

15-1489 (L)

Garnett v. Undercover Officer C0039, et al.

1 UNITED STATES COURT OF APPEALS
2 FOR THE SECOND CIRCUIT
3

4 August Term, 2015

5 (Argued: June 7, 2016

Decided: September 30, 2016)

6 Docket Nos. 15-1489 (Lead), 15-1500 (XAP)
7

8 KWAME GARNETT,

9 *Plaintiff-Appellee-Cross-Appellant,*

10 v.

11 UNDERCOVER OFFICER C0039, Individually and In his official capacity,

12 *Defendant-Appellant-Cross-Appellee,*

13 UNDERCOVER OFFICER C0243, Individually and In his official capacity,

14 *Defendant-Appellee,*

15 CITY OF NEW YORK, NEFTALI BETANCES, Individually and In his official
16 capacity, KEITH CARPENTER, Individually and In his official capacity, ABEL
17 JOSEPH, Individually and In his official capacity, ERICK ORTIZ, Individually
18 and In his official capacity, CARLOS SIERRA, Individually and In his official
19 capacity, TYRONE VIRUET, Individually and In his official capacity, JOHN
20 DOES, Nos. 1-10, Individually and In their official capacity (the names John and

Jane Doe being fictitious, as the true names are presently unknown), JANE
DOES, Nos. 1-10, Individually and In their official capacity, (the names John and
Jane Doe being fictitious, as the true names are presently unknown),

Defendants.

Before: POOLER, SACK, and LYNCH, *Circuit Judges.*

Appeal from United States District Court for the Southern District of New
York (Gregory H. Woods, J.) denying defendant-appellant-cross-appellee
Undercover Officer C0039's ("UC 39") motion for judgment as a matter of law
and denying plaintiff-appellee-cross-appellant Kwame Garnett's motion for a
new trial. Garnett was arrested by UC 39 during a "buy and bust" and was
subsequently charged based on UC 39's account of his observations of the
alleged crime, including a statement UC 39 attributed to Garnett. Garnett denied
making the statement and, after being held for nearly eight months pending trial,
was acquitted at a state criminal trial. Garnett subsequently filed a Section 1983
action bringing various claims against UC 39, among others. After a civil trial
before the district court, UC 39 was found liable for violating Garnett's
constitutional right to a fair trial. In its post-trial rulings, the district court held
UC 39's account of his personal observations of the incident, which gave rise to

Garnett's arrest, could provide the basis for a claim of denial of the right to a fair trial due to an officer's provision of false information to a prosecutor following *Ricciuti v. N.Y.C. Transit Authority*, 124 F.3d 123 (2d Cir. 1997), and therefore denied UC 39's motion for judgment as a matter of law. The district court also denied Garnett's motion for a new trial after finding that the court's jury instruction on probable cause, following the jury's request for clarification, was an accurate statement of law and answered the jury's question. Because we are of the view that *Ricciuti* controls when the fabricated information at issue is an officer's account of his or her observations of alleged criminal activity which he or she conveys to prosecutors, and also are of the view that the district court's jury instruction was proper, we affirm.

Affirmed.

ROBERT T. PERRY, Brooklyn, NY, *for Plaintiff-Appellee-Cross-Appellant Kwame Garnett.*

RICHARD DEARING, of counsel (Cecilia Chang, Ingrid R. Gustafson, *on the brief*), *for Zachary W. Carter, Corporation Counsel of the City of New York, New York, NY, for Defendant-Appellant-Cross-Appellee*

1 *Undercover Officer C0039 and Defendant-Appellee*
2 *Undercover Officer C0243.*

3 POOLER, Circuit Judge:

4 Both plaintiff-appellee-cross-appellant Kwame Garnett and defendant-
5 appellant-cross-appellee Undercover Officer C0039's ("UC 39") appeal from a
6 judgment, entered after a jury trial in the United States District Court for the
7 Southern District of New York (Gregory H. Woods, J.), finding UC 39 liable for
8 denying Garnett his right to a fair trial by fabricating evidence in connection with
9 criminal charges against Garnett, and awarding Garnett \$1 in nominal damages
10 and \$20,000 in punitive damages. UC 39 argues that the district court erred in
11 denying his motion for judgment as a matter of law, and Garnett contends that
12 the court erred in denying his motion for a new trial.

13 Garnett was arrested by UC 39 in the wake of an undercover "buy and
14 bust" operation, and was subsequently charged based in part on UC 39's account
15 of his own observations during the alleged drug sale, including a statement he
16 said Garnett made during the transaction. Garnett denied making the statement
17 and, after being held for nearly eight months pending trial, was acquitted at a
18 state criminal trial. In its rulings following Garnett's civil jury trial, the district

1 court held that UC 39's allegedly fabricated account of his own observations
2 could provide the basis for a claim of denial of the right to a fair trial due to an
3 officer's provision of false information to a prosecutor following *Ricciuti v. N.Y.C.*
4 *Transit Authority*, 124 F.3d 123 (2d Cir. 1997), and therefore denied UC 39's
5 motion for judgment as a matter of law. The district court also denied Garnett's
6 motion for a new trial after finding that the court's jury instruction on probable
7 cause, following the jury's request for clarification, was an accurate statement of
8 law and answered the jury's question. Because we conclude that *Ricciuti* controls
9 when the fabricated information at issue is an officer's false account, conveyed to
10 prosecutors, of his or her own purported observations of alleged criminal activity
11 which led to an arrest, and that the district court's jury instruction was proper,
12 we affirm.

13 In *Ricciuti*, we held that, even if there is probable cause to arrest a
14 defendant, an officer who subsequently fabricates that defendant's confession
15 "and forwards that information to prosecutors . . . violates the accused's
16 constitutional right to a fair trial, and the harm occasioned by such an
17 unconscionable action is redressable in an action for damages under 42 U.S.C.

§ 1983.” 124 F.3d at 130. This case calls for us to consider whether *Ricciuti* requires the same result when the fabricated information at issue is the officer’s own account of his or her observations of alleged criminal activity which he or she conveys to prosecutors. We hold that it does.

BACKGROUND

I. Factual Background

A. Garnett’s Arrest and Prosecution

This case arises from a so-called “buy and bust” operation conducted by the New York City Police Department (“NYPD”) on November 19, 2011. On that evening, a team of NYPD officers, including defendant-appellant-cross-appellee UC 39 and defendant-appellee Undercover Officer C0243 (“UC 243”), conducted an operation in East Harlem where the undercover officers attempted to purchase drugs.

