

105TH CONGRESS  
2D SESSION

# H. R. 4241

To amend the Head Start Act, and for other purposes.

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## IN THE HOUSE OF REPRESENTATIVES

JULY 16, 1998

Mr. RIGGS (for himself, Mr. GOODLING, Mr. BARRETT of Nebraska, Mr. GREENWOOD, Mr. GRAHAM, and Mr. SOUDER) introduced the following bill; which was referred to the Committee on Education and the Workforce

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## A BILL

To amend the Head Start Act, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-*  
2       *tives of the United States of America in Congress assembled,*

3       **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Head Start Amend-  
5       ments of 1998”.

6       **SEC. 2. TABLE OF CONTENTS.**

7       The table of contents for this Act is as follows:

- Sec. 1. Short title.
- Sec. 2. Table of contents.
- Sec. 3. Statement of purpose.
- Sec. 4. Definitions.
- Sec. 5. Financial assistance for Head Start programs.
- Sec. 6. Authorization of appropriations.
- Sec. 7. Allotment of funds.
- Sec. 8. Designation of Head Start agencies.

Sec. 9. Quality standards.  
 Sec. 10. Powers and functions of Head Start agencies.  
 Sec. 11. Head Start transition.  
 Sec. 12. Submission of plans to Governors.  
 Sec. 13. Administrative requirements and standards.  
 Sec. 14. Participation in Head Start programs.  
 Sec. 15. Early head start programs for families with infants and toddlers.  
 Sec. 16. Technical assistance and training.  
 Sec. 17. Family literacy services.  
 Sec. 18. Professional requirements.  
 Sec. 19. Research and evaluation.  
 Sec. 20. Repeal of consultation requirement.  
 Sec. 21. Conforming amendments.  
 Sec. 22. Repeal of Head Start Transition Project Act.  
 Sec. 23. Effective date; application of amendments.

**1 SEC. 3. STATEMENT OF PURPOSE.**

2 Section 636 of the Head Start Act (42 U.S.C. 9831)  
 3 is amended to read as follows:

**4 “SEC. 636. STATEMENT OF PURPOSE.**

5 “It is the purpose of this subchapter to promote  
 6 school readiness by enhancing the social and cognitive de-  
 7 velopment of low-income children through the provision,  
 8 to low-income children and their families, of health, edu-  
 9 cational, nutritional, social, and other services that are de-  
 10 termined, based on family needs assessments, to be nec-  
 11 essary.”.

**12 SEC. 4. DEFINITIONS.**

13 Section 637 of the Head Start Act (42 U.S.C. 9832)  
 14 is amended—

15 (1) by redesignating paragraphs (3) through  
 16 (14) as paragraphs (4) through (15), respectively;

1           (2) in paragraph (2), by striking “the Fed-  
2       erated States of Micronesia, the Republic of the  
3       Marshall Islands, Palau,”;

4           (3) by inserting after paragraph (2) the follow-  
5       ing:

6           “(3) The term ‘child with a disability’ means—

7               “(A) a child with a disability, as defined in  
8       section 602(3) of the Individuals with Disabil-  
9       ities Education Act; and

10           “(B) an infant or toddler with a disability,  
11       as defined in section 632(5) of such Act.”;

12           (4) by striking paragraph (5) (as redesignated  
13       in paragraph (1)) and inserting the following:

14           “(5) The term ‘family literacy services’ means  
15       services that—

16               “(A) are provided to participants who re-  
17       ceive the services on a voluntary basis;

18               “(B) are of sufficient intensity, and of suf-  
19       ficient duration, to make sustainable changes in  
20       a family (such as eliminating or reducing de-  
21       pendence on income-based public assistance);  
22       and

23               “(C) integrate each of—

24                   “(i) interactive literacy activities be-  
25       tween parents and their children;

1 “(ii) training for parents on being  
2 partners with their children in learning;

3 “(iii) parent literacy training, includ-  
4 ing training that contributes to economic  
5 self-sufficiency; and

6 “(iv) appropriate instruction for chil-  
7 dren of parents receiving the parent lit-  
8 eracy training.”;

9 (5) in paragraph (7) (as redesignated in para-  
10 graph (1)), by adding at the end the following:

11 “Nothing in this paragraph shall be construed to re-  
12 quire an agency to provide services to a child who  
13 has not reached the age of compulsory school attend-  
14 ance for more than the number of hours per day  
15 permitted by State law for the provision of services  
16 to such a child.”; and

17 (6) by adding at the end the following:

18 “(16) The term ‘reliable and replicable’, used  
19 with respect to research, means an objective, valid,  
20 scientific study that—

21 “(A) includes a rigorously defined sample  
22 of subjects, that is sufficiently large and rep-  
23 resentative to support the general conclusions of  
24 the study;

1 “(B) relies on measurements that meet es-  
 2 tablished standards of reliability and validity;

3 “(C) is subjected to peer review before the  
 4 results of the study are published; and

5 “(D) discovers effective strategies for en-  
 6 hancing the development and skills of chil-  
 7 dren.”.

8 **SEC. 5. FINANCIAL ASSISTANCE FOR HEAD START PRO-**  
 9 **GRAMS.**

10 Section 638(1) of the Head Start Act (42 U.S.C.  
 11 9833(1)) is amended—

12 (1) by striking “aid the” and inserting “enable  
 13 the”; and

14 (2) by striking the semicolon and inserting  
 15 “and attain school readiness;”.

16 **SEC. 6. AUTHORIZATION OF APPROPRIATIONS.**

17 Section 639 of the Head Start Act (42 U.S.C. 9834)  
 18 is amended—

19 (1) in subsection (a)—

20 (A) by inserting “\$4,500,000,000 for fiscal  
 21 year 1999 and” after “subchapter”; and

22 (B) by striking “1995 through 1998” and  
 23 inserting “2000 through 2003”; and

24 (2) in subsection (b), by striking paragraphs  
 25 (1) and (2) and inserting the following:

1           “(1) for each of the fiscal years 1999 through  
 2           2003, not more than \$30,000,000 and not less than  
 3           the amount made available to carry out the Head  
 4           Start Transition Project Act (42 U.S.C. 9855–  
 5           9855g) for fiscal year 1998, to carry out activities  
 6           authorized under section 642A;

7           “(2) not more than \$5,000,000 for each of the  
 8           fiscal years 1999 through 2003 to carry out impact  
 9           studies under section 649(g);

10           “(3) not more than \$12,000,000 for fiscal year  
 11           1999, and such sums as may be necessary for each  
 12           of the fiscal years 2000 through 2003, to carry out  
 13           other research, demonstration, and evaluation activi-  
 14           ties, including longitudinal studies, under section  
 15           649; and

16           “(4) not less than \$5,000,000 for fiscal year  
 17           1999, and such sums as may be necessary for fiscal  
 18           years 2000 through 2003, to carry out activities au-  
 19           thorized under section 648B.”.

20 **SEC. 7. ALLOTMENT OF FUNDS.**

21           (a) ALLOTMENTS.—Section 640(a) of the Head Start  
 22           Act (42 U.S.C. 9835(a)) is amended—

23           (1) in paragraph (2)—

1 (A) in subparagraph (A), by striking  
2 “handicapped children” and inserting “children  
3 with disabilities”;

4 (B) in subparagraph (B), by striking “the  
5 Federated States of Micronesia, the Republic of  
6 the Marshall Islands, Palau,”;

7 (C) in subparagraph (C), by striking  
8 “and” at the end;

9 (D) in subparagraph (D), by striking “re-  
10 lated to the development and implementation of  
11 quality improvement plans under section  
12 641A(d)(2).” and inserting “carried out under  
13 paragraph (1), (2), or (3) of section 641A(d)  
14 relating to correcting deficiencies and conduct-  
15 ing proceedings to terminate the designation of  
16 Head Start agencies; and”;

17 (E) by inserting after subparagraph (D)  
18 the following:

19 “(E) payments for research and evaluation ac-  
20 tivities under section 649.”; and

21 (F) by adding at the end the following: “In  
22 carrying out this subchapter, the Secretary  
23 shall continue the administrative arrangement  
24 responsible for meeting the needs of migrant  
25 and Indian children and shall assure that ap-

1           appropriate funding is provided to meet such  
2           needs.”;

3           (2) in paragraph (3)—

4                   (A) in subparagraph (A)(i)(II) by inserting  
5           “subject to subsection (m),” after “(II)”;

