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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

ROBERT “BOSTON” WOODARD,

Plaintiff,

No. 2:11-cv-1807 LKK JFM (PC)

vs.

JOHN W. HAVILAND, et al.,

Defendants.

FINDINGS & RECOMMENDATIONS

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Plaintiff is a state prisoner proceeding through counsel with a civil rights action pursuant to 42 U.S.C. § 1983. This action is proceeding on plaintiff’s complaint, filed July 8, 2011, which contains two claims. First, plaintiff claims that defendants at California State Prison in Solano, California (“CSP-Solano”) retaliated against him for writing articles critical of prison officials which were published in online publications. Second, plaintiff claims that defendants at California Correctional Center in Susanville, California (“CCC-Susanville”) improperly denied plaintiff possession of a typewriter and improperly intercepted and rejected incoming mail. These claims are before the court on plaintiff’s motion for partial summary judgment and defendants’ motion for summary judgment.

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FACTS<sup>1</sup>

At all times relevant to this action, plaintiff was an inmate housed first at CSP-Solano and then at CCC-Susanville. Defendant Haviland was the Warden of CSP-Solano. Defendant Brown was the Associate Warden over units III and IV at CSP-Solano. Defendant Blackwell was a correctional lieutenant at CSP-Solano. Defendant Arthur was a correctional captain over unit III at CSP-Solano. Defendant Rivas was a Correctional Counselor II assigned to Unit IV at CSP-Solano. Defendant Ferguson was a correctional lieutenant at CSP-Solano. Defendant Bradley was a mailroom sergeant at CSP-Solano. Defendant Brooks was a Classification Staff Representative (“CSR”) employed by the California Department of Corrections and Rehabilitation (CDCR). Defendant Barnes was the Warden at CCC-Susanville. Finally, defendant Fleshman was employed in the mailroom at CCC.

A. Facts Arising From CSP-Solano

Plaintiff has been an inmate in the CDCR since 1977. (CDCR Inmate Locator.) For over two decades, plaintiff has “written about prison life for various prison and outside print and on-line publications”, including “the Fresno-based *Community Alliance* newspaper [(CAN)]”. Pl.’s Ex. B in Support of Motion for Partial Summary Judgment<sup>2</sup>, Declaration of Robert “Boston” Woodard in Support of Ex Parte Application for TRO, filed April 27, 2012 (Woodard Decl.), at ¶¶ 3, 5. , which has a circulation of 10,000. A number of plaintiff’s articles can be found online at [www.indybay.org](http://www.indybay.org). *Id.* at ¶ 5. Mike Rhodes, editor of the CAN, regularly publishes plaintiff’s articles, which get “more feedback . . . than any other columnist that [CAN has]. Perhaps more comments than all the rest of the articles put together.” Pl.’s Ex. D, Rhodes Sept. 10, 2009 Test. at 6, 8.

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<sup>1</sup> All facts are undisputed unless otherwise noted.

<sup>2</sup> Except as expressly noted, all references to plaintiff’s exhibits and to defendants’ exhibits are to exhibits filed in support of their respective motions for partial summary judgment and/or summary judgment.

1 Plaintiff has been incarcerated at CSP-Solano since April 2006. Woodard Decl. at  
2 ¶ 1. On May 18, 2008, an article written by plaintiff entitled “Appealing the Impossible” was  
3 published online at www.indybay.org. Pl’s Ex. E, Woodard Supp. Decl. in Supp. of TRO  
4 (“Woodard Supp. Decl.”) at ¶ 5. In this article, plaintiff named six CSP-Solano staff members by  
5 their first initials and last names. Id.

6 On April 16, 2009, another article written by plaintiff entitled “Rogue Prison  
7 Staff: Breaking All The Rules” (“the article”) was published online at www.indybay.org.  
8 Woodard Decl. at ¶ 12. In the article, plaintiff identified the following six CSP-Solano staff  
9 members – whom he called “rogue prison staff” – by their first initials and last names: M. Vieira,  
10 C. Ferguson, R. Ibarra, R. Coker, S. York, and M. Bradley. A complete copy of the article is  
11 attached as Exhibit C to the Woodard Declaration.

12 On July 9, 2009, defendant Ferguson learned about the article. Pl.’s Ex. A,  
13 Attachment 1 to Declaration of J. Haviland in Opposition to Plaintiff’s Motion for Temporary  
14 Restraining Order (Haviland Decl.). After reading the article, defendant Ferguson prepared a  
15 General Chrono, which reads as follows:

16 On Thursday, July 9, 2009, at approximately 0700 hours, I received  
17 information relative to a website article (Prisonmovement  
18 Weblog). The article indicated that it was authored by inmate  
19 Woodard and provided the website . . . . Upon obtaining this  
20 information Correctional Sergeant M. Bradley navigated to the  
21 website and reviewed its contents. The article in my possession  
22 (attached) was the same article open for public or criminal review,  
23 located on the website. In the article, Woodard made reference to  
24 two interviews I had conducted with him prior to this date.  
25 Woodard named me and the names of several other staff members  
26 at California State Prison-Solano (SOL). Woodard falsely accuses  
me of calling someone (Mike Rhodes), who I do not know, an  
idiot. Woodard makes other accusations toward staff that are  
believed to not be true. Nevertheless, after reading this article in  
its entirety, I believe as long as this inmate has the capability to  
have this type of article published over the internet, it presents  
serious threats to my safety as well as the safety of other staff  
members who’s [sic] names were mentioned in Woodard’s article.  
It is my belief that this inmate has created an environment that  
could prevent me from being able to effectively perform my duties.

1 Based on the nature of this article, any interactions with this inmate  
2 can be deemed as retaliation for his publications. It is my opinion,  
3 by Woodard publishing my name over the internet in this matter; it  
4 has the potential to solicit threats and or harm towards me and my  
5 family, as this internet blog invites bias comments from former  
6 inmates and their families. I believe any further dealing with  
7 Woodard would result in negative publications to this website,  
8 thereby, causing a condition likely to jeopardize my safety and the  
9 safety of my family I believe.