There are commonly two roles in a “buy and bust” operation: the “primary” tries to buy the drugs, while the “ghost” serves to protect the safety of the primary. UC 39 was the ghost. UC 243, the primary, met non-parties Naquan Cintron and Naim Roper. Roper was allegedly stating something along the lines

1 of “smoke, smoke, smoke” or “bud, bud, bud,” and, at Roper’s suggestion, the
2 undercover officers entered Lexington Grocery, a bodega, where Cintron and
3 Roper then sold small amounts of crack cocaine and marijuana to UC 243
4 sometime after 6:00 P.M. App’x at 251, 332. Cintron and Roper were then
5 arrested by other officers participating in the “buy and bust” operation.

6 Garnett was also arrested in connection with the drug sale. While UC 243
7 spoke with Cintron and Roper, UC 39 was scanning the area and stated that he
8 observed an individual, identified as Garnett, standing by the curb outside the
9 bodega. UC 39 testified that he saw Garnett also scanning the area.¹ UC 39 stated
10 that, based on his experience, he believed Garnett was keeping a lookout for
11 police during the sale. UC 39 wrote in a “DD-5,” a complaint follow-up form,
12 and told the arresting officer and a prosecutor, that Garnett entered the bodega
13 during the sale and told Cintron and Roper, “Yo, hurry up. Y’all ain’t done yet?
14 Get that money. I’m not looking to get locked up tonight. Let’s go.” App’x at 263-
15 64, 603. UC 243 heard Garnett speak, but did not hear exactly what Garnett had

¹ We note that UC 39 has testified regarding the circumstances leading to
Garnett’s arrest multiple times. Where not otherwise indicated, we draw these
facts from his testimony at trial before the district court.

1 said. UC 39 testified that after he saw Garnett look at Roper and Cintron, Garnett
2 shook his head, and exited the bodega. This caused UC 39 to revise his earlier
3 theory that Garnett was a lookout for Roper and Cintron and instead to believe
4 that Garnett could be Roper's and Cintron's manager.

5 **B. Roper and Cintron Plead Guilty; Garnett's Criminal Trial**

6 Six days after the arrests, Roper pled guilty in state court to one count of
7 criminal sale of marijuana in the fourth degree and one count of criminal
8 facilitation in the fourth degree. Cintron and Garnett were both indicted by a
9 state grand jury, but Cintron then pled guilty to one count of criminal sale of a
10 controlled substance (cocaine). In his plea allocution, Cintron stated that he acted
11 "in concert with" Garnett in selling "a narcotic drug to a police officer."² App'x at
12 781.

13 Garnett then proceeded to a state criminal trial. Prior to Garnett's criminal
14 trial, UC 39 communicated the information in UC 39's original DD-5 report to the
15 Assistant District Attorney prosecuting the case. UC 39 also explained that he

² This statement, however, was deemed inadmissible hearsay by the district court at Garnett's federal civil trial as Cintron was unavailable to testify after both parties were unable to locate him.

1 knew Garnett prior to the arrest at issue as, three years earlier, Garnett and two
2 other individuals attempted to rob UC 39 at gunpoint in a housing project where
3 officers were engaging in an investigation. Garnett, seventeen years old at the
4 time, was convicted of attempted robbery in the first degree. According to UC 39,
5 it was not until he learned Garnett's name after the arrest that he realized that
6 Garnett was the same person who had robbed him at gunpoint three years
7 earlier. UC 39 explained that he did not initially recognize Garnett during the
8 "buy and bust" operation because, during the earlier encounter, Garnett had
9 been three years younger, had looked younger and thinner, and had had "a lot of
10 hair on his head." App'x at 293. UC 39 was precluded from testifying about the
11 robbery during Garnett's state criminal trial.

12 Garnett has consistently denied having any involvement in the drug sale
13 and denies making the statement attributed to him by UC 39. After he was
14 arrested, Garnett was searched and no drugs, other contraband, or any other
15 evidence of criminal activity, were found on his person. Nevertheless, Garnett
16 was arraigned and charged with criminal sale of a controlled substance in the
17 third degree and criminal sale of marijuana in the fourth degree on the basis of

1 UC 39's communications with the prosecutor. Garnett pled not guilty and bail
2 was set at \$50,000. Unable to post bail, Garnett remained in custody for nearly
3 eight months. On July 6, 2012, a jury acquitted Garnett of all charges and he was
4 released.

5 **C. Garnett's Federal Damages Lawsuit**

6 On October 4, 2013, Garnett filed a lawsuit in the district court for the
7 Southern District of New York (Rakoff and Woods, *JJ.*). On December 9, 2013,
8 Garnett filed his amended complaint against officers involved in his arrest,
9 including UC 39 and UC 243, alleging, among other things, false arrest, malicious
10 prosecution, failure to intervene, and denial of the right to a fair trial under 42
11 U.S.C. § 1983. On December 20, 2013, defendants moved to dismiss all claims
12 except the false arrest claim and denial of the right to a fair trial claim against UC
13 39. On February 10, 2014, the district court (Jed S. Rakoff, *J.*) granted the motion
14 in part and denied it in part. The court dismissed all claims except: (1) the false
15 arrest claim against UC 39, UC 243, and two other officers, (2) the failure to
16 intervene claim against UC 243, (3) the malicious prosecution claims, under both
17 federal and state law, against UC 39 and UC 243, (4) the right to a fair trial claim

1 against UC 39 and UC 243, and two other state law claims not relevant to this
2 appeal.

3 On March 27, 2014, defendants moved for summary judgment. On April 8,
4 2014, the case was reassigned from Judge Rakoff to Judge Woods. On August 13,
5 2014, the district court (Gregory H. Woods, *J.*) granted the motion in part and
6 denied it in part, in particular denying summary judgment on Garnett's false
7 arrest, malicious prosecution, and fair trial claims against UC 39, and the failure
8 to intervene claim against UC 243. On October 14, 2014, the district court denied
9 defendants' motion for reconsideration.

10 **D. The Federal Damages Trial**

11 On November 17, 2014, the case proceeded to trial on those four claims. At
12 trial, Garnett testified on his own behalf and presented five additional witnesses
13 in his case-in-chief: UC 39, UC 243, Lieutenant Neftali Betances, Roper, and
14 Detective Tyrone Viruet. UC 39 and UC 243 adopted the testimony of the police
15 officer witnesses presented in Garnett's case and rested.

