

JPML FORM 1A

DOCKET ENTRIES

JUDICIAL PANEL ON MULTIDISTRICT LITIGATION

DOCKET NO. 649 -- In re E.F. Hutton Banking Practices Litigation

Date	Ref.	Pleading Description
85/05/23	1	MOTION, BRIEF, SCHEDULE, EXHIBITS AND CERT. OF SERVICE -- E.F. Hutton Group Inc. and E.F. Hutton & Co. Inc. -- SUGGESTED TRANSFEREE DISTRICT: S.D. NEW YORK SUGGESTED TRANSFEREE JUDGE: ? (cds)
85/05/31		APPEARANCES: STANLEY M. GROSSMAN, ESQ. for Chaim Mandelbaum; RICHARD D. GREENFIELD, ESQ. for Melville A. Carty, et al.; GLEN DEVALERIO for Robert Strougo; BERTRAM BRONZAFT, ESQ. for Irwin Walkenfeld; SHERRIE R. SAVETT, ESQ. for Fred DePalma; MARTIN H. PHILIP, ESQ. for George M.D. Richards, et al., and Thomas F. Curnin, Esq. for E.F. Hutton Group, Inc., E.F. Hutton & Company, Inc., Michael P. Castellano, Edward C. Cazier, William L. Clayton, Charles E. Crary, Gordon B. Crary, Jr., Peter M. Detwiler, Herbert A.P. Doree, Norman M. Epstein, Robert M. Fomon, Robert D. Hawkey, David T. Johnson, John Latshaw, Warren A. Law, Richard S. Locke, W. James Lopp, II, Thomas P. Lynch, Jerome H. Miller, Scott Pierce, Thomas W. Rae, Dina Merrill, Thomas B. Stiles, II, Peter V Ueberroth, W. Robert Wigley, Jr., Robert E. Witt (rh)
85/06/03		APPEARANCE: STEPHEN D. OESTREICH, ESQ. for Karl and Lillian Zuckerman, etc. (cds)
85/06/06	2	RESPONSE -- Pltfs. Harold L. Hancock, Lillian Zuckerman, Chaim Mandelbaum w/cert. of svc. (ds)
85/06/07	3	RESPONSE/MEMORANDUM -- Melville A. Carty w/cert. of svc. (ds)
85/06/07	4	BRIEF -- pltfs. DePalma, Strongo, Richards and Silverberg w/cert. of svc. (ds)
85/06/07	5	RESPONSE -- George M.D. Richards, et al. w/cert. of svc.
85/06/10		HEARING ORDER -- setting motion for transfer for Panel hearing on July 11, 1985 in Boston, Massachusetts (emh)
85/06/12		APPEARANCE -- ARTHUR N. ABBEY, ESQ. for Harold L. Hancock (ds)
85/06/14	6	REQUEST FOR EXTENSION OF TIME -- E.F. Hutton Group, Inc. and E.F. Hutton & Co., Inc. -- GRANTED to and including June 19, 1985 -- Notified involved counsel. (ds)
85/06/20	7	REPLY MEMORANDUM -- E.F. Hutton Group, Inc. and E.F. Hutton & Co., Inc. w/exhibits A thru C and cert. of svc. (ds)

