- 8 vide information regarding the health risks and other safe-
- 9 ty issues involving the tobacco product.
- 10 "(b) Surveillance Approval.—Each tobacco
- 11 product manufacturer required to conduct a surveillance
- 12 of a tobacco product under subsection (a) shall, within 30
- 13 days after receiving notice that the manufacturer is re-
- 14 quired to conduct such surveillance, submit, for the ap-
- 15 proval of the Secretary, a protocol for the required surveil-
- 16 lance. The Secretary, within 60 days of the receipt of such
- 17 protocol, shall determine if the principal investigator pro-
- 18 posed to be used in the surveillance has sufficient quali-
- 19 fications and experience to conduct such surveillance and
- 20 if such protocol will result in collection of useful data or
- 21 other information necessary to protect the public health.
- 22 The Secretary may not approve such a protocol until it
- 23 has been reviewed by an appropriately qualified scientific
- 24 and technical review committee established by the Sec-
- 25 retary.

1 "SEC. 913. REDUCED RISK TOBACCO PRODUCTS.

2	"(a) Requirements.—
3	"(1) In general.—For purposes of this sec-
4	tion, the term 'reduced risk tobacco product' means
5	a tobacco product designated by the Secretary under
6	paragraph (2).
7	"(2) Designation.—
8	"(A) IN GENERAL.—A product may be
9	designated by the Secretary as a reduced risk
10	tobacco product if the Secretary finds that the
11	product will significantly reduce harm to indi-
12	viduals caused by a tobacco product and is oth-
13	erwise appropriate to protect public health,
14	based on an application submitted by the manu-
15	facturer of the product (or other responsible
16	person) that—
17	"(i) demonstrates through testing on
18	animals and short-term human testing that
19	use of such product results in ingestion or
20	inhalation of a substantially lower yield of
21	toxic substances than use of conventional
22	tobacco products; and
23	"(ii) if required by the Secretary, in-
24	cludes studies of the long-term health ef-
25	fects of the product.

1	If such studies are required, the manufacturer
2	may consult with the Secretary regarding proto-
3	cols for conducting the studies.
4	"(B) Basis for finding.—In making the
5	finding under subparagraph (A), the Secretary
6	shall take into account—
7	"(i) the risks and benefits to the pop-
8	ulation as a whole, including both users of
9	tobacco products and non-users of tobacco
10	products;
11	"(ii) the increased or decreased likeli-
12	hood that existing users of tobacco prod-
13	ucts will stop using such products includ-
14	ing reduced risk tobacco products;
15	"(iii) the increased or decreased likeli-
16	hood that those who do not use tobacco
17	products will start to use such products,
18	including reduced risk tobacco products;
19	and
20	"(iv) the risks and benefits to con-
21	sumers from the use of a reduced risk to-
22	bacco product as compared to the use of
23	products approved under chapter V to re-
24	duce exposure to tobacco.

1	"(3) Marketing requirements.—A tobacco
2	product may be marketed and labeled as a reduced
3	risk tobacco product if it—
4	"(A) has been designated as a reduced risk
5	tobacco product by the Secretary under para-
6	graph (2);
7	"(B) bears a label prescribed by the Sec-
8	retary concerning the product's contribution to
9	reducing harm to health; and
10	"(C) complies with requirements prescribed
11	by the Secretary relating to marketing and ad-
12	vertising of the product, and other provisions of
13	this chapter as prescribed by the Secretary.
14	"(b) Revocation of Designation.—At any time
15	after the date on which a tobacco product is designated
16	as a reduced risk tobacco product under this section the
17	Secretary may, after providing an opportunity for an in-
18	formal hearing, revoke such designation if the Secretary
19	determines, based on information not available at the time
20	of the designation, that—
21	"(1) the finding made under subsection (a)(2)
22	is no longer valid; or
23	"(2) the product is being marketed in violation
24	of subsection (a)(3).

1	"(c) Limitation.—A tobacco product that is des-
2	ignated as a reduced risk tobacco product that is in com-
3	pliance with subsection (a) shall not be regulated as a
4	drug or device.
5	"(d) DEVELOPMENT OF REDUCED RISK TOBACCO
6	PRODUCT TECHNOLOGY.—A tobacco product manufac-
7	turer shall provide written notice to the Secretary upon
8	the development or acquisition by the manufacturer of any
9	technology that would reduce the risk of a tobacco product
10	to the health of the user for which the manufacturer is
11	not seeking designation as a 'reduced risk tobacco product'
12	under subsection (a).
13	"SEC. 914. EQUAL TREATMENT OF RETAIL OUTLETS.
14	"The Secretary shall issue regulations to require that
15	retail establishments for which the predominant business
16	is the sale of tobacco products comply with any advertising
17	restrictions applicable to retail establishments accessible
18	to individuals under the age of 18.
19	"SEC. 915. JURISDICTION OF AND COORDINATION WITH
20	THE FEDERAL TRADE COMMISSION.
21	"(a) Jurisdiction.—
22	"(1) In General.—Except where expressly
23	provided in this chapter, nothing in this chapter
24	shall be construed as limiting or diminishing the au-
25	thority of the Federal Trade Commission to enforce