16 UC 39 testified, in relevant part, that Garnett entered Lexington Grocery
17 while Cintron, Roper, and UC 243 were conducting the "buy" transaction, at

1 which point Garnett gave UC 39 a “hard look” “[u]p and down.” App’x at 255-
2 56. UC 39 testified that Garnett then spoke “sternly” to Cintron and Roper,
3 telling them to “hurry up, I’m not looking to get locked up tonight[.]” App’x at
4 256. After Garnett spoke, UC 39 testified that Garnett looked “upset” and that
5 Roper and Cintron “began to move quicker and they seemed, after his statement,
6 nervous, and they seemed to have been taking [Garnett’s] direction, his order.”
7 App’x at 256-57. This led UC 39 to testify that he believed Garnett was the
8 “manager of the transaction.” App’x at 257.

9 Despite UC 39 testifying that Garnett told Roper and Cintron to “hurry
10 up,” UC 39 went on to testify that Garnett went to the counter in the bodega to
11 conduct a transaction. That testimony was inconsistent with the testimony UC 39
12 had given before the grand jury. At Garnett’s civil trial, UC 39 was confronted
13 with his grand jury testimony where he stated that Garnett went to the counter
14 *before* “turn[ing] around” and saying to Cintron and Roper, “Yo, hurry up. What
15 the hell is taking so long? I’m not trying to go back to jail. Get that money, get
16 that money, let’s go.” App’x at 257-58. UC 39 then testified that, after conducting

1 some sort of transaction at the counter, Garnett looked back at UC 39, exited the
2 store, crossed the street, and entered an audio/video store.

3 For his part, UC 243 testified that he did not notice Garnett outside the
4 bodega, that UC 39 did not alert him to Garnett's presence, and that he did not
5 see Cintron or Roper make eye contact with a third person outside the store. UC
6 243 did testify that he saw Garnett enter the store and say something. After being
7 presented with his grand jury testimony, UC 243 also testified that he recalled
8 that Garnett's statement "grabbed [] Cintron's attention" and that "Cintron
9 looked over [UC 243's] left shoulder" and Roper "started moving quickly."
10 App'x at 317-18. But he also testified that, although he has "good hearing" and
11 was "standing only a few feet away" from Garnett, he "did not hear what []
12 Garnett said[.]" App'x at 321.

13 Garnett's account at trial differed greatly from those of UC 39 and UC 243.
14 Garnett testified that, in the late morning of November 19, 2011, he went to his
15 grandmother's house in order to drop off his niece and then sent a text message
16 to a friend, Quincy Brown, who invited Garnett to Brown's apartment and also

1 invited some other friends over.³ After Garnett arrived at Brown's apartment and
2 had some drinks, Garnett testified that Cintron and Roper arrived at the
3 apartment but that he did not speak with them.

4 Garnett testified that he left Brown's apartment, alone, around
5 "nighttime." App'x at 363. Garnett said he went to Crown Fried Chicken, a
6 restaurant, which was near the bodega. Garnett said he did not see Roper or
7 Cintron "again after [he] left [Brown's] apartment." At Crown Fried Chicken,
8 Garnett testified that he ordered "the center breast," then "stepped out," and
9 "was going to walk in the [grocery] store" but saw that "it was crowded." App'x
10 at 364. Garnett said he was going to the bodega to buy a soda because the sodas
11 were cheaper in the bodega than they were at Crown Fried Chicken. But Garnett
12 denied entering the grocery store because the "doorway[] was, like, crowded"
13 and then he "heard the guy that work[ed] [at] the chicken spot knock on the
14 window, so [he] went back in there and grabbed the chicken, and found out [he]

³ UC 39 noted that Garnett's trial testimony differed from his deposition testimony. In his deposition, Garnett claimed that he was at his grandmother's house the day of his arrest, that he went straight to a fried chicken restaurant, and that he did not see Roper and Cintron at any time on November 19, 2011 until after he had been arrested.

1 had enough anyway for the soda, and walked directly across the street in[to] the
2 game store.” App’x at 365.

3 Garnett testified that he spoke to his cousin Shedy Bolton in the game
4 store, but then was handcuffed by a police officer, searched both outside the
5 game store and later at the 25th Precinct, and that the officers found no drugs,⁴
6 contraband, or money, and that the only items he had on him at the time of his
7 arrest were an iPod, a cell phone, Blistex, and the chicken. Importantly, Garnett
8 testified that he had no involvement in the sale, did not act as a lookout, did not
9 enter the store during the sale, and did not say anything to Cintron and Roper.
10 Garnett flatly denied committing any crime on November 19, 2011.

11 Roper also testified at the federal trial. He confirmed that he, Cintron, and
12 Garnett were at Quincy Brown’s apartment earlier in the day on November 19,
13 2011, but, somewhat inconsistently with Garnett’s testimony, Roper stated that
14 all three of them—Garnett, Cintron, and Roper—“left Quincy Brown’s house and
15 headed to Lexington Avenue *together*.” App’x at 453 (emphasis added). But

⁴ Although Garnett testified that he may have had “K2” on him at the time of his arrest on November 19, 2011, App’x at 366, none of the briefs contests Garnett’s statement that officers found no drugs or contraband on him.

1 Roper then testified that Garnett was “a little bit separated from us[,]” and when
2 Cintron and Roper started “going toward the grocery store . . . [Garnett] started
3 backing away from where we was going in the same direction” and Garnett
4 instead went to the “chicken spot.” App’x at 453.

5 Roper also testified that he and Cintron were “chilling” in front of the bodega
6 when the “informants” —presumably UC 39 and UC 243—arrived and so Roper
7 and Cintron “decided to go inside the store and make a sale[.]” App’x at 453.

8 Roper testified that he believed that, at the time, Garnett was still at the “chicken
9 spot, because if he was with us, he would have went inside the store with us.”

10 App’x at 453-54. Roper said he did not “remember seeing [Garnett] inside the
11 store at all” and said he “remember[ed] it like it was yesterday.” App’x at 454.

12 Roper did not recall whether Garnett entered the store at any point and stated
13 that he never heard Garnett say anything along the lines of “Hurry up, y’all ain’t
14 done yet. Get that money[.]” App’x at 449. Indeed, Roper testified that while he
15 was in the store, he saw Garnett “cross the street” with a “brown bag in his
16 hand.” App’x at 449. Roper flatly denied that Garnett was involved in the sale.

