

13-4466
USA v. Yannai

1 UNITED STATES COURT OF APPEALS
2 FOR THE SECOND CIRCUIT

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4 August Term, 2014

5 (Argued: November 19, 2014

Decided: June 25, 2015)

6 Docket No. 13-4466

7 _____
8 UNITED STATES OF AMERICA,

9 Appellee,

10 - v. -

11 JOSEPH YANNAI,

12 Defendant-Appellant.
13 _____

14 Before: KEARSE, JACOBS, and RAGGI, Circuit Judges.

15 Appeal from a judgment of the United States District Court for the Eastern District of
16 New York, Edward R. Korman, Judge, convicting defendant of violation of the Mann Act, 18 U.S.C.
17 § 2422, labor offenses, 18 U.S.C. §§ 1351, 1589, and offenses with regard to the importation and
18 employment of aliens, 8 U.S.C. §§ 1324, 1328, following a jury verdict entered in defendant's
19 absence. Defendant argues that his right to be present at trial was violated by the district court's
20 refusal to delay the final stage of trial when he was unconscious and hospitalized, and by its refusal
21 to grant a mistrial or a new trial, refusals based on the court's finding that defendant had waived his
22 right to be present by deliberately overdosing on prescription drugs.

23 Judgment affirmed; remanded for clerical correction.

1 HILARY L. JAGER, Assistant United States Attorney,
2 Brooklyn, New York (Loretta E. Lynch, United States
3 Attorney for the Eastern District of New York, Jo Ann
4 M. Navickas, Daniel A. Spector, Assistant United
5 States Attorneys, Audrey E. Stone, Special Assistant
6 United States Attorney, Brooklyn, New York, on the
7 brief), for Appellee.

8 GEORGIA J. HINDE, New York, New York (Neil B.
9 Checkman, New York, New York, on the brief), for
10 Defendant-Appellant.

11 KEARSE, Circuit Judge:

12 Defendant Joseph Yannai appeals from a judgment entered in the United States District
13 Court for the Eastern District of New York following a jury trial before Edward R. Korman, Judge,
14 convicting him of enticement and coercion of others to travel in interstate and foreign commerce to
15 engage in sexual activity, in violation of 18 U.S.C. § 2422; forced labor and attempted forced labor,
16 in violation of 18 U.S.C. § 1589; fraud in foreign labor contracting, in violation of 18 U.S.C. § 1351;
17 importation of aliens for immoral purposes, in violation of 8 U.S.C. § 1328; inducement of an alien
18 to illegally enter and reside in the United States, in violation of 8 U.S.C. § 1324; and unlawful
19 employment of aliens, in violation of 8 U.S.C. § 1324 [sic]. Yannai was sentenced principally to 132
20 months' imprisonment, to be followed by a three-year term of supervised release. The jury's verdict
21 was returned while Yannai was hospitalized after having overdosed on prescription medication. On
22 appeal, he contends principally that his right to be present at trial was violated by the district court's
23 refusals to adjourn the trial for more than one day or to grant him a mistrial or a new trial, based on
24 its finding that Yannai had waived that right because the overdose was intentional and his absence

1 was voluntary. He also contends that the resumption of trial after a continuance of no longer than one
2 day was prejudicial to him because jurors were exposed to media reports that his absence was due to
3 an attempt to commit suicide. Finding no basis for reversal, we affirm.

4 We remand only for a clerical correction of the judgment to state that the section
5 violated by Yannai's unlawful employment of aliens was 8 U.S.C. § 1324a, rather than § 1324.

6 I. BACKGROUND

7 On August 3, 2010, while Yannai was facing New York State charges of labor
8 trafficking and sexual abuse, he was arrested on related federal charges. A superseding federal
9 indictment ("Indictment") alleged that from approximately 2003 to 2009, Yannai used the Internet to
10 lure young women to the United States with offers of employment as his assistant at his home in
11 Pound Ridge, New York. In so doing, he often represented that he was a woman named "Joanna" or
12 "Sylvia" who had previously worked as a Yannai assistant. The Indictment alleged that Yannai chose
13 young women, mostly between the ages of 18 and 25, based on their photographs and their
14 willingness to live in his house. He instructed the selected women to travel to the United States on
15 tourist visas and to conceal their work plans from immigration authorities. The Indictment alleged
16 that after the women arrived at his home, Yannai limited their contact with the outside world and
17 abused them sexually.