- 1 the laws under its jurisdiction with respect to the 2 advertising, sale, or distribution of tobacco products.
- "(2) Enforcement.—Any advertising that vio-3 4 lates this chapter or a provision of the regulations 5 referred to in section 102 of the Youth Smoking 6 Prevention and Public Health Protection Act, is an 7 unfair or deceptive act or practice under section 5(a) 8 of the Federal Trade Commission Act (15 U.S.C. 9 45(a)) and shall be considered a violation of a rule promulgated under section 18 of that Act (15 10 11 U.S.C. 57a).
- 12 "(b) Coordination.—With respect to the requirements of section 4 of the Federal Cigarette Labeling and Advertising Act (15 U.S.C. 1333) and section 3 of the 14 15 Comprehensive Smokeless Tobacco Health Education Act of 1986 (15 U.S.C. 4402)—
- 17 "(1) the Chairman of the Federal Trade Com-18 mission shall coordinate with the Secretary con-19 cerning the enforcement of such Act as such enforce-20 ment relates to unfair or deceptive acts or practices 21 in the advertising of cigarettes or smokeless tobacco; 22 and
- 23 "(2) the Secretary shall consult with the Chair-24 man of such Commission in revising the label state-25 ments and requirements under such sections.

1 "SEC. 916. CONGRESSIONAL REVIEW PROVISIONS.

- 2 "In accordance with section 801 of title 5, United
- 3 States Code, the Congress shall review, and may dis-
- 4 approve, any rule under this chapter that is subject to sec-
- 5 tion 801. This section does not apply to the regulations
- 6 referred to in section 102 of the Youth Smoking Preven-
- 7 tion and Public Health Protection Act.

8 "SEC. 917. REGULATION REQUIREMENT.

- 9 "(a) Testing, Reporting, and Disclosure.—Not
- 10 later than 24 months after the date of enactment of the
- 11 Youth Smoking Prevention and Public Health Protection
- 12 Act, the Secretary, acting through the Commissioner of
- 13 the Food and Drug Administration, shall promulgate reg-
- 14 ulations under this Act that meet the requirements of sub-
- 15 section (b).
- 16 "(b) Contents of Rules.—The regulations pro-
- 17 mulgated under subsection (a) shall require the testing,
- 18 reporting, and disclosure of tobacco product smoke con-
- 19 stituents and ingredients that the Secretary determines
- 20 should be disclosed to the public in order to protect the
- 21 public health. Such constituents shall include tar, nicotine,
- 22 carbon monoxide, and such other smoke constituents or
- 23 ingredients as the Secretary may determine to be appro-
- 24 priate. The regulations may require that tobacco product
- 25 manufacturers, packagers, or importers make such disclo-
- 26 sures relating to tar and nicotine through labels or adver-

- 1 tising, and make such disclosures regarding other smoke
- 2 constituents or ingredients as the Secretary determines
- 3 are necessary to protect the public health.
- 4 "(c) AUTHORITY.—The Food and Drug Administra-
- 5 tion shall have the authority under this chapter to conduct
- 6 or to require the testing, reporting, or disclosure of to-
- 7 bacco product smoke constituents.
- 8 "SEC. 918. PRESERVATION OF STATE AND LOCAL AUTHOR-
- 9 **ITY.**
- 10 "(a) Additional Requirements.—
- "(1) IN GENERAL.—Except as provided in para-11 12 graph (2), nothing in this chapter, or rules promul-13 gated under this chapter, shall be construed to limit 14 the authority of a Federal agency (including the 15 Armed Forces), a State or political subdivision of a 16 State, or the government of an Indian tribe to enact, 17 adopt, promulgate, and enforce any law, rule, regu-18 lation, or other measure with respect to tobacco 19 products, including laws, rules, regulations, or other 20 measures relating to or prohibiting the sale, dis-21 tribution, possession, exposure to, or use of tobacco

products by individuals of any age that are in addi-

tion to, or more stringent than, requirements estab-

lished under this chapter. No provision of this chap-

22

23

ter shall limit or otherwise affect any State, Tribal,
or local taxation of tobacco products.

- "(2) Preemption of Certain State and Local requirements.—
- 5 "(A) IN GENERAL.—Except as provided in 6 subparagraph (B), no State or political subdivi-7 sion of a State may establish or continue in ef-8 fect with respect to a tobacco product any re-9 quirement which is different from, or in addi-10 tion to, any requirement applicable under the 11 provisions of this chapter relating to perform-12 ance standards, premarket approval, adultera-13 tion, misbranding, registration, reporting, good 14 manufacturing standards, or reduced risk prod-15 ucts.
 - "(B) EXCEPTION.—Subparagraph (A) does not apply to requirements relating to the sale, use, or distribution of a tobacco product including requirements related to the access to, and the advertising and promotion of, a tobacco product.
- "(b) Additional Restrictions on Underage
 Usage.—Nothing in this chapter shall be construed to
 prevent a Federal agency (including the Armed Forces),
 State or a political subdivision of a State, or the govern-

3

4

16

17

18

19

20

- 1 ment of an Indian tribe from adopting and enforcing addi-
- 2 tional measures that further restrict or prohibit tobacco
- 3 product sale to, use by, and accessibility to individuals
- 4 under the legal age of purchase established by such agen-
- 5 cy, State, subdivision, or government of an Indian tribe.
- 6 "(c) No Less Stringent.—Nothing in this chapter
- 7 is intended to supersede any State, local, or Tribal law
- 8 that is not less stringent than this chapter.
- 9 "(d) Rule of Construction Regarding Product
- 10 Liability.—No provision of this chapter relating to a to-
- 11 bacco product shall be construed to modify or otherwise
- 12 affect any action or the liability of any person under the
- 13 product liability law of any State.
- 14 "(e) Waivers.—Upon the application of a State or
- 15 political subdivision thereof, the Secretary may, by regula-
- 16 tion promulgated after notice and an opportunity for an
- 17 oral hearing, exempt from subsection (a), under such con-
- 18 ditions as may be prescribed in such regulation, a require-
- 19 ment of such State or political subdivision applicable to
- 20 a tobacco product if—
- 21 "(1) the requirement is more stringent than a
- requirement applicable under the provisions de-
- scribed in subsection (a)(1) which would be applica-
- ble to the tobacco product if an exemption were not
- in effect under this subsection; or

