

107TH CONGRESS
1ST SESSION

S. 1706

To provide for the enhanced control of biological agents and toxins.

IN THE SENATE OF THE UNITED STATES

NOVEMBER 15, 2001

Mr. HARKIN introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To provide for the enhanced control of biological agents
and toxins.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Bioweapons Control
5 and Tracking Act of 2001”.

6 **SEC. 2. REGULATION OF BIOLOGICAL AGENTS AND TOXINS.**

7 (a) BIOLOGICAL AGENTS PROVISIONS OF THE
8 ANTITERRORISM AND EFFECTIVE DEATH PENALTY ACT
9 OF 1996; CODIFICATION IN THE PUBLIC HEALTH SERV-
10 ICE ACT, WITH AMENDMENTS.—

7 "(a) REGULATORY CONTROL OF BIOLOGICAL
8 AGENTS AND TOXINS.—

9 “(1) LIST OF BIOLOGICAL AGENTS AND TOX-
10 INS.—

11 “(A) IN GENERAL.—The Secretary shall by
12 regulation establish and maintain a list of each
13 biological agent and each toxin that has the po-
14 tential to pose a severe threat to public health
15 and safety.

16 “(B) CRITERIA.—In determining whether
17 to include an agent or toxin on the list under
18 subparagraph (A), the Secretary shall—

20 "I) the effect on human health
21 of exposure to the agent or toxin;

1 “(III) the availability and effec-
2 tiveness of immunizations to prevent
3 and treatments for any illness result-
4 ing from infection by the agent or
5 toxin; and

6 “(IV) any other criteria that the
7 Secretary considers appropriate; and

8 “(ii) consult with appropriate Federal
9 departments and agencies, and with sci-
10 entific experts representing appropriate
11 professional groups.

12 “(2) BIENNIAL REVIEW.—The Secretary shall
13 review the list under paragraph (1) biennially, or
14 more often, and republish the list as necessary to in-
15 corporate revisions to protect the public health and
16 safety.

17 “(b) REGULATION OF POSSESSION, USE, AND
18 TRANSFER OF LISTED BIOLOGICAL AGENTS AND TOX-
19 INS.—The Secretary shall by regulation provide for—

20 “(1) the establishment and enforcement of safe-
21 ty standards and procedures for the possession, use
22 and transfer of biological agents and toxins listed
23 pursuant to subsection (a)(1), including measures to
24 ensure—

1 “(A) proper training and appropriate skills
2 to handle such agents and toxins; and

3 “(B) proper laboratory facilities to contain
4 and dispose of such agents and toxins;

5 “(2) the establishment and enforcement of safe-
6 guards and security standards and procedures to
7 prevent access to such agents and toxins for use in
8 domestic or international terrorism or for any other
9 criminal purpose;

10 “(3) the establishment of procedures to protect
11 the public safety in the event of a violation of the
12 safety procedures established under paragraph (1) or
13 the safeguards established under paragraph (2); and

14 “(4) appropriate availability of biological agents
15 and toxins for research, education, and other legiti-
16 mate purposes.

17 “(c) REGISTRATION AND TRACEABILITY MECHA-
18 NISMS; DATABASE.—Regulations under subsection (b)
19 shall require registration of the possession, use, and trans-
20 fer of biological agents and toxins listed pursuant to sub-
21 section (a)(1), and such registration shall include informa-
22 tion available to the registered persons regarding the char-
23 acterization of such biological agents and toxins to facili-
24 tate their identification and traceability. The Secretary

1 shall maintain a national database of the location of such
2 agents and toxins, with their characterizations.

3 “(d) SECURITY AND SAFEGUARDS.—

4 “(1) IN GENERAL.—In carrying out paragraphs
5 (2) and (3) of subsection (b), the Secretary shall es-
6 tablish appropriate security requirements for persons
7 possessing, using, or transferring biological agents
8 and toxins listed pursuant to subsection (a)(1), and
9 shall ensure compliance with such requirements as a
10 condition of registration under regulations issued
11 under subsection (c). In developing such require-
12 ments the Secretary shall consult with the Attorney
13 General and appropriate security experts.

14 “(2) RESTRICTED PERSONS.—Regulations
15 issued under subsection (b) shall include
16 provisions—

17 “(A) to restrict access to biological agents
18 and toxins listed pursuant to subsection (a)(1)
19 only to those individuals who need to handle or
20 use such agents or toxins; and

21 “(B) to provide for prompt screening of
22 such persons using criminal, immigration, and
23 national security databases available to the
24 Federal Government to identify persons who

1 are restricted persons, as defined in section
2 175b of title 18, United States Code.

3 “(e) INSPECTIONS.—The Secretary shall have the au-
4 thority to inspect persons subject to regulations under
5 subsection (b) to ensure their compliance with such regu-
6 lations.

7 “(f) EXEMPTIONS.—The Secretary may establish ex-
8 emptions from the applicability of provisions of regulations
9 under subsection (b) if the Secretary determines that the
10 exemptions are consistent with protecting the public
11 health and safety. Any exemption from registration under
12 subsection (c) shall be based on transience of possession
13 or on the lack of utility of the agent or toxin for use as
14 a weapon, and shall be consistent with maintaining a com-
15 plete database under such subsection (c).

16 “(g) DISCLOSURE OF INFORMATION.—

17 “(1) IN GENERAL.—Any information in the
18 possession of any Federal agency that identifies a
19 person, or the geographic location of a person, who
20 is registered pursuant to regulations under this sec-
21 tion (including regulations promulgated before the
22 effective date of this subsection), and any site-spe-
23 cific information relating to the type, quantity, or
24 identity of a biological agent or toxin listed pursuant
25 to subsection (a)(1) or the site-specific security

1 mechanisms in place to protect such agents and tox-
2 ins, shall not be disclosed under section 552(a) of
3 title 5, United States Code.