II. Procedural History

A. The Jury Instructions, Deliberations, and Verdict

At the conclusion of trial, the district court instructed the jury that, in order to establish his claims under Section 1983, Garnett had to demonstrate by a preponderance of the evidence that (1) the conduct of the defendant intentionally or recklessly deprived Garnett of a right protected by the Constitution of the United States, and (2) that the conduct was a proximate cause of the injuries and damages suffered by Garnett. *See* App'x at 523. Specifically with respect to the fair trial claim, the district court instructed the jury that Garnett had to show: “(1) that [UC 39] fabricated evidence of a material nature; (2) that the fabricated evidence of a material nature was likely to influence a jury’s decision; (3) [that] this fabricated evidence of a material nature was intentionally forwarded to prosecutors by [UC 39]; and (4) [that Garnett] suffered a deprivation of liberty as a result of fabricated evidence of a material nature.” App'x at 530. The verdict form asked the jury to determine whether Garnett had proven his false arrest, malicious prosecution, denial of the right to a fair trial, and failure to intervene claims by a preponderance of the evidence. There was no special interrogatory

1 asking the jury to determine whether there had been probable cause for Garnett's
2 arrest or prosecution.

3 At some point during the jury's deliberations, the district court received a
4 note from the jury stating, in relevant part:

5 [W]e ask for clarification on probable cause. If an individual reasonably
6 appears to have knowledge of a criminal transaction currently taking
7 place, but does not appear to be involved, is probable cause established?

8 App'x at 568-69. Garnett's counsel asked the district court to instruct the jury that
9 mere knowledge of activity without involvement in the activity does not
10 constitute probable cause to arrest. The officers' counsel objected, stating a
11 response to that effect would "answer the question for [the jury]." App'x at 571.
12 Instead, the officers' counsel suggested the court should "refer the[jury] back to
13 the law and explain the law." App'x at 571. The district court agreed, explaining:

14 What I think I would like to do is to develop with you, counsel, a proposed
15 response that will both refer the[jury] back to the relevant language in the
16 existing set of instructions, and try to point the[jury] more closely to what
17 it is that they're supposed to be evaluating here. Namely, whether or not a
18 reasonable person would understand that Mr. Garnett was involved in
19 criminal activity. And that that is taken from the point of view of a
20 reasonable officer under the circumstances.

1 App'x at 571-72. Garnett's counsel reaffirmed his position that "mere knowledge
2 that criminal activity is ongoing is not in itself criminal activity" in response.

3 App'x at 572.

4 The district court then distributed a proposed supplemental instruction
5 which stated, in relevant part, "[i]f a reasonable person in the officer's shoes
6 looking at the totality of the circumstances would believe there is a probability
7 that the plaintiff had committed a crime or is committing a crime, there would be
8 probable cause for his arrest." App'x at 574. Garnett's counsel renewed his
9 objection, again asking for an instruction that mere knowledge is not sufficient.

10 After a colloquy between counsel and the court, the district court revised the
11 proposed instruction and added a sentence that stated if "a reasonable person in
12 the officer's shoes looking at the totality of the circumstances would not believe
13 that there was a probability that the plaintiff had committed a crime or was
14 committing a crime, there would not be probable cause for his arrest." App'x at
15 575. Garnett's counsel maintained his objection, arguing the language was not
16 responding to the jury's question, but conceded that the instruction was an

1 accurate statement of the law. The district court delivered the instruction to the
2 jury.

3 The jury subsequently returned a verdict finding that Garnett had proven
4 his denial of the right to a fair trial claim by a preponderance of the evidence but
5 had not proven his false arrest, malicious prosecution, or failure to intervene
6 claims. The jury awarded Garnett punitive damages of \$20,000 and nominal
7 damages of \$1.

8 **B. The District Court's Decision on the Post-Trial Motions**

9 Following the trial, UC 39 moved for, in relevant part, judgment as a
10 matter of law pursuant to Federal Rule of Civil Procedure 50 on the denial of a
11 right to a fair trial claim.⁵ UC 39 argued that the fabricated evidence did not
12 cause Garnett's deprivation of liberty because UC 39 had had probable cause to
13 arrest Garnett. *Garnett v. Undercover Officer C0039*, No. 1:13-cv-7083, 2015 WL
14 1539044, at *3 (S.D.N.Y. Apr. 6, 2015). The district court denied UC 39's motion,
15 holding that the arguments were "wrong as a matter of law." *Id.* at *1.

⁵ Before the jury was charged, UC 39 moved for a directed verdict on all claims against him pursuant to Federal Rule of Civil Procedure 50. He renewed that motion after trial.

Specifically, the district court relied on *Ricciuti v. N.Y.C. Transit Authority*, 124 F.3d 123 (2d Cir. 1997) for the principle that “[n]o arrest, no matter how lawful or objectively reasonable, gives an arresting officer or his fellow officers license to deliberately manufacture false evidence against an arrestee. To hold that police officers, having lawfully arrested a suspect, are then free to fabricate false [information] at will, would make a mockery of the notion that Americans enjoy the protection of due process of the law and fundamental justice.” *Garnett*, 2015 WL 1539044 at *1 (quoting *Ricciuti*, 124 F.3d at 130). The district court held that *Ricciuti* directly controlled the outcome of UC 39’s motion for judgment as a matter of law, and accordingly denied the motion. *Id.* at *1, *4-*6.

At the same time, Garnett moved for a new trial pursuant to Federal Rule of Civil Procedure 59 on his false arrest, malicious prosecution, and failure to intervene claims based on the district court’s response to the jury’s question regarding probable cause. *Id.* at *3, *12-*14. The district court determined that its response to the jury note was both “an accurate statement of the law” and “adequately addressed the jury’s question[.]” *Id.* at *14. Accordingly, the district court denied Garnett’s motion because its “instruction on probable cause, taken

1 as a whole, [wa]s correct and sufficiently covered the case so that the jury could
2 intelligently determine the questions presented to it." *Id.* (internal quotation
3 marks and citation omitted).

4 DISCUSSION

5 I. The District Court Did Not Err in Denying UC 39's Motion for 6 Judgment As A Matter of Law

7 A. Standard of Review

8 This court reviews a district court's denial of a motion for judgment as a
9 matter of law de novo. *Manganiello v. City of New York*, 612 F.3d 149, 161 (2d Cir.
10 2010). In doing so, the court "appl[ies] the same standards that are required of
11 the district court." *Id.* (internal quotation marks and citation omitted).