6                   (B) in subparagraph (B)—

7                           (i) in clause (ii)—

8                                   (I) by striking “adequate quali-  
9                                   fied staff” and inserting “adequate  
10                                  numbers of qualified staff”; and

11                                  (II) by inserting “and children  
12                                  with disabilities” before “, when”;

13                                  (ii) in clause (iv) by inserting “and to  
14                                  encourage the staff to continually improve  
15                                  their skills and expertise by informing staff  
16                                  of the availability of State and Federal  
17                                  loan forgiveness programs for professional  
18                                  development” before the period at the end;

19                                  (iii) in clause (v) by inserting “and  
20                                  collaboration efforts for such programs”  
21                                  before the period at the end; and

22                                  (iv) by amending clause (vi) to read  
23                                  as follows:

24                                   “(vi) Ensuring that such programs have ade-  
25                                  quate numbers of qualified staff that can promote



1 language skills and literacy growth of children and  
 2 that provide children with a variety of skills that  
 3 have been identified, through research that is reli-  
 4 able and replicable, as predictive of later reading  
 5 achievement.”; and

6 (C) in subparagraph (C)—

7 (i) in clause (i)(I)—

8 (I) by striking “of staff” and in-  
 9 serting “of classroom teachers and  
 10 other staff”; and

11 (II) by striking “such staff” and  
 12 inserting “qualified staff, including re-  
 13 cruitment and retention pursuant to  
 14 achieving the requirements set forth  
 15 in section 648A(a)”;

16 (ii) by redesignating subclause (II) as  
 17 subclause (III);

18 (iii) by inserting after subclause (I)  
 19 the following:

20 “(II) Preferences in awarding salary increases,  
 21 in excess of cost of living allowances, shall be grant-  
 22 ed to classroom teachers and staff who obtain addi-  
 23 tional training or education related to their respon-  
 24 sibilities as employees of a Head Start program.”;

1 (iv) by amending clause (ii) to read as  
2 follows:

3 “(ii) Of the amount remaining after carry-  
4 ing out clause (i), the highest priority shall be  
5 placed on training classroom teachers and other  
6 staff to meet the education performance stand-  
7 ards described in section 641A(a)(1)(B),  
8 through activities—

9 “(I) to promote children’s language  
10 and literacy growth, through techniques  
11 identified through reliable, replicable re-  
12 search;

13 “(II) to promote the acquisition of the  
14 English language for non-English back-  
15 ground children and families;

16 “(III) to foster children’s school readi-  
17 ness skills through activities described in  
18 section 648A(a)(1); and

19 “(IV) to provide training necessary to  
20 improve the qualifications of the staff of  
21 the Head Start agencies and to support  
22 staff training, child counseling, and other  
23 services necessary to address the problems  
24 of children participating in Head Start  
25 programs, including children from dysfunc-

1            tional families, children who experience  
2            chronic violence in their communities, and  
3            children who experience substance abuse in  
4            their families.”;

5            (vi) by striking clause (v); and

6            (vii) by redesignating clause (vi) as  
7            clause (v);

8            (3) in paragraph (4)—

9            (A) in subparagraph (A), by striking  
10           “1981” and inserting “1998”;

11           (B) by amending subparagraph (B) to read  
12           as follows:

13           “(B) any amount available after all allotments  
14           are made under subparagraph (A) for such fiscal  
15           year shall be distributed proportionately on the basis  
16           of the number of children less than 5 years of age  
17           who live with families whose income is below the  
18           poverty line.”; and

19           (C) by adding at the end the following:

20           “For fiscal year 1999, and for each 2-fiscal-year period  
21           thereafter, the Secretary shall use the most recent data  
22           available on the number of children under the age of 5,  
23           from families below the poverty level that is consistent  
24           with that published for counties, by the Department of  
25           Commerce, unless the Secretary and the Secretary of

1 Commerce determine that use of the updated poverty data  
 2 would be inappropriate or unreliable. If the Secretary and  
 3 the Secretary of Commerce determine that some or all of  
 4 the data referred to in this paragraph are inappropriate  
 5 or unreliable, they shall issue a report setting forth their  
 6 reasons in detail.”;

7 (4) in paragraph (5)—

8 (A) in subparagraph (B), by inserting be-  
 9 fore the period the following “and encourage  
 10 Head Start agencies to actively collaborate with  
 11 entities involved in State and local planning  
 12 processes in order to better meet the needs of  
 13 low-income children and families”;

14 (B) in subparagraph (C)—

15 (i) in clause (i)(I), by inserting “the  
 16 appropriate regional office of the Adminis-  
 17 tration for Children and Families and” be-  
 18 fore “agencies”;

19 (ii) in clause (iii), by striking “and”  
 20 at the end;

21 (iii) in clause (iv)—

22 (I) by striking “education, and  
 23 national service activities,” and insert-  
 24 ing “and education and community  
 25 activities,”;

1 (II) by striking “and activities”  
2 and inserting “activities”; and

3 (III) by striking the period and  
4 inserting “(including coordination  
5 with those State officials who are re-  
6 sponsible for administering part C  
7 and section 619 of the Individuals  
8 with Disabilities Education Act (20  
9 U.S.C. 1431–1445, 1419)), and serv-  
10 ices for homeless children;”; and

11 (iv) by adding at the end the follow-  
12 ing:

13 “(v) include representatives of the State Head  
14 Start Association and local Head Start agencies in  
15 unified planning regarding early care and education  
16 services at both the State and local levels, including  
17 collaborative efforts to plan for the provision of full-  
18 working-day, full-calendar-year early care and edu-  
19 cation services for children;

20 “(vi) encourage local Head Start agencies to  
21 appoint a State level representative to speak on be-  
22 half of Head Start agencies within the State on col-  
23 laborative efforts described in subparagraphs (B)  
24 and (D), and in clause (v); and

1           “(vii) encourage Head Start agencies to collabo-  
2       rate with entities involved in State and local plan-  
3       ning processes (including the State lead agency ad-  
4       ministering the financial assistance received under  
5       the Child Care and Development Block Grant Act of  
6       1990 (42 U.S.C. 9858 et seq.) and the entities pro-  
7       viding resource and referral services in the State) in  
8       order to better meet the needs of low-income chil-  
9       dren and families.”;

10           (C) by redesignating subparagraph (D) as  
11       subparagraph (G); and

12           (D) by inserting after subparagraph (C)  
13       the following:

14       “(D)(i) A State that receives a grant under subpara-  
15       graph (B) may develop and submit a statewide, regional,  
16       or local unified plan for early childhood education and  
17       child care, that includes Head Start services and is devel-  
18       oped jointly with the State-level Head Start representative  
19       pursuant to subparagraph (C)(vi).

20       “(ii) A State that submits such plan may submit with  
21       the plan a request approved by the chief executive officer  
22       of the State and by such representative on behalf of 1  
23       or more Head Start agencies in such State, for a waiver  
24       of either or both of subsections (a)(1)(A) and (b) of sec-  
25       tion 645, including related regulations, that are identified

1 in the plan as barriers that impede collaboration between  
2 entities carrying out Head Start programs and entities  
3 carrying out other early childhood education and child  
4 care programs in the State, impede the delivery and limit  
5 the quality of early childhood education and child care in  
6 the State, limit the availability of full-working-day, full-  
7 calendar-year services in communities in which a need for  
8 such services has been demonstrated, or hinder facilitation  
9 of collaborations with other agencies or entities to provide  
10 such services. The request shall specify the specific pur-  
11 poses for which the waiver is sought and indicate how the  
12 waiver will accomplish such purposes, and shall include  
13 provisions specifying the manner in which an independent  
14 evaluation will be conducted of Head Start programs in  
15 the State if the waiver is granted.

16           “(iii) A waiver requested under clause (ii) may  
17       be granted only to the extent necessary to permit  
18       Head Start agencies participating in such plan—

19           “(I) to enroll in Head Start programs oth-  
20       erwise eligible children from families whose in-  
21       come exceeds the poverty line but does not ex-  
22       ceed 140 percent of the poverty line but, in the  
23       case of any Head Start program, to enroll  
24       under the authority of this clause not more

1           than 25 percent of the aggregate number of  
2           children enrolled in such program; and

3                 “(II) to charge participation fees for such  
4           children, consistent with the sliding fee schedule  
5           established by the State under section  
6           658E(c)(5) of the of the Child Care and Devel-  
7           opment Block Grant Act of 1990 (42 U.S.C.  
8           9858c(c)(5)).