1	"(2) the requirement—
2	"(A) is required by compelling local condi-
3	tions; and
4	"(B) compliance with the requirement
5	would not cause the tobacco product to be in
6	violation of any applicable requirement of this
7	chapter.
8	"SEC. 919. TOBACCO PRODUCTS SCIENTIFIC ADVISORY
9	COMMITTEE.
10	"(a) Establishment.—Not later than 1 year after
11	the date of enactment of the Youth Smoking Prevention
12	and Public Health Protection Act, the Secretary shall es-
13	tablish a 9-member advisory committee, to be known as
14	the 'Tobacco Products Scientific Advisory Committee'.
15	"(b) Membership.—
16	"(1) IN GENERAL.—The Secretary shall appoint
17	as members of the Tobacco Products Scientific Advi-
18	sory Committee individuals who are technically
19	qualified by training and experience in the medicine,
20	medical ethics, science, or technology involving the
21	manufacture, evaluation, or use of tobacco products,
22	who are of appropriately diversified professional
23	backgrounds. The committee shall be composed of—

1	"(A) 3 individuals who are officers or em-
2	ployees of a State or local government, or of the
3	Federal government;
4	"(B) 2 individuals as representatives of in-
5	terests of the tobacco manufacturing industry;
6	"(C) 2 individuals as representatives of in-
7	terests of physicians and other health care pro-
8	fessionals; and
9	"(D) 2 individuals as representatives of the
10	general public.
11	"(2) Limitation.—The Secretary may not ap-
12	point to the Advisory Committee any individual who
13	is in the regular full-time employ of the Food and
14	Drug Administration or any agency responsible for
15	the enforcement of this Act. The Secretary may ap-
16	point Federal officials as ex-officio members.
17	"(3) Chairperson.—The Secretary shall des-
18	ignate 1 of the members of the Advisory Committee
19	to serve as chairperson.
20	"(c) Duties.—The Tobacco Products Scientific Ad-
21	visory Committee shall provide advice, information, and
22	recommendations to the Secretary—
23	"(1) as provided in this chapter;
24	"(2) on the effects of the alteration of the nico-
25	tine yields from tobacco products:

1 "(3) on whether there is a threshold level below 2 which nicotine yields do not produce dependence on 3 the tobacco product involved; and

> "(4) on its review of other safety, dependence, or health issues relating to tobacco products as requested by the Secretary.

"(d) Compensation; Support; FACA.—

"(1) Compensation and Travel.—Members of the Advisory Committee who are not officers or employees of the United States, while attending conferences or meetings of the committee or otherwise engaged in its business, shall be entitled to receive compensation at rates to be fixed by the Secretary, which may not exceed the daily equivalent of the rate in effect for level 4 of the Senior Executive Schedule under section 5382 of title 5, United States Code, for each day (including travel time) they are so engaged; and while so serving away from their homes or regular places of business each member may be allowed travel expenses, including per diem in lieu of subsistence, as authorized by section 5703 of title 5, United States Code, for persons in the Government service employed intermittently.

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

- 1 "(2) Administrative support.—The Sec-
- 2 retary shall furnish the Advisory Committee clerical
- and other assistance.
- 4 "(3) Nonapplication of faca.—Section 14 of
- 5 the Federal Advisory Committee Act (5 U.S.C.
- 6 App.) does not apply to the Advisory Committee.
- 7 "(e) Proceedings of Advisory Panels and Com-
- 8 MITTEES.—The Advisory Committee shall make and
- 9 maintain a transcript of any proceeding of the panel or
- 10 committee. Each such panel and committee shall delete
- 11 from any transcript made under this subsection informa-
- 12 tion which is exempt from disclosure under section 552(b)
- 13 of title 5, United States Code.".

14 SEC. 102. CONSTRUCTION OF CURRENT REGULATIONS.

- 15 (a) IN GENERAL.—The final regulations promulgated
- 16 by the Secretary of Health and Human Services in the
- 17 August 28, 1996, issue of the Federal Register (62 Fed.
- 18 Reg. 44615–44618 beginning at "part 897") are hereby
- 19 deemed to be lawful and shall have the same legal force
- 20 and effect as if such regulations had been lawfully promul-
- 21 gated by the Secretary under chapter IX and section 701
- 22 of the Federal Food, Drug, and Cosmetic Act (as amended
- 23 by this Act). Not later than 30 days after the date of en-
- 24 actment of this Act, the Secretary shall republish such
- 25 regulations in the Federal Register. Such regulations shall