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DOCKET ENTRIES

JUDICIAL PANEL ON MULTIDISTRICT LITIGATION

DOCKET NO. 649 --

Date	Ref.	Pleading Description
85/07/10		HEARING APPEARANCES: JOHN R. VAUGHN for E.F. Hutton & Co., Inc., and The E.F. Hutton Group, Inc., STANLEY M. GROSSMAN, ESQ. for Chaim Mandelbaum, RICHARD D. GREENFIELD, ESQ. for Melville A. Carty and CURTIS V. TRINKO, ESQ. for George M. D. Richards, et al. (rh)
85/07/10		WAIVER OR ORAL ARGUMENT: Harold L. Hancock, Robert Strougo and Karl Zuckerman, et al. (rh)
85/08/26	8	NOTICE OF RELATED ACTIONS -- Scott A. Brainerd v. E.F. Hutton Group, Inc., et al., N.D. Illinois, C.A. No. 85-C-06741 and Bernard Weber v. E.F. Hutton & Co., Inc., et al., S.D. New York, C.A. No. 85-Civ-5769 -- letter signed by Jeanne F. Phillips -- dated 8/9/85. (ds)
85/09/24		CONSENT TO TRANSFEREE COURT -- for transfer of litigation to S.D. New York to the Hon. Whitman Knapp for transfer of litigation to pursuant to 28 U.S.C. §1407 (rh)
85/09/24		TRANSFER ORDER -- transferring A-1 - A-8 to the S.D. New York pursuant to 28 U.S.C. §1407. Notified involved counsel, misc. recipients, clerks and judges (rh)
85/10/04		CONDITIONAL TRANSFER ORDERS FILED TODAY -- B-9 Edward Gaugler, et al. v. E.F. Hutton & Co., Inc., E.D. Pa., 85-4159; B-10 Herbert Silverberg v. E.F. Hutton Group, Inc., et al., M.D. Pa., CV-85-0773; B-11 Falik Kohos v. Thomas Lynch, et al., M.D. Pa., CV-85-0851 -- Notified involved counsel and judge (rh)
85/10/22		CONDITIONAL TRANSFER ORDERS FINAL TODAY -- B-9 Gaugler, et al. v. E.F. Hutton & Co., Inc., E.D. Pa., #85-4159; B-10 Silverberg v. E.F. Hutton Group, Inc., et al., M.D. Pa., #CV-85-0773; and B-11 Kohos v. Thomas Lynch, et al., M.D. Pa., #CV-85-0851 -- NOTIFIED INVOLVED JUDGES AND CLERKS (cds)
85/11/06		CONDITIONAL TRANSFER ORDER FILED TODAY -- B-12 Scott A. Brainerd, etc. v. E.F. Hutton & Co., Inc., et al., N.D. Illinois, C.A. No. 85-6741 -- Notified involved counsel and judges. (ds)

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649 IN RE E.F. HUTTON BANKING PRACTICES LITIGATION

85/11/22 CONDITIONAL TRANSFER ORDER FINAL TODAY -- B-12 Scott A. Brainerd, etc. v. E.F. Hutton & Co., Inc., et al., N.D. Illinois, C.A. No. 85-6741 -- Notified involved judges and clerks (rh)

86/02/26 CONDITIONAL TRANSFER ORDER FILED TODAY -- B-14 Sydney S. Shine v. E.F. Hutton Group, Inc., et al., N.D. Georgia, C.A. No. C-86-94A -- NOTIFIED INVOLVED COUNSEL AND JUDGES. (paa)

86/03/11 APPEARANCE: WILLIAM F. CLARK, ESQ. for Sydney S. Shine. (paa)

86/03/14 CONDITIONAL TRANSFER ORDER FINAL TODAY -- B-14 Sydney S. Shine v. E.F. Hutton Group, Inc., et al., N.D. Georgia, C.A. No. C-86-94-A -- NOTIFIED INVOLVED CLERKS & JUDGES. (paa)

86/04/25 CONDITIONAL TRANSFER ORDER FILED TODAY -- B-15 Roy A. Elkins, v. E.F. Hutton Group, Inc., et al., N.D. Alabama, C.A. No. CV-86-HM-5196-NE -- NOTIFIED INVOLVED JUDGES AND COUNSEL. (paa)

86/05/13 9 NOTICE OF OPPOSITION TO CONDITIONAL TRANSFER ORDER -- B-15 Roy A. Elkins, v. E.F. Hutton Group, Inc., et al. N.D. Alabama, C.A. No. CV-86-HM-519-NE w/affidavit, exhibit A and cert. of svc. (tmq)

86/05/28 10 MOTION/BRIEF TO VACATE CONDITIONAL TRANSFER ORDER -- B-15 Roy A. Elkins v. E.F. Hutton Group, Inc., et al., N.D. Ala., #CV-86-HM-519-NE -- w/cert. of service (cds)

86/06/13 11 RESPONSE -- (to pldg. #10) E.F. Hutton Group, Inc. and E.F. Hutton & Company, Inc. -- w/cert. of svc. (rh)

86/06/20 HEARING ORDER -- Setting opposition of plaintiff Roy A. Elkins to transfer of B-15. Notified involved counsel, clerks and judges (tmq) 7/24/86, Buffalo, New York.