9           “(E) Following the award of collaboration grants de-  
10          scribed in subparagraph (B), the Secretary shall provide,  
11          from the reserved sums, supplemental funding for collabo-  
12          ration grants to States that engage in other innovative col-  
13          laborative initiatives, including plans for collaborative  
14          training and professional development initiatives for child  
15          care, early childhood education and Head Start service  
16          managers, providers, and staff.

17          “(F)(i) The Secretary shall—

18                 “(I) review on an ongoing basis evidence of bar-  
19          riers to effective collaboration between Head Start  
20          programs and other Federal child care and early  
21          childhood education programs and resources; and

22                 “(II) develop initiatives, including providing ad-  
23          ditional training and technical assistance and mak-  
24          ing regulatory changes, in necessary cases, to elimi-  
25          nate barriers to the collaboration.



1       “(ii) In the case of a collaborative activity funded  
2 under this subchapter and another provision of law provid-  
3 ing for Federal child care or early childhood education,  
4 the use of equipment and nonconsumable supplies pur-  
5 chased with funds made available under this subchapter  
6 or such provision shall not be restricted to children en-  
7 rolled or otherwise participating in the program carried  
8 out under that subchapter or provision, during a period  
9 in which the activity is predominantly funded under this  
10 subchapter or such provision.”;

11               (5) by amending paragraph (6) to read as fol-  
12 lows:

13       “(6)(A) From the amounts reserved and allotted pur-  
14 suant to paragraphs (2) and (4), and except as provided  
15 in subparagraphs (B) and (C)(i), the Secretary shall use  
16 for grants for programs described in section 645A(a) a  
17 portion of the combined total of such amount equal to—

18               “(i) 7 percent for fiscal year 1999;

19               “(ii) 7 percent for fiscal year 2000;

20               “(iii) 7 percent for fiscal year 2001;

21               “(iv) not more than 10 percent for fiscal year  
22 2002;, and

23               “(v) not more than 10 percent for fiscal year  
24 2003;

1 of the amount appropriated pursuant to section  
2 639(a) for the respective fiscal year.

3 “(B) If the Secretary does not submit to—

4 “(i) the Committee on Education and the  
5 Workforce and the Committee on Appropriations of  
6 the House of Representatives; and

7 “(ii) to the Committee on Labor and Human  
8 Resources and the Committee on Appropriations of  
9 the Senate.

10 by January 1, 2001, a report on the results of the Early  
11 Head Start impact study currently being conducted by the  
12 Secretary, then the amount required to be used in accord-  
13 ance with subparagraph (A) for fiscal years 2002 and  
14 2003 shall be 7 percent of the amount appropriated pursu-  
15 ant to section 639(a) for the respective fiscal year.

16 “(C)(i) For any fiscal year for which the Secretary  
17 determines that the amount appropriated under section  
18 639(a) is not sufficient to permit the Secretary to use the  
19 portion described in subparagraph (A) without reducing  
20 the number of children served by Head Start programs  
21 or negatively impacting the quality of Head Start services,  
22 relative to the number of children served and the quality  
23 of the services during the preceding fiscal year, the Sec-  
24 retary may reduce the percentage of funds required to be  
25 used as the portion described in subparagraph (A) for the

1 fiscal year for which the determination is made, but not  
 2 below the percentage required to be so used for the preced-  
 3 ing fiscal year.

4 “(ii) For any fiscal year for which the amount appro-  
 5 priated under section 639(a) requires a reduction in the  
 6 amount made available under this subchapter to Head  
 7 Start agencies and entities described in section 645A, rel-  
 8 ative to the amount made available to the agencies and  
 9 entities for the preceding fiscal year, adjusted as described  
 10 in paragraph (3)(A)(ii), the Secretary shall proportion-  
 11 ately reduce—

12 “(I) the amounts made available to the entities  
 13 for programs carried out under section 645A; and

14 “(II) the amounts made available to Head Start  
 15 agencies for Head Start programs.”; and

16 (6) in paragraph (7), by striking “the Common-  
 17 wealth of the Northern Mariana Islands, the Fed-  
 18 erated States of Micronesia, the Republic of the  
 19 Marshall Islands, and Palau.” and inserting “and  
 20 the Commonwealth of the Northern Mariana Is-  
 21 lands.”.

22 (b) CHILDREN WITH DISABILITIES.—Section 640(d)  
 23 of the Head Start Act (42 U.S.C. 9835(d)) is amended—

24 (1) by striking “1982” and inserting “1999”;  
 25 and

1           (2) by striking “(as defined in section 602(a) of  
2           the Individuals with Disabilities Education Act)”.

3           (c) INCREASED APPROPRIATIONS.—Section 640(g) of  
4           the Head Start Act (42 U.S.C. 9835(g)) is amended—

5           (1) in paragraph (1), by striking the period and  
6           inserting “, and after maintaining such level provide  
7           additional support for full-working-day, full-cal-  
8           endar-year Head Start services through collabora-  
9           tions with entities carrying out programs that are in  
10          existence on the date of the allocation and with  
11          other private, nonprofit agencies. Any such addi-  
12          tional funds remaining may be used to serve an in-  
13          creased number of children or make nonstructural  
14          and minor structural changes, and to acquire and  
15          install equipment, for the purpose of improving fa-  
16          cilities necessary to expand the availability and ac-  
17          cessibility of Head Start programs.”;

18          (2) in paragraph (2)—

19                (A) in subparagraph (A), by striking the  
20                semicolon and inserting “, and the performance  
21                history of the applicant in providing services  
22                under other Federal programs (other than the  
23                program carried out under this subchapter);”;

1 (B) in subparagraph (C), by striking the  
2 semicolon and inserting “, and organizations  
3 serving children with disabilities;”;

4 (C) in subparagraph (D), by striking the  
5 semicolon and inserting “and the extent to  
6 which, and manner in which, the applicant dem-  
7 onstrates the ability to collaborate and partici-  
8 pate with other local community providers of  
9 child care or preschool services to provide full-  
10 working-day full-calendar-year services;”;

11 (D) in subparagraph (E), by striking “pro-  
12 gram; and” and inserting “or any other early  
13 childhood program;”;

14 (E) in subparagraph (F), by striking the  
15 period and inserting “; and”; and

16 (F) by adding at the end the following:

17 “(G) the extent to which the applicant proposes  
18 to foster partnerships with other service providers in  
19 a manner that will enhance the resource capacity of  
20 the applicant; and

21 “(H) the extent to which the applicant, in pro-  
22 viding services, will plan to coordinate with the local  
23 educational agency serving the community involved  
24 and with schools in which children participating in  
25 a Head Start program operated by such agency will

1 enroll following such program, regarding the edu-  
 2 cation services provided by such local educational  
 3 agency.”; and

4 (3) in paragraph (3) by striking “In” and in-  
 5 serting “Subject to subsection (m), in”.

6 (d) **RELATIVE AVAILABILITY OF FUNDS FOR QUAL-**  
 7 **ITY AND FOR EXPANSION.**—Section 640 of the Head Start  
 8 Act (42 U.S.C. 9835) is amended by adding at the end  
 9 the following:

10 “(m)(1)The amount (if any) by which the funds ap-  
 11 propriated under section 639(a) for a fiscal year exceed  
 12 the adjusted prior year appropriation (as defined in sub-  
 13 section (a)(3)(ii)), shall be available as follows:

<b>For Fiscal Year:</b>	<b>Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available for Activities Under Subsection (a)(3)(C):</b>	<b>Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available for Activities Under Subsection (g)(1):</b>	<b>Percent of Amount Exceeding Adjusted Prior Year Appropriation To Be Available to Qualifying Head Start Programs for Activities Under Subsections (a)(3)(C) and (g)(1)</b>
1999	65	25	10
2000	65	25	10
2001	45	45	10
2002	45	45	10
2003	25	65	10.

14 “(2) For purposes of paragraph (1), the term ‘quali-  
 15 fying Head Start program’ means a Head Start agency  
 16 or Head Start program that is—

1           “(A) in compliance with the quality standards  
2           and result-based performance measures applicable  
3           under subsections (a) and (b) of section 641A;

4           “(B) not required under subsection (d) of such  
5           section to take a corrective action; and

6           “(C) making progress toward complying with  
7           requirements applicable under section 648A(a)(2).