- 1 take effect on the date that is 12 months after such date
- 2 of enactment, except that the Secretary may designate an
- 3 earlier effective date. The Secretary shall amend the des-
- 4 ignation of authority in such regulations in accordance
- 5 with this subsection.
- 6 (b) LIMITATION ON ADVISORY OPINIONS.—As of the
- 7 date of enactment of this Act, the following documents
- 8 issued by the Food and Drug Administration shall not
- 9 constitute advisory opinions under section 10.85(d)(1) of
- 10 title 21, Code of Federal Regulations, except as they apply
- 11 to tobacco products, and shall not be cited by the Sec-
- 12 retary of Health and Human Services or the Food and
- 13 Drug Administration as binding precedent:
- 14 (1) The preamble to the proposed rule in the
- document entitled "Regulations Restricting the Sale
- and Distribution of Cigarettes and Smokeless To-
- 17 bacco Products to Protect Children and Adoles-
- 18 cents" (60 Fed. Reg. 41314–41372 (August 11,
- 19 1995)).
- 20 (2) The document entitled "Nicotine in Ciga-
- 21 rettes and Smokeless Tobacco Products is a Drug
- and These Products Are Nicotine Delivery Devices
- Under the Federal Food, Drug, and Cosmetic Act"
- 24 (60 Fed. Reg. 41453–41787 (August 11, 1995)).

(3) The preamble to the final rule in the docu-1 2 ment entitled "Regulations Restricting the Sale and 3 Distribution of Cigarettes and Smokeless Tobacco to Protect Children and Adolescents" (61 Fed. Reg. 4 5 44396–44615 (August 28, 1996)). (4) The document entitled "Nicotine in Ciga-6 7 rettes and Smokeless Tobacco is a Drug and These 8 Products are Nicotine Delivery Devices Under the 9 Federal Food, Drug, and Cosmetic Act; Jurisdic-10 tional Determination" (61 Fed. Reg. 44619–45318 11 (August 28, 1996)). 12 SEC. 103. CONFORMING AND OTHER AMENDMENTS TO GEN-13 ERAL PROVISIONS. 14 (a) Amendment of Federal Food, Drug, and 15 Cosmetic Act.—Except as otherwise expressly provided, whenever in this section an amendment is expressed in terms of an amendment to, or repeal of, a section or other provision, the reference is to a section or other provision of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 19 20 301 et seq.). 21 (b) Section 301.—Section 301 (21 U.S.C. 331) is 22 amended— 23 (1) in subsection (a), by inserting "tobacco product," after "device,"; 24

```
1
             (2) in subsection (b), by inserting "tobacco
 2
         product," after "device,";
 3
             (3) in subsection (c), by inserting "tobacco
         product," after "device,";
 4
             (4) in subsection (e), by striking "515(f), or
 5
         519" and inserting "515(f), 519, or 909";
 6
             (5) in subsection (g), by inserting "tobacco
 7
 8
         product," after "device,";
 9
              (6) in subsection (h), by inserting "tobacco
10
         product," after "device,";
11
             (7) in subsection (j), by striking "708, or 721"
12
         and inserting "708, 721, 904, 905, 906, 907, 908,
13
         or 909";
14
             (8) in subsection (k), by inserting "tobacco
15
         product," after "device,";
16
             (9) by striking subsection (p) and inserting the
17
         following:
18
         "(p) The failure to register in accordance with section
19
    510 or 905, the failure to provide any information re-
20
    quired by section 510(j), 510(k), 905(i), or 905(j), or the
21
    failure to provide a notice required by section 510(j)(2)
22
    or 905(j)(2).";
23
             (10) by striking subsection (q)(1) and inserting
24
         the following:
         "(q)(1) The failure or refusal—
25
```

```
1
             "(A) to comply with any requirement prescribed
 2
        under section 518, 520(g), 906(f), or 908;
 3
             "(B) to furnish any notification or other mate-
 4
        rial or information required by or under section 519,
 5
        520(g), 904, 906(f), or 909; or
 6
             "(C) to comply with a requirement under sec-
 7
        tion 522 or 912.";
             (11) in subsection (q)(2), by striking "device,"
 8
 9
        and inserting "device or tobacco product,";
10
             (12) in subsection (r), by inserting "or tobacco
11
        product" after "device" each time that it appears;
12
        and
13
             (13) by adding at the end the following:
             "(aa) The sale of tobacco products in violation
14
        of a no-tobacco-sale order issued under section
15
16
        303(f).".
17
        (c) Section 303.—Section 303(f) (21 U.S.C. 333(f))
    is amended—
18
19
             (1) by striking the subsection heading and in-
20
        serting the following:
        "(f) CIVIL PENALTIES; No-Tobacco-Sale Or-
21
22
    DERS.—";
23
             (2) in paragraph (1)(A), by inserting "or to-
        bacco products" after "devices";
24
```

1	(3) by redesignating paragraphs (3), (4), and
2	(5) as paragraphs (4), (5), and (6), and inserting
3	after paragraph (2) the following:
4	"(3) If the Secretary finds that a person has
5	committed repeated violations of restrictions promul-
6	gated under section 906(d) at a particular retail out-
7	let then the Secretary may impose a no-tobacco-sale
8	order on that person prohibiting the sale of tobacco
9	products in that outlet. A no-tobacco-sale order may
10	be imposed with a civil penalty under paragraph
11	(1).";
12	(4) in paragraph (4) as so redesignated—
13	(A) in subparagraph (A)—
14	(i) by striking "assessed" the first
15	time it appears and inserting "assessed, or
16	a no-tobacco-sale order may be imposed,";
17	and
18	(ii) by striking "penalty" and insert-
19	ing "penalty, or upon whom a no-tobacco-
20	order is to be imposed,";
21	(B) in subparagraph (B)—
22	(i) by inserting after "penalty," the
23	following: "or the period to be covered by
24	a no-tobacco-sale order,"; and

