

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

RALPH COLEMAN, et al.,  
Plaintiffs,

v.

EDMUND G. BROWN, JR., et al.,  
Defendants.

No. CIV. S-90-520 LKK/DAD (PC)

**ORDER**

Pursuant to court order, on September 24, 2013 the Special Master filed a Report on the Salinas Valley Psychiatric Program (SVPP) (Report) (ECF No. 4830). The Report contains numerous findings concerning the delivery of mental health care to class members at SVPP. Based on those findings, the Special Master makes six recommendations for orders to address inadequacies identified in the Report. Defendants have filed objections to and a motion to strike or modify the Report (ECF No. 4868). Plaintiffs have filed a response to the Report and a request for additional recommendations and orders (ECF No. 4867). Pursuant

1 to Fed. R. Civ. P. 53(f), the matters objected to are reviewed de  
2 novo.<sup>1</sup>

3 A. Defendants' General Objections

4 Defendants interpose two general objections to the Report  
5 and a number of specific objections to the recommendations  
6 contained therein. First, defendants contend that this court's  
7 July 11, 2013 order (ECF No. 4688) requiring the Special Master  
8 to issue the report was improper because it "contravenes the  
9 plain language" of restrictions contained in 18 U.S.C. §  
10 3626(a)(1)(A) for prospective injunctive relief. Defs. Objs.  
11 (ECF No. 4868) at 3. Defendants renew their contention that the  
12 court could not order the Special Master to report to the court  
13 on care provided at SVPP, arguing (1) the Department of State  
14 Hospitals (DSH) was not a party to this case at the time of the  
15 original trial in 1995; (2) DSH care has "never been subject to  
16 the Special Master's supervisory powers" since the remedial phase  
17 of this action began; (3) the court's order "improperly imputed  
18 liability to DSH for the constitutional violations found against  
19 different Defendants in 1995;" and (4) the court did not, in its  
20 July 2013 order, find that DSH was violating the Constitution in  
21 its provision of hospital care to members of the plaintiff class.  
22 Id. at 3. The court already considered and rejected these  
23 contentions. See Order filed July 11, 2013 (ECF No. 4688) at 4-9;  
24 Order filed September 5, 2013 (ECF No. 4784) at 2-5. A few  
25 points bear repeating.

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26 <sup>1</sup> All reports provided by the Special Master to the parties in  
27 accordance with the Order of Reference filed December 11, 1995  
28 (Doc. No. 640) are reviewed under the standards set forth in that  
order. The Report at bar was filed directly with the court.

1 First, for the reasons explained in the court's September 5,  
2 2013 Order, the provisions of 18 U.S.C. § 3626(a)(1)(A) do not  
3 apply to the court's order directing the Special Master to  
4 monitor inpatient mental health programs. See Order filed  
5 September 5, 2013 (ECF No. 4784) at 2-3. Monitoring by a Special  
6 Master is not "relief" within the meaning of that statute. See  
7 id.

8 Second, the monitoring ordered by this court in the July 11,  
9 2013 order is necessary to a complete remedy in this action. In  
10 1995, this court found the Governor of the State of California  
11 and the California Department of Corrections and Rehabilitation  
12 defendants in violation of their Eighth Amendment obligation to  
13 provide seriously mentally ill inmates with ready access to  
14 constitutionally adequate mental health care. See Coleman v.  
15 Wilson, 912 F.Supp. 1282 (E.D.Cal. 1995). The California  
16 Department of Corrections and Rehabilitation (CDCR) defendants  
17 are the custodians of the members of the plaintiff class and have  
18 the primary legal responsibility for providing constitutionally  
19 adequate mental health care to members of the plaintiff class.<sup>2</sup>  
20 See In re Estevez, 165 Cal.App.4<sup>th</sup> 1445, 1463 (Cal. App. 5 Dist.  
21 2008) (even where federal receiver appointed, "the state, and  
22 through its appointed representative, the warden, cannot abdicate  
23

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24 <sup>2</sup> The plaintiff class consists of "all inmates with serious  
25 mental disorders who are now, or who will in the future, be  
26 confined within" the CDCR. July 23, 1999 Order & Stip. & Order  
27 Amending Plaintiff Class & Application of Remedy appended thereto  
28 at 2. All members of the plaintiff class are in the legal  
custody of the CDCR and, pursuant to state regulation, "remain  
under the jurisdiction" of CDCR when housed in Department of  
State Hospitals. 15 C.C.R. § 3369.1(c).