8           “(3) Funds required to be made available under this  
9           subsection to qualifying Head Start programs shall be  
10          made available on the same basis as allotments are deter-  
11          mined under subsection (a)(4).”.

12          (f) CONFORMING AMENDMENT.—Section 644(f)(2)  
13          of the Head Start Act (42 U.S.C. 9839(f)(2)) is amended  
14          by striking “640(a)(3)(C)(v)” and inserting “640(g)”.

15          **SEC. 8. DESIGNATION OF HEAD START AGENCIES.**

16          Section 641 of the Head Start Act (42 U.S.C. 9836)  
17          is amended—

18                  (1) in subsection (a)—

19                          (A) by inserting “or for-profit” after “non-  
20                          profit”; and

21                          (B) by inserting “(in consultation with the  
22                          chief executive officer of the State in which the  
23                          community is located)” after “the Secretary”  
24                          the last place it appears;

25                  (2) in subsection (c)—

1 (A) in paragraph (1)—

2 (i) by striking “shall give priority”  
3 and inserting “may, in consultation with  
4 the chief executive officer of the State, give  
5 priority”;

6 (ii) by inserting “or for-profit” after  
7 “nonprofit”; and

8 (iii) by striking “makes a finding”  
9 and all that follows through the period at  
10 the end, and inserting the following:

11 “determines that the agency involved fails to meet pro-  
12 gram and financial management requirements, perform-  
13 ance standards described in section 641A(a)(1), and other  
14 requirements established by the Secretary.”;

15 (B) in paragraph (2), by striking “shall  
16 give priority” and inserting “may, in consulta-  
17 tion with the chief executive officer of the State,  
18 give priority”; and

19 (C) by aligning the left margin of para-  
20 graphs (2) and (3) with the left margin of para-  
21 graph (1); and

22 (3) in subsection (d)—

23 (A) in the matter preceding paragraph (1),  
24 by striking “If no entity in a community is enti-  
25 tled to the priority specified in subsection (c),



1           then the Secretary may” and inserting the fol-  
2           lowing:

3    “If the Secretary elects not to give priority designation  
4    to any agency under subsection (c), the Secretary shall”;

5                   (B) in paragraph (4)—

6                   (i) in subparagraph (A), by inserting  
7                   “(at home and in the center involved where  
8                   practicable)” after “activities”;

9                   (ii) in subparagraph (D)—

10                   (I) in clause (iii) by adding “or”  
11                   at the end;

12                   (II) by striking clause (iv); and

13                   (III) by redesignating clause (v)  
14                   as clause (iv);

15                   (iii) in subparagraph (E) by striking  
16                   “and (D)” and inserting “and (E)”;

17                   (iv) by redesignating subparagraphs  
18                   (D) and (E) and subparagraphs (E) and  
19                   (F), respectively; and

20                   (v) by inserting after subparagraph  
21                   (C) the following:

22                   “(D) to offer to parents of participating  
23                   children substance abuse counseling (either di-  
24                   rectly or through referral to local entities), in-

1 cluding information on fetal alcohol syndrome  
2 and crack-baby syndrome;”;

3 (C) by amending paragraph (7) to read as  
4 follows:

5 “(7) the plan of such applicant to meet the  
6 needs of non-English background children and their  
7 families, including needs related to the acquisition of  
8 the English language;”;

9 (D) in paragraph (8)—

10 (i) by striking the period at the end  
11 and inserting “; and”; and

12 (ii) by redesignating such paragraph  
13 as paragraph (9);

14 (E) by inserting after paragraph (7) the  
15 following:

16 “(8) the plan of such applicant to meet the  
17 needs of children with disabilities;”;

18 (F) by adding at the end the following:

19 “(10) the plan of such applicant to collaborate  
20 with other entities carrying out early childhood edu-  
21 cation and child care programs in the community.”;  
22 and

23 (4) by amending subsection (e) to read as fol-  
24 lows:

1       “(e) If no agency in the community receives priority  
 2 designation and if there is no qualified applicant in the  
 3 community, then the Secretary shall designate an agency  
 4 to carry out the Head Start program in the community  
 5 on an interim basis until a qualified applicant from the  
 6 community is so designated.”.

7 **SEC. 9. QUALITY STANDARDS.**

8       (a) **QUALITY STANDARDS.**—Section 641A(a) of the  
 9 Head Start Act (42 U.S.C. 9836a(a)) is amended—

10               (1) in paragraph (1)—

11                       (A) in the matter preceding subparagraph  
 12                       (A), by inserting “, including minimum levels of  
 13                       overall accomplishment,” after “regulation  
 14                       standards”;

15                       (B) in subparagraph (A), by striking “edu-  
 16                       cation,”;

17                       (C) by redesignating subparagraphs (B)  
 18                       through (D) as subparagraphs (C) through (E),  
 19                       respectively; and

20                       (D) by inserting after subparagraph (A)  
 21                       the following:

22                               “(B)(i) education performance standards  
 23                               to ensure the school readiness of children par-  
 24                               ticipating in a Head Start program, on comple-

1           tion of the Head Start program and prior to  
2           entering school; and

3           “(ii) additional education performance  
4           standards to ensure that the children partici-  
5           pating in the program, at a minimum—

6           “(I) develop phonemic, print, and  
7           numeracy awareness;

8           “(II) understand and use oral lan-  
9           guage to communicate for different pur-  
10          poses;

11          “(III) understand and use increas-  
12          ingly complex and varied vocabulary;

13          “(IV) develop and demonstrate an ap-  
14          preciation of books; and

15          “(V) in the case of non-English back-  
16          ground children, progress toward acquisi-  
17          tion of the English language.”;

18          (2) by striking paragraph (2);

19          (3) in paragraph (3)—

20                (A) in subparagraph (A) by striking “lin-  
21                guistically and culturally appropriate”;

22                (B) in subparagraph (B)(iii) by striking  
23                “child” and inserting “early childhood edu-  
24                cation and”; and

25                (C) in subparagraph (C)—

1 (i) in clause (i)—

2 (I) by striking “not later than 1  
3 year after the date of enactment of  
4 this section,”; and

5 (II) by striking “section 651(b)”  
6 and all that follows through “section”  
7 and inserting “this subsection”; and

8 (ii) in subclause (ii), by striking “No-  
9 vember 2, 1978” and inserting “the date  
10 of enactment of the Human Services Reau-  
11 thorization Act of 1998”; and

12 (4) by redesignating paragraphs (3) and (4) as  
13 paragraphs (2) and (3), respectively.

14 (b) PERFORMANCE MEASURES.—Section 641A(b) of  
15 the Head Start Act (42 U.S.C. 9836a(b)) is amended—

16 (1) in the heading, by inserting “RESULTS-  
17 BASED” before “PERFORMANCE”;

18 (2) in paragraph (1)—

19 (A) by striking “Not later than 1 year  
20 after the date of enactment of this section, the”  
21 and inserting “The”;

22 (B) by striking “child” and inserting  
23 “early childhood education and”; and

24 (C) by striking the period at the end and  
25 inserting “, and the impact of the services pro-

1 vided through the programs to children and  
2 their families.”;

3 (3) in paragraph (2)—

4 (A) in the heading, by striking “DESIGN”  
5 and inserting “CHARACTERISTICS”;

6 (B) in the matter preceding subparagraph  
7 (A), by striking “be designed” and inserting  
8 “include the education performance standards  
9 described in subsection (a)(1)(B) and shall”;

10 (C) in subparagraph (A), by striking “to  
11 assess” and insert “assess the impact of”;

12 (D) in subparagraph (B)—

13 (i) by striking “to”;

14 (ii) by striking “and peer review” and  
15 inserting “, peer review, and program eval-  
16 uation”; and

17 (iii) by striking “; and” and inserting  
18 the following:

19 “not later than January 1, 1999, and, with re-  
20 spect to such education performance standards,  
21 assess whether children participating in Head  
22 Start programs—

23 “(I) know that letters of the alphabet  
24 are a special category of visual graphics  
25 that can be individually named;

1                   “(II) recognize a word as a unit of  
2                   print;

3                   “(III) identify at least 10 letters of  
4                   the alphabet; and

5                   “(IV) associate sounds with written  
6                   words;”;

7                   (E) in subparagraph (C), by inserting “be  
8                   developed” before “for other”;

9                   (4) in paragraph (3)(A) by striking “and by re-  
10                  gion” and inserting “, regionally, and locally”; and

11                  (5) by adding at the end the following:

12                  “(4) OTHER PERFORMANCE MEASURES.—In  
13                  addition to other applicable results-based perform-  
14                  ance measures, Head Start agencies may establish  
15                  their own performance measures.”.