1	(ii) by adding at the end the fol-
2	lowing: "A no-tobacco-sale order perma-
3	nently prohibiting an individual retail out-
4	let from selling tobacco products shall in-
5	clude provisions that allow the outlet, after
6	a specified period of time, to request that
7	the Secretary compromise, modify, or ter-
8	minate the order."; and
9	(C) by adding at the end, the following:
10	"(D) The Secretary may compromise, mod-
11	ify, or terminate, with or without conditions,
12	any no-tobacco-sale order.";
13	(5) in paragraph (5) as so redesignated—
14	(A) by striking "(3)(A)" as redesignated,
15	and inserting "(4)(A)";
16	(B) by inserting "or the imposition of a
17	no-tobacco-sale order" after "penalty" the first
18	2 places it appears; and
19	(C) by striking "issued." and inserting
20	"issued, or on which the no-tobacco-sale order
21	was imposed, as the case may be."; and
22	(6) in paragraph (6), as so redesignated, by
23	striking "paragraph (4)" each place it appears and
24	inserting "paragraph (5)".

```
1
        (d) Section 304.—Section 304 (21 U.S.C. 334) is
 2
    amended—
 3
             (1) in subsection (a)(2)—
                  (A) by striking "and" before "(D)"; and
 4
 5
                  (B) by striking "device." and inserting the
 6
             following: ", (E) Any adulterated or misbranded
 7
             tobacco product.";
             (2) in subsection (d)(1), by inserting "tobacco
 8
 9
        product," after "device,";
10
             (3) in subsection (g)(1), by inserting "or to-
11
        bacco product" after "device" each place it appears;
12
        and
13
             (4) in subsection (g)(2)(A), by inserting "or to-
14
        bacco product" after "device" each place it appears.
15
        (e) Section 702.—Section 702(a) (21 U.S.C.
    372(a)) is amended—
16
17
             (1) by inserting "(1)" after "(a)"; and
18
             (2) by adding at the end thereof the following:
19
        "(2) For a tobacco product, to the extent feasible,
20
    the Secretary shall contract with the States in accordance
21
    with paragraph (1) to carry out inspections of retailers
22
    in connection with the enforcement of this Act.".
23
        (f) Section 703.—Section 703 (21 U.S.C. 373) is
    amended—
```

```
(1) by inserting "tobacco product," after "de-
 1
 2
        vice," each place it appears; and
 3
             (2) by inserting "tobacco products," after "de-
 4
        vices," each place it appears.
 5
        (g) Section 704.—Section 704 (21 U.S.C. 374) is
 6
   amended—
 7
             (1) in subsection (a)(1)(A), by inserting "to-
 8
        bacco products," after "devices," each place it ap-
 9
        pears;
10
             (2) in subsection (a)(1)(B), by inserting "or to-
        bacco product" after "restricted devices" each place
11
12
        it appears; and
13
             (3) in subsection (b), by inserting "tobacco
14
        product," after "device,".
             SECTION 705.—Section 705(b)
15
                                                (21
                                                     U.S.C.
   375(b)) is amended by inserting "tobacco products," after
16
17
   "devices,".
18
        (i) Section 709.—Section 709 (21 U.S.C. 379) is
   amended by inserting "or tobacco product" after "device".
19
20
        (j) Section 801.—Section 801 (21 U.S.C. 381) is
21
   amended—
22
             (1) in subsection (a)—
23
                  (A) by inserting "tobacco products," after
             "devices," the first time it appears;
24
```

1	(B) by inserting "or subsection (j) of sec-
2	tion 905" after "section 510"; and
3	(C) by striking "drugs or devices" each
4	time it appears and inserting "drugs, devices,
5	or tobacco products";
6	(2) in subsection (e)—
7	(A) in paragraph (1), by inserting "tobacco
8	product," after "device,"; and
9	(B) by redesignating paragraph (4) as
10	paragraph (5) and inserting after paragraph
11	(3), the following:
12	"(4) Paragraph (1) does not apply to any to-
13	bacco product—
14	"(A) which does not comply with an appli-
15	cable requirement of section 907 or 910; or
16	"(B) which under section 906(f) is exempt
17	from either such section.
18	This paragraph does not apply if the Secretary has
19	determined that the exportation of the tobacco prod-
20	uct is not contrary to the public health and safety
21	and has the approval of the country to which it is
22	intended for export or the tobacco product is eligible
23	for export under section 802.".
24	(k) Section 802.—Section 802 (21 U.S.C. 382) is
25	amended—

1	(1) in subsection (a), by striking "device—"
2	and inserting "device or tobacco product—";
3	(2) in subsection (a)(1)(C), by striking "and"
4	after the semicolon;
5	(3) in subsection (a)(2), by striking subpara-
6	graph (C) and all that follows in that subsection and
7	inserting the following:
8	"(C) is a banned device under section 516;
9	or
10	"(3) which, in the case of a tobacco product—
11	"(A) does not comply with an applicable
12	requirement of section 907 or 910; or
13	"(B) under section 906(f) is exempt from
14	either such section,
15	is adulterated, misbranded, and in violation of such
16	sections or Act unless the export of the drug, device,
17	or tobacco product is, except as provided in sub-
18	section (f), authorized under subsection (b), (c), (d),
19	or (e) of this section or section $801(e)(2)$ or
20	801(e)(4). If a drug, device, or tobacco product de-
21	scribed in paragraph (1), (2), or (3) may be ex-
22	ported under subsection (b) and if an application for
23	such drug or device under section 505, 515, or 910
24	of this Act or section 351 of the Public Health Serv-
25	ice Act (42 U.S.C. 262) was disapproved, the Sec-

