

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

11 RALPH COLEMAN, et al., No. 2:90-cv-0520 LKK JFM P
12 Plaintiffs,
13 v. **ORDER**
14 EDMUND G. BROWN, JR., et al.,
15 Defendants.

26 ¹ Section I(A) of plaintiffs' motion concerns access to intermediate hospital
27 care for inmates on death row at San Quentin State Prison. Hearing on that
28 part of plaintiffs' motion has been consolidated with hearing on issues raised
 by two additional motions filed by plaintiffs. See Order filed May 24, 2013
 (ECF No. 4632) at 2.

1 arguments of counsel, for the reasons set forth in this order
2 plaintiffs' motion will be granted in part and denied in part.²

3 Plaintiffs seek a number of orders relative to inpatient
4 care provided to class members at programs operated by the
5 California Department of State Hospitals (DSH), formerly known as
6 the California Department of Mental Health (DMH).³ These include
7 orders relative to staffing levels and hiring; use of so-called
8 cuff or orientation status for newly-admitted patients; provision
9 of basic necessities including soap and clean and adequate
10 blankets, clothing, and underwear; length of patient stays,
11 discharges, and waitlists for inpatient care; access to DSH
12 programs regardless of parole date; activation and closure of DSH
13 units during the opening of and transition to the new CHCF
14 facility in Stockton; and treatment provided at VPP. See
15 Proposed Order filed April 11, 2013 (ECF No. 4543-1).

16 Defendants' oppose the motion on a number of grounds.

17 ² Both parties have tendered objections to evidence offered by the other
18 party, and defendants have filed three motions in limine to exclude evidence
19 (ECF Nos. 4659, 4660, and 4661). The court denied all motions in limine at
20 the start of the hearing. Although not necessary to the court's ruling on the
21 matters at bar, all objections not specifically sustained are deemed
22 overruled. Given the gravity of the evidence in this hearing, the defendants'
23 previous motion to terminate takes on the character of a condition in which
24 the defendants have simply divorced themselves from reality.

25 ³ With one exception, inpatient mental health care is provided to inmates in
26 the California Department of Corrections and Rehabilitation (CDCR) at programs
27 operated by the California Department of State Hospitals (DSH). The exception
28 is a new program for female inmates operated by CDCR at California Institution
for Women (CIW). In relevant part, DSH provides inpatient care to CDCR
inmates at the Salinas Valley Psychiatric Program (SVPP), the Vacaville
Psychiatric Program (VPP), Atascadero State Hospital (ASH), and Coalinga State
Hospital (CSH). In addition, on July 22, 2013, admissions for inpatient
mental health care will start at the new Correctional Health Care Facility
(CHCF), a CDCR facility that will contain, inter alia, additional DSH mental
health programs for California's prison inmates. The DSH programs provide two
levels of hospital care. For male inmates, acute psychiatric care is provided
at VPP. Intermediate hospital care is provided at ASH, VPP, SVPP, and CSH.
Both acute and intermediate levels of hospital care will be provided at CHCF
for male inmates.

1 First, defendants contend that the relief sought by plaintiffs,
2 if granted, will "unnecessarily expand judicial oversight" in
3 this action. Defs. Opp'n to Pls.' Mot. for Enf. of Ct. Ord. &
4 Aff. Relief, filed May 9, 2013 (ECF No. 4592) at 12. Defendants
5 argue that the court "has never found that DSH is providing
6 inadequate care to class members." Id. Defendants dispute that
7 "recent challenges with retaining psychiatrists" has affected the
8 adequacy of care provided to inmates at SVPP and contend that VPP
9 is adequately staffed. Id. at 14, 17. They contend that so-
10 called cuff or orientation status for newly arriving patients is
11 "reasonably related to legitimate penological interests" and that
12 plaintiffs have not proved that the policy violates the Eighth
13 Amendment. Id. at 20. They contend that plaintiffs' contentions
14 concerning soap and clothing are "inaccurate" and that
15 plaintiffs' contentions concerning length of stay, discharges,
16 and management of waitlists are "unsupported and further orders
17 will unnecessarily intrude into defendants' operations." Id. at
18 22. They contend that plaintiffs' allegations concerning alleged
19 improper discharges in anticipation of parole are "unfounded",
20 that they are properly managing the transition to Stockton, and
21 that there is no basis for investigation of the programs at VPP.
22 Id. at 24-25, 27.

23 The threshold question before the court is whether the
24 Special Master should monitor and report to the court on issues
25 related to the adequacy of inpatient care provided to members of
26 the plaintiff class. For the reasons set forth infra, the answer
27 to that question is yes. The question of whether other orders
28 requested by plaintiffs should issue will be denied without

1 prejudice pending monitoring and reporting by the Special Master.