12 Accordingly, the court "must draw all reasonable inferences in favor of the
13 nonmoving party," "may not make credibility determinations or weigh the
14 evidence[,] and "must disregard all evidence favorable to the moving party that
15 the jury is not required to believe." *Id.* (internal quotation marks, emphases, and
16 citations omitted).

1 **B. Applicable Law and Analysis**

2 The instant case presents this court with an important legal question:
3 whether *Ricciuti*'s holding—that a Section 1983 plaintiff may sue for denial of the
4 right to a fair trial based on a police officer's fabrication of information—applies
5 when the information fabricated is the officer's own account of his or her
6 observations of alleged criminal activity, which he or she then conveys to a
7 prosecutor. We answer that question in the affirmative, while emphasizing the
8 essential limiting principles encompassed by the *Ricciuti* standard.

9 In *Ricciuti*, the court flatly rejected the argument that “as long as [an] arrest
10 complied with the Fourth Amendment, [a Section 1983 plaintiff] can have no
11 claim for post-arrest fabrication of evidence against [him or her].” 124 F.3d at 130.
12 *Ricciuti* grew out of an altercation between Harlice Watson, an African-American
13 New York City Corrections officer, and Alfred Ricciuti, a white civilian. *Id.* at
14 125. After Watson complained to police that Ricciuti had assaulted him, Ricciuti
15 was arrested. Lieutenant Robert Wheeler, a police lieutenant who interviewed
16 Ricciuti, wrote in a report that Ricciuti had confessed to being drunk, to having
17 hit Watson, and to having addressed Watson using a racial epithet. *Id.* at 126. The

1 purported confession, which Ricciuti (and his nephew, who had been present at
2 the interview with Wheeler) insisted was fabricated by Wheeler, made its way
3 into several subsequent investigation reports and, based in part on the
4 confession, the assault was classified as bias-related and the Bronx district
5 attorney added a charge of aggravated harassment in the second degree. *Id.* All
6 charges were subsequently dismissed by a pre-trial court order after Lieutenant
7 Wheeler, despite multiple adjournments, “failed to appear to testify in support of
8 the ‘confession.’” *Id.* at 127.

9 During Ricciuti’s subsequent Section 1983 federal civil case for violation of
10 his constitutional right to a fair trial, the district court granted defendants’
11 motion for summary judgment based in relevant part on defendants’ argument
12 that “so long as there was probable cause for Alfred Ricciuti’s arrest—
13 independent of the allegedly fabricated evidence—the fabrication of evidence is
14 legally irrelevant.” *Id.* at 129-30. On appeal, the panel decisively rejected
15 defendants’ argument in an opinion that is worth quoting at length:

16 This argument—an ill-conceived attempt to erect a legal barricade to
17 shield police officials from liability—is built on the most fragile of
18 foundations; it is based on an incorrect analysis of the law and at the same
19 time betrays a grave misunderstanding of those responsibilities which the

1 police must have toward the citizenry in an open and free society. No
2 arrest, no matter how lawful or objectively reasonable, gives an arresting
3 officer or his fellow officers license to deliberately manufacture false
4 evidence against an arrestee. To hold that police officers, having lawfully
5 arrested a suspect, are then free to fabricate false confessions at will, would
6 make a mockery of the notion that Americans enjoy the protection of due
7 process of the law and fundamental justice. Like a prosecutor's knowing
8 use of false evidence to obtain a tainted conviction, a police officer's
9 fabrication and forwarding to prosecutors of known false evidence works
10 an unacceptable corruption of the truth-seeking function of the trial
11 process.

12 When a police officer creates false information likely to influence a jury's
13 decision and forwards that information to prosecutors, he violates the
14 accused's constitutional right to a fair trial, and the harm occasioned by
15 such an unconscionable action is redressable in an action for damages
16 under 42 U.S.C. § 1983. Here, a reasonable jury could find, based on the
17 evidence, that defendants . . . violated the plaintiffs' clearly established
18 constitutional rights by conspiring to fabricate and forward to prosecutors
19 a known false confession almost certain to influence a jury's verdict. These
20 defendant police officers are not entitled to summary judgment on the
21 ground of qualified immunity. Qualified immunity is unavailable where,
22 as here, the action violates an accused's clearly established constitutional
23 rights, and no reasonably competent police officer could believe otherwise.

24 *Id.* at 130 (internal quotation marks and citations omitted). *Ricciuti's* reasoning
25 applies as much to a situation where, as here, the falsified information was the
26 officer's account, conveyed to prosecutors, of what he heard the defendant say or
27 do during the alleged offense, as it did in *Ricciuti*, where the officer was
28 describing what he heard the defendant say during an interview after his arrest.

1 UC 39 makes two arguments against relying on *Ricciuti*. First, UC 39
2 argues that *Ricciuti* does not control this case because *Ricciuti* addressed only
3 whether qualified immunity was available to police officers who willfully
4 fabricated evidence, rather than the merits of Ricciuti's denial of the right to a fair
5 trial claim. See *Jovanovic v. City of New York*, 486 F. App'x 149, 152 (2d Cir. 2012).
6 Second, UC 39 argues that falsification of evidence has always been addressed
7 under the auspices of false arrest and malicious prosecution claims under the
8 Fourth Amendment. UC 39 maintains that application of a denial of the right to a
9 fair trial claim to falsified evidence would upset the balance between liability and
10 probable cause developed in Fourth Amendment jurisprudence.