86/07/22 ALL WAIVE ORAL ARGUMENT -- (hearing for July 24, 1986) (rh)

86/08/06 TRANSFER ORDER -- transferring B-15 Roy A. Elkins v. E.F. Hutton Group, Inc., et al., N.D. Alabama, #CV-86-HM-5196NE -- NOTIFIED INVOLVED JUDGES, CLERKS AND COUNSEL (cds)

JPML Form 1

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Revised: 8/78

DOCKET NO. 649 -- JUDICIAL PANEL ON MULTIDISTRICT LITIGATIONCAPTION: In re E.F. Hutton Banking Practices LitigationSUMMARY OF LITIGATION

<u>Hearing Dates</u> July 11, 1985	<u>Orders</u>			<u>Transferee</u>		
	<u>Dates Filed</u>	<u>Type</u>	<u>Citation</u>	<u>District</u>	<u>Name of Judge</u>	<u>Assigned From</u>
	Sept. 24, 1985	TO	unpublished	S.D. New York 208	Hon. Whitman Knapp	

Special Transferee Information

DATE CLOSED: _____

JPML FORM 1

LISTING OF INVOLVED ACTIONS

DOCKET NO. 649 -- In re E.F. Hutton Banking Practices Litigation

Intra-Office Number	Caption	District and Judge	Civil Action Number	Transfer Date	Transferee Docket Number	Date of Dismissal or Remand	Misc.
A-1	Chaim Mandelbaum v. E.F. Hutton & Co., Inc., et al.	N.Y., S. Keenan	85-Civ-3453			3/6/88D	
A-2	Harold L. Hancock v. E.F. Hutton Group, Inc., et al.	N.Y., S. Sofaer	85-Civ-3411			3/6/88D	
A-3	Karl & Lillian Zuckerman, etc. v. E.F. Hutton Group, Inc., et al.	N.Y., S. Owens	85-Civ-3450			3/6/88D	
A-4	Robert Strougo v. Robert Fomon, et al.	N.Y., S. Sofaer	85-Civ-3745			6/15/87D	
A-5	Melville A. Carty v. E.F. Hutton Group, Inc., et al.	Pa., M. Nealon	CV-85-0640	9-24-85	85 8341	5/23/88D	
A-6	Irwin Walkenfeld v. E.F. Hutton Group, Inc., et al.	Pa., M. Nealon	CV-85-0642	9-24-85	85 8343	3/8/88D	
A-7	Fred DePalma v. Thomas Lynch, et al.	Pa., M. Nealon	CV-85-0634	9-24-85	85 8344	12/27/85D dismissed	
A-8	George M.D. Richards, et al. v. Robert Fomon, et al.	Pa., M. Nealon	CV-85-0633	9-24-85	85 8347	6/15/87D	