6 Id.

7 Defendant Ferguson brought the article to defendant Bradley's attention. Id. On  
8 July 14, 2009, defendant Bradley prepared a General Chrono which reads as follows:

9 On Thursday, July 9, 2009, at approximately 0700 hours, Lt. C.  
10 Ferguson brought to my attention an article authored by Inmate  
11 Woodard, B-88207, 23-F-1L. The article referenced Woodard's  
12 outlook on his day to day episodes of prison life. In the article,  
13 Inmate Woodard makes false accusations towards me implying  
14 preempted interviews and disciplinary tactics. I referenced the still  
15 available and published web article by Woodard. The content  
16 appears to be the same that was shown to me by Lt. Ferguson.  
17 This Web article is a Blog utilized to invite others comments.  
18 Inmate Woodard is using this blog to manipulate the Public and  
19 Staff by referencing Staff names (without my prior approval for  
20 publication). In this article I feel Woodard is attempting to slander  
21 my name and the badge I swore upon.

16 I believe that Woodard's avenues of the Inmate Appeal system, (all  
17 levels) were not to his satisfaction and lashed out towards me in  
18 particular. I believe that Inmate Woodard's writings published on  
19 the Internet, jeopardizes my safety and possible [sic] my families  
20 [sic] safety for the reason it is a PRISONMOVEMENT BLOG.  
21 This blog he chooses to post on have [sic] links to other Prison  
22 resistance Web sites, i.e. <http://criticalresistance10.blogspot.com/>.  
23 I understand that Inmate Woodard has first amendment rights, but  
24 the format he chooses to author his articles on misleads his  
25 audience to believe that I am the sole source of Inmate Disciplinary  
26 or the Inmate Appeal System. The comments on this blog come  
27 from bias [sic] inmate families and former inmates. With my name  
28 published by him negatively, these individuals can utilize people  
29 searches to access my personal information and jeopardize my  
30 family's safety as well. This publication is another form of  
31 manipulation showing Woodard cannot adjust or reason with the  
32 authority appointed to maintain the safety and security of the  
33 Institution. Inmate Woodard's continued presence at Solano would  
34 allow him the ability to interact with me possibly gaining grounds  
35 for more false allegations and possibly retaliation toward myself or  
36 my family.

1 I am requesting that he be transferred to another appropriate Level  
2 II Institution where he can continue to program.

3 Id.

4 Together, defendants Ferguson and Bradley brought the article to the attention of  
5 defendant Arthur. Pl.'s Ex. G, Arthur Dep. 14:23–15:5. Defendant Ferguson also brought the  
6 article to the attention of defendant Brown. Defs.' Ex. E, Brown Dep. at 40:19-25. On July 9,  
7 2009, defendant Blackwell signed an Administrative Segregation Unit Placement Notice (“Ad  
8 Seg Notice”) providing that plaintiff would be placed in administrative segregation. Haviland  
9 Decl., Attach. 2. The notice reads:

10 On July 9, 2009, you, Inmate Woodard, B-88207, are being  
11 removed from Facility IV General Population pending  
12 investigation into you publishing information on the internet that  
13 identifies CSP-Solano staff members by name. Therefore, you are  
14 being removed from Facility IV General Population (GP) and  
15 placed in Administrative Segregation (Ad-Seg). Based on this  
16 information, you are deemed a threat to the Level II General  
17 Population and the safety and security of this institution. You will  
18 remain in Ad/Seg pending Administrative Review for your  
19 appropriate program and housing needs. Per CCR 3272, your  
20 Custody level is being increased to Maximum to facilitate this  
21 move.

17 Id. Under Part A of the Ad-Seg Notice, which sets forth four possible reasons for an inmate’s  
18 placement in Ad-Seg, there are check marks next to “presents an immediate threat to the safety of  
19 self or others” and “endangers institution security.” Id. It is “most likely” that defendant Arthur  
20 directed defendant Blackwell to place plaintiff in administrative segregation. Pl.'s Ex. G, Arthur  
21 Dep. 34:25–35:8. Defendants Rivas and Brown signed the Ad Seg Notice on July 10, 2009. Id.  
22 Haviland Decl., Attach. 2.

23 On July 10, 2009, defendant Rivas met with plaintiff to discuss plaintiff’s  
24 placement in Ad-Seg. See Ex. F to Declaration of Michael G. Lee (Decl. of Lee), Rivas Dep.  
25 49:19–50:18. Following this meeting, Rivas approved plaintiff’s continued detention in  
26 administrative segregation pending a hearing before the Institution Classification Committee

1 (“ICC”). Id.; see also Attach. 2 to Haviland Decl. On July 16, 2009, plaintiff appeared before  
2 the ICC for a review of his housing placement. Haviland Decl. ¶ 5 and Attach. 3. Also present  
3 at the ICC Hearing were defendants Haviland and Brown, among others. Id., Attach. 3. At the  
4 hearing, defendant Haviland told plaintiff that plaintiff was going to be placed for transfer. Pl.’s  
5 Ex. D, Haviland Sept. 10, 2009 Test. at 111:22-24. The form CDC 128-G written following the  
6 ICC hearing states that recommendation for transfer was “[b]ased on the nature of the article  
7 written and the fact that it appears to specifically target a staff member at Solano for slander or  
8 written retaliation.” Attach. 3 to Haviland Decl. Defendant Haviland subsequently testified that  
9 the decision to transfer plaintiff was “based on staff’s safety concerns, not because he had  
10 violated any rule or regulation.” Pl.’s Ex. D, Haviland Sept. 10, 2009 Test. 120:3-5.

11 At the ICC hearing, plaintiff stated that he did not want to transfer but if he had to  
12 be transferred he requested transfer to San Quentin State Prison (San Quentin). Attach. 3 to  
13 Haviland Decl. However, San Quentin was “closed for intake due to medical (possible swine  
14 flu)” so the ICC did not recommend transfer to San Quentin. Id.