1 its constitutional responsibility to provide adequate medical  
2 care, concomitant with which is the duty to assure said care is  
3 not dispensed without any regard for the effect on the prison  
4 system as a whole.")

5 The remedial phase began with appointment of a Special  
6 Master, who was tasked first with working with defendants to  
7 develop a plan to remedy the "gross systemic failures in the  
8 delivery of mental health care" and thereafter with monitoring  
9 defendants' implementation of that plan. Coleman v. Brown, \_\_\_\_  
10 F.Supp.2d \_\_\_\_, 2013 WL 1397335 (E.D.Cal. Apr. 5, 2013), slip op.  
11 at 1. The remedial plan, known as the Revised Program Guide, was  
12 developed over a decade of effort and most of its provisions were  
13 given final approval by this court in 2006. See id. at 12.<sup>3</sup> The  
14 Revised Program Guide includes provisions governing delivery of  
15 inpatient hospital care, and provides in relevant part:

16 The California Department of Corrections and  
17 Rehabilitation (CDCR) is responsible for  
18 providing acute and intermediate inpatient  
19 care, in a timely manner, to those CDCR  
20 inmates clinically determined to be in need  
21 of such care. CDCR currently maintains a  
22 contract with the California Department of  
23 Mental Health (DMH) to provide acute and  
24 long-term intermediate inpatient mental  
25 health care to inmate-patients.

26 Program Guide, 2009 Revision, at 12-6-1 (footnote added).

27 Delivery of constitutionally adequate inpatient mental  
28 health care to class members is a necessary part of complete

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26 <sup>3</sup> The version of the remedial plan under which defendants are  
27 currently operating is identified as the Mental Health Services  
28 Delivery System Program Guide, 2009 Revision. It will be  
referred to herein as the Revised Program Guide or the Program  
Guide; all citations will be to the 2009 Revision.

1 remediation of systemic Eighth Amendment violations in the  
2 delivery of prison mental health care in California and full  
3 compliance with defendants' own remedial plan. At all relevant  
4 times in the remedial phase of this action CDCR has contracted  
5 with DMH to provide most of the inpatient hospital care for class  
6 members, and the Director of DMH has therefore been joined in  
7 this action as a necessary party to the remedy.<sup>4</sup> However, as  
8 this court has previously explained, that contractual arrangement  
9 does not relieve the CDCR defendants in this action of their  
10 constitutional obligation to provide ready access to adequate  
11 hospital care, which also runs to DMH and its successor the  
12 Department of State Hospitals(DSH) as long as it maintains a  
13 contract with that agency to provide inpatient care to members of  
14 the plaintiff class. See Order filed July 11, 2013 (ECF No.  
15 4688) at 8 (citing West v. Atkins, 487 U.S. 42, 56 (1988)).

16 Finally, the court rejects defendants' suggestion that a  
17 separate finding of constitutional violations in the delivery of  
18 inpatient care is required to support the monitoring ordered in  
19 the July 11, 2013 order. The July 11, 2013 order arose in the  
20 context of ongoing remediation of systemic Eighth Amendment  
21 violations in the delivery of constitutionally adequate mental  
22 health care to California's seriously mentally ill prisoners  
23 which has been monitored by a Special Master since 1995 and is  
24 part of that remedial process. The order is also based on

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25  
26 <sup>4</sup> The Department of State Hospitals (DSH) is the current name for  
27 the state agency that provides inpatient mental health hospital  
28 care for CDCR inmates and was referred to as DMH earlier in this  
remedial process. See Twenty-Fifth Round Monitoring Report filed  
January 18, 2013 (ECF No. 4298) at 33 n.11.

1 significant and troubling evidence of serious deficiencies in the  
2 delivery of inpatient care to class members. See Order filed  
3 September 5, 2013 (ECF No. 4784) at 4-5 (quoting Order filed July  
4 11, 2013 (ECF No. 4688) at 10-11). Nothing further is required.

5 For the foregoing reasons and those set forth in this  
6 court's July 11, 2013 and September 5, 2013 orders (ECF Nos. 4688  
7 and 4784), defendants' first general objection is overruled.

