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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

11 RALPH COLEMAN, et al.,  
12 Plaintiffs,  
13 v.  
14 EDMUND G. BROWN, JR., et al.,  
15 Defendants.

No. 2:90-cv-0520 KJM DB P

## ORDER

17 On September 7, 2017, the Special Master filed a report on the second re-audit and  
18 update on suicide prevention practices in the prisons of the California Department of Corrections  
19 and Rehabilitation (CDCR) conducted by his expert, Lindsay M. Hayes, M.S., accompanied by  
20 Mr. Hayes' report, ECF Nos. 5671, 5672. Neither party has filed any objection to Mr. Hayes'  
21 report or the Special Master's report thereon.

22 Some findings in the report are of concern to the court. For that reason, the court  
23 initiated communications with the Special Master and Mr. Hayes to discuss those concerns.  
24 During those communications, the Special Master and Mr. Hayes provided certain updated  
25 information drawn from Mr. Hayes' third re-audit site visits. The court understands a report from  
26 the third re-audit will be filed later this year.

1 health crisis bed (MHCB) units with rooms that have wall ventilation grates that are not suicide  
2 resistant and that there was “*not* a pending work order to correct these hazards” at CSP-Corcoran.  
3 ECF No. 5672 at 5, 53. During the recent communications initiated by the court, Mr. Hayes  
4 informed the court he found these inadequacies ongoing during his third re-audit round. Good  
5 cause appearing, defendants will be directed to show cause in writing why the inadequate wall  
6 ventilation grates at CSP-Corcoran cannot be replaced within six months at the outside.

7 The gross inadequacies in the MHCB units in the Correctional Treatment Center  
8 (CTC) at CIM, including but not limited to the absence of suicide resistant wall ventilation grates,  
9 were first observed by Mr. Hayes in December 2013 and reported to the court in Mr. Hayes’  
10 initial audit report. *Id.* at 53; ECF No 5259 at 61. Mr. Hayes now reports that while “a work  
11 order request was initiated by CIM officials sometime in 2014, the final design of the project had  
12 not yet been approved” by the time of his re-audit visit covered by his report. ECF No. 5672 at  
13 53. Mr. Hayes also reports that “[a]lthough the headquarters work order schedule for MHCB  
14 renovation previously examined by this reviewer did not contain reference to the CIM work,  
15 CDCR informed this reviewer that the anticipated start date would be December 2016.” *Id.* The  
16 ongoing existence of these conditions is unacceptable. Good cause appearing, defendants will be  
17 directed to provide to the Special Master and file with the court a detailed plan for completion of  
18 all the necessary work at CIM, including a schedule with a date certain for completion of the  
19 work, a description of every step necessary to complete the work, specific timetables by which  
20 each such step shall be completed, the names and addresses of all persons responsible for  
21 approval and/or execution of each step of the work, and a timetable for certification to the Special  
22 Master of the action or actions taken and whether the renovations remain on schedule.

23 The court is also deeply troubled by the report of “falsification of observation  
24 forms of patients on suicide observation status in six facilities” and of the increase in the number  
25 of facilities where such falsification patterns were observed. ECF No. 5672 at 11. During the  
26 recent communications noted above, Mr. Hayes informed the court that the required observation  
27 reporting has now been fully automated and the reporting system records the time and date each  
28 observation is entered, which should preclude such falsifications. Mr. Hayes has observed some

1 issues with implementation of the new system by staff during his third round of re-audit site  
2 visits; he will report on this topic again in his next re-audit and update report to the court. The  
3 court expects defendants will make clear to all staff that falsification of information required by  
4 court order is unacceptable.

5 Finally, the court has inquired about the status of the revised local Suicide  
6 Prevention and Response Focused Improvement Team (SPRFIT) policy, which Mr. Hayes  
7 critiqued in late February 2017. The court understands defendants have not yet provided an  
8 updated policy, which is forthcoming. The stated goal of the SPRFITS is “to prevent inmate  
9 deaths due to suicide.” Revised Program Guide at 12-10-1. The SPRFITS are an essential part of  
10 defendants’ implementation and maintenance of adequate suicide prevention practices, which Mr.  
11 Hayes reports represents a “continuing challenge.” ECF No. 5672 at 21. The Revised Program  
12 Guide outlines the specific requirements that govern the composition and duties of each local  
13 SPRFIT. *See id.* at 12-10-3 to 12-10-6. Good cause appearing, defendants will be directed to  
14 provide to the Special Master a local SPRFIT policy revised in accordance with Mr. Hayes’  
15 critique and the requirements of the Revised Program Guide, not later than thirty days from the  
16 date of this order. This schedule will allow full implementation by the time Mr. Hayes begins his  
17 next round of auditing in May 2018.

18 Having made the above observations and orders, IT IS HEREBY ORDERED that:

19 1. The Special Master’s September 7, 2017 report, ECF No. 5671, and Mr. Hayes’  
20 September 7, 2017 report entitled The Second Re-Audit and Update of Suicide Prevention  
21 Practices in the Prisons of the California Department of Corrections and Rehabilitation, ECF No.  
22 5672, are adopted in full;

23 2. The court adopts Mr. Hayes’ recommendation to withdraw three  
24 recommendations, identified as Recommendations 14, 15 and 16 in Mr. Hayes’ January 13, 2016  
25 report entitled A Re-Audit and Update on Suicide Prevention Practices in the Prisons of the  
26 California Department of Corrections and Rehabilitation, ECF No. 5396, and set out in full in,  
27 *inter alia*, the Special Master’s September 7, 2017 report, ECF No. 5671 at 3 n.1. The court’s  
28 February 3, 2015 order, ECF No. 5271, is deemed modified accordingly;

1                   3. Defendants shall continue to implement the remaining recommendations in the  
2 initial audit report and develop corrective action plans based upon deficiencies found in Mr.  
3 Hayes' most recent assessment;

4                   4. The Special Master shall provide an updated report to the court on the state of  
5 defendants' continued implementation of the initial recommendations and the development of  
6 related corrective action plans;

7                   5. Within fourteen days from the date of this order defendants shall show cause in  
8 writing why the inadequate wall ventilation grates at CSP-Corcoran cannot be replaced within six  
9 months;

10                  6. Within thirty days from the date of this order defendants shall provide to the  
11 Special Master and file with the court a detailed plan for completion of the necessary work at  
12 CIM, including a schedule with a date certain for completion of the work, a description of every  
13 step necessary to complete the work, specific timetables by which each such step shall be  
14 completed, the names and addresses of all persons responsible for approval and/or execution of  
15 each step of the work, and a timetable for certification to the Special Master of the action or  
16 actions taken and whether the renovations remain on schedule; and

17                  7. Not later than thirty days from the date of this order defendants shall provide to  
18 the Special Master a local SPRFIT policy revised in accordance with Mr. Hayes' critique and the  
19 requirements of the Revised Program Guide, so the policy can be fully implemented by the time  
20 Mr. Hayes begins his next round of auditing in May 2018.

21 DATED: January 24, 2018.

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UNITED STATES DISTRICT JUDGE