15 The only measure considered by defendants in response to their concerns about  
16 the article was transferring plaintiff to another institution. Id. at. 151:17-25. Defendants did not  
17 consider transferring plaintiff to another yard at CSP-Solano because all of the yards at CSP-  
18 Solano “commingle.” See Haviland Sept. 10, 2009 Test. 125:13-17. They did, however,  
19 eventually provide help to the identified staff to take their information off the internet so that they  
20 could feel safer. Id.

21 Plaintiff’s transfer was deemed “non-adverse.” Haviland Decl. ¶¶ 5, 8 and Attach.  
22 3. A non-adverse transfer meant that plaintiff did not forfeit any “good time” credits as a result  
23 of his temporary placement in Ad-Seg. Id.

24 On July 23, 2009, defendant Brooks approved the ICC’s recommendation to  
25 transfer plaintiff and further approved plaintiff’s continued placement in Ad-Seg pending his  
26 transfer. Attach. 4 to Haviland Decl. On August 6, 2009, plaintiff was transferred from CSP-

1 Solano to CCC-Susanville. Woodard Supp. Decl. ¶ 1. During the transfer, plaintiff was hand-  
2 cuffed, his hand cuffs were chained to a belly chain, and his legs were in irons for 14 hours while  
3 he sat on a bus that stopped at several prisons before arriving at CCC-Susanville. Woodard Decl.  
4 in Opp'n to Defs.' MSJ ¶ 32.

5 On plaintiff's arrival at CCC-Susanville, plaintiff's typewriter was confiscated  
6 because it had memory capabilities, which was not permissible per the CCC Department  
7 Operations Manual Supplement. Keeton Decl. ¶ 2; Woodard Decl. in Opp'n to Defs. MSJ ¶ 32.  
8 Plaintiff, who has been diagnosed with carpal tunnel syndrome and had operations on both hands  
9 for this condition, declares that handwriting exacerbates his pain and makes it very painful to  
10 write letters, grievances, appeals, articles, and to correspond with others, including his attorney.  
11 Woodard Decl. in Opp'n to Defs.' MSJ ¶37. In addition, plaintiff did not receive any of his  
12 personal property until seven days after his arrival, a 250-300 page manuscript that plaintiff had  
13 been preparing for four years was lost, his mail was delayed, he lost telephone access during the  
14 move, he was required to get a new prison job assignment, and his ongoing relationship with his  
15 doctors for treatment of medical conditions was disrupted. Id. ¶¶ 33-36.

16 On February 5, 2010, Sgt. Fleshman, a mailroom sergeant at CCC-Susanville,  
17 rejected a publication by the name of SJRA Advocate, a newsletter for prisoners and their  
18 families published by Sentencing and Justice Reform Advocacy ("SJRA") and which was  
19 addressed to plaintiff. Fleshman Decl. ¶¶ 1, 4. Sgt. Fleshman reviewed the publication and felt  
20 that it contained information that could be disruptive to the safety and security of the institution.  
21 Id. Specifically, he found the information contained on pages 2 and 11 violated California Code  
22 of Regulations, title 15, sections 3006(c)(5) – plans to disrupt the order, or breach the security, of  
23 any facility; 3006© – plans for activities which violate the law, these regulations, or local  
24 procedures; and 3013 – unlawful influence. Id.

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1 On May 14, 2010, Sgt. Fleshman became aware that plaintiff wrote articles when  
2 he came across one of plaintiff's writings in a copy of the SJRA Advocate. Fleshman Decl. ¶ 7.  
3 It is unclear whether Fleshman also rejected this issue of the SJRA Advocate.<sup>3</sup> See id.

4 On July 3, 2012, plaintiff was transferred to the California Men's Colony  
5 ("CMC") at San Luis Obispo, California. Keeton Decl. ¶ 3; Woodard Decl. ¶ 5.

6 SUMMARY JUDGMENT STANDARDS UNDER RULE 56

7 Federal Rule of Civil Procedure 56(a) provides that "[t]he court shall grant  
8 summary judgment if the movant shows that there is no genuine dispute as to any material fact  
9 and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a).<sup>4</sup> A shifting  
10 burden of proof governs motions for summary judgment under Rule 56. Nursing Home Pension  
11 Fund, Local 144 v. Oracle Corp. (In re Oracle Corp. Sec. Litig.), 627 F.3d 376, 387 (9th Cir.  
12 2010). Under summary judgment practice, the moving party

13 always bears the initial responsibility of informing the district court of the basis  
14 for its motion, and identifying those portions of "the pleadings, depositions,  
15 answers to interrogatories, and admissions on file, together with the affidavits, if  
16 any," which it believes demonstrate the absence of a genuine issue of material  
17 fact.

18 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986) (quoting then-numbered Fed. R. Civ. P. 56©).  
19 "Where the non-moving party bears the burden of proof at trial, the moving party need only  
20 prove that there is an absence of evidence to support the non-moving party's case." In re Oracle  
21 Corp. Sec. Litig., 627 F.3d at 387 (citing Celotex Corp., 477 U.S. at 325); see also Fed. R. Civ.  
22 P. 56 advisory committee's notes to 2010 amendments (recognizing that "a party who does

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24 <sup>3</sup> In the complaint, plaintiff refers to two instances when his incoming mail was rejected,  
25 yet provides no details beyond his assertion that the incidents occurred in February and March  
26 2010. See Compl. ¶¶ 58, 82.

27 <sup>4</sup> Federal Rule of Civil Procedure 56 was revised and rearranged effective December 10,  
28 2010. However, as stated in the Advisory Committee Notes to the 2010 Amendments to Rule  
29 56, "[t]he standard for granting summary judgment remains unchanged."



1 not have the trial burden of production may rely on a showing that a party who does have the trial  
2 burden cannot produce admissible evidence to carry its burden as to the fact”).