8 Defendants' second general objection is that the Special  
9 Master's recommendations "are not tethered to constitutional  
10 standards." Defs. Objs. (ECF No. 4868) at 3. This objection is  
11 frivolous. The Special Master's recommendations focus on (1)  
12 staffing levels; (2) the adequacy of treatment provided at SVPP,  
13 particularly individualized and group therapy; (3) the impact of  
14 so-called Orientation or Cuff Status on timely access to adequate  
15 care; (4) delays in transfer to SVPP; and (5) timely provision of  
16 basic necessities including clean clothing, bedding, and towels.  
17 Report (ECF No. 4830) at 44-45. The recommendations are grounded  
18 in the fundamental requirement that defendants provide a "'system  
19 of ready access to adequate [mental health care, '" Coleman v.  
20 Brown, \_\_\_ F.Supp.2d \_\_\_, 2013 WL 1397335, slip op. at 16  
21 (quoting Hoptowit v. Ray, 682 F.2d 1237, 1253 (9<sup>th</sup> Cir. 1982)).  
22 All but the last directly concern several of the components  
23 required for such a system, components which have been repeatedly  
24 identified by this court. See id.<sup>5</sup> Defendants' second general  
25 objection is overruled.

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26 <sup>5</sup> The last recommendation implicates the fundamental Eighth  
27 Amendment requirement that prison institutions provide inmates in  
28 their care with adequate clothing and sanitation, see Hoptowit,  
682 F.2d at 1246, as well as the adequacy of conditions that

1           B. Defendants' Specific Objections

2               1. Staffing/Programming

3           The Special Master's first recommendation is that SVPP be  
4 directed to fill remaining staffing vacancies, giving priority to  
5 filling psychiatry, psychology, and social work positions, and  
6 consider modifying its planned staff-to-patient ratio of 1:35.  
7 Report (ECF No. 4830) at 45. His second is that SVPP "be  
8 directed to increase significantly the amount and quality of  
9 individualized and group therapy provided." Id. The two are  
10 interrelated: the Special Master reports that

11                       [c]urrently, SVPP does not have the capacity  
12 or the resources to provide basic therapeutic  
13 and rehabilitative mental health support,  
14 services, and treatment to its inpatients in  
15 a coordinated, comprehensive, and  
16 individualized manner that is consistent with  
17 accepted standards for forensic and other  
18 hospital settings. The 1:35 clinical staffing  
19 ratio adopted by SVPP is inadequate for  
20 individual clinician caseloads as well as for  
admissions units and treatment teams. Clinician-to-patient staffing ratios in the field of inpatient psychiatric programs are more customarily 1:15 for admissions units, which conduct initial assessments and stabilization of newly arrived patients, and 1:25 for treatment units.

21 Report (ECF No. 4830) at 10. See also Report at 11 ("Staff often  
22 acknowledge the need for improvement in some of the areas  
23 identified by the monitor's expert, as discussed below, but they  
24 cited the shortage of staffing resources as a major obstacle to  
25 implementing them.")

26  
27  
28 directly impact the care of inmate-patients housed at SVPP.

1 Defendants raise a number of objections to these  
2 recommendations and the findings on which they are based.  
3 Defendants' objections and the declaration in support thereof  
4 contain little if any substantive disagreement with the findings  
5 of the Special Master concerning staffing levels at SVPP during  
6 the period monitored by the Special Master.<sup>6</sup> Significantly, in  
7 an apparent acknowledgement that more staff is needed, defendants  
8 represent that SVPP "is already undertaking dramatic measures to  
9 recruit staff." Defs. Objs. (ECF No. 4868) at 5. Defendants  
10 assert that these efforts make a court order unnecessary. Id.

11 As noted above, the Special Master's recommendation  
12 concerning staffing levels is directly related to his  
13 recommendation to increase the quantity and quality of  
14

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15 <sup>6</sup> Defendants presently have a 1:35 staff to patient ratio for  
16 psychiatrists, psychologists, social workers, and rehabilitation  
17 therapists. See Report (ECF No. 4830) at 9. Defendants do not  
18 object to the Special Master's finding that social workers'  
caseloads average approximately 40 patients. See id. at 8.  
19 Defendants agree with the Special Master's finding that there  
20 were 8 psychologists on staff at SVPP as of August 9, 2013; they  
do not address his finding that one was due to transfer to the  
Correctional Health Care Facility (CHCF) in October 2013.  
21 Defendants do object to the Special Master's finding that as of  
August 22, 2013, there were five line psychiatrists and one chief  
22 psychiatrist, with contractors providing "some additional hours  
of coverage." Report at [cit.] Defendants' evidence, which  
23 consists of the declaration of Pam Ahlin, is insufficient to  
contravene the Special Master's finding. Ms. Ahlin avers that on  
24 August 22, 2013 there were eight psychiatrists on staff "not  
including the second positions worked by 2 full-time  
25 psychiatrists." It is unclear whether defendants are suggesting  
26 that there were eight psychiatrists, two of whom were working  
second positions, or something else. In any event, defendants'  
27 evidence is insufficient to contradict the Special Master's  
findings concerning the number of psychiatrists on staff at SVPP  
28 in August 2013.