16                  (c) MONITORING.—Section 641A(c) of the Head  
17                  Start Act (42 U.S.C. 9836a(c)) is amended—

18                  (1) in paragraph (1) by inserting “and results-  
19                  based performance measures” after “standards”;  
20                  and

21                  (2) in paragraph (2)

22                          (A) in subparagraph (B), by striking  
23                          “and” at the end;

24                          (B) in subparagraph (C)—

1 (i) by striking “(including linguistic  
2 and cultural)”;

3 (ii) by inserting “(including children  
4 with disabilities)” after “eligible children”;  
5 and

6 (iii) by striking the period at the end  
7 and inserting a semicolon; and

8 (C) by adding at the end the following:

9 “(D) include as part of the reviews of the  
10 programs, a review and assessment of program  
11 effectiveness, as measured in accordance with  
12 the results-based performance measures devel-  
13 oped pursuant to subsection (b) and with the  
14 performance standards established pursuant to  
15 subparagraphs (A) and (B) of subsection  
16 (a)(1); and

17 “(E) seek information from the community  
18 and the State about the performance of the pro-  
19 gram and its efforts to collaborate with other  
20 entities carrying out early childhood education  
21 and child care programs in the community.”.

22 (d) TERMINATION.—Section 641A(d)(1) of the Head  
23 Start Act (42 U.S.C. 9836a(d)(1)) is amended—



1           (1) by inserting “or results-based performance  
2           measures described in subsection (b)” after “sub-  
3           section (a)”;

4           (2) by amending subparagraph (B)(ii) to read  
5           as follows:

6                   “(ii) if the Secretary finds that the defi-  
7                   ciency threatens the health or safety of staff or  
8                   program participants or poses a threat to the  
9                   integrity of Federal funds—

10                           “(I) to correct the deficiency not later  
11                           than 90 days after the identification of the  
12                           deficiency if the Secretary finds, in the dis-  
13                           cretion of the Secretary, that such a 90-  
14                           day period is reasonable, in light of the na-  
15                           ture and magnitude of the deficiency; or

16                           “(II) in the discretion of the Secretary  
17                           (taking into consideration the seriousness  
18                           of the deficiency and the time reasonably  
19                           required to correct the deficiency) to com-  
20                           ply with the requirements of paragraph (2)  
21                           concerning a quality improvement plan;”;

22           (3) in subparagraph (C), by striking “unless  
23           the agency corrects the deficiency.” and inserting  
24           the following:

25                   “unless the agency—

1 “(i) corrects the deficiency within 90  
2 days after the Secretary informs the agen-  
3 cy of the deficiency; or

4 “(ii) develops and obtains approval for  
5 a quality improvement plan in accordance  
6 with paragraph (2) in a case in which the  
7 Secretary, at the discretion of the Sec-  
8 retary, requires such a plan; and.”; and

9 (4) by adding at the end the following:

10 “(D) If the Secretary terminates the des-  
11 ignation of a Head Start agency pursuant to  
12 this subsection, the Secretary shall, after con-  
13 sultation with families that will be eligible to re-  
14 ceive services in the community, either—

15 “(i) issue a certificate to eligible par-  
16 ents for parents to use at the provider of  
17 their choice, provided that the provider can  
18 provide health, educational, nutritional,  
19 and social services to eligible children and  
20 their families either through direct services  
21 or through referral to local entities; or

22 “(ii) use procedures outlined in sec-  
23 tion 641 to designate a new Head Start  
24 agency.”.

1 **SEC. 10. POWERS AND FUNCTIONS OF HEAD START AGEN-**  
2 **CIES.**

3 Section 642 of the Head Start Act (42 U.S.C. 9837)  
4 is amended—

5 (1) in subsection (a), by inserting “or for-prof-  
6 it” after “nonprofit”;

7 (2) in subsection (b)—

8 (A) in paragraph (6)—

9 (i) by striking subparagraph (D); and

10 (ii) by redesignating subparagraphs  
11 (E) and (F) and subparagraphs (D) and  
12 (E), respectively;

13 (B) in paragraph (8) by striking “and” at  
14 the end;

15 (C) in paragraph (9) by striking the period  
16 at the end and inserting “; and”;

17 (D) by redesignating paragraphs (6)  
18 through (9) as paragraphs (7) through (10), re-  
19 spectively;

20 (E) by inserting after paragraph (5) the  
21 following:

22 “(6) offer to parents of participating children  
23 substance abuse counseling (either directly or  
24 through referral to local entities), including informa-  
25 tion on fetal alcohol syndrome and crack-baby syn-  
26 drome;”; and

1 (F) by adding at the end the following:

2 “(11)(A) inform custodial parents in single-par-  
3 ent families that participate in programs, activities,  
4 or services carried out under this subtitle about the  
5 availability of child support services;

6 “(B) refer eligible parents to the child support  
7 offices of State and local governments;

8 “(C) establish referral arrangements with such  
9 offices; and

10 “(D) verify that such custodial parents who re-  
11 ceive benefits under title IV of the Social Security  
12 Act comply with the provisions of part D of title IV  
13 of such Act relating to the establishment of pater-  
14 nity;”;

15 (3) in subsection (c)—

16 (A) by inserting “and collaborate” after  
17 “coordinate”; and

18 (B) by striking “section 402(g) of the So-  
19 cial Security Act, and other” and inserting “the  
20 State program carried out under the Child Care  
21 and Development Block Grant Act of 1990 (42  
22 U.S.C. 9858 et seq.), and other early childhood  
23 education and development”; and

24 (4) in subsection (d)—

25 (A) in paragraph (1)—

1 (i) by striking “carry out” and all  
 2 that follows through “maintain” and in-  
 3 serting “take steps to ensure, to the maxi-  
 4 mum extent possible, that children main-  
 5 tain”;

6 (ii) by inserting “and educational”  
 7 after “developmental”; and

8 (iii) by striking “to build” and insert-  
 9 ing “build”;

10 (B) by striking paragraph (2); and

11 (C) by redesignating paragraphs (3)  
 12 through (5) as paragraphs (2) through (4), re-  
 13 spectively.

14 **SEC. 11. HEAD START TRANSITION.**

15 The Head Start Act (42 U.S.C. 9831 et seq.) is  
 16 amended by inserting after section 642 the following:

17 **“SEC. 642A. HEAD START TRANSITION.**

18 “Each Head Start agency shall take steps to coordi-  
 19 nate with the local educational agency serving the commu-  
 20 nity involved and with schools in which children participat-  
 21 ing in a Head Start program operated by such agency will  
 22 enroll following such program, including—

23 “(1) developing and implementing a systematic  
 24 procedure for transferring, with parental consent,

1 Head Start program records for each participating  
2 child to the school in which such child will enroll;

3 “(2) establishing channels of communication be-  
4 tween Head Start staff and their counterparts in the  
5 schools (including teachers, social workers, and  
6 health staff) to facilitate coordination of programs;

7 “(3) conducting meetings involving parents,  
8 kindergarten or elementary school teachers, and  
9 Head Start program teachers to discuss the edu-  
10 cational, developmental, and other needs of individ-  
11 ual children;

12 “(4) organizing and participating in joint tran-  
13 sition-related training of school staff and Head Start  
14 staff;

15 “(5) developing and implementing a family out-  
16 reach and support program in cooperation with enti-  
17 ties carrying out parental involvement efforts under  
18 title I of the Elementary and Secondary Education  
19 Act of 1965 (20 U.S.C. 6301 et seq.);

20 “(6) assisting families, administrators, and  
21 teachers in enhancing educational and developmental  
22 continuity between Head Start services and elemen-  
23 tary school classes;

1 “(7) linking the services provided in such pro-  
 2 gram with the education services provided by such  
 3 local education agency.”.

4 **SEC. 12. SUBMISSION OF PLANS TO GOVERNORS.**

5 The first sentence of section 643 of the Head Start  
 6 Act (42 U.S.C. 9838) is amended—

7 (1) by striking “30 days” and inserting “60  
 8 days”;

9 (2) by striking “so disapproved” and inserting  
 10 “disapproved (for reasons other than failure to meet  
 11 State health, safety, and child care standards, if  
 12 any)”;

13 (3) by inserting before the period “, as evi-  
 14 denced by a written statement of the Secretary’s  
 15 findings transmitted to such officer”.