1	retary shall notify the appropriate public health offi-
2	cial of the country to which such drug, device, or to-
3	bacco product will be exported of such disapproval.";
4	(4) in subsection (b)(1)(A), by inserting "or to-
5	bacco product" after "device" each time it appears;
6	(5) in subsection (c), by inserting "or tobacco
7	product" after "device" and inserting "or section
8	906(f)" after "520(g).";
9	(6) in subsection (f), by inserting "or tobacco
10	product" after "device" each time it appears; and
11	(7) in subsection (g), by inserting "or tobacco
12	product" after "device" each time it appears.
13	(l) Section 1003.—Section 1003(d)(2)(C) (as redes-
14	ignated by section 101(a)) is amended—
15	(1) by striking "and" after "cosmetics,"; and
16	(2) inserting a comma and "and tobacco prod-
17	ucts" after "devices".
18	(m) Effective Date for No-Tobacco-Sale
19	ORDER AMENDMENTS.—The amendments made by sub-
20	section (c), other than the amendment made by paragraph
21	(2) of such subsection, shall take effect only upon the pro-
22	mulgation of final regulations by the Secretary of Health
23	and Human Services—
24	(1) defining the term "repeated violation", as
25	used in section 303(f) of the Federal Food. Drug.

- and Cosmetic Act (21 U.S.C. 333(f)) as amended by subsection (c), by identifying the number of violations of particular requirements over a specified period of time that constitute a repeated violation;
 - (2) providing for notice to the retailer of each violation at a particular retail outlet;
 - (3) providing that a person may not be charged with a violation at a particular retail outlet unless the Secretary has provided notice to the retailer of all previous violations at that outlet;
 - (4) establishing a period of time during which, if there are no violations by a particular retail outlet, that outlet will not considered to have been the site of repeated violations when the next violation occurs; and
 - (5) providing that good faith reliance on false identification does not constitute a violation of any minimum age requirement for the sale of tobacco products.

TITLE II—TOBACCO PRODUCT

2 WARNINGS AND SMOKE CON-

3 STITUENT DISCLOSURE

- 4 SEC. 201. CIGARETTE LABEL AND ADVERTISING WARNINGS.
- 5 (a) IN GENERAL.—Section 4 of the Federal Cigarette
- 6 Labeling and Advertising Act (15 U.S.C. 1333) is amend-
- 7 ed to read as follows:
- 8 "SEC. 4. LABELING.
- 9 "(a) Label Requirements.—
- 10 "(1) IN GENERAL.—It shall be unlawful for any
- person to manufacture, package, or import for sale
- or distribution within the United States any ciga-
- rettes the package of which fails to bear, in accord-
- ance with the requirements of this section, one of
- the following labels:
- 16 "WARNING: Cigarettes are addictive"
- 17 "WARNING: Tobacco smoke can harm your chil-
- 18 dren''
- 19 "WARNING: Cigarettes cause fatal lung disease"
- 20 "WARNING: Cigarettes cause cancer"
- 21 "WARNING: Cigarettes cause strokes and heart
- disease"
- 23 "WARNING: Smoking during pregnancy can harm
- vour baby"
- 25 "WARNING: Smoking can kill you"

1 "WARNING: Tobacco smoke causes fatal lung dis-

2 ease in non-smokers"

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

"WARNING: Quitting smoking now greatly reduces serious risks to your health"

"(2) Placement; Typography; etc.—

"(A) IN GENERAL.—Each label statement required by paragraph (1) shall be located in the upper portion of the front and rear panels of the package, directly on the package underneath the cellophane or other clear wrapping. Except as provided in subparagraph (B), each label statement shall comprise at least the top 25 percent of the front and rear panels of the package. The word "WARNING" shall appear in capital letters and all text shall be in conspicuous and legible 17-point type, unless the text of the label statement would occupy more than 70 percent of such area, in which case the text may be in a smaller conspicuous and legible type size, provided that at least 60 percent of such area is occupied by required text. The text shall be black on a white background, or white on a black background, in a manner that contrasts, by typography, layout, or color, with all other printed material on the package, in an alternating fashion under the plan submitted under subsection (b)(4).

"(B) FLIP-TOP BOXES.—For any cigarette brand package manufactured or distributed before January 1, 2000, which employs a flip-top style (if such packaging was used for that brand in commerce prior to June 21, 1997), the label statement required by paragraph (1) shall be located on the flip-top area of the package, even if such area is less than 25 percent of the area of the front panel. Except as provided in this paragraph, the provisions of this subsection shall apply to such packages.

"(3) Does not apply to foreign do not apply to a tobacco product manufacturer or distributor of cigarettes which does not manufacture, package, or import cigarettes for sale or distribution within the United States.

"(b) Advertising Requirements.—

"(1) IN GENERAL.—It shall be unlawful for any tobacco product manufacturer, importer, distributor, or retailer of cigarettes to advertise or cause to be advertised within the United States any cigarette unless its advertising bears, in accordance with the

requirements of this section, one of the labels specified in subsection (a) of this section.