1 individualized and group therapy at SVPP. The latter  
2 recommendation is based on several findings, including:

- 3 • "The amount of weekly group therapy per patient  
4 was too limited for the intermediate level of  
5 care, at only four to six hours per week on  
6 average";
- 7 • "The quality of group treatment was inconsistent  
8 and ranged from very poor to excellent";
- 9 • "Psychologists appeared to have an overly-narrow  
10 role and to be underutilized";
- 11 • "Individualized therapy by psychologists and  
12 social workers was not provided regularly and  
13 occurred rarely for most patients, even when  
14 prescribed by an IDTT,<sup>7</sup> when clinically indicated,  
15 or when requested by patients."

16 Id. at 4. Defendants interpose a number of objections to the  
17 findings concerning the quantity and quality of therapy provided,  
18 none of which contravene in any significant way the serious  
19 inadequacies reported by the Special Master.<sup>8</sup> Moreover, as with

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20 <sup>7</sup> IDTT stands for Interdisciplinary Treatment Team. See Report  
21 (ECF No. 4830) at 12.

22 <sup>8</sup> Defendants first object that refusal to attend group therapy  
23 can be and is a basis for transfer of an inmate to SVPP which  
24 "explains, in part, the group therapy refusal rate of inmate-  
25 patients who have recently transferred to" SVPP. Defs. Objs.  
(ECF No. 4868) at 7. This objection is not responsive to the  
26 Special Master's findings concerning the insufficient amount of  
27 therapy available at SVPP.

28 Defendants next object that the Special Master's comparison  
of therapy received by inmate-patients at SVPP with the minimum  
number of therapy hours required for the Enhanced Outpatient  
(EOP) level of care is "inaccurate and unfair." Id. Defendants  
contend the Special Master should have "counted the number of  
group hours offered by [SVPP] and added to that number the hours

1  
2 of individual therapy, recreational and occupational therapy with  
3 a clinician, and work and educational programs offered to inmate-  
4 patients." Id. Defendants also object that the Special Master  
5 does not explain how he arrived at the finding that weekly group  
6 therapy at SVPP is limited to an average of four to six hours per  
7 week, and they contend their evidence filed in opposition to  
8 plaintiffs' motion concerning access to inpatient care  
9 "demonstrated provision of group therapy at a significantly  
10 higher rate." Id. These objections are without merit.  
11 Defendants are correct that the "[t]en hours per week of  
12 scheduled structured therapeutic activities" required at the EOP  
13 level of care includes more than just group therapy. See Program  
14 Guide, 2009 Revision, at 12-4-9, 10. However, the Special  
15 Master's Report includes findings about other therapy and  
16 programming provided at SVPP, including individual therapy and  
17 "solo treatment activity/solo programming", which show that these  
18 other forms of therapy and programming do not materially increase  
19 the quantity or quality of programming offered to inmate-patients  
20 at SVPP. See Report (ECF No. 4830) at 18-22. Finally, the  
21 evidence cited by defendants about the amount of group therapy  
22 offered at SVPP is from March and April 2013, see Dec. Gaither  
23 (ECF No. 4602) at ¶¶19-20, while the Special Master's report is  
24 based on findings from three visits between July 31 and August  
25 22, 2013. Report at 2, 14-15. Defendants have presented no  
26 evidence of therapeutic program hours from July or August 2013  
27 that calls into question the Special Master's findings.

28 Finally, defendants suggest that the Special Master should  
have based his recommendation on therapy hours offered, not hours  
received, because the Program Guide only requires that EOP  
inmate-patients be offered ten hours of therapy, not that they  
receive ten hours of therapy. Defs. Objs. (ECF No. 4868) at 7;  
see Program Guide at 12-4-8. Had defendants presented evidence  
to the Special Master or to this court that they were in fact  
offering sufficient therapeutic programming at SVPP to meet  
therapeutic requirements for an ICF level of care (which  
presumably in most instances will over the course of a  
hospitalization, as the Special Master observes, exceed that  
required for EOP inmate-patients), this objection might merit  
further consideration. However, defendants represent that they  
have only begun to implement a program for tracking individual  
and group therapy hours, see Decl. of Ahlin at ¶ 16, and they  
have not presented any data from that tracking system concerning  
therapy hours offered. Absent such evidence, however, this  
objection is overruled.