3           If the moving party meets its initial responsibility, the opposing party must  
4 establish that a genuine dispute as to any material fact actually does exist. See Matsushita Elec.  
5 Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 585-86 (1986). To overcome summary  
6 judgment, the opposing party must demonstrate the existence of a factual dispute that is both  
7 material, i.e., it affects the outcome of the claim under the governing law, see Anderson v.  
8 Liberty Lobby, Inc., 477 U.S. 242, 248 (1986); Fortune Dynamic, Inc. v. Victoria’s Secret Stores  
9 Brand Mgmt., Inc., 618 F.3d 1025, 1031 (9th Cir. 2010), and genuine, i.e., ““the evidence is such  
10 that a reasonable jury could return a verdict for the nonmoving party,”” FreecycleSunnyvale v.  
11 Freecycle Network, 626 F.3d 509, 514 (9th Cir. 2010) (quoting Anderson, 477 U.S. at 248). A  
12 party opposing summary judgment must support the assertion that a genuine dispute of material  
13 fact exists by: “(A) citing to particular parts of materials in the record, including depositions,  
14 documents, electronically stored information, affidavits or declarations, stipulations . . . ,  
15 admissions, interrogatory answers, or other materials; or (B) showing that the materials cited do  
16 not establish the absence or presence of a genuine dispute, or that an adverse party cannot  
17 produce admissible evidence to support the fact.”<sup>5</sup> Fed. R. Civ. P. 56(c)(1)(A)-(B). However,  
18 the opposing party “must show more than the mere existence of a scintilla of evidence.” In re  
19 Oracle Corp. Sec. Litig., 627 F.3d at 387 (citing Anderson, 477 U.S. at 252).

20           In resolving a summary judgment motion, the evidence of the opposing party is to  
21 be believed. See Anderson, 477 U.S. at 255. Moreover, all reasonable inferences that may be  
22 drawn from the facts placed before the court must be viewed in a light most favorable to the  
23 opposing party. See Matsushita, 475 U.S. at 587; In re Oracle Corp. Sec. Litig., 627 F.3d at 387.

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25           <sup>5</sup> “The court need consider only the cited materials, but may consider other materials in  
26 the record.” Fed. R. Civ. P. 56(c)(3). Moreover, “[a] party may object that the material cited to  
support or dispute a fact cannot be presented in a form that would be admissible in evidence.”  
Fed. R. Civ. P. 56(c)(2).

1 However, to demonstrate a genuine factual dispute, the opposing party “must do more than  
2 simply show that there is some metaphysical doubt as to the material facts. . . . Where the record  
3 taken as a whole could not lead a rational trier of fact to find for the nonmoving party, there is no  
4 ‘genuine issue for trial.’” Matsushita, 475 U.S. at 587 (citation omitted).

5 DISCUSSION

6 A. Plaintiff’s Retaliation Claim: CSP-Solano Defendants

7 In his moving papers, plaintiff seeks partial summary judgment on his claim that  
8 defendants retaliated against him for the online publication of the article. Defendants claim they  
9 are entitled to summary judgment because they did not retaliate against plaintiff. Alternatively,  
10 they argue that they are entitled to qualified immunity.

11 1. Applicable Standards

12 The First Amendment guarantees that “Congress shall make no law ... abridging  
13 the freedom of speech . . .” U.S. Const. Amend. 1. Moreover, it is well-settled that “convicted  
14 prisoners do not forfeit all constitutional protections by reason of their conviction and  
15 confinement in prison.” Bell v. Wolfish, 441 U.S. 520, 545 (1979). However, “[I]awful  
16 incarceration brings about the necessary withdrawal or limitation of many privileges and rights, a  
17 retraction justified by the considerations underlying our penal system.” Id. at 545-46 (internal  
18 citations omitted). Accordingly, a prisoner’s First Amendment rights are “necessarily limited by  
19 the fact of incarceration, and may be curtailed in order to achieve legitimate correctional goals or  
20 to maintain prison security.” McElyea v. Babbitt, 833 F.2d 196, 197 (9th Cir. 1987).

21 In the prison context, a First Amendment retaliation claim has “five basic  
22 elements: (1) An assertion that a state actor took some adverse action against an inmate (2)  
23 because of (3) that prisoner’s protected conduct, and that such action (4) chilled the inmate’s  
24 exercise of his First Amendment rights, and (5) the action did not reasonably advance a  
25 legitimate correctional goal.” Rhodes v. Robinson, 408 F.3d 559, 567-68 (9th Cir. 2005).

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1 Prison inmates have a First Amendment right to send and receive mail. Witherow  
2 v. Paff, 52 F.3d 264, 265 (9<sup>th</sup> Cir. 1995).

3 The standards for evaluation of a First Amendment claim  
4 concerning outgoing correspondence sent by a prisoner to an  
5 external recipient were established by the Supreme Court in  
6 Procunier v. Martinez, 416 U.S. 396, 94 S.Ct. 1800, 40 L.Ed.2d  
7 224 (1974), overruled on other grounds by Thornburgh v. Abbott,  
8 490 U.S. 401, 413-14, 109 S.Ct. 1874, 104 L.Ed.2d 459 (1989).  
9 Under these standards, censorship of prisoner mail is justified only  
10 if “the regulation or practice in question [ ] further[s] an important  
11 or substantial governmental interest unrelated to the suppression of  
12 expression” and “the limitation of First Amendment freedoms [is]  
13 no greater than is necessary or essential to the protection of the  
14 particular governmental interest involved.” Id. at 413, 109 S.Ct.  
15 1874. Procunier is controlling law in the Ninth Circuit and  
16 elsewhere as applied to claims involving outgoing prisoner mail.  
17 Bradley v. Hall, 64 F.3d 1276, 1281 n. 2 (9th Cir.1995); Loggins v.  
18 Delo, 999 F.2d 364, 366 (8th Cir.1993); Brooks v. Andolina, 826  
19 F.2d 1266, 1268-69 (3d Cir.1987); McNamara v. Moody, 606 F.2d  
20 621, 624 (5th Cir.1979).

