#### 106TH CONGRESS 2D SESSION

# H. R. 4042

To amend the Federal Food, Drug, and Cosmetic Act to clarify the jurisdiction of the Food and Drug Administration over tobacco.

## IN THE HOUSE OF REPRESENTATIVES

March 21, 2000

Mr. Waxman (for himself, Mr. Meehan, Mr. Doggett, Mr. Markey, Ms. Delauro, Mr. Stark, Ms. Slaughter, Mr. Weygand, and Mr. Allen) introduced the following bill; which was referred to the Committee on Commerce

# A BILL

- To amend the Federal Food, Drug, and Cosmetic Act to clarify the jurisdiction of the Food and Drug Administration over tobacco.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,
  - 3 SECTION 1. SHORT TITLE.
  - 4 This Act may be cited as the "FDA Tobacco Juris-
  - 5 diction Act of 2000".
  - 6 SEC. 2. REFERENCE.
  - Whenever in this Act an amendment or repeal is ex-
  - 8 pressed in terms of an amendment to, or repeal of, a sec-
  - 9 tion or other provision, the reference shall be considered

- 1 to be made to a section or other provision of the Federal
- 2 Food, Drug, and Cosmetic Act (21 U.S.C. 301 et seq.).
- 3 SEC. 3. DEFINITIONS.
- 4 (a) DRUG.—Section 201(g)(1) (21 U.S.C. 321(g)(1))
- 5 is amended by striking "; and (D)" and inserting "; (D)
- 6 nicotine in tobacco products; and (E)".
- 7 (b) Devices.—Section 201(h) (21 U.S.C. 321(h)) is
- 8 amended by adding at the end the following: "Such term
- 9 includes a tobacco product.".
- 10 (c) Other Definitions.—Section 201 (21 U.S.C.
- 11 321) is amended by adding at the end the following:
- 12 "(kk) The term 'tobacco product' means any product
- 13 made or derived from tobacco that is intended for human
- 14 consumption.".
- 15 SEC. 4. AMENDMENTS TO CHAPTER V.
- 16 (a) MISBRANDING.—Section 502 (21 U.S.C. 360) is
- 17 amended by adding at the end the following:
- 18 "(u) In the case of a tobacco product, if it does not
- 19 comply with a requirement under subchapter F.".
- 20 (b) Clarification of Authority.—Section 520(e)
- 21 (21 U.S.C. 360j(e)) is amended by adding at the end the
- 22 following:
- 23 "(3) In the case of tobacco products, the restrictions
- 24 on sale and distribution authorized by paragraph (1) shall

- 1 include restrictions on advertising and promotion of to-
- 2 bacco products.".
- 3 (c) Preemption.—Section 521(a) (21 U.S.C.
- 4 360k(a)) is amended—
- 5 (1) by striking "Except as provided in sub-
- 6 section (b)" and inserting "Except in the case of to-
- 7 bacco products and as provided in subsection (b)";
- 8 and
- 9 (2) by adding at the end the following:
- 10 "TOBACCO PRODUCTS
- 11 "(c) If the package or advertisement of a tobacco
- 12 product is required to bear a warning under this Act, no
- 13 statement relating to the use of the tobacco product and
- 14 health, other than a statement required under this Act,
- 15 may be required by any State or local statute or regulation
- 16 to be included on any package or in any advertisement
- 17 of such tobacco product.".
- 18 SEC. 5. VALIDATION OF THE FDA RULE.
- 19 (a) In General.—All provisions of the regulations
- 20 related to tobacco products promulgated by the Secretary
- 21 of Health and Human Services on August 28, 1996 (61
- 22 Fed. Reg. 44396) shall be considered to be lawful, and
- 23 to have been lawfully promulgated, under the Federal
- 24 Food, Drug, and Cosmetic Act.
- 25 (b) Effective Date.—Provisions of such regula-
- 26 tions which are not in effect on the date of the enactment

- 1 of this Act shall take effect upon the expiration of 9
- 2 months after such date.
- 3 SEC. 6. SPECIAL PROVISIONS FOR TOBACCO PRODUCTS.
- 4 Chapter V is amended by adding at the end the fol-
- 5 lowing:
- 6 "Subchapter F—Special Provisions for
- 7 Tobacco Products
- 8 "SEC. 565. SPECIAL STANDARD FOR TOBACCO PRODUCTS.
- 9 "In the case of tobacco products, an action that pro-
- 10 vides appropriate protection of public health shall be
- 11 deemed to provide a reasonable assurance of safety and
- 12 effectiveness.
- 13 "SEC. 566. IMPLEMENTATION OF THE PROPOSED RESOLU-
- 14 TION.
- 15 "(a) Additional Restrictions on Marketing,
- 16 ADVERTISING, AND ACCESS.—Not later than 18 months
- 17 after the date of the enactment of this subchapter, the
- 18 Secretary shall revise the regulations related to tobacco
- 19 products promulgated by the Secretary on August 28,
- 20 1996 (61 Fed. Reg. 44396) to include the additional re-
- 21 strictions on marketing, advertising, and access described
- 22 in Title IA and Title IC of the Proposed Resolution en-
- 23 tered into by the tobacco manufacturers and the State at-
- 24 torneys general on June 20, 1997, except that the Sec-
- 25 retary shall not include an additional restriction on mar-