The Special Master found significant deficiencies in the  
quantity and quality of therapy offered to inmate-patients at  
SVPP. Defendants acknowledge that SVPP "is in the process of

1 staffing levels defendants also represent that SVPP "is in the  
2 process of improving its group programming," "acknowledge that  
3 changes to group therapy can be and is" being made, and that they  
4 have been developing and implementing a program for tracking  
5 individual and group therapy hours. Defs. Objs. (ECF No. 4868)  
6 at 7-8.

7 After de novo review, the court will adopt in full the  
8 Special Master's factual findings concerning staffing levels and  
9 therapy provided at SVPP. However, in light of defendants'  
10 representations concerning their efforts to recruit and hire  
11 staff and to improve the quantity and quality of therapy provided  
12 to inmate-patients and SVPP and the fact that the Special Master  
13 is continuing to monitor SVPP and other DSH inpatient programs  
14 pursuant to the July 11, 2013 order, the court will not make  
15 specific orders concerning staffing or therapy at this time.  
16 Orders concerning staffing and the quantity and quality of  
17 therapy will be deferred pending a further report and  
18 recommendations from the Special Master.

## 19 2. Orientation Status/Cuff Status

20 The Special Master recommends that SVPP "be directed to  
21 reconsider and re-evaluate its use of Orientation Status to  
22 automatically require patient cuffing whenever out-of-cell and  
23 withhold mental health programming or treatment other than a  
24 daily cell-front contact by a member of the interdisciplinary  
25 improving its group programming." Defs. Objs. (ECF No. 4868) at  
26 7. Defendants have not presented any evidence that calls into  
27 question the Special Master's findings concerning the  
28 inadequacies in individualized and group therapy at SVPP.  
Defendants' objections are overruled.

1 treatment team." Report (ECF No. 4830) at 45. He also  
2 recommends that SVPP "be directed to eliminate the use of Cuff  
3 Status to require automatic cuffing of patients when out-of-cell,  
4 overriding of patients' designations, and barring of patients'  
5 access to out-of-cell individual and group treatment." Id.  
6 Defendants contend the Special Master has failed to adequately  
7 weigh the safety and security needs that undergird use of  
8 Orientation Status. They characterize their objections to the  
9 recommendation concerning Cuff Status as a motion to modify the  
10 Special Master's findings concerning Cuff Status; however, they  
11 specifically request that the recommendation be rejected. Defs.  
12 Objs. (ECF No. 4868) at 9-10.

13 As reported by the Special Master, both Orientation Status  
14 and Cuff Status are part of a "status and staging paradigm" used  
15 at SVPP to set housing and programming for inmate-patients.  
16 Report (ECF No. 4830) at 23. The Special Master reports that all  
17 inmate-patients arriving at SVPP are placed on Orientation  
18 Status, which means that they

19 are housed in a single cell for up to 14  
20 days, have only personal hygiene items for  
21 property, and must be cuffed at all times  
22 they are outside of their cells (i.e. they  
23 are effectively on Cuff Status) until they  
24 are cleared by an ICC [Institution  
25 Classification Committee] to program without  
26 such restrictions. Patients on Orientation  
27 Status are to be seen daily by an IDTT member  
28 at the patient's cell front, but according to  
the SVPP Program Manual, they do not have  
additional programming.

Report (ECF No. 4830) at 23. After inmate-patients are released  
from Orientation Status, they program through three Stages. See

1 id. Cuff Status is a "behavior-driven" return to the conditions  
2 of Orientation Status. Report (ECF No. 4830) at 25. The SVPP  
3 Program Manual requires that inmate-patients "'who engage in  
4 aggressive/threatening behavior, assaultive behavior and indecent  
5 exposure" be placed on Cuff Status. Id. (quoting SVPP Program  
6 Manual, Section 6.12.) Cuff Status placement "overrides" the  
7 Stage to which an inmate-patient has progressed and requires  
8 handcuffs and escort by an MTA whenever an inmate it out of cell.  
9 Id. The Special Master describes in detail the procedures for  
10 Cuff Status, as well as the documentation required for that  
11 status. Id.

12 Defendants contend that the Special Master has not  
13 adequately considered the safety and security concerns in  
14 recommending that the use of Orientation Status and Cuff Status  
15 be reviewed and re-evaluated. This objection is without merit.  
16 The Special Master recommends review and re-evaluation of the use  
17 of Orientation Status and Cuff Status in light of the impact  
18 placement in these statuses has on hospitalized inmate-patients'  
19 access to necessary mental health care. See Report (ECF No.  
20 4830) at 5.

