106TH CONGRESS 1ST SESSION

S. 1270

To establish a partnership for education progress.

IN THE SENATE OF THE UNITED STATES

June 23, 1999

Mr. Frist (for himself and Mr. Domenici) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To establish a partnership for education progress.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Education Express Act".
- 6 (b) Table of Contents for
- 7 this Act is as follows:
 - Sec. 1. Short title; table of contents.
 - Sec. 2. Findings.
 - Sec. 3. Purpose.
 - Sec. 4. Definitions.

TITLE I—DIRECT CHECK

Sec. 101. State options.

Sec. 102. Direct check fund.

Sec. 103. Federal statute option.

TITLE II—CHALLENGE FUND

Sec. 201. Challenge fund.

TITLE III—TEACHER QUALITY

Sec. 301. Teacher quality.

TITLE IV—ACADEMIC OPPORTUNITY FUND

Sec. 401. Academic opportunity fund.

TITLE V—GENERAL PROVISIONS

Sec. 501. Plan and report.

Sec. 502. Authorized activities.

Sec. 503. Federal role.

1 SEC. 2. FINDINGS.

- 2 Congress makes the following findings:
- 3 (1) The benefits of a quality education are
- 4 clear. The benefits are economic independence and
- 5 prosperity, preservation of our Nation's democratic
- 6 society, and endless opportunity for personal growth
- 7 and enrichment. An emphasis should be placed on
- 8 student achievement in education and new funding
- 9 should be provided to focus on improving student
- 10 learning and achievement. Innovative reforms taking
- place in our Nation's States and local communities
- must not be stifled.
- 13 (2) Every child must have the opportunity to
- attend school in an environment where teachers and
- administrators expect achievement, where every child
- is respected and nurtured, and where parents are an
- integral part of the education process. All of our Na-

- tion's students deserve a quality education whether the students are poor, academically struggling, gifted and talented, or living in our Nation's cities, suburbs or rural communities.
 - (3) Schools must be places where most of the school day is reserved for learning instead of discipline, paperwork, or dealing with the limiting constraints of categorical funding.
 - (4) Parents, community leaders, and local and State governments, not the Federal Government, know best the education needs of their children. All across the United States, States and local communities are implementing innovative solutions to our Nation's education challenges.
 - (5) With increased funding and flexibility, more will be expected from States and local communities, and the States and local communities will be increasingly accountable to parents, students, and tax-payers. Federal education policy has focused a great deal of attention on educational inputs. Instead, our Federal dollars should provide incentives to States and local communities to place a much greater emphasis on educational achievement, with a minimum amount of interference from the Federal Government.

1 (6) Where the Federal Government can help is 2 in facilitating the education reform efforts of States 3 by enhancing their efforts through unbiased research 4 and statistics gathering, by facilitating the exchange 5 of ideas and strategies through dissemination of best 6 practices, and by encouraging collaboration among 7 education leaders from across our Nation.

8 SEC. 3. PURPOSE.

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- The purpose of this Act is—
- 10 (1) to infuse significant new dollars into the 11 hands of parents, communities, and State and local 12 governments to improve the educational achievement 13 of students; and
- 14 (2) to provide an additional \$36,500,000,000
 15 during the period 2000 through 2004 from the Fed16 eral Government as part of a larger consolidation of
 17 duplicative and limiting categorical programs into a
 18 much more streamlined and direct funding stream to
 19 States and localities to use for a variety of edu20 cational purposes.

21 SEC. 4. DEFINITIONS.

- In this Act—
- 23 (1) the terms "elementary school", "local edu-24 cational agency", "secondary school", "Secretary", 25 and "State educational agency" have the meanings