21 Barrett v. Belleque, 533 F.3d 1060, 1062 (9<sup>th</sup> Cir. 2008). Procunier v. Martinez established that  
22 prison officials “may not censor inmate correspondence simply to eliminate unflattering or  
23 unwelcome opinion or factually inaccurate statements.” Procunier v. Martinez, 416 U.S. at 413.  
24 Rather, censorship of outgoing mail must further “one or more of the substantial governmental  
25 interests of security, order, and rehabilitation.” Id. Examples of “justifiable censorship of prison  
26 mail” including “refusal to send or deliver letters concerning escaped [sic] plans or containing  
other information concerning proposed criminal activity, whether within or without the prison.  
Similarly, prison officials may properly refuse to transmit encoded messages.” Id.<sup>6</sup> In addition,

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21 <sup>6</sup> In Harrison v. Inst. Gang of Investigations, 2010 WL 653137, at \*6 n.3 (N.D. Cal.  
22 2010), the Northern District of California reviewed “published circuit cases both upholding and  
23 rejecting censorship of outgoing mail” and found that  
24 the courts closely examine the fit between asserted penological  
25 interest and the particular outgoing mail being censored, rather  
26 than accept at face-value an assertion by prison officials that the  
confiscation serves security or rehabilitation interests. Cases  
upholding censorship of outgoing mail include Morgan v.  
Quarterman, 570 F.3d 663, 667 (5th Cir. 2009) (penological  
interest in rehabilitation justified disciplining inmate for sending

1 “the limitation of First Amendment freedoms must be no greater than is necessary or essential to  
2 the protection of the particular governmental interest involved.” Id. at 413-14.

3 2. Analysis

4 With respect to plaintiff’s first claim, there is no dispute that defendants were  
5 state actors, or that the actions taken against plaintiff were triggered by his acts of writing the  
6 article and mailing it for publication. The motions at bar raise essentially four questions on this  
7 claim: First, whether plaintiff’s writing activity, particularly writing for publication the article  
8 critical of prison staff and naming staff in the article, was protected by the First Amendment.  
9 Second, whether plaintiff’s writing activity was a “‘substantial’ or ‘motivating’ factor behind the  
10 defendant’s conduct.” Brodheim v. Cry, 584 F.3d 1262, 1271 (9th Cir. 2009) (quoting Sorrano’s  
11 Gasco, Inc. v. Morgan, 874 F.2d 1310, 1314 (9th Cir. 1989)). Third, whether the actions taken  
12 by defendants to place plaintiff in Ad-Seg, to retain him in Ad-Seg, and/or to transfer him to

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13  
14 vulgar note to opposing counsel, so it was not an impermissible  
15 infringement of his First Amendment rights); Koutnik v. Brown,  
16 456 F.3d 777, 785-86 (7th Cir. 2006) (no First Amendment  
17 violation; confiscation of prisoner’s mail to merchandising  
18 company urging it to add communist-themed posters to its product  
19 line and enclosing drawing of swastika with cell bars that had  
20 anti-corrections department slogan on ground that it had a gang  
21 symbol (i.e., the swastika) furthered important interest in  
22 rehabilitation); Nasir v. Morgan, 350 F.3d 366, 375-76 (3d Cir.  
23 2003) (ban on outgoing mail to former prisoners did not violate  
24 prisoner’s First Amendment rights); and Leonard v. Nix, 55 F.3d  
25 370, 374-76 (8th Cir. 1995) (no First Amendment violation in  
26 disciplinary action taken against prisoner for writing scurrilous  
comments about warden in letter to former inmate but intended to  
be read by prison staff). Cases finding constitutional violation in  
censorship of outgoing mail include Loggins v. Delo, 999 F.2d  
364, 367 (8th Cir. 1993) (discipline imposed for outgoing mail that  
had offensive comments about mailroom clerk violated prisoner’s  
First Amendment rights because the offensive language did not  
implicate prison security concerns); and McNamara v. Moody, 606  
F.2d 621, 624 (5th Cir. 1979) (refusal to mail prisoner’s letter in  
which he wrote to his girlfriend that prison officer had sex with a  
cat; court recognized that the statements were coarse and offensive  
but rejected prison guard’s argument that allowing such mail  
would lead to a “total breakdown” in prison security.

Harrison, at \*6 n.3.

1 CCC-Susanville were “adverse.” And fourth, whether defendants’ actions advanced ““legitimate  
2 goals of the correctional institution.”” Watison v. Carter, 668 F.3d 1108, 1115 (9<sup>th</sup> Cir. 2012)  
3 (quoting Rizzo v. Dawson, 778 F.2d 527, 532 (9<sup>th</sup> Cir. 1985). Subsidiary questions concerning  
4 the personal involvement of some of the defendants are also tendered.

5 Defendants assert that plaintiff’s writings “did not represent protected activity.”  
6 Memorandum of Points and Authorities Supporting Cross-Motion for Summary Judgment, filed  
7 July 26, 2012, at 4. Citing Schenck v. United States, 249 U.S. 47, 52 (1919), defendants contend  
8 that plaintiff’s writings are analogous to yelling fire in a crowded theater, conduct unprotected by  
9 the First Amendment due to the high risk of injury engendered. This contention is without merit.