2 The relevant history of this action has been set forth in
 3 several recent court orders, including this court's April 5, 2013
 4 order denying defendants' January 7, 2013 motion to terminate
 5 this action, see Coleman v. Brown, ____ F.Supp.2d ___, 2013 WL
 6 1397335 (E.D.Cal. Apr. 5, 2013), and is repeated only briefly
 7 herein. "In 1995, this court found defendants in violation of
 8 their Eighth Amendment obligation to provide class members with
 9 access to adequate mental health care. Coleman v. Wilson, 912 F.
 10 Supp. 1282 (E.D. Cal. 1995)." Id., slip op. at 1.⁴

11 The first remedial order in this action
 12 directed defendants to work with the Special
 13 Master and his staff to develop and implement
 14 plans to remedy the Eighth Amendment
 15 violation. See Coleman, 912 F.Supp. at 1323-
 16 24. [Footnote omitted.] Over a decade of
 17 effort led to development of the currently
 18 operative remedial plan, known as the Revised
 19 Program Guide. The Revised Program Guide
 20 "represents defendants' considered
 21 assessment, made in consultation with the
 22 Special Master and his experts, and approved
 23 by this court, of what is required to remedy
 24 the Eighth Amendment violations identified in
 25 this action and to meet their constitutional
 26 obligation to deliver adequate mental health
 27 care to seriously mentally ill inmates."
 28 February 28, 2013 Order (ECF No. 4361) at 3.
 [Footnote omitted.] Over seven years ago,
 this court ordered defendants to immediately
 implement all undisputed provisions of the
 Revised Program Guide. [Footnote omitted.]
Id. at 5-6.

23 Id. at 12. As the court explained in its February 28, 2013
 24 order,

25 the Special Master is tasked with monitoring
 26 "defendants' implementation of and compliance

27 ⁴ As the court noted in an order filed February 28, 2013, "[t]he Eighth
 28 Amendment violations in this case predate 1994, when they were found by the
 magistrate judge after a lengthy trial." Order filed February 28, 2013 (ECF
 No. 4361) at 3.

1 with any remedial plan that this court may
2 order", preparing and filing "with the court
3 periodic reports assessing defendants'
4 compliance with such remedial plan as the
5 court may order", and advising the court
"concerning any modification to the remedial
plan that is requested by a party or that
appears necessary to effectuate the purposes
of the remedial plan."

6 Order filed February 28, 2013 (ECF No. 4361) at 5 (quoting Order
7 of Reference filed December 11, 1995 (Doc. No. 639) at 4-5).

8 Defendants' remedial plan, the Revised Program Guide, makes
9 specific provision for inpatient care. At the start, the Revised
10 Program Guide provides that CDCR's Mental Health Services
11 Delivery System (MHSDS) "provides a continuum of inpatient care
12 from a contractual relationship with Department of Mental Health
13 (DMH) for acute and intermediate and a short-term crisis
14 inpatient program within CDCR institutions." 2009 Revised
15 Program Guide at 12-1-1. "Referral to inpatient programs
16 provided via contract with the DMH is available for inmate-
17 patients whose conditions cannot be successfully treated in the
18 outpatient setting or in short-term MHCB [mental health crisis
19 bed] placements." Id. at 12-1-9.

20 At Chapter 6, the Revised Program Guide covers inpatient
21 care in greater detail. It begins: "The California Department
22 of Corrections and Rehabilitation (CDCR) is responsible for
23 providing acute and intermediate inpatient care, in a timely
24 manner, to those CDCR inmates clinically determined to be in need
25 of such care. CDCR currently maintains a contract with the
26 California Department of Mental Health (DMH) to provide acute and
27 long-term intermediate inpatient mental health care to inmate-
28 patients." Id. at 12-6-1. Chapter 6 contains admission and

1 discharge criteria for both acute and intermediate hospital care,
2 as well as referral, admission, discharge, and transfer
3 procedures. Under the heading Utilization Management, Chapter 6
4 provides:

5 1. CDCR reserves the right to inspect,
6 monitor, and perform utilization reviews
7 prospectively, concurrently, or
8 retrospectively regarding the courses of
9 treatment or inpatient care provided to
10 CDCR's inmate-patient. Such reviews shall be
11 undertaken to determine whether the course of
12 treatment or services was prior authorized,
medically necessary and performed in
accordance with CDCR rules and guidelines.
DMH agrees to make available, upon request by
CDCR, for purposes of utilization review, an
individual patient's medical record and any
committee reviews and recommendations related
to a CDCR patient.