11 On the first point, UC 39 is incorrect that *Ricciuti*'s holding is limited to the
12 question of immunity. In *Ricciuti*, the panel held that fabrication of evidence
13 violated a "clearly established constitutional right[]" and thus the officers were
14 not entitled to qualified immunity. *Ricciuti*, 124 F.3d at 130 ("Qualified immunity
15 is unavailable where, as here, the action violates an accused's clearly established
16 constitutional rights, and no reasonably competent police officer could believe
17 otherwise."). Thus, in order to find that the officers were not entitled to qualified

1 immunity, the panel necessarily *also* held that the officers' conduct in fabricating
2 Ricciuti's supposed "confession" violated a clearly established constitutional
3 right, the right to a fair trial.⁶ As the *Ricciuti* court explained, "[w]hen a police
4 officer creates false information likely to influence a jury's decision and forwards
5 that information to prosecutors, he violates the accused's constitutional right to a
6 fair trial[.]" *Id.*; see also *Morse*, 804 F.3d at 548 (citing *Ricciuti* for this proposition);
7 *Zahrey v. Coffey*, 221 F.3d 342, 344, 348, 355 (2d Cir. 2000) (citing *Ricciuti* for this
8 proposition and concluding that qualified immunity did not apply because there
9 is a clearly established "constitutional right not to be deprived of liberty as a
10 result of the fabrication of evidence by a government officer acting in an
11 investigatory capacity," and noting that the "liberty deprivation" was the eight
12 months of confinement plaintiff experienced between bail revocation and his
13 acquittal, while the due process violation was "the manufacture of false
14 evidence" against him). We find UC 39's argument that *Ricciuti* does not address

⁶ Whether this right is rooted in the Sixth Amendment or Fifth and Fourteenth Amendments, or both, is an issue we need not decide because the constitutional harm resulting from the falsified information at issue is in any event redressable in an action for damages under 42 U.S.C. § 1983. See *Morse v. Fusto*, 804 F.3d 538, 547 n.7 (2d Cir. 2015), *petition for cert. docketed*, No. 15-1510 (June 15, 2016).

1 the merits of the fair trial claim unpersuasive, as well as contrary to the explicit
 2 reasoning of our opinion in that case.⁷

3 UC 39's reliance on *Jovanovic v. City of New York*, a non-precedential
 4 summary order, is also misplaced. In *Jovanovic*, the court stated that the elements
 5 of a denial of the right to a fair trial claim were: "an (1) investigating official
 6 (2) fabricates evidence (3) that is likely to influence a jury's decision, (4) forwards

⁷ Not surprisingly, in light of our language in *Ricciuti*, many district courts in our circuit have cited *Ricciuti* as controlling on the merits of fair trial claims, and not merely in qualified immunity cases. See, e.g., *Gomez v. City of New York*, 14-CV-2621, 2016 WL 2591883, at *3 (E.D.N.Y. May 5, 2016) (citing *Ricciuti*, among other authorities, for the proposition that "[w]hen an officer supplies false information to a prosecutor about a suspect, the officer has violated that suspect's right to a fair trial[,] . . . even if the officer had probable cause to arrest the accused," as well as for the proposition that qualified immunity is unavailable "where, as here, the action violates an accused's clearly established constitutional rights, and no reasonably competent officer could believe otherwise"); *Soomro v. City of New York*, No. 13CV0187, 2016 WL 1266069, at *6 (S.D.N.Y. Mar. 30, 2016) ("The Second Circuit's 1997 precedential decision in *Ricc[iu]ti* makes it clear that the fabrication and provision of material false evidence to a prosecutor is a violation of clearly established constitutional rights of the accused."); *Pesola v. City of New York*, 15-cv-1917, 15-cv-1918, 2016 WL 1267797, at *10 (S.D.N.Y. Mar. 30, 2016) (quoting *Ricciuti*, 124 F.3d at 130); *Ganek v. Leibowitz*, 15cv1446, 2016 WL 929227, at *9 (S.D.N.Y. Mar. 10, 2016) (same), *appeal docketed*, No. 16-1463 (2d Cir. May 9, 2016).

1 that information to prosecutors, and (5) the plaintiff suffers a deprivation of
2 liberty as a result.” 486 F. App’x at 152. UC 39 interprets the “as a result”
3 language of the fifth element to mean that the falsified information must be the
4 *only* reason the plaintiff suffered a deprivation of his liberty, such that a
5 privileged arrest is sufficient to negate this element of an arrestee’s Section 1983
6 claim. UC 39 places more weight on the “as a result” language than it can bear.

7 First, the cited language is not the holding of the case, which neither
8 purports to decide any new point of law nor claims to constrict or revise the
9 holding of *Ricciuti*. The recitation of elements is simply a restatement of the
10 holdings of prior cases. Second, that formulation is in fact derived from the
11 following language in *Ricciuti*: “When a police officer creates false information
12 likely to influence a jury’s decision and forwards that information to prosecutors,
13 he violates the accused’s constitutional right to a fair trial, and the harm
14 occasioned by such unconscionable action is redressable in an action for damages
15 under 42 U.S.C. § 1983.” *Ricciuti*, 124 F.3d at 130; *see Jovanovic*, 485 F. App’x at 152
16 (citing *Jocks v. Tavernier*, 316 F.3d 128, 138 (2d Cir. 2003), and *Ricciuti*, 124 F.3d at
17 130); *Jocks*, 316 F.3d at 138 (quoting *Ricciuti*, 124 F.3d at 130). But that language

1 cannot mean what UC 39 would have it mean. In *Ricciuti*, as here, the court was
2 addressing a claim of a denial of the right to a fair trial based on an officer's
3 provision of false information where the arrest itself had been privileged. *See* 124
4 F.3d at 130. Thus, even though the arrest of one of the plaintiffs, Alfred Ricciuti,
5 was supported by probable cause, and therefore a privileged arrest accounted for
6 at least some portion of the deprivation of his liberty, the *Ricciuti* court
7 nevertheless found that Alfred Ricciuti had suffered a deprivation of liberty as a
8 result of the officer's fabrication of a false confession. *Id.* *Ricciuti* thus necessarily
9 stands for the proposition that a privileged arrest is insufficient to negate the fifth
10 element of a fair trial claim when an officer falsifies information "likely to
11 influence a jury's decision and forwards that information to prosecutors." *Id.*
12 Third, the conclusion is entirely sound. An *arrest* is lawful when supported by
13 probable cause—a rather low threshold. But an arrest in itself may involve only a
14 limited deprivation of liberty. The setting of bail, which may make the difference
15 between freedom and confinement pending trial, and the prosecutor's decision
16 to pursue charges rather than to dismiss the complaint without further action,
17 may depend on the prosecutor's and magistrate's assessments of the strength of

1 the case, which in turn may be critically influenced by fabricated evidence. Thus,
2 a further deprivation of liberty can result from the fabrication of evidence even if
3 the initial arrest is lawful. Fourth, *Jovanovich* itself, in the very next sentence after
4 the one relied upon by UC 39, explicitly states “[p]robable cause is not a defense”
5 to a claim for a denial of the right to a fair trial. 486 F. App’x at 152.