10 The record is devoid of any evidence that the article was “used in such  
11 circumstances and [was] of such a nature as to create a clear and present danger” of physical  
12 harm. Schenck at 52. It is undisputed that there is no regulation that prohibits an inmate  
13 from putting the name of a member of correctional staff in an article on the internet. See Pl.’s Ex.  
14 I, Blackwell Dep. at 67:12-16; Brown Dep. 91:23–92:13. Cf. Thaddeus–X v. Blatter, 175 F.3d  
15 378, 395 (6<sup>th</sup> Cir. 1999) (stating that “if a prisoner violates a legitimate prison regulation, he is  
16 not engaged in ‘protected conduct,’ and cannot proceed beyond step one”). Moreover, prisoners  
17 “retain the right to send letters to the press concerning prison matters”, Nolan v. Fitzpatrick, 451  
18 F.2d 545, 547-48 (1<sup>st</sup> Cir. 1971). See also Proconier v. Martinez, 416 U.S. at 413 (striking down  
19 prison regulation that called for the censorship of statements, inter alia, that ‘unduly complain’ or  
20 ‘magnify grievances’); Pell v. Proconier, 417 US at 824 (affirming the right of prisoners to  
21 correspond in writing with persons outside the prison, including representatives of the news  
22 media); Simmat v. Manson, 535 F. Supp. 1115 (D. Conn. 1982) (prisoner, who wrote newspaper  
23 column, had a “first amendment right to freedom of expression [which] encompasses the right to  
24 express himself without punitive retaliation.”); Sorens v. Estate of Mohr, 2005 WL 1965957  
25 (S.D. Tex. 2005) (“Plaintiff’s complaint alleges that defendants charged him with a prison  
26 disciplinary action in retaliation for publishing articles critical of TDCJ alleges the violation of a

1 well established constitutional right to be free from governmental interference in his contacts  
2 with the media if that interference is based on the content of his writings”); Shaheen v. Filion,  
3 2006 WL 2792739, at \*3 (N.D.N.Y. 2006) (“Shaheen’s writing of articles critical of prison  
4 officials and his complaints to prison officials in his capacity as the chairman of the ILC were  
5 clearly assertions of his constitutional rights protected by the First Amendment”); Harr v. State of  
6 Wisconsin Dept of Corr., 2001 WL 34372893 (W.D. Wis. 2001) (plaintiff stated a First  
7 Amendment retaliation claim by asserting that defendants retaliated against him for writing a  
8 letter to the media in which he was critical of the department). See also Hustler Magazine v.  
9 Falwell, 485 U.S. 46, 51 (“The sort of robust political debate encouraged by the First  
10 Amendment is bound to produce speech that is critical of those who hold public office....”); New  
11 York Times Co. v. Sullivan, 376 U.S. 254, 269 (1964) (recognizing “a profound national  
12 commitment to the principle that debate on public issues ... may well include vehement, caustic,  
13 and sometimes unpleasantly sharp attacks on government and public officials”).

14           Based on the foregoing, this court finds that plaintiff’s acts of writing and mailing  
15 the article for publication were protected by the First Amendment. Defendants’ contention that  
16 plaintiff’s conduct was not protected because it was speech that physically endangered others,  
17 including plaintiff, other inmates, and the identified prison staff and their families conflates the  
18 question of whether the activity is protected with whether they could lawfully restrict that  
19 activity. That question is addressed infra.

20           The other three questions presented by the motions at bar are interrelated, and  
21 resolution of all three turns on whether the acts of placing plaintiff in Ad-Seg and transferring  
22 him advanced a legitimate correctional goal. If the acts did advance a legitimate correctional  
23 goal, plaintiff has no constitutional protection either against placement in Ad-Seg or in transfer to  
24 a different prison facility. See Sandin v. Conner, 515 U.S. 472, 484 (1995) (placement in  
25 administrative segregation does not necessary give rise to protected liberty interest); see also  
26 Meachum v. Fano, 427 U.S. 215, 225-27 (1976) (prisoners have no protected liberty interest in

1 being housed at a particular prison). On the other hand, for purposes of a retaliation claim, an  
2 adverse action is defined as one that would chill or silence a person of ordinary firmness from  
3 future First Amendment activities or cause the prisoner to suffer more than minimal harm. See,  
4 e.g., Rhodes, 408 F.3d at 567–68, n.11. On this record, the question of whether the action taken  
5 against plaintiff was “adverse” turns on defendant’s motivations for their actions and whether  
6 those actions served a legitimate correctional goal. For the reasons set forth below, disputed  
7 issues of fact preclude summary judgment for either party on those questions.

8           Defendants contend that they placed plaintiff in Ad-Seg and transferred him  
9 because the article posed a threat to the “safety and security” of the institution. In support of  
10 their motion, they present evidence that defendants Ferguson and Bradley were both concerned  
11 for their safety and the safety of their families after they read the article. See Ferguson Decl. at ¶  
12 9; Bradley Decl. at ¶¶ 10-11. Both defendant Ferguson and defendant Bradley averred that  
13 contents of the article written about them by plaintiff were false. See Ferguson Decl. at ¶ 7;  
14 Bradley Decl. at ¶ 11. In addition, defendant Ferguson averred that “[a]fter reading the article, it  
15 was apparent to [Ferguson] that it would be difficult for me and other staff to effectively carry  
16 out our duties without being accused of retaliation with each possible contact with Woodard.  
17 Woodard’s pattern was to label even routine activities of peace officers as retaliation against him  
18 for his writings.” Ferguson Decl. at ¶ 9. As set forth above, these concerns were communicated  
19 to defendants Brown, Arthur, and Haviland. Whether those concerns motivated the actions of  
20 defendants Ferguson, Bradley, Brown, Arthur, and/or Haviland, and whether the actions they  
21 took served a legitimate correctional goal, are disputed by plaintiff and not susceptible to  
22 resolution at summary judgment.

23           Defendant Brooks seeks summary judgment on the ground that her sole role in the  
24 events complained of was to review the ICC’s transfer recommendation to make sure that it was  
25 proper under application regulations, policies, and procedures. There is no evidence that  
26 defendant Brooks’ acts of endorsing plaintiff for transfer to CCC-Susanville, and retaining him in

1 Ad-Seg pending that transfer were motivated by plaintiff's protected First Amendment conduct.  
2 Defendant Brooks is entitled to summary judgment.

3 Defendant Blackwell seeks summary judgment on the ground that his sole act was  
4 to write the order placing plaintiff in administrative segregation, which he was ordered to do by  
5 his superior. The undisputed evidence shows that complying with that order, rather than  
6 plaintiff's protected First Amendment conduct, was the motivating factor for defendant  
7 Blackwell's action. He is entitled to summary judgment.