1	given the terms in section 14101 of the Elementary
2	and Secondary Education Act of 1965 (20 U.S.C.
3	8801);
4	(2) the term "Governor" means—
5	"(A) the chief executive of a State; or
6	"(B) in the case of a State for which the
7	constitution of the State or a State statute des-
8	ignates an individual, entity or agency in the
9	State to be responsible exclusively for public el-
10	ementary or secondary education, the indi-
11	vidual, entity or agency;
12	(3) the term "outlying area" means the United
13	States Virgin Islands, Guam, American Samoa, the
14	Commonwealth of the Northern Mariana Islands,
15	the Republic of the Marshall Islands, the Federated
16	States of Micronesia, and the Republic of Palau; and
17	(4) the term "State" means each of the several
18	States of the United States, the District of Columbia
19	and the Commonwealth of Puerto Rico.
20	TITLE I—DIRECT CHECK
21	SEC. 101. STATE OPTIONS.
22	(a) State Options.—Notwithstanding any other
23	mariain of law the Correspon of each State shall notify
	provision of law, the Governor of each State shall notify

State's portion of the applicable funding described in sub-2 section (b) according to one of the following options: 3 (1) DIRECT CHECK OPTION.—The State may 4 receive the funding pursuant to a State allotment 5 described in section 102(a). 6 (2) Federal Statute Option.—The State 7 may receive the funding according to the provisions 8 of law described in subsection (b). 9 (b) DEFINITION OF APPLICABLE FUNDING.—In this title, the term "applicable funding" means all funds that 10 are appropriated for the Department of Education for 11 12 each of the fiscal years 2000 through 2004 to carry out programs or activities under the following provisions of 14 law: 15 (1) Part A of title II of the Elementary and 16 Secondary Education Act of 1965 (20 U.S.C. 6621 17 et seq.). 18 (2) Part B of title II of the Elementary and 19 Secondary Education Act of 1965 (20 U.S.C. 6641 20 et seq.). 21 (3) Part C of title II of the Elementary and 22 Secondary Education Act of 1965. 23 (4) Title III of the Elementary and Secondary 24 Education Act of 1965 (20 U.S.C. 6801 et seq.).

1	(5) Title IV of the Elementary and Secondary
2	Education Act of 1965 (20 U.S.C. 7101 et seq.).
3	(6) Part A of title V of the Elementary and
4	Secondary Education Act of 1965 (20 U.S.C. 7201
5	et seq.).
6	(7) Part B of title V of the Elementary and
7	Secondary Education Act of 1965 (20 U.S.C. 7231
8	et seq.).
9	(8) Title VI of the Elementary and Secondary
10	Education Act of 1965 (20 U.S.C. 7301 et seq.).
11	(9) Title VII of the Elementary and Secondary
12	Education Act of 1965 (20 U.S.C. 7401 et seq.).
13	(10) Part A of title X of the Elementary and
14	Secondary Education Act of 1965 (20 U.S.C. 8001
15	et seq.).
16	(11) Part B of title X of the Elementary and
17	Secondary Education Act of 1965 (20 U.S.C. 8031
18	et seq.).
19	(12) Part C of title X of the Elementary and
20	Secondary Education Act of 1965 (20 U.S.C. 8061
21	et seq.).
22	(13) Subpart 1 of part D of title X of the Ele-
23	mentary and Secondary Education Act of 1965 (20
24	USC 8091)

(14) Part F of title X of the Elementary and

2	Secondary Education Act of 1965 (20 U.S.C. 8141
3	et seq.).
4	(15) Part G of title X of the Elementary and
5	Secondary Education Act of 1965 (20 U.S.C. 8161
6	et seq.).
7	(16) Part I of title X of the Elementary and
8	Secondary Education Act of 1965 (20 U.S.C. 8241
9	et seq.).
10	(17) Part K of title X of the Elementary and
11	Secondary Education Act of 1965 (20 U.S.C. 833)
12	et seq.).
13	(18) Part A of title XIII of the Elementary and
14	Secondary Education Act of 1965 (20 U.S.C. 862)
15	et seq.).
16	(19) Part C of title XIII of the Elementary and
17	Secondary Education Act of 1965 (20 U.S.C. 867)
18	et seq.).
19	(c) References.—References to funds for the provi
20	sions of law described in subsection (b) shall be considered
21	to be references to funds for this title.
22	SEC. 102. DIRECT CHECK FUND.
23	(a) Allotments.—
24	(1) In General.—Subject to paragraph (2)
25	from the total applicable funding available for a fis