16 **SEC. 13. ADMINISTRATIVE REQUIREMENTS AND STAND-**  
 17 **ARDS.**

18 Section 644(g) of the Head Start Act (42 U.S.C.  
 19 9839(g)) is amended by striking paragraph (3).

20 **SEC. 14. PARTICIPATION IN HEAD START PROGRAMS.**

21 Section 645(a)(1)(B) of the Head Start Act (42  
 22 U.S.C. 9840(a)(1)(B)) is amended—

23 (1) by striking “that programs” and inserting  
 24 “that (i) programs”; and

1           (2) by striking the period and inserting the fol-  
 2           lowing:

3   “, and (ii) a child who has been determined to meet the  
 4   low-income criteria and who is participating in a Head  
 5   Start program in a program year shall be considered to  
 6   continue to meet the low-income criteria through the end  
 7   of the succeeding program year. In determining, for pur-  
 8   poses of this paragraph, whether a child who has applied  
 9   for enrollment in a Head Start program meets the low-  
 10   income criteria, an entity may consider evidence of family  
 11   income during the 12 months preceding the month in  
 12   which the application is submitted, or during the calendar  
 13   year preceding the calendar year in which the application  
 14   is submitted, whichever more accurately reflects the needs  
 15   of the family at the time of application.”.

16   **SEC. 15. EARLY HEAD START PROGRAMS FOR FAMILIES**  
 17                           **WITH INFANTS AND TODDLERS.**

18           (a) PROGRAM.—Section 645A of the Head Start Act  
 19   (42 U.S.C. 9840a) is amended—

20           (1) in the section heading, by inserting “**EARLY**  
 21           **HEAD START**” before “**PROGRAMS FOR**”;

22           (2) in subsection (a)—

23                   (A) in paragraph (1) by striking “; and”  
 24                   and inserting a period;

25                   (B) by striking paragraph (2); and



1 (C) by striking “for—” and all that follows  
2 through “(1)”, and inserting “for”;  
3 (3) in subsection (b)(5), by inserting “(includ-  
4 ing programs for infants and toddlers with disabil-  
5 ities)” after “community”;  
6 (4) in subsection (c)—  
7 (A) by striking “(a)(1)” and inserting  
8 “(a)”; and  
9 (B) in paragraph (2), by striking “(or  
10 under” and all that follows through “(e)(3))”;  
11 (5) in subsection (d)—  
12 (A) in paragraph (1), by inserting “and”  
13 at the end;  
14 (B) by striking paragraph (2); and  
15 (C) in paragraph (3)—  
16 (i) by inserting “or for-profit” after  
17 “nonprofit”; and  
18 (ii) by redesignating such paragraph  
19 as paragraph (2);  
20 (6) by striking subsection (e);  
21 (7) by redesignating subsections (f) and (g) as  
22 subsections (e) and (f), respectively;  
23 (8) in subsection (e) (as redesignated in para-  
24 graph (7))—

1 (A) in the subsection heading, by striking  
2 “OTHER”; and

3 (B) by striking “From the balance remain-  
4 ing of the portion specified in section 640(a)(6),  
5 after making grants to the eligible entities spec-  
6 ified in subsection (e),” and inserting “From  
7 the portion specified in section 640(a)(6),”;  
8 (9) by striking subsection (h); and  
9 (10) by adding at the end the following:

10 “(g) MONITORING, TRAINING, TECHNICAL ASSIST-  
11 ANCE, AND EVALUATION.—

12 “(1) REQUIREMENT.—In order to ensure the  
13 successful operation of programs assisted under this  
14 section, the Secretary shall use funds from the por-  
15 tion specified in section 640(a)(6) to monitor the op-  
16 eration of such programs, evaluate their effective-  
17 ness, and provide training and technical assistance  
18 tailored to the particular needs of such programs.

19 “(2) TRAINING AND TECHNICAL ASSISTANCE  
20 ACCOUNT.—

21 “(A) IN GENERAL.—Of the amount made  
22 available to carry out this section for any fiscal  
23 year, not less than 5 percent and not more than  
24 10 percent shall be reserved to fund a training  
25 and technical assistance account.

1           “(B) ACTIVITIES.—Funds in the account  
2           may be used for purposes including—

3                   “(i) making grants to, and entering  
4                   into contracts with, organizations with spe-  
5                   cialized expertise relating to infants, tod-  
6                   dlers, and families and the capacity needed  
7                   to provide direction and support to a na-  
8                   tional training and technical assistance  
9                   system, in order to provide such direction  
10                  and support;

11                  “(ii) providing ongoing training and  
12                  technical assistance for regional and pro-  
13                  gram staff charged with monitoring and  
14                  overseeing the administration of the pro-  
15                  gram carried out under this section;

16                  “(iii) providing ongoing training and  
17                  technical assistance for existing recipients  
18                  of grants under subsection (a) and support  
19                  and program planning and implementation  
20                  assistance for new recipients of such  
21                  grants; and

22                  “(iv) providing professional develop-  
23                  ment and personnel enhancement activi-  
24                  ties, including the provision of funds to re-  
25                  cipients of grants under subsection (a) for

1 the recruitment and retention of qualified  
 2 staff with an appropriate level of education  
 3 and experience.”.

4 (b) CONFORMING AMENDMENT.—Section  
 5 640(a)(5)(D) of the Head Start Act (42 U.S.C.  
 6 9835(a)(5)(D)) is amended by striking “section  
 7 645(a)(1)(A)” and inserting “section 645(a)”.

8 **SEC. 16. TECHNICAL ASSISTANCE AND TRAINING.**

9 Section 648 of the Head Start Act (42 U.S.C. 9843)  
 10 is amended—

11 (1) in subsection (b)—

12 (A) in paragraph (1), by striking “and” at  
 13 the end;

14 (B) in paragraph (2), by striking the pe-  
 15 riod at the end and inserting “; and”; and

16 (C) by adding at the end the following:

17 “(3) ensure the provision of technical assistance  
 18 to assist Head Start agencies, entities carrying out  
 19 other child care and early childhood programs, com-  
 20 munities, and States in collaborative efforts to pro-  
 21 vide quality full-working-day, full-calendar-year serv-  
 22 ices, including technical assistance related to identi-  
 23 fying and assisting in resolving barriers to collabora-  
 24 tion.”; and

25 (2) in subsection (c)—

1 (A) by amending paragraph (1) to read as  
2 follows:

3 “(1) give priority consideration to—

4 “(A) activities to correct program and  
5 management deficiencies identified through re-  
6 views pursuant to section 641A(c) (including  
7 the provision of assistance to local programs in  
8 the development of quality improvement plans  
9 under section 641A(d)(2)); and

10 “(B) assisting Head Start agencies in—

11 “(i) ensuring the school readiness of  
12 children; and

13 “(ii) meeting the education perform-  
14 ance standards described in this sub-  
15 chapter;”;

16 (B) in paragraph (2) by inserting “supple-  
17 ment amounts provided under section  
18 640(a)(3)(C)(ii),” after “(2)”;

19 (C) in paragraph (4)—

20 (i) by inserting “and implementing”  
21 after “developing”; and

22 (ii) by striking “a longer day” and in-  
23 serting the following: “the day, and assist  
24 the agencies and programs in expediting  
25 the sharing of information about innovative

1 models for providing full-working-day, full-  
2 calendar-year services for children”;

3 (D) in paragraph (7), by striking “and” at  
4 the end;

5 (E) in paragraph (8), by striking the pe-  
6 riod at the end and inserting “; and”;

7 (F) by redesignating paragraphs (3)  
8 through (8) as paragraphs (5) through (10), re-  
9 spectively; and

10 (G) by inserting after paragraph (2) the  
11 following:

12 “(3) assist Head Start agencies in the de-  
13 velopment of collaborative initiatives with  
14 States and other entities within the States, to  
15 foster effective early childhood professional de-  
16 velopment systems;

17 “(4) assist classroom and non-classroom  
18 staff, including individuals in management and  
19 leadership capacities, to understand the compo-  
20 nents of effective family literacy services, gain  
21 knowledge about proper implementation of such  
22 services within a Head Start program, and re-  
23 ceive assistance to achieve successful collabora-  
24 tion agreements with other service providers

1           that allow the effective integration of family lit-  
2           eracy services with the Head Start program;”.