8 Defendant Rivas seeks summary judgment on the ground that his sole  
9 involvement in the events complained of was serving plaintiff with the Ad-Seg notice and  
10 referring him to the ICC. The undisputed evidence shows that performing that necessary  
11 function, rather than plaintiff's protected conduct, was the motivating factor for defendant Rivas'  
12 conduct. He is entitled to summary judgment.

13 B. Plaintiff's First Amendment Claim: CCC-Susanville

14 Defendants also move for summary judgment on plaintiff's second claim for relief  
15 – namely, that defendants Warden Barnes and Sgt. Fleshman violated plaintiff's constitutional  
16 rights when plaintiff's typewriter was confiscated and when Sgt. Fleshman rejected the delivery  
17 of a publication mailed to plaintiff.

18 1. Confiscation of Plaintiff's Typewriter

19 Upon his arrival at CCC-Susanville, plaintiff's typewriter was confiscated because  
20 it had memory capabilities, in violation of the prison's regulations. Plaintiff alleges Warden  
21 Barnes, in his supervisory capacity, violated plaintiff's due process rights when staff members at  
22 CCC-Susanville confiscated the typewriter. See Compl. ¶¶ 77, 79.

23 The Due Process Clause protects against the deprivation of liberty without due  
24 process of law. Wilkinson v. Austin, 545 U.S. 209 (2005). In order to state a cause of action for  
25 a deprivation of due process, a plaintiff must first identify a liberty interest for which the  
26 protection is sought. The Due Process Clause does not confer a liberty interest in freedom from



1 state action taken within a prisoner's imposed sentence. Sandin v. Conner, 515 U.S. 472, 480  
2 (1995). However, a state may "create liberty interests which are protected by the Due Process  
3 Clause." Sandin, 515 U.S. at 483-84. A prisoner has a liberty interest protected by the Due  
4 Process Clause only where the restraint "imposes atypical and significant hardship on the inmate  
5 in relation to the ordinary incidents of prison life." Keenan v. Hall, 83 F.3d 1083, 1088 (9th Cir.  
6 1996) (quoting Sandin, 515 U.S. at 484).

7           Prison restrictions on personal property that an inmate may possess do not violate  
8 the Due Process Clause. See, e.g., Cosco v. Uphoff, 195 F.3d 1221, 1224 (10th Cir. 1999)  
9 (dismissing due process challenge to new prison policy limiting amount of property a prisoner  
10 could keep in his cell); Blackwell v. Pizzola, 2010 WL 4505813, \*6 (E.D. Cal. Nov. 12, 2010)  
11 (dismissing due process challenge to confiscation of prisoner's JWIN radio as not imposing  
12 atypical or signification hardship in relation to the ordinary incidents of prison life); Vogelsang v.  
13 Tilton, 2008 WL 4891213, \*4, (E.D. Cal. Nov. 12, 2008) (dismissing due process claim because  
14 restrictions on number of appliances inmate is allowed to possess did not impose atypical or  
15 significant hardship in relation to the ordinary incidents of prison life); Martin v. Hurtado, 2008  
16 WL 4145683, \*13 (S.D. Cal. Sept. 3, 2008) (dismissing due process challenge because  
17 "deprivation of [inmates'] television does not pose an 'atypical and significant hardship' when  
18 compared to 'the ordinary incidents of prison life' ").

19           On the record here, there is no evidence upon which the court could conclude that  
20 the confiscation of plaintiff's typewriter is an "atypical and significant hardship" when compared  
21 to "the ordinary incidents of prison life." Sandin, supra. While the court acknowledges the  
22 hardship that the confiscation caused plaintiff in light of his medical problems, plaintiff does not  
23 allege that he is prohibited from possessing a typewriter without memory capabilities, that his  
24 typewriter was confiscated by defendants at CCC-Susanville in retaliation for plaintiff's exercise  
25 of his First Amendment rights (indeed, that they were even aware of the reason for plaintiff's  
26 transfer), or that the confiscation was arbitrary or capricious. Plaintiff did not oppose defendants'

1 motion for summary judgment as to this claim. Therefore, summary judgment should be entered  
2 for Warden Barnes on plaintiff's due process claim.

3 2. Rejection of Plaintiff's Incoming Mail

4 In February 2010, Sgt. Fleshman rejected a copy of the SJRA Advocate pursuant  
5 to California Code of Regulations, title 15, sections 3006(c)(5) – plans to disrupt the order, or  
6 breach the security, of any facility; 3006(c)(6) – plans for activities which violate the law, these  
7 regulations, or local procedures; and 3013 – unlawful influence.

8 In their moving papers, defendants seek summary judgment on the ground that  
9 Sgt. Fleshman did not retaliate against plaintiff. See Defs.' Mot. for Summ. J. at 13. The  
10 defendants' moving papers evidences that they have misconstrued plaintiff's claim. Plaintiff  
11 does not claim these defendants retaliated against him in violation of his First Amendment rights.  
12 Instead, plaintiff claims that Sgt. Fleshman, in his individual capacity, and Warden Barnes, in his  
13 supervisory capacity, violated plaintiff's right to receive incoming mail. He further claims that  
14 the regulations cited by Sgt. Fleshman are unconstitutionally vague and overbroad and vest  
15 excessive discretion in prison officials.<sup>7</sup> Because defendants' motion seeks summary judgment  
16 on a claim not raised by plaintiff, their motion should be denied.

17 C. Qualified Immunity

18 Defendants assert that they are entitled to qualified immunity because, even if  
19 their conduct was found to be unconstitutional, it would not have been clear to a reasonable  
20 prison officer that such conduct was unlawful.<sup>8</sup>

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21 <sup>7</sup> The court's notes in passing that its ability to evaluate the merits of these claims is  
22 hampered by the fact that the rejected issue of the SJRA Advocate has not been entered into the  
23 record. Defendants do not even describe the portions of the SJRA Advocate that they found  
24 issue with, other than to state that the contested portions are on pages 2 and 11 of the publication.  
See Fleshman Decl. ¶ 4.