DOCKET NO. 649 -- In re E.F. Hutton Banking Practices Litigation

Intra-Office Number	Caption	District and Judge	Civil Action Number	Transfer Date	Transferee Docket No.	Date of Dismissal or Remand	Misc.
B-9	Edward Gaugler, et al. v. E.F. Hutton & Co., Inc. 10-4-85	E.D.Pa. Giles	85-4159	10/22/85	85-9206	7/9/87D	
B-10	Herbert Silverberg v. E.F. Hutton Group, Inc., et al. 10-4-85	M.D.Pa. Nealon	CV-85-0773	10/22/85	85 9207	6/15/87D	
B-11	Falik Kohos v. Thomas Lynch, et al. 10-4-85	M.D.Pa. Nealon	CV-85-0851	10/22/85	85-9208	6/15/87D	
B-12	Scott A. Brainerd, et al. v. E.F. Hutton & Co., Inc., et al. 11/6/85	N.D.Ill. Holderman	85-6741	11/22/85	85-9609	3/8/88D	
XYZ-13	Bernard Weber v. E. F. Hutton & Co., Inc., et al.	S.D.N.Y.	85-5769-WK			8/8/88D	
B-14	Sydney S. Shine v. E. F. Hutton (Group, Inc., et al.	N.D.Ga. Vining	C-86-94-A	3/14/86	86-2549	5/8/91	
B-15	Roy A. Elkins, v. E. F. Hutton Group Inc., et al. 4/25/86 opposed 5/12/86 July 1986 - 9 TR/15 XYZ/14 Pkg. July 1987 - 1 TR/15 Pending	N.D.ALA. Haltom	CV-86-HM-5196NE	8/6/86	86 Civ 6263	dismissed 11/12/89D	
XYZ-16	Arvid T. Johnson , etc. v. Robert Fonon, et al. July 1988 - 1 XX2/10 Dis/6 Pkg. July 1989 - 3 Dis/3 Pending July 1990 - 2 Dis/1 Pending July 1991 - Allegations Closed	S.D.N.Y.	85 Civ 6800			6/15/87D	

JPML Form 4

ATTORNEY SERVICE LIST
JUDICIAL PANEL ON MULTIDISTRICT LITIGATION

DOCKET NO. 649 -- In re E.F. Hutton Banking Practices Litigation

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CHARLES E. CRARY
GORDON B. CRARY, JR.
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HERBERT A.P. DOREE
NORMAN M. EPSTEIN
ROBERT M. FOMON
ROBERT D. HAWKEY
DAVID T. JOHNSTON
JOHN LATSHAW
WARREN A. LAW
RICHARD S. LOCKE
W. JAMES LOPP, II
THOMAS P. LYNCH

(Continued on p. 2)

JPML FORM 4A -- Continuation

Panel Attorney Service List -- p. 2DOCKET NO. 649 --JEROME H. MILLER

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NEDIA H. ROBERTSON

THOMAS B. STILES, II

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COMMON PARTY CHECKLIST -- JUDICIAL PANEL ON MULTIDISTRICT LITIGATION

DOCKET NO. 649 -- In re E.F. Hutton Banking Practices Litigation

Name of Party	Named as Party in Following Actions
E.F. Hutton & Co., Inc.	A-1 - 6 A-8, A-9 - B-12, B-14; B-15
E.F. Hutton Group, Inc. E.H.	A-1 - A-8, B-10, B-11, - B-12, B-14; B-15;
Robert M. Fomon	A-3 A-4 6 7 8, B-10, B-11; B-15;
Thomas P. Lynch	A-3 A-4 6 7 8, B-10, B-11; B-15
Michael P. Castellano	A-3 - A-4 6 - B-15
P.M. Detweiler	A-7 8, B-10, B-11; B-15;
W.R. Wigley, Jr.	A-7 8, B-10, B-11; B-15;
E.C. Cazier, Jr.	A-7 A-8, B-10, B-11; B-15;
C.E. Crary	A-7 A-8, B-10, B-11 - B-15;
T.B. Stiles, II	A-7 8, B-10, B-11; B-15
G.G. Crary, Jr.	A-7 A-8, B-10, B-11; B-15;

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John Latshaw	A-7, 8, B-10, B-11; B-15;
W.L. Clayton	A-7, 8, B-10, B-11; B-15;
R.E. Witt	A-7, 8, B-10, B-11; B-15;
H.A.P. Doree	A-7, 8, B-10, B-11; B-15;
N.M. Epstein	A-7, 8, B-10, B-11; B-15;
R.D. Hawkey	A-7, 8, B-10, B-11; B-15;
D.T. Johnson	A-7, 8, B-10, B-11; B-15;
T.W. Rae	A-7, 8, B-10, B-11; B-15;
W.A. Law	A-7, A-8, B-10, B-11; B-15;
Nedenia H. Robertson	A-7, A-8, B-10, B-11; B-15;
R.S. Locke	A-7, 8, B-10, B-11; B-15;

[illegible]