13 2. DMH acknowledges and agrees that
14 concurrent utilization management review
shall not operate to prevent or delay the
15 delivery of emergency treatment.

16 3. DMH acknowledges that the care of a
17 patient at DMH shall be reviewed by CDCR
Utilization Management (UM) nurses or
designated party and by a Joint CDCR/DMH
18 Review Process.

19 4. CDCR UM nurses or designated party will
gather data and review cases of CDCR inmate-
20 patients in DMH programs. CDCR UM nurses or
designated party will report their findings
21 and make recommendations to the CDCR Health
Care Manager and CDCR Chief Psychiatrist or
their designee(s). CDCR and DMH managers
22 will meet monthly to review the data. Each
DMH program also will have a joint CDCR/DMH
23 UM process that will review individual cases.

24 If there is a disagreement about discharge,
the UM nurse will review the patient's record
25 and forward a recommendation to the Joint
CDCR/DMH UM Review Process. If there
continues to be disagreement, the
26 recommendation will be conveyed to the CCAT
[(Coordinated Clinical Assessment Team)].

1 The inpatient programs are included in defendants' plan to
2 remedy the Eighth Amendment violation in this case.⁵ Monitoring
3 defendants' implementation of and compliance with that part of
4 defendants' remedial plan, reporting to the court on defendants'
5 compliance, and advising the court concerning any required
6 modifications are all plainly within the Special Master's duties
7 under the Order of Reference.

8 Defendants' assertion that the court has never found that
9 DSH provides inadequate care to class members misses the mark.
10 In 1995, when the court found the Eighth Amendment violations
11 defendants are now tasked with remedying, the following
12 California officials were defendants in this action: the
13 Governor, the Secretary of the Youth and Corrections Agency, the
14 Director of the California Department of Corrections (CDC), the
15 Assistant Deputy Director for Health Care Services for CDC, and
16 the Chief of Psychiatric Services for CDC. Coleman v. Wilson,
17 912 F.Supp. at 1293. The court found those defendants were
18 failing to provide constitutionally adequate mental health care
19 and ordered them to remedy the Eighth Amendment violations
20 through preparation and implementation of remedial plans. The
21 remedial process has been ongoing since late 1995.

22 During the remedial phase the court has ordered the joinder
23 of two additional state officials, the Director of the Department
24 of Mental Health (now the Department of State Hospitals) and the
25 Director of the Department of Finance, as defendants based on
26 findings that the "'just, orderly and effective' resolution" of

27 ⁵ Defendants do not contend, nor could they do so successfully, that access to
28 inpatient mental health care is not required by the Eighth Amendment for this
class of plaintiffs.

1 this action required their joinder. See Order filed June 28,
 2 2006 (ECF No. 1855) at 2 and Order filed July 28, 2006 (ECF No.
 3 1922) at 3. In relevant part, the joinder of the Director of
 4 then DMH was based on a finding that

5 DMH plays a critical role in creating
 6 sustainable and effective solutions for
 7 inpatient care within the California
 8 Department of Corrections and Rehabilitation
 (CDCR). . . .[and] for multiple reasons, DMH
 is failing to address specific court-ordered
 remedies.

9 Order filed June 28, 2006 (ECF No. 1855) at 2.

10 As noted above, the remedial plan developed by defendants
 11 and approved by the court provides for inpatient mental health
 12 care as part of the continuum of mental health care to be
 13 provided to seriously mentally ill inmates. Consistent with
 14 established principles of Eighth Amendment jurisprudence, under
 15 the express language of the remedial plan the CDCR is
 16 "responsible for providing acute and intermediate inpatient care,
 17 in a timely manner, to those CDCR inmates clinically determined
 18 to be in need of such care." 2009 Revised Program Guide, at 12-
 19 6-1.⁶ At all relevant times to date, CDCR has delegated by
 20 contract the provision of that care to DSH. Id.⁷ The
 21 responsibility for providing constitutionally adequate care
 22 remains with CDCR, and it also runs to DSH by virtue of the
 23 contract it has with CDCR. Cf. West v. Atkins, 487 U.S. at 56

24
 25 ⁶ Established principles of Eighth Amendment jurisprudence impose on the State
 26 "a constitutional duty to provide adequate medical treatment to those in its
 custody...." West v. Atkins, 487 U.S. 42, 56 (1988). The CDCR is the custodian
 27 of all members of the plaintiff class. See Coleman v. Wilson, 912 F.Supp. at
 1293 (quoting original class certification order).