25 <sup>8</sup> Concerning defendants' assertion of entitlement to qualified immunity for the conduct  
26 of defendants at CCC-Susanville, the court declines to address this argument because it was  
found that Warden Barnes is entitled to summary judgment on plaintiff's due process claim and  
because it was further found that Warden Barnes and Lt. Fleshman are not entitled to summary

1           The doctrine of qualified immunity protects government officials from liability for  
2 civil damages insofar as their conduct does not violate clearly established statutory or  
3 constitutional rights of which a reasonable person would have known. Pearson v. Callahan, 555  
4 U.S. 223 (2009). Resolving government officials’ qualified immunity claims involves a two-step  
5 process: the court must decide 1) whether the plaintiff has alleged or shown a violation of a  
6 constitutional right; and 2) whether the right at issue was clearly established at the time of  
7 defendant’s alleged misconduct. Qualified immunity is applicable unless the official’s conduct  
8 violated a clearly established constitutional right. Id. at 815-16. It is within the court’s discretion  
9 to decide which of the two prongs of the qualified immunity analysis should be addressed first in  
10 light of the circumstances of a particular case. Id. at 818. See also Bull v. City and County of  
11 San Francisco, 595 F.3d 964, 971 (9th Cir. 2010) (en banc) (“It is within our sound discretion to  
12 decide which of the two prongs of the qualified immunity analysis should be addressed first in  
13 light of the circumstances in the particular case at hand.”) (Internal citations and quotations  
14 omitted). Where the constitutional inquiry “involves a question which is highly idiosyncratic and  
15 heavily dependent on the facts,” the court may proceed directly to the second prong and decide  
16 whether the right in question was clearly established. Mueller v. Auker, 576 F.3d 979, 994 (9th  
17 Cir. 2009).

18           Whether a right is clearly established “turns on the objective legal reasonableness  
19 of the action, assessed in light of the legal rules that were clearly established at the time it was  
20 taken.” Pearson, 129 S. Ct. at 822 (internal quotation and citation omitted); Delia v. City of  
21 Rialto, 621 F.3d 1069, 1078 (9th Cir. 2010). Plaintiff has the burden of demonstrating that the  
22 right allegedly violated was clearly established at the time of the incident, and the “contours of  
23 the right must be sufficiently clear that a reasonable official would understand that what he is  
24 doing violates that right.” Pearson, 129 S. Ct. at 822 (internal quotations and citations omitted).

25 \_\_\_\_\_  
26 judgment for the rejection of incoming mail in light of the fact that they misconstrued plaintiff’s  
claim.

1 In this case, the court concludes that Martinez articulates a clearly established  
2 First Amendment right entitling a prison inmate to communicate criticism of prison officials in  
3 newspaper articles or to write unflattering or unwelcome opinions or factually inaccurate  
4 statements. In support of their position that they are entitled to qualified immunity, defendants  
5 cite to case law that is inapplicable here. These cases relate to the filing of inmate grievances,  
6 which, as discussed supra, are not on point in cases dealing with First Amendment retaliation  
7 claims for outgoing mail. Defendants are therefore not entitled to qualified immunity.

8 C. The California Department of Corrections and Rehabilitation

9 Finally, in their moving papers, defendants seek dismissal of the California  
10 Department of Corrections and Rehabilitation (“CDCR”) on grounds of Eleventh Amendment  
11 immunity. Plaintiff names the CDCR solely for injunctive relief so as to prevent future  
12 retaliatory transfers of plaintiff. Though plaintiff is correct that there is no Eleventh Amendment  
13 immunity for suits seeking prospective injunctive relief, see Will v. Michigan Dept. of State  
14 Police, 491 U.S. 58, 71 n.10 (1989) (quoting Kentucky v. Graham, 473 U.S. 159, 167 n.14  
15 (1985), and citing Ex parte Young, 209 U.S. 123, 159-60 (1908)), plaintiff’s claim for injunctive  
16 relief is subject to dismissal because he has not sued the head of the CDCR in his official  
17 capacity, which is required to qualify for this exception. See Ex parte Young, 209 U.S. at 153-61;  
18 see also Planned Parenthood of Idaho, Inc. v. Wasden, 376 F.3d 908, 919 (9th Cir. 2004) (stating  
19 that the appropriate state official is the official charged with direct enforcement of the contested  
20 statute). This court will recommend that plaintiff be granted leave to amend the complaint to  
21 name CDCR Secretary Jeffrey Beard as a defendant. See Fed. R. Civ. P. 15.

22 Based on the foregoing, IT IS HEREBY RECOMMENDED that:

23 1. Plaintiff’s motion for partial summary judgment be granted in that it be  
24 deemed established that plaintiff’s acts of writing the article and mailing it for publication are  
25 protected by the First Amendment and denied in all other respects;

1 2. Defendants' cross motion for summary judgment be partially granted:

2 a. Judgement be entered for defendants Brooks, Blackwell, and Rivas  
3 on plaintiff's first claim for relief;

4 a. Judgment be entered for defendant Warden Barnes on plaintiff's  
5 due process claim;

6 b. Defendants' cross motion for summary judgment be denied in all  
7 other respects;

8 3. Plaintiff be granted leave to amend his complaint to name CDCR Secretary  
9 Jeffrey Beard as a defendant; and

10 4. This matter be referred back to the undersigned for further pretrial proceedings.

11 These findings and recommendations are submitted to the United States District  
12 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen  
13 days after being served with these findings and recommendations, any party may file written  
14 objections with the court and serve a copy on all parties. Such a document should be captioned  
15 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to objections  
16 shall be filed and served within seven days thereafter. The parties are advised that failure to file  
17 objections within the specified time may waive the right to appeal the District Court's order.

18 Martinez v. Ylst, 95 1 F.2d 1153 (9th Cir. 1991).

19 DATED: February 25, 2013.

20  
21   
22 UNITED STATES MAGISTRATE JUDGE

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