3 **SEC. 17. FAMILY LITERACY SERVICES.**

4           The Head Start Act (42 U.S.C. 9831 et seq.) is  
5 amended by inserting after section 648A the following:

6 **“SEC. 648B. FAMILY LITERACY SERVICES.**

7           “From funds reserved under section 639(b)(4), the  
8 Secretary—

9           “(1) shall provide grants through a competitive  
10          process, based upon the quality of the family literacy  
11          service proposal and taking into consideration geo-  
12          graphic and urban/rural representation, for not more  
13          than 100 Head Start agencies to initiate provision  
14          of family literacy services through collaborative part-  
15          nerships with entities that provide adult education  
16          services, entities carrying out Even Start programs  
17          under part B of chapter 1 of title 1 of the Elemen-  
18          tary and Secondary Education Act of 1965 (20  
19          U.S.C. 274 et seq.), or entities that provide other  
20          services deemed necessary for the provision of family  
21          literacy services; and

22          “(2) may—

23                 “(A) provide training and technical assist-  
24                 ance to Head Start agencies that already pro-  
25                 vide family literacy services;

1           “(B) designate as mentor programs, and  
 2           provide financial assistance to, Head Start  
 3           agencies that demonstrate effective implementa-  
 4           tion of family literacy services, based on im-  
 5           proved outcomes of children and their parents,  
 6           to enable such agencies to provide training and  
 7           technical assistance to other agencies that seek  
 8           to implement, or improve implementation of,  
 9           family literacy services; and

10           “(C) award grants or make other assist-  
 11           ance available to facilitate training and tech-  
 12           nical assistance to programs for development of  
 13           collaboration agreements with other service pro-  
 14           viders.

15 In awarding such grants or assistance, the Secretary shall  
 16 give special consideration to an organization that has ex-  
 17 perience in the development and operation of successful  
 18 family literacy services.”.

19 **SEC. 18. PROFESSIONAL REQUIREMENTS.**

20           Section 648A of the Head Start Act (42 U.S.C.  
 21 9843a) is amended—

22           (1) by amending subsection (a) to read as fol-  
 23           lows:

24           “(a) CLASSROOM TEACHERS.—



1           “(1) PROFESSIONAL REQUIREMENTS.—The  
2       Secretary shall ensure that each Head Start class-  
3       room in a center-based program is assigned 1 teach-  
4       er who has demonstrated competency to perform  
5       functions that include—

6           “(A) planning and implementing learning  
7       experiences that advance the intellectual and  
8       physical development of children, including im-  
9       proving readiness of children for school by de-  
10      veloping their literacy and phonemic, print, and  
11      numeracy awareness, their understanding and  
12      use of oral language, their understanding and  
13      use of increasingly complex and varied vocabu-  
14      lary, their appreciation of books and their prob-  
15      lem solving abilities;

16          “(B) establishing and maintaining a safe,  
17      healthy learning environment;

18          “(C) supporting the social and emotional  
19      development of children; and

20          “(D) encouraging the involvement of the  
21      families of the children in a Head Start pro-  
22      gram and supporting the development of rela-  
23      tionships between children and their families.

24           “(2) DEGREE REQUIREMENTS.—The Secretary  
25      shall ensure that not later than September 30, 2003,

1 the majority of all Head Start classrooms in a cen-  
2 ter-based program are assigned 1 teacher who has  
3 an associate, baccalaureate, or an advanced degree  
4 in early childhood education or development and  
5 shall require Head Start agencies to demonstrate  
6 continuing progress each year to reach that result.  
7 In the remaining balance of such classrooms, there  
8 shall be assigned one teacher who has—

9 “(A) a child development associate (CDA)  
10 credential that is appropriate to the age of the  
11 children being served in center-based programs;

12 “(B) a State-awarded certificate for pre-  
13 school teachers that meets or exceeds the re-  
14 quirements for a child development associate  
15 credential; or

16 “(C) a degree in a field related to early  
17 childhood education with experience in teaching  
18 preschool children and a State-awarded certifi-  
19 cate to teach in a preschool program.”; and

20 (2) in subsection (b)(2)(B)—

21 (A) by striking “staff,” and inserting  
22 “staff or”; and

23 (B) by striking “, or that” and all that fol-  
24 lows through “families”.

1 **SEC. 19. RESEARCH AND EVALUATION.**

2 Section 649 of the Head Start Act (42 U.S.C. 9844)  
3 is amended—

4 (1) in subsection (c)(1)(C) by striking “the  
5 multicultural” and all that follows through “pro-  
6 grams and”;

7 (2) in subsection (d)—

8 (A) in paragraph (6), by striking “and” at  
9 the end;

10 (B) in paragraph (7) by striking the period  
11 at the end and inserting “; and”;

12 (C) by redesignating paragraphs (2)  
13 through (7) as paragraphs (3) through (8), re-  
14 spectively;

15 (D) by inserting after paragraph (1) the  
16 following:

17 “(2) over a 5-year period, lead to the develop-  
18 ment and rigorous evaluation of models for the inte-  
19 gration of family literacy services with Head Start  
20 programs, that demonstrate the ability to make posi-  
21 tive gains for children participating in Head Start  
22 programs and their parents, and dissemination of in-  
23 formation about such models;”; and

24 (E) by adding at the end the following:

25 “(9) study the experiences of small, medium,  
26 and large States with Head Start programs in order

1 to permit comparisons of children participating in  
2 the programs with eligible children who did not par-  
3 ticipate in the programs, which study—

4 “(A) may include the use of a data set  
5 that existed prior to the initiation of the study;  
6 and

7 “(B) shall compare the educational  
8 achievement, social adaptation, and health sta-  
9 tus of the participating children and the eligible  
10 nonparticipating children.

11 The Secretary shall ensure that an appropriate entity car-  
12 ries out a study described in paragraph (9), and prepares  
13 and submits to the appropriate committees of the Con-  
14 gress a report containing the results of the study, not later  
15 than September 30, 2002.”; and

16 (3) by adding at the end the following:

17 “(g) NATIONAL HEAD START IMPACT RESEARCH.—

18 “(1) ANALYSES OF DATA BASES.—The Sec-  
19 retary shall obtain analyses of the following existing  
20 databases to guide the evaluation recommendations  
21 of the expert panel appointed under paragraph (2)  
22 and to provide Congress with initial reports of po-  
23 tential Head Start outcomes—

24 “(A) by use of The Survey of Income and  
25 Program Participation (SIPP) conduct an anal-

1           ysis of the different income levels of Head Start  
2           participants compared to comparable persons  
3           who did not attend Head Start;

4           “(B) by use of The National Longitudinal  
5           Survey of Youth (NLSY) which began gather-  
6           ing data on children who attended Head Start  
7           from 1988 on, examine the wide range of out-  
8           comes measured within the Survey, including  
9           cognitive, socio-emotional, behavioral, and aca-  
10          demic development;

11          “(C) by use of The Survey of Program Dy-  
12          namics, the new longitudinal survey required by  
13          the Personal Responsibility and Work Oppor-  
14          tunity Reconciliation Act of 1996, to begin an-  
15          nual reporting, through the duration of the  
16          Survey, on Head Start attendees’ academic  
17          readiness performance and improvements; and

18          “(D) to ensure that The Survey of Pro-  
19          gram Dynamics be linked with the NLSY at  
20          least once by the use of a common performance  
21          test, to be determined by the expert panel, for  
22          the greater national usefulness of the NLSY  
23          database.

24          “(2) EXPERT PANEL.—

1           “(A) IN GENERAL.—The Secretary shall  
2           appoint an independent panel consisting of ex-  
3           perts in program evaluation and research, edu-  
4           cation, and early childhood programs—

5                   “(i) to review, and make recommenda-  
6                   tions on, the design and plan for the re-  
7                   search (whether conducted as a single as-  
8                   sessment or as a series of assessments),  
9                   described in paragraph (3), within 1 year  
10                  after the date of enactment of the Human  
11                  Services Reauthorization Act of 1998;

12                  “(ii) to maintain and advise the Sec-  
13                  retary regarding the progress of the re-  
14                  search; and

15                  “(iii) to comment, if the panel so de-  
16                  sires, on the interim and final research re-  
17                  ports submitted under paragraph (8).