18 Except as indicated, the following facts are not in dispute. Some are reflected in
19 documents filed under seal, which are hereby deemed unsealed to the extent that their contents are
20 quoted or described in this opinion.

1 A. Yannai's First Overdose

2 On August 3, 2010, when federal agents arrived at the home of Yannai and his wife
3 to arrest him, Yannai deliberately swallowed a large number of pills in an attempt to commit suicide.
4 Yannai had learned of the impending federal charges and had planned for months to commit suicide,
5 stockpiling pills for the day when federal agents came to arrest him. Shortly after taking the pills,
6 Yannai collapsed and was taken by ambulance to a hospital, where he was intubated. A toxicology
7 screen of his urine was positive for benzodiazepine, a class of drugs that includes diazepam and
8 temazepam. Valium--a brand name for diazepam--is commonly taken during waking hours to reduce
9 anxiety; temazepam--also known by the brand name Restoril--is a type of sleeping pill to be ingested
10 at bedtime. Although a tox screen showing benzodiazepine does not distinguish between diazepam
11 and temazepam, at least one August 2010 hospital record indicated that Yannai had overdosed on
12 Valium.

13 According to hospital records, on the day after that overdose Yannai, while sedated,
14 indicated to the medical staff that he had overdosed intentionally and that he was still suicidal. Asked
15 why, he mouthed the words "I'm finished." On the following day, prior to his release into federal
16 custody, Yannai stated that he felt hopeless about his legal prospects and that he would attempt to
17 commit suicide again rather than go to prison.

18 B. Pre-Trial Proceedings and the Trial Evidence

19 At Yannai's August 11, 2010 bail hearing, the government sought a permanent order
20 of detention, arguing principally that his admitted suicide attempt and his statements to hospital staff
21 demonstrated that he posed an extreme risk of flight. At the hearing, Yannai testified that when the

1 agents came to arrest him on August 3, he attempted to commit suicide because he did not want to go
2 to jail (see Detention Hearing Transcript, August 11, 2011 ("Bail Tr."), at 35, 42); he said the pills he
3 ingested at that time were sleeping pills, although he did not know what they were called (see id.
4 at 43), but they were not Valium (see id. at 50). Yannai testified that after taking the pills he was
5 unconscious for two days (see id. at 43), and he admitted that after regaining consciousness, he told
6 hospital staff that he would try to commit suicide again (see id. at 51-52).

7 Yannai testified that he would not attempt to commit suicide again if released on bail,
8 however, explaining that he realized, after a conversation with his wife, that his earlier attempt was
9 a mistake. And he indicated that, after meeting his attorney in the present case, he felt a renewed
10 determination to fight the federal charges. The magistrate judge found Yannai's testimony persuasive
11 and ordered his release on a \$500,000 secured bond.

12 Yannai was unable to provide suitable security, however, and remained in custody for
13 several months. He eventually appealed to the district judge to reduce the amount of the required
14 bond. The government again objected to Yannai's release, arguing that as the proceedings progressed
15 it would become increasingly clear to Yannai that he would be convicted and would face a lengthy
16 term of imprisonment. It argued that Yannai's prior conduct raised a very serious concern that if
17 Yannai were on pretrial release, he would eventually fail to appear in court or would again attempt
18 suicide.

19 The district court was persuaded to reduce the amount of the bond to \$125,000. The
20 court observed, inter alia, that Yannai had abided by bail conditions imposed in connection with his
21 state prosecution and that the Bureau of Prisons had taken him off of suicide watch. Yannai promptly
22 satisfied the reduced bond and was released on bail.