- 1 cal year, the Secretary shall make allotments under 2 this section to each Governor selecting the direct 3 check option described in section 101(a)(1) in an amount that bears the same relation to such total 5 applicable funding as the amount the State received 6 under part B of title II of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6641 et 7 8 seq.) for fiscal year 1998 bears to the total amount 9 received under such part by all States for fiscal year 1998. 10
 - (2) Hold Harmless.—A State shall not receive an allotment under this section for a fiscal year in an amount that is less than the total amount the State received for the preceding fiscal year under those provisions of law described in section 101(b) that distribute funds according to a formula.
- 17 (b) STATE USES.—Each Governor receiving an allot-18 ment under this section shall use—
 - (1) not less than 98 percent of the allotted funds to award assistance to local educational agencies in the State—
 - (A) according to a formula developed by the Governor that targets the funds to the lowest achieving school districts according to most recent State assessments; and

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1	(B) to enable the local educational agencies
2	to carry out the authorized activities described
3	in section 502; and
4	(2) not more than 2 percent of the allotted
5	funds for administrative expenses.
6	SEC. 103. FEDERAL STATUTE OPTION.
7	(a) In General.—From the applicable funding that
8	remains after making the allotments under section 102(a)
9	for a fiscal year, the Secretary may make awards accord-
10	ing to the provisions of law described in section 101(b),
11	to State and local recipients, in States selecting the Fed-
12	eral statute option described in section 101(a)(2).
13	(b) Percentage Reductions.—The Secretary,
14	after making the allotments under section 102(a) for a
15	fiscal year, shall reduce the total amount of applicable
16	funding available to carry out the provisions of law de-
17	scribed in section 101(b) for the fiscal year, for any State
18	selecting the Federal statute option described in section
19	101(a)(2), by an equal percentage for each such provision.
20	TITLE II—CHALLENGE FUND
21	SEC. 201. CHALLENGE FUND.
22	(a) Program Authorized.—
23	(1) In general.—The Secretary is authorized
24	to award funds, from allotments under subsection
25	(b), to Governors to enable local educational agen-

- 1 cies to carry out the authorized activities described 2 in section 502.
- (2) RESERVATION.—The Secretary shall reserve

 1/2 of 1 percent of the funds appropriated under subsection (d) for a fiscal year to provide assistance to
 the outlying areas and the Bureau of Indian Affairs
 according to their respective need for assistance
 under this title as determined by the Secretary in
 accordance with the purpose of this Act.

(b) Allotment.—

- (1) In GENERAL.—Subject to paragraph (2), from funds appropriated under subsection (d) and not reserved under subsection (a)(2) for a fiscal year, the Secretary shall make allotments under this section to the Governor of each State in an amount that bears the same relation to such funds as the amount the State received under part B of title II of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6641 et seq.) for fiscal year 1998 bears to the total amount received under such part by all States for fiscal year 1998.
- (2) Increased allotment.—Each State selecting the direct check option described in section 101(a)(1) for a fiscal year shall receive a 10 percent increase in the amount of the State's allotment

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under paragraph (1) for the fiscal year. The Sec-

retary shall proportionately reduce the amount of

3	the allotment for States not making such selection
4	in order to carry out the preceding sentence.
5	(c) State Uses.—Each Governor receiving an allot-
6	ment under this section shall use—
7	(1) not less than 98 percent of the allotted
8	funds to award assistance to local educational agen-
9	cies to enable the local educational agencies to carry
10	out the authorized activities described in section
11	502; and
12	(2) not less than 2 percent of the allotted funds
13	for administrative expenses.
14	(d) Authorization of Appropriations.—There
15	are authorized to be appropriated to carry out this section
16	\$1,750,000,000 for fiscal year 2000, $$2,000,000,000$ for
17	fiscal year 2001, $$2,500,000,000$ for fiscal year 2002,
18	5,000,000,000 for fiscal year 2003, and $5,500,000,000$
19	for fiscal year 2004.
20	TITLE III—TEACHER QUALITY
21	SEC. 301. TEACHER QUALITY.
22	(a) Program Authorized.—
23	(1) In general.—The Secretary is authorized
24	to award funds to Governors, from allotments under
25	subsection (b), to enable the Governors to supple-

- 1 ment State efforts to improve the quality of elemen-2 tary school and secondary school teachers in the 3 State.
 - (2) Reservation.—The Secretary shall reserve ½ of 1 percent of the funds appropriated under subsection (e) for a fiscal year to provide assistance to the outlying areas and the Bureau of Indian Affairs according to their respective need for assistance under this title as determined by the Secretary in accordance with the purpose of this Act.