28 ⁷ CDCR has decided to assume full responsibility for the provision of
 inpatient mental health care to female inmates in the new unit at CIW.

1 (state's constitutional obligation to provide adequate medical
2 care runs to those the state delegates the function and who
3 assume the duties by contract). At this stage of these
4 proceedings the duty to provide constitutionally adequate mental
5 health care is a remedial duty as well as an affirmative one;
6 having been found in violation of the Eighth Amendment, the
7 defendants must remedy that violation and demonstrate to this
8 court they have done so. By reason of its contract with CDCR,
9 DSH "plays a critical role" in that remedy as it applies to
10 inpatient mental health care. See Order filed June 28, 2006 (ECF
11 No. 1855) at 2.

12 As discussed above, development and implementation of a
13 remedial plan and compliance with said plan is proceeding under
14 the supervision of a Special Master. Nothing in any of the
15 relevant orders exempts DSH or the inpatient programs it operates
16 under contract with CDCR for members of the plaintiff class from
17 this supervision. To the contrary, the inpatient programs are
18 part of the remedial plan and therefore subject to the same
19 monitoring and reporting requirements as all other aspects of the
20 remedial plan.

21 The extended remedial phase of this action has required
22 wide-ranging and extensive efforts by the Special Master and his
23 team of experts and monitors. With respect to inpatient care,
24 the Special Master has for almost a decade been required to
25 devote considerable attention and resources to seemingly
26 insurmountable problems in identification and referral of inmates
27 to inpatient care and woefully insufficient numbers of inpatient
28 beds available for inmates in need of such care. See Coleman v.

1 Brown, ____ F.Supp.2d ____, 2013 WL 1397335, slip op. at 17-18.⁸
 2 While the Special Master finally reported "substantial
 3 improvement in access to inpatient care" in 2012, id. at 17, the
 4 fact that the unacceptable bed shortages and attendant waitlists
 5 for inpatient care (which at one time neared 1,000 inmates) have
 6 within the last year been reduced does not, by itself,
 7 demonstrate that the inpatient care being provided to members of
 8 the plaintiff class is adequate.⁹

9 Plaintiffs have presented significant and troubling evidence
 10 of, inter alia, severe staffing shortages, apparently redundant
 11 custodial policies that delay the start of necessary inpatient
 12 care and may in fact cause additional harm to class members
 13 transferred for such care, denial of basic necessities including
 14 clean underwear, failure to follow established timelines for
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16 ⁸ Nor were the intractable problems with referral to inpatient care the only
 17 remedial issues that required the Special Master's time and attention over
 18 this period of time. In addition to his regular semi-annual monitoring and
 19 reporting requirements, the Special Master has been required to focus
 20 considerable time and effort on, inter alia, addressing significant clinical
 21 staffing shortages; an increasing rate of inmate suicide, particularly in
 22 administrative segregation units; ongoing construction projects, and
 23 development and implementation of a sustainable quality improvement process.
 24 In addition, severe overcrowding in the California prison system, which has
 25 not yet been adequately remedied, has impacted and impeded all remedial
 26 efforts in this action. See, e.g., Opinion and Order filed August 4, 2009
 27 (ECF No. 3641) at 34-36.

28 ⁹ Indeed, measuring compliance by excluding the first 30 days on the waiting
 29 list appears to at least suggest a lack of prompt care. The Revised Program
 30 Guide provides that patients accepted for intermediate care must be
 31 transferred "[w]ithin 30 days of referral. . . ." 2009 Revised Program Guide
 32 at 12-1-16 (emphasis added). Evidence before the court suggests that
 33 defendants may not view time on a wait list for intermediate inpatient care as
 34 violating the remedial plan, or the Eighth Amendment, unless that time exceeds
 35 30 days. Evidence also suggests that the calculation of the 30 day period is
 36 being improperly adjusted so that it starts at some after referral by a CDCR
 37 clinician and, consequently, additional delays attend the start of necessary
 38 inpatient care. While the court does not presently make any findings
 39 concerning the timeliness of transfers to inpatient care, it requests the
 40 Special Master to address that question in his next full monitoring report.

1 transfer of patients to inpatient care, and perhaps premature
2 discharges of patients from inpatient care, all of which call
3 into question the adequacy of the inpatient care that is being
4 provided to the plaintiff class.¹⁰ Defendants dispute some of the
5 evidence tendered by plaintiffs and they contest the inferences
6 plaintiffs would have the court draw from other evidence.