1 Yannai's trial began on May 23, 2011. The government's evidence included testimony
2 from an investigator who had analyzed Yannai's computer and found at least 1,500 form emails
3 written from the perspective of a supposed former Yannai assistant, sometimes signed "Joanna" or
4 "Sylvia," and addressed to various women whom Yannai sought to interest in his purported
5 employment offer. Five women testified to the emails they had received from Yannai in his own
6 name and emails from purported former Yannai assistants named "Joanna" or "Sylvia" using language
7 substantially identical to the form emails found by the investigator on Yannai's computer. The women
8 testified that they accepted Yannai's offers of employment and were instructed by email to lie to
9 immigration authorities and state that the purpose of their trips was tourism rather than work. They
10 testified that after they arrived to work for Yannai, he subjected them to sexual abuse, including
11 forcible kissing, groping their breasts, digitally penetrating one woman, and attempting to force
12 another to perform oral sex on him.

13 On May 26, the parties rested their cases, and the court adjourned trial until Tuesday
14 May 31. On May 31, the court held a charge conference at which the court's instructions to the jury
15 were finalized. The parties then delivered their summations.

16 C. Yannai's Second Overdose and the Remainder of Trial

17 On Wednesday morning June 1, Yannai failed to appear in court. Defense counsel
18 reported that Yannai had collapsed at a gas station on his way to the courthouse and was in a hospital
19 emergency room; soon thereafter, the government reported that Yannai was unconscious and that the
20 medical personnel were unsure of the nature of his problem. (See Trial Transcript ("Trial Tr.") 1165,
21 1168.) The Assistant United States Attorney reminded the court of the government's previously

1 expressed concerns about the risk of flight or suicide, and he asked the court to issue a subpoena for
2 Yannai's medical records, arguing that toxicology results might confirm that Yannai had again
3 attempted suicide. The court denied the request on the ground that it was premature. The court
4 dismissed the jury for the day and made arrangements for the jurors to telephone the court at the end
5 of the day to learn when they would be required to return (see id. at 1172-74); the parties attempted
6 to gather further information as to Yannai's condition and the circumstances of his collapse.

7 After a recess, the government reported on a conversation between a hospital
8 psychiatrist and government case agents from whom the psychiatrist had sought information as to
9 whether Yannai had a history of overdosing; the psychiatrist asked the agents because Yannai's wife
10 had denied such a history and her denial conflicted with Yannai's medical records. The psychiatrist
11 told the case agents that Yannai appeared to have attempted to commit suicide by overdosing. Yannai
12 was still unconscious but was expected to recover fully. (See Trial Tr. 1179.)

13 Defense counsel, after speaking on the telephone with the psychiatrist (albeit assuming
14 at the time of the conversation that he was simply the emergency room physician (see id. at 1182-83)),
15 relayed to the court the psychiatrist's statement that Yannai might be released from the hospital as
16 early as the next day but that "[i]t might be a couple of more days" before he would be "physically
17 able to be released" (id. at 1180-81). Counsel indicated that, as to whether Yannai had attempted
18 suicide, the hospital's findings were inconclusive, but that the psychiatrist's best guess was that he had
19 overdosed. (See id. at 1181-82.)

20 The court thereafter, with counsel present, spoke by telephone conference with the
21 psychiatrist and Yannai's primary attending physician--an internist--to learn their evaluations first-
22 hand. The psychiatrist stated that he had been called in "[b]ecause it appeared that the most likely

1 cause for" Yannai's condition "was an overdose of medication" and because Yannai's medical records
2 "indicated that the gentleman has a history of suicide attempt in the past." (Trial Tr. 1186.) The
3 psychiatrist added that

4 it was the opinion of the physicians in his care, that includes the emergency
5 room physician who initially evaluated him when he arrived, and [the
6 internist], who was asked to admit him to the hospital when the emergency
7 department determined that he needed to be admitted to the hos[]pital. It was
8 their opinion that the most likely explanation for his being unconscious at the
9 present time was that--was due to an overdose of Valium or some such
10 medication.