- 1 keting or advertising in such regulations if its inclusion
- 2 would violate the First Amendment to the Constitution.
- 3 "(b) Warnings.—
- 4 "(1) Cigarettes and Smokeless tobacco.—
- 5 Not later than 18 months after the date of the en-
- 6 actment of this subchapter, the Secretary shall pro-
- 7 mulgate regulations to require warnings on cigarette
- 8 and smokeless tobacco labeling and advertisements.
- 9 The content, format, and rotation of warnings shall
- conform to the specifications described in Title IB of
- the Proposed Resolution entered into by the tobacco
- manufacturers and the State attorneys general on
- 13 June 20, 1997.
- 14 "(2) Prohibition.—It shall be unlawful to ad-
- vertise tobacco products on any medium of electronic
- 16 communication subject to the jurisdiction of the
- 17 Federal Communications Commission.
- 18 "(c) Ingredients.—
- 19 "(1) IN GENERAL.—Not later than 18 months
- after the date of enactment of this subchapter, the
- 21 Secretary shall promulgate regulations relating to
- ingredients in tobacco products. Except as provided
- in paragraph (2), such regulations shall conform to
- the specifications described in Title IF of the Pro-
- posed Resolution entered into by the tobacco manu-

- 1 facturers and the State attorneys general on June
- 2 20, 1997.
- 3 "(2) Failure to act.—If the Secretary fails
- 4 to approve or disapprove an ingredient's safety with-
- 5 in the review period prescribed under the regulations
- 6 under paragraph (1), such failure shall not be con-
- 7 sidered an approval of such ingredient.
- 8 "(d) Reduced-Risk Products.—No manufacturer
- 9 of a tobacco product may state or imply in the labeling
- 10 or advertisements of the tobacco product that the tobacco
- 11 product presents a reduced risk to health unless the Sec-
- 12 retary has determined that the tobacco product does
- 13 present a significantly reduced risk to health.
- 14 "(e) Other Authority.—This section does not
- 15 limit the authority the Secretary has under other provi-
- 16 sions of this Act with respect to tobacco products.
- 17 "SEC. 567. STATE TOBACCO CONTROL PROGRAMS.
- 18 "(a) IN GENERAL.—Effective 2 years after the date
- 19 of the enactment of this subchapter, a State may not re-
- 20 ceive funds under this Act for tobacco control activities
- 21 unless the State has put into law a State tobacco control
- 22 program that conforms to the model State program estab-
- 23 lished by the Secretary under subsection (b).
- 24 "(b) Model State Program.—

1	"(1) GENERAL RULE.—Within one year of the
2	date of the enactment of this subchapter, the Sec-
3	retary shall establish a model State tobacco control
4	program.
5	"(2) Program content.—The model State to-
6	bacco control program established under paragraph
7	(1) shall—
8	"(A) require persons who sell tobacco
9	products to individuals for personal consump-
10	tion to obtain a license from the State;
11	"(B) require licensed retailers to comply
12	with the requirements under this Act that are
13	applicable to tobacco product retailers;
14	"(C) prohibit any individual from pur-
15	chasing tobacco products for resale or distribu-
16	tion to individuals under the age of 18;
17	"(D) include minimum requirements for
18	the conduct and frequency of compliance in-
19	spections of licensed retailers;
20	"(E) include State performance objectives,
21	including objectives for reducing the level of vio-
22	lations observed during compliance inspections;
23	"(F) include provisions for appropriate
24	penalties for violations of the program require-

1	ments, including provisions for license suspen-
2	sion and revocation; and
3	"(G) include such other provisions as the
4	Secretary determines are appropriate to protect
5	public health.
6	"(c) Failure To Implement.—If a State fails to
7	effectively implement a State tobacco control program
8	which conforms to the Model State program established
9	under subsection (b) or if a State fails to achieve the per-
10	formance objectives applicable to the State under the
11	Model State program, the Secretary shall withhold up to
12	20 percent of the funds made available under this Act to
13	the State for tobacco control activities.
14	"(d) Federal Licensing Program.—Within one
15	year of the date of the enactment of this subchapter, the
16	Secretary shall establish Federal licensing requirements
17	for—
18	"(1) tobacco product retailers operating on
19	Federal property;
20	"(2) tobacco product retailers operating in a
21	State which does not put into law or effectively im-
22	plement a State tobacco control program which con-
23	forms to the Model State Program; and
24	"(3) such other tobacco product retailers as the
25	Secretary may specify.

- 1 The Federal tobacco control requirements shall conform
- 2 to the licensing requirements of the Model State Program.
- 3 "(e) Federal Authority.—The Secretary may
- 4 order a retailer licensed by a State to suspend or cease
- 5 selling tobacco products if the tobacco product retailer is
- 6 in violation of a requirement under this Act related to to-
- 7 bacco products.
- 8 "(f) Indian Tribes.—In the case of tobacco product
- 9 retailers operating on Indian reservations, the governing
- 10 Indian tribe or tribal organization shall be treated as a
- 11 State.".
- 12 SEC. 7. GENERAL PROVISIONS.
- 13 (a) Enforcement.—Section 301 (21 U.S.C. 331) is
- 14 amended by adding at the end the following:
- 15 "(aa) The violation of any requirement under this Act
- 16 relating to tobacco products.".
- 17 (b) Access to Information.—Section 701 (21
- 18 U.S.C 371) is amended by adding at the end the following:
- 19 "(h) To acquire information related to tobacco prod-
- 20 ucts, the Secretary may administer oaths and require the
- 21 testimony of witnesses and the production of documents
- 22 and other materials. The Secretary may disclose to the
- 23 public information acquired under this subsection if the
- 24 Secretary determines that disclosure is appropriate to pro-
- 25 tect public health.".

### SEC. 8. REPEAL.

- 2 The Federal Cigarette Labeling and Advertising Act
- 3 (15 U.S.C. 1331 et seq.) and the Comprehensive Smoke-
- 4 less Tobacco Health Education Act of 1986 (15 U.S.C.
- 5 4401 et seq.) are repealed on the date the regulations de-
- 6 scribed in section 566(b) of the Federal Food, Drug, and
- 7 Cosmetic Act take effect.

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