7 After hearing and considering all of the evidence tendered
8 by both parties at the evidentiary hearing, the court finds there
9 is a significant evidentiary basis for questioning the adequacy
10 of critical aspects of inpatient care currently provided to
11 members of the plaintiff class. Even if the Special Master did
12 not already have the authority, and the duty, to monitor the
13 adequacy of inpatient care provided to class members, the
14 evidence tendered by plaintiffs would suggest the necessity that
15 he do so.

16 For these reasons, the court will, by this order, make
17 express what should be clear from the prior orders discussed
18 above. First, the Special Master has the authority and the duty
19 to monitor all programs for the provision of inpatient mental
20 health care to the plaintiff class. Second, given the urgency of
21 the issues tendered by plaintiffs, the Special Master will be
22 directed to forthwith commence monitoring all such programs¹¹, and
23 to report to the court on their adequacy and whether any

24 _____
25 ¹⁰ The evidence also demonstrated a continuing inability of defendants to
26 identify and remedy on their own, without court supervision, critical staffing
shortages and other impediments to constitutionally adequate mental health
care.

27 ¹¹ In addition to the existing DSH programs at SVPP, VPP, ASH, and CSH, this
28 order includes the new programs opening at CHCF in Stockton and the new
program run by CDCR for female inmates at CIW.

1 modifications to defendants' remedial plan are required to ensure
2 that members of the plaintiff class are receiving adequate
3 inpatient mental health care.

4 Recognizing the enormity of the task before the Special
5 Master, but also the urgency of the issues at hand the court will
6 direct the Special Master to report first on the adequacy of
7 staffing levels at SVPP, and on whether the so-called cuff or
8 orientation status, either as designed or as implemented, unduly
9 interferes with or delays the provision of necessary care to
10 class members. That report will be due seventy-five (75) days
11 from the date of this order.¹² The Special Master may, in his
12 discretion, include in said report any other matters which he
13 determines require urgent attention by the court. The court
14 expects defendants to cooperate fully in providing the Special
15 Master with all of the documents and facility access that he may
16 require to meet this obligation.

17 Not later than the end of that period, the Special Master
18 shall commence additional monitoring of the adequacy of all
19 inpatient programs and shall file a report on the results of such
20 monitoring not later than March 31, 2014.¹³ To the extent that
21 the Special Master may require additional staff to meet the
22 obligations of this order, he shall follow the procedures used in
23

24 ¹² The court had originally intended a much shorter date for the initial
25 report. The Special Master has requested this later date, given the
complexity of the problem.

26 ¹³ The Special Master may, as appropriate, schedule monitoring and reporting so
27 that he may focus his attention on areas that may require more immediate
28 attention or action by the court. The court expects all parties to cooperate
fully with the Special Master in this effort and to work expeditiously and
efficiently under his guidance to remedy any deficiencies identified during
such monitoring.

1 this action to date for seeking appointment of such additional
2 staff.

3 As noted above, plaintiffs also request additional specific
4 orders from this court. As also discussed, defendants dispute
5 some of the evidence tendered by plaintiffs, they contest the
6 inferences plaintiffs would have the court draw from other pieces
7 of evidence, and they defend as grounded in a legitimate
8 penological foundation the so-called cuff or orientation status
9 challenged by plaintiffs. To date, the court has generally
10 relied on the Special Master's monitoring, reporting and
11 recommendations before entering specific remedial orders and the
12 court finds it prudent to continue that practice with respect to
13 the issues at bar. For that reason, plaintiffs' request for
14 additional orders beyond investigation and monitoring by the
15 Special Master will be denied at this time.

16 In accordance with the above, IT IS HEREBY ORDERED that:

17 1. Plaintiffs' April 11, 2013 motion (ECF No. 4543) is
18 granted in part.

19 2. Within seventy-five (75) days from the date of this
20 order the Special Master shall report to the court on the
21 adequacy of staffing levels at the Salinas Valley Psychiatric
22 Program SVPP; and on whether the so-called cuff or orientation
23 status, either as designed or as implemented, unduly interferes
24 with or delays the provision of necessary care to class members
25 at SVPP. Defendants shall cooperate fully in providing the
26 Special Master with all of the documents and facility access that
27 he may require to meet this obligation.

28 3. The Special Master shall complete one round of

1 monitoring the adequacy of all inpatient programs and report to
2 the court thereon not later than March 31, 2014.

3 4. Except as expressly granted herein, plaintiffs' April
4 11, 2013 motion for orders concerning inpatient care is denied
5 without prejudice.

6 DATED: July 11, 2013.

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9 
10 LAWRENCE K. KARLTON
11 SENIOR JUDGE
12 UNITED STATES DISTRICT COURT

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