

Syllabus

WETZEL, SECRETARY, PENNSYLVANIA
DEPARTMENT OF CORRECTIONS,
ET AL. *v.* LAMBERTON PETITION FOR WRIT OF CERTIORARI TO THE UNITED
STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

No. 11–38. Decided February 24, 2012

Respondent James Lambert was convicted and sentenced to death for the murder of two patrons during a robbery of Prince’s Lounge in Philadelphia, Pennsylvania. One of the Commonwealth’s primary witnesses at trial was Bernard Jackson, who admitted to being involved in the robbery and identified Lambert as an accomplice. Almost 20 years later, Lambert sought state postconviction relief, claiming that the Commonwealth violated *Brady v. Maryland*, 373 U.S. 83, by failing to disclose a “police activity sheet” containing allegedly exculpatory notations, including one indicating that Jackson had identified a “Lawrence Woodlock” as a “co-defendant” and that Woodlock’s photo had been shown to Prince’s Lounge robbery witnesses. Lambert claimed that he could have used the activity sheet to impeach Jackson’s trial testimony, but the Commonwealth countered that the ambiguous notation did not specify whether Woodlock was a co-defendant in the Prince’s Lounge robbery or in one of the dozen other robberies Jackson admitted to perpetrating.

Agreeing with the Commonwealth, the Pennsylvania Supreme Court rejected Lambert’s claim. It also concluded that, as impeachment evidence, the document’s value was needlessly cumulative. Lambert’s subsequent federal habeas petition was denied by the District Court on the ground that the state court’s determination was reasonable. The Third Circuit reversed, holding that the document would have opened a new line of impeachment and ordering the Commonwealth either to retry Lambert or to release him from custody.

Held: The Third Circuit failed to follow the requirements of the Antiterrorism and Effective Death Penalty Act of 1996, which precludes a federal court from granting habeas relief to a state prisoner unless the state court’s adjudication of his claim involved an unreasonable application of federal law, 28 U.S.C. §2254(d)(1). Here, the Third Circuit overlooked the Pennsylvania Supreme Court’s determination that the notations were ambiguous, focusing solely on its holding regarding their use as impeachment evidence. But, if the state court’s conclusion about the document’s content was reasonable—and it may well be, given, *e. g.*,

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that the activity sheet did not explicitly link Woodlock to the Prince's Lounge robbery—whatever it had to say about the document's value as impeachment evidence would be beside the point.

Certiorari granted; 633 F. 3d 126, vacated and remanded.

PER CURIAM.

James Lambert was convicted and sentenced to death in 1984 for the murder of two patrons during a robbery of Prince's Lounge in Philadelphia, Pennsylvania. One of the Commonwealth's primary witnesses at Lambert's trial was Bernard Jackson, who admitted to being involved in the robbery and identified Bruce Reese and Lambert as his accomplices. Almost 20 years later, Lambert brought a claim for postconviction relief in Pennsylvania state court, alleging that the Commonwealth had failed to disclose, *inter alia*, a "police activity sheet" in violation of *Brady v. Maryland*, 373 U. S. 83 (1963). This document, dated October 25, 1982, noted that a photo display containing a picture of an individual named Lawrence Woodlock was shown to two witnesses to the Prince's Lounge robbery, but that "[n]o identification was made." Exh. 1, App. to Brief in Opposition. The document further noted that "Mr. WOODLOCK is named as co-defendant" by Jackson, who was in custody at the time on several charges and had admitted to involvement in at least 13 armed robberies of bars. *Ibid.* The activity sheet did not indicate whether Jackson's reference was to the Prince's Lounge crime or one of the others. The sheet bore the names of the law enforcement officers involved in the investigation of the Prince's Lounge robbery. It also bore the names of the robbery's murder victims, as well as the police case numbers for those murders. The Commonwealth has identified no evidence that Woodlock was ever investigated for any other robbery, or that his photo was shown to a witness in any other robbery.