21 Orientation Status and Cuff Status require the same  
22 restricted housing conditions and extremely limited programming  
23 for inmate-patients placed in either status. Orientation Status  
24 delays the start of all but the most basic level of mental health  
25 treatment for up to fourteen days for inmate-patients in need of  
26 hospital care, many of whom have already waited more than thirty  
27 days for necessary inpatient hospital care. Cuff Status  
28 interrupts for behavioral reasons all but the most basic mental

1 health treatment. A recommendation to review and re-evaluate  
2 these policies is not a recommendation for a particular outcome.  
3 It is a recommendation, entirely appropriate on this record, that  
4 defendants review these policies to assess whether the proper  
5 balance between security considerations and necessary inpatient  
6 mental health care has been achieved. After de novo review of  
7 the record, and good cause appearing, this court will adopt in  
8 full the Special Master's recommendation concerning review and  
9 re-evaluation of the use of Orientation Status and Cuff Status.  
10 In view of the fact that CDCR is the custodian of all members of  
11 the plaintiff class and ultimately responsible for the delivery  
12 of constitutionally adequate mental health care to them, and in  
13 view of defendants' continuing objection concerning the role of  
14 DSH in the remedial phase of this action, the order to review and  
15 re-evaluate these policies will be directed to both the CDCR and  
16 the DSH defendants. Given all the above, the review and re-  
17 evaluation will take place under the supervision of the Special  
18 Master and his experts.

19 Defendants seek modification of the Special Master's  
20 findings concerning a lack of adequate documentation for eleven  
21 inmates placed on cuff status because they contend "the Special  
22 Master failed to give [SVPP] adequate credit for the  
23 documentation that was present for these eleven inmates." Defs.  
24 Objs. (ECF No. 4868) at 10. Defendants' evidentiary support for  
25 this assertion is scant. See Decl. of Ahlin (ECF No. 4830-1) at  
26 ¶ 31. Moreover, as with most of the other findings underlying  
27 the Special Master's recommendations, defendants acknowledge the  
28 need for improvement. See Defs. Objs. (ECF No. 4868) at 10.

1 The motion to modify the Special Master's findings concerning the  
2 adequacy of documentation for inmate-patients on Cuff Status will  
3 be denied.

4 The Special Master reports that

5 [m]ultiple patients were found to be on Cuff  
6 Status without any documented rationale,  
7 intervention and/or release criteria, leaving  
8 patients with very limited mental health  
9 programming for long periods of time.  
10 Patients on Cuff Status for longer than ten  
11 days were not referred to a psychologist  
12 supervisor for the development of a behavior  
13 plan, as required by SVPP policy.

14 Report (ECF No. 4830) at 5. As the Report makes clear, placement  
15 on Cuff Status interrupts the provision of necessary mental  
16 health care. As the Special Master finds,

17 [b]y placing a patient on Cuff Status without  
18 documenting the reason for the placement, the  
19 intervention planned, and the criteria for  
20 release from Cuff Status, and by failing to  
21 develop a required behavior plan, SVPP in  
22 effect places the patient at risk of needless  
23 deprivation of treatment and isolation in his  
24 cell - the very antithesis of a therapeutic  
25 environment for a seriously mentally ill  
26 person. . . . The ability of a patient on  
27 Cuff Status to access treatment is also  
28 severely limited, despite the fact that he  
was transferred to an inpatient program  
*because he needs more treatment than he was  
receiving at the sending institution.*

23 Id. at 30.

24 While the security considerations at issue cannot be  
25 gainsaid, neither can the risk to members of the plaintiff class  
26 from inappropriate placement and retention on Cuff Status be  
27 underestimated. Defendants represent that they are correcting  
28

1 the problems with documentation, have recently trained staff, and  
2 have developed and implement a "cuff status monitoring tool."  
3 Defs. Objs. (ECF No. 4868) at 10. Good cause appearing,  
4 defendants will be directed to report to the court within fifteen  
5 days whether there is any inmate-patient at SVPP on Cuff Status  
6 without the required documentation. If there is any such inmate-  
7 patient, defendants shall show cause in writing why this court  
8 should not issue an injunction preventing defendants from placing  
9 or maintaining any inmate-patient at SVPP on Cuff Status without  
10 the required documentation.