6 In short, a Section 1983 claim for the denial of a right to a fair trial based on
7 an officer’s provision of false information to prosecutors can stand even if the
8 officer had probable cause to arrest the Section 1983 plaintiff. The language of
9 *Jovanovich* cannot support the meaning UC 39 assigns to it.

10 UC 39’s second argument, that Garnett’s claim based on falsified
11 information is only cognizable as a claim for malicious prosecution or for false
12 arrest under the Fourth Amendment, and not as an independent fair trial claim,
13 is similarly unpersuasive.

14 First, claims alleging the denial of a right to a fair trial based on fabricated
15 information are redressable under the Constitution, regardless of which
16 constitutional provision provides the basis for the claim— “[c]ertain wrongs
17 affect more than a single right and, accordingly, can implicate more than one of

1 the Constitution's commands." *See Soldal v. Cook Cty., Ill.*, 506 U.S. 56, 70 (1992);
2 *see also Gregory v. City of Louisville*, 444 F.3d 725, 750–51 (6th Cir. 2006) (reversing
3 district court's conclusion that one factual premise could not form the basis of
4 separate claims of constitutional violations under Section 1983); *Riley v. City of*
5 *Montgomery, Ala.*, 104 F.3d 1247, 1253–54 (11th Cir. 1997) (permitting a
6 fabrication-of-evidence claim to go forward against one defendant while
7 rejecting malicious prosecution claim against others). Indeed, our sister circuits
8 have recognized that a plaintiff may pursue an independent due process claim
9 premised on fabricated evidence. As the Fifth Circuit has observed, we, along
10 with the Eighth, Ninth, and Tenth Circuits, have "all found denials of due
11 process when charges rest on fabricated evidence," and the Fifth Circuit agrees
12 that there is "a due process right not to have police deliberately fabricate
13 evidence and use it to frame and bring false charges against" an arrestee. *Cole v.*
14 *Carson*, 802 F.3d 752, 770-71 (5th Cir. 2015), *petition for cert. docketed*, No. 16-351
15 (Sept. 19, 2016).

16 Second, probable cause, which is a Fourth Amendment concept, should
17 not be used to immunize a police officer who violates an arrestee's non-Fourth

Amendment constitutional rights. *See Ricciuti*, 124 F.3d at 129-30 (rejecting defendants' argument that "so long as there was probable cause for [the] arrest . . . the fabrication of evidence is legally irrelevant" because such a rule would "make a mockery of the notion that Americans enjoy the protection of due process of the law and fundamental justice."); *see also Robinson v. City of Garland, Tex.*, No. 3:10-CV-2496-M, 2016 WL 1253557, at *8 (N.D. Tex. Feb. 29, 2016) (Ramirez, Mag. J.) ("There may be a due process violation . . . when police intentionally fabricate evidence, successfully get someone falsely charged, and relief under the Fourth Amendment is unavailable. The presence of probable cause does not forestall Plaintiff's options under the Fourteenth Amendment." (citations omitted)). Relatedly, using probable cause as a shield would unduly limit an arrestee's right to relief when a police officer fabricates evidence. Because probable cause is no defense to a denial of the right to a fair trial claim, fair trial claims cover kinds of police misconduct not addressed by false arrest or malicious prosecution claims. *See Ricciuti*, 124 F.3d at 130 ("[A] police officer's fabrication and forwarding to prosecutors of known false evidence works an unacceptable corruption of the truth-seeking function of the trial process."

(internal quotation marks and citations omitted)); *see also* *Castellano v. Fragozo*, 352 F.3d 939, 955 (5th Cir. 2003) (finding jury instructions “deeply flawed” when they limited the jury’s use of fabricated evidence to evaluate a Fourth Amendment malicious prosecution claim without allowing a finding of a Fourteenth Amendment due process violation).

Third and lastly, any limitation of false information allegations to false arrest and malicious prosecution claims would ignore the collateral consequences that are associated with fabricated information even if the officer had probable cause for the initial arrest. As the Fifth Circuit has observed, “[b]eing framed and falsely charged” damages an individual’s reputation, requires that individual to “mount a defense, and places him in the power of a court of law[.]” *Cole*, 802 F.3d at 772 (footnote omitted). “[T]hese wrongs . . . do not disappear where there is no violation of [the Fourth A]mendment” and, “where there is no more specific constitutional protection available, the Fourteenth Amendment may offer protection.” *Id.* (citations omitted); *see Lisker v. City of L.A.*, 780 F.3d 1237, 1242 (9th Cir. 2015) (explaining that “police investigative materials have evidentiary value wholly apart from assisting trial

1 testimony—they comprise part of the documentary record before the prosecution
2 and defense and affect charging decisions, plea bargaining, and cross-
3 examination of the investigating officers” (internal quotation marks and citation
4 omitted)).

5 Although we hold that *any* information fabricated by an officer can serve
6 as the basis of a claim for a denial of the right to a fair trial, we acknowledge
7 concerns raised by the City of New York (“City”) about attaching liability for
8 false information to an officer’s account of his or her own observations of an
9 alleged criminal activity giving rise to an arrest. For example, the City posits that
10 an affirmance of *Ricciuti* would lead to the retrial of every unsuccessful state
11 prosecution as a federal Section 1983 action and would turn an individual’s
12 resentment at being prosecuted into allegations of fabrication against police
13 officers. The City cautions that such retrials would impose burdens on society by
14 chilling the work of honest officers and by diverting energy away from general
15 policing and towards defensive litigation.

16 This court does not take those concerns lightly. Nonetheless, the City’s
17 proposed distinction between fabricated testimony about what an officer claims

1 to have seen during a “buy and bust” and fabricated testimony about a
2 purported confession is incoherent. The court’s holding in *Ricciuti* covers “false
3 information,” 124 F.3d at 130, not merely false information about confessions.
4 The information fabricated by Lieutenant Wheeler in *Ricciuti*, exactly like the
5 fabricated information at issue here, is nothing more or less than a false account
6 of something the officer claimed to have seen or heard the defendant say, which
7 he forwarded to prosecutors and to which he would be expected to testify at
8 trial. That the officer here fabricated testimony about what he heard in a bodega,
9 rather than what he heard in a police station during an interview, cannot
10 conceivably make a difference. Having considered the City’s policy arguments,
11 we find that the standard outlined in *Ricciuti* places appropriate limitations on
12 the availability of a claim for denial of the right to a fair trial based on fabrication
13 of information by a police officer which serve to address the City’s fears.