Lambert claimed that the activity sheet was exculpatory, because it suggested that someone other than or in addition to him, Jackson, and Reese was involved in the Prince's

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Lounge crime. *Commonwealth v. Lambert*, 584 Pa. 461, 472, 884 A. 2d 848, 855 (2005). Lambert also argued that he could have used the activity sheet to impeach Jackson's testimony at trial, because the statement attributed to Jackson suggested that Jackson had identified Woodlock as a participant prior to identifying Lambert. *Ibid.*

The Commonwealth countered that the asserted "'statement'" by Jackson reflected in the activity sheet was in fact nothing more than an "'ambiguously worded notation.'" *Ibid.* The Commonwealth argued that this notation simply indicated that Jackson had named Woodlock as a "co-defendant" in some incident, without specifying whether Woodlock was said to be involved in the Prince's Lounge robbery or one of the dozen other robberies in which Jackson had admitted participating. In this regard, the Commonwealth noted that Woodlock's name was not mentioned anywhere else in the police records, trial proceedings, or Jackson's statements about the Prince's Lounge robbery. As the Commonwealth has put it, "it seems likely that Jackson identified [Woodlock] as a participant in one of his many *other* robberies, and police simply confirmed that Woodlock had nothing to do with *this* case." Reply to Brief in Opposition 2. The Commonwealth "further note[d]" that the document would not have advanced any impeachment of Jackson, because he had already been extensively impeached at trial. *Lambert*, 584 Pa., at 472, 884 A. 2d, at 855. Thus, according to the Commonwealth, the "ambiguous reference to Woodlock" would not have discredited Jackson any further. *Ibid.*

The Pennsylvania Supreme Court agreed with the Commonwealth and unanimously rejected Lambert's *Brady* claim, holding that the disputed document was not material. 584 Pa., at 472–473, 848 A. 2d, at 855–856. The court concluded that there was no reasonable probability that the result of Lambert's trial would have been different had the document been disclosed. *Ibid.* See *Strickler v. Greene*, 527 U.S. 263, 281 (1999). Calling Lambert's claim that the reference

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to Woodlock “automatically” meant someone else was involved in the Prince’s Lounge robbery “purely speculative at best,” the court noted that “the police must not have had reason to consider Woodlock a potential codefendant in this case as his name is not mentioned anywhere else in the police investigation files.” 584 Pa., at 473, 884 A. 2d, at 855. “Moreover,” the court continued, the document “would not have materially furthered the impeachment of Jackson at trial as he was already extensively impeached by both [Lambert] and Reese.” *Ibid.*

Lambert filed a petition for a writ of habeas corpus in the Eastern District of Pennsylvania under 28 U. S. C. §2254, claiming, *inter alia*, that the Commonwealth’s failure to disclose the document violated his rights under *Brady*. The District Court denied the writ, holding that the state courts’ determination that the notations “were not exculpatory or impeaching” was “reasonable.” *Lambert v. Beard*, Civ. Action No. 02–9034 (July 24, 2007), App. to Pet. for Cert. 34, 36. The court explained that “[t]he various notations and statements which [Lambert] claims the Commonwealth should have disclosed are entirely ambiguous, and would have required the state courts to speculate to conclude they were favorable for Lambert and material to his guilt or punishment.” *Id.*, at 36.

On appeal, however, the Court of Appeals for the Third Circuit reversed and granted the writ. 633 F. 3d 126 (2011). The Third Circuit concluded that it was “patently unreasonable” for the Pennsylvania Supreme Court to presume that whenever a witness is impeached in one manner, any other impeachment evidence would be immaterial. *Id.*, at 134. According to the Third Circuit, the notation that Jackson had identified Woodlock as a “co-defendant” would have “opened an entirely new line of impeachment” because the prosecutor at trial had relied on the fact that Jackson had consistently named Lambert as the third participant in the robbery. *Id.*, at 135. The Commonwealth petitioned for certiorari.

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The Antiterrorism and Effective Death Penalty Act of 1996 (AEDPA) precludes a federal court from granting a writ of habeas corpus to a state prisoner unless the state court’s adjudication of his claim “resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States.” 28 U. S. C. § 2254(d)(1). “Under § 2254(d), a habeas court must determine what arguments or theories supported . . . the state court’s decision; and then it must ask whether it is possible fairminded jurists could disagree that those arguments or theories are inconsistent with the holding in a prior decision of this Court.” *Harrington v. Richter*, 562 U. S. 86, 102 (2011).