### 11 3. Transfer Timelines

12 The Special Master recommends that SVPP "be directed to  
13 begin tracking all patient bed assignments, and admit referred  
14 and accepted patients as quickly as bed availability permits so  
15 that beds are utilized to the fullest extent possible, and in no  
16 event beyond 72 hours following bed assignment and 30 days from  
17 the date of the referral." Report (ECF No. 4830) at 46.  
18 Defendants contend this recommendation is based on an inaccurate  
19 analysis of the wait list and an unreasonable interpretation of  
20 Program Guide requirements for transfer to inpatient care.<sup>9</sup>

21 <sup>9</sup> Defendants also contend that "strict compliance with transfer  
22 timelines is not the measure of whether SVPP is constitutionally  
23 compliant; defendants argue that the key question is whether  
24 transfer waiting periods expose inmates to significant risks of  
25 harm" and "[t]he Special Master's report fails to describe a  
26 single example in which an inmate-patient was exposed to an  
27 excessive risk of harm because his admission to the SVPP was not  
28 completed immediately." Defs. Objs. (ECF No. 4868) at 12. The  
court reminds defendants, once again, that the Program Guides are  
the remedial plan for this action and represent defendants'  
determination of what is required to meet their constitutional  
obligations to the plaintiff class. Moreover, the Special Master  
reminds the court that the thirty-day timeframe in the Program



1 The Special Master's recommendation is based on findings  
2 that (1) in a four month period between March 1, 2013 and June  
3 30, 2013, twenty-seven percent of inmate-patients accepted for  
4 treatment at SVPP were transferred after the end of the thirty  
5 day period; (2) during that same four month period more than half  
6 of the transfers completed within the thirty day period occurred  
7 in the last five days of that period; and (3) SVPP does not track  
8 bed assignments, which makes compliance with the seventy-two hour  
9 timeframe for transport "difficult, if not impossible."

10 Defendants object to the percentages as reported by the  
11 Special Master. In defendants' view, the thirty day period runs  
12 from the time DSH decides to accept the inmate-patient, not from  
13 the date the patient is referred by CDCR. Defendants base their  
14 argument on language in the Program Guide that provides that some  
15 inmate-patients may be placed on a waitlist after "acceptance."

16 The Program Guide is clear. All inmate-patients accepted  
17 for treatment at SVPP, which is an intermediate care facility  
18 (ICF), must be transferred within thirty days of referral.  
19 Program Guide, 2009 Revision, at 12-1-16. Referral is defined as  
20 "the date the completed referral packet is received by DMH by  
21

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22 Guide "was negotiated during a time when inpatient beds for CDCR  
23 inmates were slowly becoming less scarce, and there was need for  
24 a timeframe within which CDCR could conceivably comply under the  
25 circumstances at that time." Report (ECF No. 4830) at 32. He  
26 suggests, correctly, that in light of the dramatic increase in  
27 availability of inpatient beds and known vacant hospital beds,  
28 "[t]oday, transfers need not take anywhere close to 30 days to  
complete, and in no instance should they take more than 30 days."  
Id. Defendants are reminded that their constitutional obligation  
is to provide "ready" access to adequate mental health care. See  
Hoptowit v. Ray, 682 F.2d 1237, 1253 (9<sup>th</sup> Cir. 1982) *abrogated on*  
*other grounds by Sandin v. Conner*, 515 U.S. 472 (1995).

1 facsimile or overnight mail." Referral must be completed within  
2 five or ten working days from when an interdisciplinary treatment  
3 team (IDTT) identifies an inmate-patient for referral to  
4 inpatient care. Id. at 12-1-15, 12-1-16. Transfer is defined as  
5 the date on which an inmate-patient "is placed into the LOC and  
6 program to which s/he was referred." Id. at 12-1-15. The  
7 Program Guide also requires that transport of inmate-patients to  
8 the ICF "must be completed within 72 hours of bed assignment."  
9 Id. at 12-1-16. Under the Program Guide, all inmate-patients  
10 accepted by DSH for treatment at SVPP must arrive at SVPP within  
11 thirty days of the date the referral packet arrives at DSH from  
12 CDCR.<sup>10</sup> Within that thirty day period all of the following must  
13 occur: (1) the decision whether to accept an inmate-patient,  
14 which be made within three working days of DSH receipt of the  
15 referral, see id. at 12-6-10; (2) bed assignment for the  
16 accepted inmate-patient; and (3) transport of the accepted  
17 inmate-patient, which must occur within seventy-two hours of bed  
18 assignment, see id. at 12-6-11. None of these operates to extend  
19 the thirty day period, nor does the language cited by defendants  
20 change the controlling timeframe. Defendants' objections are  
21 overruled. The Special Master's recommendation will be adopted  
22 in full.