14 As the jury was properly instructed here, the standard in *Ricciuti* restricts
15 fair trial claims based on fabrication of information to those cases in which an
16 (1) investigating official (2) fabricates information (3) that is likely to influence a
17 jury’s verdict, (4) forwards that information to prosecutors, and (5) the plaintiff

1 suffers a deprivation of life, liberty, or property as a result. *See Ricciuti*, 124 F.3d
2 at 130; *see also Jocks*, 316 F.3d at 138. In order to succeed on a claim for a denial of
3 the right to a fair trial against a police officer based on an allegation that the
4 officer falsified information, an arrestee must prove by a preponderance of the
5 evidence that the officer created false information, the officer forwarded the false
6 information to prosecutors, and the false information was likely to influence a
7 jury's decision. *See, e.g., Ricciuti v. N.Y.C. Transit Auth.*, 70 F. Supp. 2d 300, 331
8 (S.D.N.Y. 1999) (jury charge on the denial of constitutional right to a fair trial
9 claim on remand in *Ricciuti*). These requirements all provide necessary limits on
10 the reach of a denial of a fair trial claim based on false information.

11 In particular, the requirements that the information be both false and likely
12 to influence a jury's decision constrain the types of information that can serve as
13 the basis for a denial of the right to a fair trial claim. *Ricciuti* has been the law for
14 nearly twenty years, without the dire results that the City predicts from the
15 perfectly routine application of its principles to the facts here. Experience thus
16 suggests that the limiting principles of *Ricciuti* have proven effective to date in
17 restricting claims for a denial of the right to a fair trial against police officers on

1 the basis of false information. The court thus embraces the limiting principles
2 inherent in the *Ricciuti* standard while affirming that *Ricciuti*'s holding applies to
3 falsified information contained in an officer's account of his or her observations
4 of alleged criminal activity which he or she conveys to prosecutors.

5 **II. The District Court Did Not Err in Denying Garnett's Motion for A**
6 **New Trial**

7 **A. Standard of Review of Jury Instructions**

8 This court reviews supplemental jury charges for abuse of discretion. *See*
9 *Uzoukwu v. City of New York*, 805 F.3d 409, 414 (2d Cir. 2015). "[I]f a supplemental
10 jury charge is legally correct, the district court enjoys broad discretion in
11 determining how, and under what circumstances, that charge will be given." *Id.*
12 (internal quotation marks and citation omitted). Further, "a trial court
13 responding to a note from a deliberating jury is only required to answer the
14 particular inquiries posed" and it "enjoys considerable discretion in construing
15 the scope of a jury inquiry and in framing a response tailored to the inquiry."
16 *United States v. Rommy*, 506 F.3d 108, 126 (2d Cir. 2007) (citations omitted).

1 **B. Analysis**

2 In his cross-appeal, Garnett argues that the district court erred in declining
3 to instruct the jury, in response to the jury's request for clarification on the issue,
4 that mere knowledge of criminal activity without involvement in the activity
5 does not constitute probable cause to arrest.

6 We find the district court did not abuse its discretion in declining to give
7 this instruction. First, Garnett's counsel agreed that the supplemental instruction
8 in response to the jury's note "accurate[ly]" stated the law to the extent that it
9 charged "an innocent person could be arrested if it appears to a reasonable
10 officer that a crime was being committed [by that person]." App'x at 577-78. "[A]
11 jury instruction will be deemed adequate, so long as the charge, taken as a whole,
12 is correct and sufficiently covers the case so that a jury can intelligently
13 determine the questions presented to it." *Care Travel Co. v. Pan Am. World*
14 *Airways*, 944 F.2d 983, 996 (2d Cir. 1991) (internal quotation marks and citations
15 omitted). Because the district court's probable cause instruction was "correct and
16 sufficiently covered the essential issues[,]" *Luciano v. Olsten Corp.*, 110 F.3d 210,
17 218 (2d Cir. 1997) (internal quotation marks and citation omitted), we cannot say

1 that the supplemental instruction “created a distinct risk of confusing the jury[,]”
2 *Gordon v. N.Y.C. Bd. of Educ.*, 232 F.3d 111, 118 (2d Cir. 2000). The district court’s
3 instructions made clear, and correctly so, that probable cause is evaluated by
4 examining, “based on the totality of the circumstances,” whether the police
5 officer has “knowledge of or reasonably trustworthy information as to facts and
6 circumstances . . . sufficient to warrant a person of reasonable caution in the
7 belief that an offense has been or is being committed by the person to be
8 arrested.” App’x at 524. That instruction was sufficient to make clear that
9 (a) what matters is the officer’s reasonable belief; and (b) that the reasonable
10 belief has to be that the individual to be arrested “has been or is . . . commit[ing]”
11 a criminal offense. *Id.* Along with this probable cause instruction, the district
12 court instructed the jury that Garnett had been arrested for criminal sale of a
13 controlled substance and that Garnett could be liable for the conduct of others if,
14 “acting with the mental culpability required for the commission thereof, he
15 intentionally aids such person in such conduct.” App’x at 526-27. The
16 supplemental instruction reiterated these basic principles that are central to
17 probable cause and to the offense for which Garnett was arrested. Thus,

1 combining the district court's instructions on probable cause and aiding and
2 abetting, the charge taken as a whole made clear that for UC 39 to have probable
3 cause to believe that Garnett was aiding and abetting a drug sale, the officer
4 would have to have had a reasonable belief that Garnett not only knew that an
5 illegal transaction was occurring, but also was "intentionally aiding" that sale of
6 narcotics. Accordingly, we hold that the district court's supplemental charge
7 "correct[ly] and sufficiently covered the essential issues" with respect to the
8 jury's question on probable cause. *See Luciano*, 110 F.3d at 218.

9 Furthermore, the district court expressly permitted the jury to send
10 another note if its supplemental instruction did not answer the original question,
11 but the jury did not do so. Thus, this court is satisfied that the district court did
12 not abuse its discretion in giving the challenged supplemental jury charge.

13 CONCLUSION

14 For the foregoing reasons, this court holds that the district court did not err
15 in denying UC 39's motion for judgment as a matter of law or in denying
16 Garnett's motion for a new trial. In doing so, this court confirms that the holding
17 of *Ricciuti*, along with the limiting standard therein, applies to false information

1 contained in an officer's own account of his or her observations of alleged
2 criminal activity giving rise to an arrest which he or she then conveys to
3 prosecutors. Accordingly, the decision of the district court is **AFFIRMED**.