In this case, however, the Third Circuit overlooked the determination of the state courts that the notations were, as the District Court put it, “not exculpatory or impeaching” but instead “entirely ambiguous.” App. to Pet. for Cert. 34, 36. Instead, the Third Circuit focused solely on the alternative ground that any impeachment value that might have been obtained from the notations would have been cumulative. If the conclusion in the state courts about the content of the document was reasonable—not necessarily correct, but reasonable—whatever those courts had to say about cumulative impeachment evidence would be beside the point. The failure of the Third Circuit even to address the “ambiguous” nature of the notations, and the “speculat[ive]” nature of Lambert’s reading of them, is especially surprising, given that this was the basis of the District Court ruling. *Id.*, at 36.*

*The dissent emphasizes that the activity sheet was prepared for the investigation into the Prince’s Lounge crime. *Post*, at 526 (opinion of BREYER, J.). No one disputes that. The ambiguity at issue concerns whether Jackson’s *statement* referred to that crime, or one of his many others. The dissent also finds “no suggestion” that the state courts believed Jackson’s reference to Woodlock “contained the argued ambigu-

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The Court of Appeals ordered that Lambert, convicted of capital murder nearly 30 years ago, be set free unless the Commonwealth retried him within 120 days. It did so because of a police activity sheet noting that Jackson had identified Woodlock as a “co-defendant,” and bearing other information associating the sheet with the Prince’s Lounge robbery. The Court of Appeals, however, failed to address the state court ruling that the reference to Woodlock was ambiguous and any connection to the Prince’s Lounge robbery speculative. That ruling—on which we do not now opine—may well be reasonable, given that (1) the activity sheet did not explicitly link Woodlock to the Prince’s Lounge robbery, (2) Jackson had committed a dozen other such robberies, (3) Jackson was being held on several charges when the activity sheet was prepared, (4) Woodlock’s name appeared nowhere else in the Prince’s Lounge files, and (5) the two witnesses from the Prince’s Lounge robbery who were shown Woodlock’s photo did *not* identify him as involved in that crime.

Any retrial here would take place *three decades* after the crime, posing the most daunting difficulties for the prosecution. That burden should not be imposed unless *each* ground supporting the state court decision is examined and found to be unreasonable under AEDPA.

ity.” *Post*, at 527. The Pennsylvania Supreme Court, however, recognized the Commonwealth’s argument that Jackson could have named Woodlock as a codefendant in some other robbery, and concluded that “[t]he Commonwealth accurately notes that the police must not have had reason to consider Woodlock a potential codefendant in this case as his name is not mentioned anywhere else in the police investigation files.” *Commonwealth v. Lambert*, 584 Pa. 461, 473, 884 A. 2d 848, 855 (2005). The only state court ruling the Third Circuit addressed—the conclusion that any impeachment evidence would have been cumulative—was one the state court introduced with “[m]oreover,” confirming that it was an alternative basis for its decision. *Ibid.* And the District Court certainly understood the state court decisions to have considered the reference ambiguous. See App. to Pet. for Cert. 36.

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The petition for certiorari and respondent's motion to proceed *in forma pauperis* are granted. The judgment of the Court of Appeals for the Third Circuit is vacated, and the case is remanded for proceedings consistent with this opinion.

It is so ordered.

JUSTICE BREYER, with whom JUSTICE GINSBURG and JUSTICE KAGAN join, dissenting.

The Court grants the Commonwealth of Pennsylvania's petition for certiorari and sends this case back to the Court of Appeals for the Third Circuit, primarily because the Court believes that the "Circuit overlooked the determination of the state courts that the [police] notations were . . . 'entirely ambiguous.'" *Ante*, at 524 (quoting App. to Pet. for Cert. 34, 36). I cannot agree.

For one thing, I cannot accept that the "notations" at issue are "entirely ambiguous." I attach a copy of the relevant police notation. See Appendix, *infra*. The notation clearly refers to this case, not to some other case. It sets forth the file number of this investigation, the investigators of this crime, the victims of this murder, and the potential witnesses of these events. It does not refer specifically to any other robbery. The notation says that "[a] [p]hoto display was shown to . . . [witnesses in this case]," and it specifies that the "[p]hoto display contained a Lawrence WOODLOCK." In this context, the words must refer to a display that included persons potentially involved in this robbery. That being so, the most natural reading of the statement, "Mr. WOODLOCK is named as co-defendant by Bernard JACKSON," is that it too refers to this murder and not to some other crime. *Ibid*.