#### 23 4. Laundry

24 The Special Master's final recommendation is that SVPP  
25 "resolve any and all remaining issues with, and obstacles to,  
26 providing patients with the full complement of clean clothing,

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27  
28 <sup>10</sup> In fact, the Program Guide defines "'Referral' to DMH" as "the date the  
completed referral packet is received by DMH by facsimile or overnight mail."

1 towels, and bed coverings, and make these provisions available to  
2 patients on a timely basis according to established schedules."  
3 Report (ECF No. 4830) at 46. Defendants contend an order  
4 concerning laundry is unnecessary because SVPP "has formed a  
5 laundry committee that inventories laundry and is responsible for  
6 resolving any laundry issues that arise." Defs. Objs. at 13. It  
7 is unclear when this committee was formed, but it may be that the  
8 existence of the committee will operate to fulfill the Special  
9 Master's final recommendation without a further order by this  
10 court.

11 C. Plaintiffs' Motion

12 Plaintiffs seek a further report from the Special Master  
13 within sixty days and a series of other specific orders. Two of  
14 the matters for which plaintiffs seek remedial orders, use of  
15 force and issuance of rules violation reports, are the subject of  
16 ongoing proceedings before this court. The Special Master has  
17 not included recommendations concerning these or the other two  
18 issues highlighted by plaintiffs. The court finds that  
19 resolution of plaintiffs' pending motion concerning use of force  
20 and disciplinary proceedings (ECF No. 4638), as well as further  
21 monitoring by the Special Master, is necessary before the court  
22 considers issuance of further specific orders in this area.  
23 Plaintiffs' motion will be denied without prejudice.

24 D. Standards for Injunctive Relief

25 The court does, by this order, direct specific action by  
26 defendants. In this court's view, the orders contained herein  
27 are in aid of the remedy required by this court's 1995 order. To  
28 the extent that the requirements of 18 U.S.C. § 3626(a)(1) may

1 apply, this court finds that the orders contained herein are  
2 narrowly drawn, extend no further than necessary to correct the  
3 Eighth Amendment violation in the delivery of mental health care  
4 to members of the plaintiff class, and are the least intrusive  
5 means to that end. See 18 U.S.C. § 3626(a)(1)(A).

6 In accordance with the above, IT IS HEREBY ORDERED that:

7 1. Defendants' October 14, 2013 motion to modify findings  
8 in the September 24, 2013 Report of the Special Master on the  
9 Salinas Valley Psychiatric Program (ECF No. 4868) is denied.

10 2. The findings in the September 24, 2013 Report of the  
11 Special Master on the Salinas Valley Psychiatric Program (SVPP)  
12 (ECF No. 4830) are adopted in full.

13 3. The recommendations of the Special Master in said Report  
14 are adopted in part.

15 4. The CDCR and DHS defendants shall review and re-evaluate  
16 the use of Orientation and Cuff Status at SVPP to determine  
17 whether these policies as designed and implemented achieve the  
18 proper balance between legitimate security needs and access to  
19 necessary inpatient mental health care. This shall be carried  
20 out under the guidance of the Special Master and his staff, with  
21 participation and input from plaintiffs. The Special Master  
22 shall report to the court on the results of this review and re-  
23 evaluation in the report to be filed on March 31, 2014.

24 5. Within fifteen days from the date of this order  
25 defendants shall inform the court in writing whether any there is  
26 any inmate-patient at SVPP on Cuff Status without the  
27 documentation required for such status, including reason for  
28 placement, intervention planned, and criteria for release. If


1 there is any inmate-patient on Cuff Status without required  
2 documentation, defendants shall show cause in writing why this  
3 court should not issue an injunction preventing defendants from  
4 placing or maintaining any inmate-patient at SVPP on Cuff Status  
5 without the required documentation.

6 6. Defendants shall forthwith begin tracking all patient  
7 bed assignments at SVPP, and admit referred and accepted patients  
8 to SVPP as quickly as bed availability permits and in no event  
9 beyond seventy-two hours following bed assignment and thirty days  
10 from the date of the referral.

11 7. Plaintiffs' October 14, 2013 motion for additional  
12 orders (ECF No. 4867) is denied without prejudice.

13 IT IS SO ORDERED.

14 DATED: November 12, 2013.

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17   
18 LAWRENCE K. KARLTON  
19 SENIOR JUDGE  
20 UNITED STATES DISTRICT COURT  
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