18           “(B) TRAVEL EXPENSES.—The members  
19           of the panel shall not receive compensation for  
20           the performance of services for the panel, but  
21           shall be allowed travel expenses, including per  
22           diem in lieu of subsistence, at rates authorized  
23           for employees of agencies under subchapter I of  
24           chapter 57 of title 5, United States Code, while  
25           away from their homes or regular places of

1 business in the performance of services for the  
2 panel. Notwithstanding section 1342 of title 31,  
3 United States Code, the Secretary may accept  
4 the voluntary and uncompensated services of  
5 members of the panel.

6 “(3) GENERAL AUTHORITY.—After reviewing  
7 the recommendations of the expert panel the Sec-  
8 retary shall enter into a grant, contract, or coopera-  
9 tive agreement with an organization to conduct inde-  
10 pendent research that provides a national analysis of  
11 the impact of Head Start programs. The Secretary  
12 shall ensure that the organization shall have exper-  
13 tise in program evaluation, and research, education,  
14 and early childhood programs.

15 “(4) DESIGNS AND TECHNIQUES.—The Sec-  
16 retary shall ensure that the research uses rigorous  
17 methodological designs and techniques (based on the  
18 recommendations of the expert panel), including lon-  
19 gitudinal designs, control groups, nationally recog-  
20 nized standardized measures, and random selection  
21 and assignment, as appropriate. The Secretary may  
22 provide that the research shall be conducted as a  
23 single comprehensive assessment or as a group of co-  
24 ordinated assessments designed to provide, when

1       taken together, a national analysis of the impact of  
2       Head Start programs.

3               “(5) PROGRAMS.—The Secretary shall ensure  
4       that the research focuses primarily on Head Start  
5       programs that operate in the several States, the  
6       Commonwealth of Puerto Rico, or the District of  
7       Columbia and that do not specifically target special  
8       populations.

9               “(6) ANALYSIS.—The Secretary shall ensure  
10      that the organization conducting the research—

11               “(A)(i) determines if, overall, the Head  
12      Start programs have impacts consistent with  
13      their primary goal of increasing the social com-  
14      petence of children, by increasing the everyday  
15      effectiveness of the children in dealing with  
16      their present environments and future respon-  
17      sibilities, and increasing their school readiness;

18               “(ii) considers whether the Head Start  
19      programs—

20               “(I) enhance the growth and develop-  
21      ment of children in cognitive, emotional,  
22      and physical health areas;

23               “(II) strengthen families as the pri-  
24      mary nurturers of their children; and



1                   “(III) ensure that children attain  
2                   school readiness; and

3                   “(iii) examines—

4                   “(I) the impact of the Head Start  
5                   programs on increasing access of children  
6                   to such services as educational, health, and  
7                   nutritional services, and linking children  
8                   and families to needed community services;  
9                   and

10                  “(II) how receipt of services described  
11                  in subclause (I) enriches the lives of chil-  
12                  dren and families participating in Head  
13                  Start programs;

14                  “(B) examines the impact of Head Start  
15                  programs on participants on the date the par-  
16                  ticipants leave Head Start programs, at the end  
17                  of kindergarten, and at the end of first grade,  
18                  by examining a variety of factors, including  
19                  educational achievement, referrals for special  
20                  education or remedial course work, and absen-  
21                  teeism;

22                  “(C) makes use of random selection from  
23                  the population of all Head Start programs de-  
24                  scribed in paragraph (5) in selecting programs  
25                  for inclusion in the research; and

1           “(D) includes comparisons of individuals  
2           who participate in Head Start programs with  
3           control groups (including comparison groups)  
4           composed of—

5                   “(i) individuals who participate in  
6                   other early childhood programs (such as  
7                   preschool programs and day care); and

8                   “(ii) individuals who do not partici-  
9                   pate in any other early childhood program.

10           “(7) CONSIDERATION OF SOURCES OF VARI-  
11           ATION.—In designing the research, the Secretary  
12           shall, to the extent practicable, consider addressing  
13           possible sources of variation in impact of Head Start  
14           programs, including variations in impact related to  
15           such factors as—

16                   “(A) Head Start program operations;

17                   “(B) Head Start program quality;

18                   “(C) the length of time a child attends a  
19           Head Start program;

20                   “(D) the age of the child on entering the  
21           Head Start program;

22                   “(E) the type of organization (such as a  
23           local educational agency or a community action  
24           agency) providing services for the Head Start  
25           program;

1           “(F) the number of hours and days of pro-  
 2           gram operation of the Head Start program  
 3           (such as whether the program is a full-working-  
 4           day full-calendar-year program, a part-day pro-  
 5           gram or a part-year program); and

6           “(G) other characteristics and features of  
 7           the Head Start program (such as geographic lo-  
 8           cation, location in an urban or a rural service  
 9           area, or participant characteristics), as appro-  
 10          priate.

11          “(8) REPORTS.—

12           “(A) SUBMISSION OF INTERIM REPORTS.—

13          The organization shall prepare and submit to  
 14          the Secretary 2 interim reports on the research.  
 15          The first interim report shall describe the de-  
 16          sign of the research, and the rationale for the  
 17          design, including a description of how potential  
 18          sources of variation in impact of Head Start  
 19          programs have been considered in designing the  
 20          research. The second interim report shall de-  
 21          scribe the status of the research and prelimi-  
 22          nary findings of the research, as appropriate.

23           “(B) SUBMISSION OF FINAL REPORT.—

24          The organization shall prepare and submit to

1 the Secretary a final report containing the find-  
2 ings of the research.

3 “(C) TRANSMITTAL OF REPORTS TO CON-  
4 GRESS.—

5 “(i) IN GENERAL.—The Secretary  
6 shall transmit, to the committees described  
7 in clause (ii), the first interim report by  
8 September 30, 1999, the second interim  
9 report by September 30, 2001, and the  
10 final report by September 30, 2003.

11 “(ii) COMMITTEES.—The committees  
12 referred to in clause (i) are the Committee  
13 on Education and the Workforce of the  
14 House of Representatives and the Commit-  
15 tee on Labor and Human Resources of the  
16 Senate.

17 “(9) DEFINITION.—In this subsection, the term  
18 ‘impact’, used with respect to a Head Start pro-  
19 gram, means a difference in an outcome for a partic-  
20 ipant in the program that would not have occurred  
21 without the participation in the program.

22 “(h) QUALITY IMPROVEMENT STUDY.—

23 “(1) STUDY.—The Secretary shall conduct a  
24 study regarding the use and effects of use of the

1       quality improvement funds made available under sec-  
2       tion 640(a)(3) since fiscal year 1991.

3           “(2) REPORT.—The Secretary shall prepare  
4       and submit to Congress not later than September  
5       2000 a report containing the results of the study, in-  
6       cluding—

7           “(A) the types of activities funded with the  
8       quality improvement funds;

9           “(B) the extent to which the use of the  
10      quality improvement funds has accomplished  
11      the goals of section 640(a)(3)(B);

12          “(C) the effect of use of the quality im-  
13      provement funds on teacher training, salaries,  
14      benefits, recruitment, and retention; and

15          “(D) the effect of use of the quality im-  
16      provement funds on the cognitive and social de-  
17      velopment of children receiving services under  
18      this subchapter.”.

19   **SEC. 20. REPEAL OF CONSULTATION REQUIREMENT.**

20       Section 657A of the Head Start Act (42 U.S.C.  
21   9852a) is repealed.

22   **SEC. 21. CONFORMING AMENDMENTS.**

23       After consultation with the appropriate committees of  
24   Congress and the Director of the Office of Management  
25   and Budget, the Secretary of Health and Human Services

1 shall prepare recommended legislation containing tech-  
2 nical and conforming amendments to reflect the changes  
3 made by this Act.

4 **SEC. 22. REPEAL OF HEAD START TRANSITION PROJECT**  
5 **ACT.**

6 The Head Start Transition Project Act (42 U.S.C.  
7 9855–9855g) is repealed.

8 **SEC. 23. EFFECTIVE DATE; APPLICATION OF AMENDMENTS.**

9 (a) EFFECTIVE DATE.—Except as provided in sub-  
10 section (b), this Act and the amendments made by this  
11 Act shall take effect on the date of the enactment of this  
12 Act.

13 (b) APPLICATION OF AMENDMENTS.—The amend-  
14 ments made by this Act shall not apply with respect to  
15 any fiscal year ending before October 1, 1998.

○