11 (Id. at 1187.)

12 The internist agreed. She said that various other causes had been eliminated based on
13 the stability of Yannai's electrolytes and vital signs and the absence of any acute changes; she stated
14 that Yannai's "urine tox [wa]s positive for Benzodiazepine and amphetamine." (Id. at 1188.) She said
15 that the psychiatrist was involved because "this is likely an overdose case." (Id. at 1188-89.) She
16 described Yannai as "pretty unresponsive" and predicted that he would probably awaken in one or two
17 days. (Id. at 1189.)

18 Following receipt of these evaluations, the court found that Yannai had voluntarily
19 absented himself from the criminal proceedings. Defense counsel objected, stating that, although "[i]t
20 appears to be an overdose," there was "no conclusion from the doctors that this was a suicide attempt
21 or an intentional overdose." (Id. at 1191.) The court found, however, that the overdose was
22 intentional:

23 [F]irst of all, they've told me what they've ruled out which is what I asked the
24 doctor and they've ruled out a number of causes.

25 Second, the overdose that he's had is not two pills. It's not three pills.
26 And it was a combination of amphetamines and Valium

1 And he has a propensity to do this. He's done it once before. . . . I
2 think I have a sufficient basis to conclude that this was the result of a voluntary
3 act.

4 (Id.)

5 Defense counsel argued that Yannai had attended trial on a timely basis every day and
6 "was on his way to court today," and that hence there was no basis for the court to find that Yannai
7 "willingly absented himself from today's proceedings." (Id. at 1193-94.) The court disagreed, stating,

8 [w]ell, I have a doctor's opinion that it was likely that he did. I have doctors
9 who tell me they've ruled out other possible causes. And this is not the first
10 time.

11 (Id. at 1194.)

12 The court noted that Yannai had been present from the beginning of the trial through
13 the summations, and through the final discussion of the instructions to be given to the jury, and that
14 "he's got a right to be here" for the jury charge and during the period of jury deliberations "if he
15 wanted to be here[, a]nd if he didn't voluntarily absent himself." (Id. at 1191-92.) The court
16 concluded, however, that the charge and deliberations period are stages at which Yannai would have
17 absolutely "nothing to contribute" (id. at 1192, 1193), that it was convinced that its "factual finding
18 is an accurate one," and that on balance it was appropriate to proceed with the trial, which would
19 resume at noon the next day (id. at 1193). The court stated that if the trial were still at an
20 "evidentiary" stage, "the balance might be the other way." (Id.)

21 On the following day, Thursday, with Yannai still unconscious, defense counsel
22 renewed their objection to the resumption of trial in his absence, arguing that they could not know the
23 reason for his collapse until they had an opportunity to speak with him. The government reported that
24 the doctors thought "the earliest" that Yannai "could possibly be released would . . . be late Friday

1 night or Saturday." (Trial Tr. 1204.) The district court adhered to its decision to proceed with the
2 trial. It stated that Yannai might have overdosed intentionally for a purpose other than suicide,
3 suggesting that "[m]aybe he thought he might make it to the courthouse and actually carry on a scene
4 here." (Id. at 1203.) But regardless of whether Yannai's purpose was suicide or merely disruption
5 of the trial proceedings, the court found that "the objective evidence strongly suggests certainly by
6 more likely than not, and possibly beyond a reasonable doubt, that this was a deliberate act." (Id.; see,
7 e.g., id. at 1204 ("Based on this record, . . . it's quite clear that this was a deliberate act.").)

8 Defense counsel asked that the court inform the jury that Yannai was in the hospital.
9 Over the government's objection, the court agreed. It preceded its instructions on the law by telling
10 the jury,

11 you may have noticed that the defendant, Joseph Yannai is not present here.
12 He was hospitalized yesterday. It's not serious. He's likely to be released
13 within the next day or two but under the law, under these circumstances I
14 could proceed in his absence and that's what I am going to do.