> "(2) Typography, etc.—Each label statement required by subsection (a) of this section in cigarette advertising shall comply with the standards set forth in this paragraph. For press and poster advertisements, each such statement and (where applicable) any required statement relating to tar, nicotine, or other constituent yield shall comprise at least 20 percent of the area of the advertisement and shall appear in a conspicuous and prominent format and location at the top of each advertisement within the trim area. The Secretary may revise the required type sizes in such area in such manner as the Secretary determines appropriate. The word "WARN-ING" shall appear in capital letters, and each label statement shall appear in conspicuous and legible type. The text of the label statement shall be black if the background is white and white if the background is black, under the plan submitted under paragraph (4) of this subsection. The label statements shall be enclosed by a rectangular border that is the same color as the letters of the statements and that is the width of the first downstroke of the capital "W" of the word "WARNING" in the label

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1 statements. The text of such label statements shall 2 be in a typeface pro rata to the following require-3 ments: 45-point type for a whole-page broadsheet 4 newspaper advertisement; 39-point type for a half-5 page broadsheet newspaper advertisement; 39-point 6 type for a whole-page tabloid newspaper advertise-7 ment; 27-point type for a half-page tabloid news-8 paper advertisement; 31.5-point type for a double 9 page spread magazine or whole-page magazine ad-10 vertisement; 22.5-point type for a 28 centimeter by 11 3 column advertisement; and 15-point type for a 20 12 centimeter by 2 column advertisement. The label 13 statements shall be in English, except that in the 14 case of—

- "(A) an advertisement that appears in a newspaper, magazine, periodical, or other publication that is not in English, the statements shall appear in the predominant language of the publication; and
- "(B) in the case of any other advertisement that is not in English, the statements shall appear in the same language as that principally used in the advertisement.
- 24 "(3) ADJUSTMENT BY SECRETARY.—The Sec-25 retary may, through a rulemaking under section 553

15

16

17

18

19

20

21

22

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

of title 5, United States Code, adjust the format and type sizes for the label statements required by this section or the text, format, and type sizes of any required tar, nicotine yield, or other constituent disclosures, or to establish the text, format, and type sizes for any other disclosures required under the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et. seq.). The text of any such label statements or disclosures shall be required to appear only within the 20 percent area of cigarette advertisements provided by paragraph (2) of this subsection. The Secretary shall promulgate regulations which provide for adjustments in the format and type sizes of any text required to appear in such area to ensure that the total text required to appear by law will fit within such area.

"(4) Marketing requirements.—

"(A) The label statements specified in subsection (a)(1) shall be randomly displayed in each 12-month period, in as equal a number of times as is possible on each brand of the product and be randomly distributed in all areas of the United States in which the product is marketed in accordance with a plan submitted by the tobacco product manufacturer, importer,

1	distributor, or retailer and approved by the Sec-
2	retary.
3	"(B) The label statements specified in sub-
4	section (a)(1) shall be rotated quarterly in al-
5	ternating sequence in advertisements for each
6	brand of cigarettes in accordance with a plan
7	submitted by the tobacco product manufacturer,
8	importer, distributor, or retailer to, and ap-
9	proved by, the Secretary.
10	"(C) The Secretary shall review each plan
11	submitted under subparagraph (B) and approve
12	it if the plan—
13	"(i) will provide for the equal distribu-
14	tion and display on packaging and the ro-
15	tation required in advertising under this
16	subsection; and
17	"(ii) assures that all of the labels re-
18	quired under this section will be displayed
19	by the tobacco product manufacturer, im-
20	porter, distributor, or retailer at the same
21	time.".
22	(b) Repeal of Prohibition on State Restric-
23	TION.—Section 5 of the Federal Cigarette Labeling and
24	Advertising Act (15 U.S.C. 1334) is amended—

1	(1) by striking "(a) Additional State-
2	MENTS.—" in subsection (a); and
3	(2) by striking subsection (b).
4	SEC. 202. AUTHORITY TO REVISE CIGARETTE WARNING
5	LABEL STATEMENTS.
6	Section 4 of the Federal Cigarette Labeling and Ad-
7	vertising Act (15 U.S.C. 1333), as amended by section
8	301 of this title, is further amended by adding at the end
9	the following:
10	"(c) Change in Required Statements.—The Sec-
11	retary may, by a rulemaking conducted under section 553
12	of title 5, United States Code, adjust the format, type size,
13	and text of any of the warning label statements required
14	by subsection (a) of this section, or establish the format,
15	type size, and text of any other disclosures required under
16	the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301
17	et seq.), if the Secretary finds that such a change would
18	promote greater public understanding of the risks associ-
19	ated with the use of tobacco products.".
20	SEC. 203. SMOKELESS TOBACCO LABELS AND ADVERTISING
21	WARNINGS.
22	Section 3 of the Comprehensive Smokeless Tobacco
23	Health Education Act of 1986 (15 U.S.C. 4402) is amend-
24	ed to read as follows:

1 "SEC. 3. SMOKELESS TOBACCO WARNING.

2	"(a) General Rule.—
3	"(1) It shall be unlawful for any person to man-
4	ufacture, package, or import for sale or distribution
5	within the United States any smokeless tobacco
6	product unless the product package bears, in accord-
7	ance with the requirements of this Act, one of the
8	following labels:
9	"WARNING: This product can cause mouth cancer"
10	"WARNING: This product can cause gum disease
11	and tooth loss"
12	"WARNING: This product is not a safe alternative
13	to cigarettes"
14	"WARNING: Smokeless tobacco is addictive"
15	"(2) Each label statement required by para-
16	graph (1) shall be—
17	"(A) located on the 2 principal display
18	panels of the package, and each label statement
19	shall comprise at least 25 percent of each such
20	display panel; and
21	"(B) in 17-point conspicuous and legible
22	type and in black text on a white background,
23	or white text on a black background, in a man-
24	ner that contrasts by typography, layout, or
25	color, with all other printed material on the
26	package, in an alternating fashion under the

plan submitted under subsection (b)(3), except that if the text of a label statement would occupy more than 70 percent of the area specified by subparagraph (A), such text may appear in a smaller type size, so long as at least 60 percent of such warning area is occupied by the label statement.

