106TH CONGRESS 1ST SESSION H.R. 1438

To amend the Occupational Safety and Health Act of 1970.

IN THE HOUSE OF REPRESENTATIVES

April 15, 1999

Mr. BALLENGER (for himself, Mr. HALL of Texas, and Mr. STENHOLM) introduced the following bill; which was referred to the Committee on Education and the Workforce

A BILL

To amend the Occupational Safety and Health Act of 1970.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE.

4 This Act may be cited as the "Safety and Health5 Audit Promotion Act of 1999".

6 SEC. 2. SAFETY AND HEALTH AUDIT REPORT.

7 Section 8(c) of the Occupational Safety and Health
8 Act of 1970 (29 U.S.C. 657(c)) is amended by adding at
9 the end the following:

"(4)(A)(i) Records, reports, or other information obtained or prepared in connection with safety and health

audits conducted by or for the employer shall not be re quired to be disclosed in any inspection, investigation, or
 enforcement proceeding pursuant to this Act except as
 provided in clause (ii). Such records, reports, or other in formation may be disclosed in the course of an inspection,
 investigation, or enforcement proceeding to the extent that
 the employer expressly authorizes the disclosure.

8 "(ii) Such records, reports, or other information may9 be required to be disclosed to the extent that—

"(I) the record, report, or other information is
sought as part of a criminal proceeding or fatality
investigation; or

13 "(II) the record, report, or other information is 14 sought for purposes of establishing the cause of a 15 particular alleged violation, but only if the Secretary 16 establishes, on evidence independent of such records, 17 reports, or other information, that the condition or 18 practice of the employer is not in compliance with 19 the requirements of this Act for which such record, 20 report, or other information was prepared or ob-21 tained.

"(iii) Any record, report, or other information disclosed pursuant to subclause (II) of clause (ii) shall be admissible as evidence in any civil or administrative proceeding only to the extent that the Secretary establishes that the employer has not acted in good faith with respect
 to the audit for which such record, report, or other infor mation was prepared or obtained.

4 "(B) As used in subparagraph (A)—

"(i) the term "safety and health audit" means 5 6 an evaluation of one or more conditions, practices, 7 processes, operations, or facilities or management 8 systems related to such conditions, practices, proc-9 esses, operations, or facilities that is designed to 10 identify and prevent noncompliance with this Act or 11 hazards or potential hazards to workers. Such term 12 does not include medical records or records of em-13 ployee exposure to potentially toxic materials or 14 records of work-related death, injuries, or illnesses 15 required to be maintained under this subsection;

"(ii) the term "good faith" means that the employer has undertaken an appropriate process of
abating noncompliance, hazards, or potential hazards identified by the audit which may include a
phased schedule of actions.".

0