4 “(2) DISCLOSURES FOR PUBLIC HEALTH AND
5 SAFETY; CONGRESS.—Nothing in this section may be
6 construed as preventing the head of any Federal
7 agency—

8 “(A) from making disclosures of informa-
9 tion described in paragraph (1) for purposes of
10 protecting the public health and safety; or

11 “(B) from making disclosures of such in-
12 formation to any committee or subcommittee of
13 Congress with appropriate jurisdiction upon re-
14 quest.

15 “(h) CIVIL PENALTY.—In addition to any other pen-
16 alties that may apply under law, any person who violates
17 any provision of regulations under subsection (b) shall be
18 subject to the United States for a civil penalty in an
19 amount not exceeding \$250,000 in the case of an indi-
20 vidual and \$500,000 in the case of any other person.

21 “(i) DEFINITIONS.—For purposes of this section, the
22 terms ‘biological agent’ and ‘toxin’ have the meanings
23 given such terms in section 178 of title 18, United States
24 Code.”.

25 (2) RELATION TO OTHER LAWS.—

1 (A) RULE OF CONSTRUCTION.—Regula-
2 tions promulgated by the Secretary of Health
3 and Human Services under section 511 of the
4 Antiterrorism and Effective Death Penalty Act
5 of 1998 are deemed to have been promulgated
6 under section 351A of the Public Health Serv-
7 ice Act, as added by paragraph (1) of this sub-
8 section. Such regulations, including the list
9 under subsection (d)(1) of such section 511,
10 that were in effect on the day before the date
11 of the enactment of this Act remain in effect
12 until modified by the Secretary.

19 (A) DATE CERTAIN FOR PROMULGATION;
20 EFFECTIVE DATE REGARDING CRIMINAL AND
21 CIVIL PENALTIES.—Not later than 30 days
22 after the date of the enactment of this Act, the
23 Secretary of Health and Human Services shall
24 promulgate an interim final rule for carrying
25 out section 351A(c) of the Public Health Serv-

1 ice Act, as added by paragraph (1) of this sub-
2 section. Such interim final rule takes effect 60
3 days after the date on which such rule is pro-
4 mulgated for purposes of—

5 (i) section 175B (b) and (c) of title
6 18, United States Code (relating to crimi-
7 nal penalties, as added by subsection (b) of
8 this section; and

12 (B) SUBMISSION OF REGISTRATION APPLI-
13 CATIONS.—A person who, as of the date of the
14 interim final rule promulgated under subpara-
15 graph (A), is in possession of a biological agent
16 or toxin listed pursuant to section 351A(a) of
17 the Public Health Service Act, as added by
18 paragraph (1) of this subsection, shall in ac-
19 cordance with such interim final rule, submit an
20 application for a registration to possess such
21 agent or toxin not later than 30 days after the
22 date on which such rule is promulgated.

23 (4) EFFECTIVE DATE REGARDING DISCLOSURE
24 OF INFORMATION.—Subsection (g) of section 351A
25 of the Public Health Service Act, as added by para-

1 graph (1) of this subsection, is deemed to have
2 taken effect on the effective date of the
3 Antiterrorism and Effective Death Penalty Act of
4 1996.

5 (b) SELECT AGENTS.—

6 (1) IN GENERAL.—Section 175b of title 18,
7 United States Code, as added by section 817 of Pub-
8 lic Law 107-56, is amended—

9 (A) by striking “(a)” and inserting
10 “(a)(1);

11 (B) by transferring subsection (c) from the
12 current placement of the subsection and insert-
13 ing the subsection before subsection (b).

14 (C) by striking “(c)” and inserting “(2)”;
15 (D) by redesignating subsection (b) as sub-
16 section (d); and

17 (E) by inserting before subsection (d) as so
18 redesignated) the following subsections:

19 “(b) UNREGISTERED FOR POSSESSION OR TRANS-
20 FER.—Whoever knowingly possesses or transfers a select
21 agent for which such person has not obtained a regis-
22 tration required by a regulation issued under section 351A(c)
23 of the Public Health Service Act shall be fined under this
24 title, or imprisoned for not more than 5 years, or both.

1 “(c) TRANSFER TO UNREGISTERED PERSON.—Who-
2 ever knowingly transfers a select agent to a person who
3 has not obtained a registration required by a regulation
4 issued under subsection (c) of section 351A of the Public
5 Health Service Act shall be fined under this title, or impris-
6 oned for not more than 5 years, or both.”.

7 (c) REPORT TO CONGRESS.—Not later than 1 year
8 after the date of the enactment of this Act, the Secretary
9 of Health and Human Services, after consultation with
10 other appropriate Federal agencies, shall submit to Con-
11 gress a report that—

12 (1) describes the extent to which there has been
13 compliance by governmental and private entities
14 with applicable regulations under section 351A of
15 the Public Health Service Act (as added by sub-
16 section (a)(1));

17 (2) describes the actions taken by the date of
18 the report and future plans of the Secretary for up-
19 dating the list of biological agents and toxins under
20 such section 351A;

21 (3) describes the actions taken by the date of
22 the report and future plans of the Secretary for de-
23 termining compliance with regulations under such
24 section 351A and for taking appropriate enforce-
25 ment actions; and

1 (4) provides any recommendations of the Sec-
2 retary for administrative or legislative initiatives re-
3 garding such section 351A.

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