- "(3) The label statements required by paragraph (1) shall be introduced by each tobacco product manufacturer, packager, importer, distributor, or retailer of smokeless tobacco products concurrently into the distribution chain of such products.
- "(4) The provisions of this subsection do not apply to a tobacco product manufacturer or distributor of any smokeless tobacco product that does not manufacture, package, or import smokeless tobacco products for sale or distribution within the United States.

"(b) Required Labels.—

"(1) It shall be unlawful for any tobacco product manufacturer, packager, importer, distributor, or retailer of smokeless tobacco products to advertise or cause to be advertised within the United States any smokeless tobacco product unless its advertising bears, in accordance with the requirements of this
section, one of the labels specified in subsection (a).

- "(2) Each label statement required by subsection (a) in smokeless tobacco advertising shall comply with the standards set forth in this paragraph. For press and poster advertisements, each such statement and (where applicable) any required statement relating to tar, nicotine, or other constituent yield shall—
 - "(A) comprise at least 20 percent of the area of the advertisement, and the warning area shall be delineated by a dividing line of contrasting color from the advertisement; and
 - "(B) the word "WARNING" shall appear in capital letters and each label statement shall appear in conspicuous and legible type. The text of the label statement shall be black on a white background, or white on a black background, in an alternating fashion under the plan submitted under paragraph (3).

"(3)(A) The label statements specified in subsection (a)(1) shall be randomly displayed in each 12-month period, in as equal a number of times as is possible on each brand of the product and be randomly distributed in all areas of the United States

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- in which the product is marketed in accordance with a plan submitted by the tobacco product manufacturer, importer, distributor, or retailer and approved by the Secretary.
 - "(B) The label statements specified in subsection (a)(1) shall be rotated quarterly in alternating sequence in advertisements for each brand of smokeless tobacco product in accordance with a plan submitted by the tobacco product manufacturer, importer, distributor, or retailer to, and approved by, the Secretary.
 - "(C) The Secretary shall review each plan submitted under subparagraph (B) and approve it if the plan—
 - "(i) will provide for the equal distribution and display on packaging and the rotation required in advertising under this subsection; and
 - "(ii) assures that all of the labels required under this section will be displayed by the to-bacco product manufacturer, importer, distributor, or retailer at the same time.
- "(c) Television and Radio Advertising.—It is unlawful to advertise smokeless tobacco on any medium of electronic communications subject to the jurisdiction of the Federal Communications Commission.".

7

8

9

10

11

12

13

14

15

16

17

18

19

20

1	SEC. 204. AUTHORITY TO REVISE SMOKELESS TOBACCO
2	PRODUCT WARNING LABEL STATEMENTS.
3	Section 3 of, as amended by section 303 of this title,
4	is further amended by adding at the end the following:
5	"(d) Authority To Revise Warning Label
6	STATEMENTS.—The Secretary may, by a rulemaking con-
7	ducted under section 553 of title 5, United States Code,
8	adjust the format, type size, and text of any of the warn-
9	ing label statements required by subsection (a) of this sec-
10	tion, or establish the format, type size, and text of any
11	other disclosures required under the Federal Food, Drug,
12	and Cosmetic Act (21 U.S.C. 301 et seq.), if the Secretary
13	finds that such a change would promote greater public un-
14	derstanding of the risks associated with the use of smoke-
15	less tobacco products.".
16	SEC. 205. TAR, NICOTINE, AND OTHER SMOKE CON-
17	STITUENT DISCLOSURE TO THE PUBLIC.
18	Section 4(a) of the Federal Cigarette Labeling and
19	Advertising Act (15 U.S.C. 1333 (a)), as amended by sec-
20	tion 301 of this title, is further amended by adding at
21	the end the following:
22	"(4)(A) The Secretary shall, by a rulemaking
23	conducted under section 553 of title 5, United
24	
	States Code, determine (in the Secretary's sole dis-
25	States Code, determine (in the Secretary's sole discretion) whether cigarette and other tobacco product

area of each cigarette advertisement specified by subsection (b) of this section, or on the package label, or both, the tar and nicotine yields of the advertised or packaged brand. Any such disclosure shall be in accordance with the methodology established under such regulations, shall conform to the type size requirements of subsection (b) of this section, and shall appear within the area specified in subsection (b) of this section.

"(B) Any differences between the requirements established by the Secretary under subparagraph (A) and tar and nicotine yield reporting requirements established by the Federal Trade Commission shall be resolved by a memorandum of understanding between the Secretary and the Federal Trade Commission.

"(C) In addition to the disclosures required by subparagraph (A) of this paragraph, the Secretary may, under a rulemaking conducted under section 553 of title 5, United States Code, prescribe disclosure requirements regarding the level of any cigarette or other tobacco product smoke constituent. Any such disclosure may be required if the Secretary determines that disclosure would be of benefit to the public health, or otherwise would increase consumer

awareness of the health consequences of the use of tobacco products, except that no such prescribed disclosure shall be required on the face of any cigarette package or advertisement. Nothing in this section shall prohibit the Secretary from requiring such prescribed disclosure through a cigarette or other tobacco product package or advertisement insert, or by any other means under the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.)."

 \bigcirc