For another thing, the Circuit did not "*overloo[k]* the determination of the state courts that the notations were . . . 'ambiguous.'" *Ante*, at 524 (quoting the Federal District

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Court, App. to Pet. for Cert. 34, 36; emphasis added). There were no such state-court “determination[s].” *Ante*, at 524. Rather, the state trial court wrote that the notation was not material for *Brady* purposes only because “Jackson was comprehensively impeached” at trial and “it is not reasonable to believe that *Jackson’s further inconsistency found only in a police activity sheet* and not in any of his statements to police would have caused the jury to discredit him.” Record 228 (emphasis added). As the italicized words make clear, if the trial court expressed any view about ambiguity, it thought that the police notation was *not* ambiguous.

The Pennsylvania Supreme Court did point out that the Commonwealth *argued* that the document was “‘ambiguously worded.’” *Commonwealth v. Lambert*, 584 Pa. 461, 472, 884 A. 2d 848, 855 (2005). But the court did not adopt this rationale. Rather, it found the document not material with respect to impeachment because “[a]ny additional impeachment of Jackson arising from a police notation would have been cumulative.” *Id.*, at 473, 884 A. 2d, at 856. The Third Circuit disagreed with the state courts in respect to this last-mentioned holding. But this Court does not take issue with the Third Circuit on this point. The Court points out, instead, that the Pennsylvania Supreme Court used the word “‘speculative.’” *Ante*, at 523. But in context it is clear that the court used that word to refer to Lambert’s claim that the notation showed that he was innocent. With respect to that claim (not at issue here), the court wrote: “[Lambert’s] claim that Jackson’s reference to Woodlock automatically means that someone other than himself committed the shootings and robbery is purely speculative at best.” 584 Pa., at 473, 884 A. 2d, at 855. And it supported the “speculative” nature of the innocence claim by pointing out that Woodlock’s name “is not mentioned anywhere else in the police investigation files.” *Ibid.* There is no suggestion that the notation contained the argued ambiguity.

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Finally, the Circuit questioned the strength of the case against Lambert. See *Lambert v. Beard*, 633 F. 3d 126, 135–136 (CA3 2011). It pointed out that the case against Lambert was largely based on Jackson’s testimony, explaining that “without Jackson’s statements to the police, the Commonwealth could not have indicted Lambert on these charges.” *Id.*, at 131. Yet Jackson had made “four prior inconsistent statements to the police about who did what and who said what on the night in question,” and he had admitted that his goal in testifying was “to save himself from a death sentence.” *Ibid.* The Circuit could not “help but observe that the evidence is very strong that Reese, not Lambert, was the shooter, even assuming that Lambert (and not Jackson, as two of the barmaids testified) was in the Prince’s Lounge that night.” *Id.*, at 135. The Circuit stated: “One wonders how the Commonwealth could have based this case of first-degree murder on a Bernard Jackson.” *Id.*, at 131. These statements suggest that the Commonwealth’s case against Lambert was unusually weak. If the Commonwealth was wrong, an innocent man has spent almost 30 years in prison under sentence of death for a crime he did not commit.

We do not normally consider questions of the type presented here, namely, fact-specific questions about whether a lower court properly applied the well-established legal principles that it sets forth in its opinion. See *Kyles v. Whitley*, 514 U.S. 419, 460 (1995) (SCALIA, J., dissenting) (An “intensely fact-specific case in which the court below unquestionably applied the correct rule of law and did not unquestionably err” is “precisely the type of case in which we are *most* inclined to deny certiorari”). And, for the reasons I have stated, I believe the Court is ill advised to grant certiorari in this case.

I would deny the Commonwealth’s petition for a writ of certiorari.

Appendix to opinion of BREYER, J.

APPENDIX

ACTIVITY SHEET # 2 Platoon Monday, 10/25/82 8A 4P Tour Sgt. Strohm/Lt. Hensen
H-82-268 Deceased: James HUNTLEY Assigned/Kelhower
H-82-269 Jones Graves

A Photo display was shown to the below listed person, Photo display contained a Lawrence WOODLOCK 24 N/H res. 5323 Walnut St. PKN # 477095. Mr. WOODLOCK is named as co-defendant by Bernard JACKSON. No identification was made.

Sarah CLARK 5511 Baybrook Ave. Marie GREEN 5227 Pine St.

A Survey was made of the area 55RD. & Walnut St. to found Lawrence WOODLOCK Neg. results.