(b) Allotment.—

- (1) IN GENERAL.—Subject to paragraph (2), from funds appropriated under subsection (e) and not reserved under subsection (a)(2) for a fiscal year, the Secretary shall make allotments under this section to the Governor of each State in an amount that bears the same relation to such funds as the amount the State received under part B of title II of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6641 et seq.) for fiscal year 1998 bears to the total amount received under such part by all States for fiscal year 1998.
- (2) Increased allotment.—Each State selecting the direct check option described in section 101(a)(1) for a fiscal year shall receive a 10 percent

1	increase in the amount of the State's allotment
2	under paragraph (1) for the fiscal year. The Sec-
3	retary shall proportionately reduce the amount of
4	the allotment for States not making such selection
5	in order to carry out the preceding sentence.
6	(c) State Uses.—Each Governor receiving an allot-
7	ment under this section shall use—
8	(1) not less than 85 percent of the allotted
9	funds to award assistance to local educational agen-
10	cies in the State to enable the local educational
11	agencies to carry out the authorized activities de-
12	scribed in subsection (d);
13	(2) not more than 13 percent of the allotted
14	funds for statewide efforts to improve elementary
15	school and secondary school teacher quality; and
16	(3) not more than 2 percent of the allotted
17	funds for administrative expenses.
18	(d) Local Authorized Activities.—A local edu-
19	cational agency may use funds received under this section
20	for—
21	(1) hiring highly qualified teachers for every
22	classroom;
23	(2) professional development for educators;
24	(3) rewarding successful teaching through ini-
25	tiatives such as merit pay;

1	(4) higher teacher salaries;
2	(5) improving the quality of teacher training at
3	the postsecondary level;
4	(6) alternative certification of teachers;
5	(7) continuing teach education (CTE) credits;
6	(8) State teacher examinations;
7	(9) scholarships or teacher internship programs;
8	or
9	(10) any other activities the Governor or local
10	educational agency determine will improve the re-
11	cruitment and retention of highly qualified teachers.
12	(e) Authorization of Appropriations.—There
13	are authorized to be appropriated to carry out this section
14	\$1,750,000,000 for fiscal year 2000, \$26,400,000,000 for
15	fiscal year 2001, \$2,400,000,000 for fiscal year 2002,
16	\$3,400,000,000 for fiscal year 2003, and \$4,400,000,000
17	for fiscal year 2004.
18	TITLE IV—ACADEMIC
19	OPPORTUNITY FUND
20	SEC. 401. ACADEMIC OPPORTUNITY FUND.
21	(a) Program Authorized.—
22	(1) In general.—The Secretary is authorized
23	to award funds, from allotments under subsection
24	(b), to Governors who select the direct check option
25	described in section 101(a)(1) to enable the Gov-

- ernors to reward elementary schools, secondary schools, or local educational agencies that meet or exceed State-determined goals and performance objectives for student achievement and teacher quality.
 - (2) Reservation.—The Secretary shall reserve ½ of 1 percent of the funds appropriated under subsection (d) for a fiscal year to provide assistance to the outlying areas and the Bureau of Indian Affairs according to their respective need for assistance under this title as determined by the Secretary in accordance with the purpose of this Act.

(b) Allotments.—

(1) IN GENERAL.—Subject to paragraphs (2) and (3), from funds appropriated under subsection (d) and not reserved under subsection (a)(2) for a fiscal year the Secretary shall make allotments under this section to the Governor of a State who selects the direct check option described in section 101(a)(1) in an amount that bears the same relation to such funds as the amount the State received under part B of title II of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6641 et seq.) for fiscal year 1998 bears to the total amount received under such part by all States for fiscal year 1998.

1	(2) Increased allotment.—The Secretary
2	shall increase the total amount of a State's allot-
3	ment under paragraph (1) by 10 percent if the
4	State—
5	(A) agrees to devote 25 percent or more of
6	the allotted funds under this section to meet the
7	educational needs of children with disabilities in
8	a manner that supplements and does not sup-
9	plant existing Federal, State, and local funding
10	for such children;
11	(B) demonstrates that the State has im-
12	proved the educational performance of—
13	(i) limited English proficient students;
14	(ii) students who, at one time or an-
15	other, have dropped out of school; and
16	(iii) students who are eligible for a
17	free school lunch; or
18	(C) adopts or shows improved performance
19	on the State-level National Assessment of Edu-
20	cational Progress.
21	(3) RATABLE REDUCTION.—The Secretary shall
22	ratably reduce the amount of the allotment under
23	this section for each State that does not receive an
24	increase under paragraph (2) in order to carry out
25	paragraph (2).

1	(c) State Uses.—Each Governor receiving an allot-
2	ment under this section shall use—
3	(1) not less than 98 percent of the allotted
4	funds—
5	(A) to implement statewide education re-
6	forms such as implementing standards and as-
7	sessments; or
8	(B) to provide bonus payments to elemen-
9	tary schools, secondary schools, or local edu-
10	cational agencies that adopt reforms or achieve
11	goals determined important by the Governor,
12	including rewarding schools that encourage
13	more students to take honors courses or the
14	Scholastic Aptitude Test (SAT), or rewarding
15	local educational agencies that improve dis-
16	cipline policies or teacher training; and
17	(2) not more than 2 percent of the allotted
18	funds for administrative expenses.
19	(d) Authorization of Appropriations.—There
20	are authorized to be appropriated to carry out this section
21	\$500,000,000 for fiscal year 2001, $$600,000,000$ for fis-
22	cal year 2002, $$1,600,000,000$ for fiscal year 2003, and
23	\$3,100,000,000 for fiscal year 2004.

1 TITLE V—GENERAL PROVISIONS

2	SEC. 501. PLAN AND REPORT.
3	(a) Plan.—
4	(1) In general.—In order to receive funds
5	under this Act a Governor or a designee of the Gov-
6	ernor shall develop a 5-year educational reform plan
7	for improving the academic achievement of all ele-
8	mentary school and secondary school students in the
9	State. Any State-level comprehensive education re-
10	form plan developed prior to the date of enactment
11	of this Act that meets the requirements of this sec-
12	tion shall satisfy the requirements of the preceding
13	sentence.
14	(2) Plan Development.—In developing the
15	plan the Governor or the designee shall hold regional
16	hearings in the State so that State and local legisla-
17	tive officials, parents, teachers, and other edu-
18	cational leaders are given ample opportunity to pro-
19	vide input into the State's educational reform plan.
20	(3) Contents.—The plan shall—
21	(A) demonstrate how the State will use the
22	funds under this Act to supplement and not
23	supplant existing State education funding;
24	(B) outline the objective criteria by which

the Governor will determine student achieve-

- ment, and the testing and other measures the
 Governor will utilize to measure student
 achievement based on such objective criteria,
 which testing and other measures shall be used
 throughout the 5-year period of the plan;
 - (C) describe how decisionmaking will be devolved to the local, school, community, and parental levels;
 - (D) describe how the funds will be used and how schools will meet the State's reform goals; and
 - (E) describe how the Governor will provide for the equitable participation of nonpublic school students in the activities assisted under this Act.
- 16 (b) Report.—Each Governor shall report on a yearly
 17 basis to the public regarding the progress of the State in
 18 meeting the education goals of the State. Such report shall
 19 include student achievement data as measured by any
 20 State-level or other assessments utilized at the State or
 21 local level, including the National Assessment of Edu-

23 SEC. 502. AUTHORIZED ACTIVITIES.

cational Progress if applicable.

24 (a) ACTIVITIES.—Each local educational agency re-25 ceiving assistance under title I or II—

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1	(1) shall use the assistance to design and imple-
2	ment programs to improve student learning, such
3	as—
4	(A) any activity focused on improved stu-
5	dent learning;
6	(B) new books;
7	(C) additional technology;
8	(D) high standards and assessments;
9	(E) teacher hiring and quality improve-
10	ments;
11	(F) class size reduction;
12	(G) alternative schools;
13	(H) school-to-work programs or partner-
14	ships with institutions of higher education;
15	(I) school construction;
16	(J) special education;
17	(K) vouchers;
18	(L) charter schools or other school choice
19	initiatives;
20	(M) character education;
21	(N) dropout prevention;
22	(O) tutoring and remedial help for strug-
23	gling students; or

- 1 (P) any activity determined by the Gov-2 ernor or local educational agency to improve the
- 3 educational achievement of all children; and
- 4 (2) shall set yearly targets for how to achieve
- 5 the goals in the State plan described in section
- 6 501(a)(3)(D) and describe plans for corrective action
- 7 if the targets are not met.
- 8 (b) Limitation.—A local educational agency may
- 9 not use more than 25 percent of the total amount of funds
- 10 the agency receives under titles I and II for a fiscal year
- 11 to carry out any 1 activity described in subsection (a).
- 12 SEC. 503. FEDERAL ROLE.
- 13 (a) Supplement Not Supplant.—Funds made
- 14 available under this Act shall be used to supplement and
- 15 not supplant other Federal, State and local expenditures
- 16 for education.
- 17 (b) CIVIL RIGHTS.—Nothing in this Act shall be con-
- 18 strued to modify any Federal law governing discrimination
- 19 in the provision of Federal benefits on the basis of race,
- 20 color, religion, sex, national origin, age or disability.
- 21 (c) Key Definitions.—Not later than 12 months
- 22 after the date of enactment of this Act the Commissioner
- 23 of Education Statistics, in consultation with leading State
- 24 and local educators, policymakers, and representatives of
- 25 the general public, shall develop key definitions for terms

- 1 and uniform and comparable reporting methods related to
- 2 core indicators of State education performance. Such indi-
- 3 cators shall focus on a limited set of critical student-level
- 4 educational results and related measures that are closely
- 5 associated with such results, including indicators of stu-
- 6 dent achievement, graduation or dropout rates, measures
- 7 of teacher qualifications and preparation, and student
- 8 course-taking patterns. Data may come from State admin-
- 9 istrative record systems or from State representative sur-
- 10 veys administered by the National Center for Education
- 11 Statistics, such as the National Assessment of Edu-
- 12 cational Progress.
- 13 (d) AWARDS.—The Commissioner of Education Sta-
- 14 tistics is authorized to make awards through the National
- 15 Cooperative Educational Statistics System to assist States
- 16 in developing uniform and comparable measures for iden-
- 17 tified core indicators of State education performance.
- 18 (e) Report.—Not later than 24 months after the
- 19 date of enactment of this Act and every 2 years thereafter,
- 20 the Commissioner of Education Statistics shall produce a
- 21 report for Congress and the American people regarding
- 22 the core indicators of State education performance. The
- 23 report shall include data from each State providing uni-
- 24 form and comparable data to the National Center for Edu-
- 25 cation Statistics on the identified measures and results.

- 1 (f) TECHNICAL ASSISTANCE.—The Commissioner of
- 2 Education Statistics shall provide technical assistance to
- 3 States in developing accountability systems relating to the
- 4 academic performance goals that States establish under
- 5 this Act. The technical assistance shall include electronic
- 6 information dissemination through the World Wide Web,
- 7 publications, and consultations with State and local edu-
- 8 cation officials who are charged with designing and main-
- 9 taining such systems. The technical assistance shall be
- 10 provided in such areas as the selection of appropriate test-
- 11 ing and other measures consistent with specified State
- 12 goals, the development of data collection instruments, en-
- 13 suring the validity, reliability, and comparability of data,
- 14 and assisting States in ensuring that their system for
- 15 making bonus payments under title IV is technically
- 16 sound.
- 17 (g) Personnel Support.—The Secretary shall
- 18 work with the Commissioner of Education Statistics to
- 19 provide any necessary personnel support to the National
- 20 Center for Education Statistics for the purpose of carrying
- 21 out this section.
- 22 (h) Authorization of Appropriations.—There
- 23 are authorized to be appropriated to the National Center
- 24 for Education Statistics \$18,000,000 for fiscal year 2000,

- 1 and such sums as may be necessary for each succeeding
- 2 fiscal year, to carry out this section.

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