15 (Id. at 1207.) After receiving the court's instructions, the jury began its deliberations. That afternoon,
16 the jury sent the court five notes. Two requested clarification on issues of law; one requested copies
17 of the trial transcript and emails introduced at trial; one requested a break in order for two jurors to
18 pray; and the final note asked to rehear some of the trial testimony.

19 On Friday morning June 3, two jurors submitted notes to the court stating they had
20 heard that Yannai had attempted suicide. The court consulted with counsel and questioned those two
21 jurors and one other juror who had heard such a report. After each of those jurors stated that what he
22 had heard would not affect his ability to continue as a fair and impartial juror, defense counsel stated
23 that they "d[id]n't have any applications at th[at] point." (Trial Tr. 1336.) The jury resumed
24 deliberations.

1 At approximately 2:15 that afternoon, defense counsel informed the court that Yannai
2 was awake and lucid, and they asked and received permission to speak with him privately by
3 telephone. Following a recess, Yannai's counsel stated as follows:

4 MR. SCHNEIDER: Just now about ten to 4:00, I understand we have
5 a note from the jury saying they've reached a verdict. We were able to finally
6 speak to our client, Mr. Yannai, about 3:15, I think, something like that. We
7 spoke to him on the phone from his hospital bed in White Plains. He told us
8 that he did not intentionally overdose on any medications. He certainly did not
9 intend to kill himself.

10 He does take Valium to sleep and he had taken Valium the night before
11 but he woke up in the morning, put on his best suit and came down to court
12 here. He was feeling very confident, he said after the summations. He felt--
13 was feeling bad and dizzy as he drove near White Plains. He passed White
14 Plains on the Hutchinson Parkway and pulled off at a gas station and that was
15 the last thing he remembered until he just woke up today.

16 (Trial Tr. 1345-46 (emphasis added).) Counsel stated that, given the hospital information that there
17 were

18 metabolites of Valium in his system, we don't believe that the finding that he
19 voluntarily absented himself was correct. And at this point, he has missed not
20 only the jury charge but lengthy discussions, especially yesterday concerning
21 the juror questions that were sent about the jury charge.

22 He had a right to be present during those discussions and he wasn't. So
23 at this point, we're moving for a mistrial and that the jury be discharged.

24 (Id. at 1346-47.)

25 The court denied the motion, stating that it would not grant a mistrial on the basis of
26 Yannai's statement, which was not only "unsworn" but "implausible," especially as the doctors had
27 also found amphetamines in his system and as he had made a "prior [suicide] attempt." (Id. at 1347.)
28 The court remarked that "if you take one or two Valiums before you go" to bed, "this is not going to
29 happen the next day. . . . He's not going to sleep for two days." (Id. at 1348.)

1 Thereafter, the jury returned its verdict, with Yannai listening by telephone. The jury
2 found him guilty on all counts. Yannai remained hospitalized for another week.

3 D. Yannai's Motion for a New Trial

4 In March 2012, represented by new counsel, Yannai moved pursuant to Fed. R. Crim.
5 P. 33 for a new trial, arguing principally that the district court had erred by continuing the trial in his
6 absence after his second overdose. In support of his motion, Yannai submitted his own declaration
7 and the declarations of his personal physician and one of his trial attorneys. Yannai's declaration
8 stated in part as follows:

9 In August of 2010, when federal agents came to arrest me on the charges in
10 this case, I felt desperate, hopeless and succumbed to despair by swallowing
11 a large number of sleeping pills. That was an intentional act. The pills I took
12 at that time were not Valium, but some other prescription I had to help me
13 sleep.

14 (Declaration of Joseph Yannai dated February 23, 2012 ("Yannai Decl."), ¶ 3.) Yannai stated that
15 during this prosecution, he was experiencing severe leg and back pain that made it difficult for him
16 to sleep.

17 In order to get some sleep so that I could be as alert as possible when meeting
18 with my attorneys and, later, at my trial, I began to take Valium tablets in the
19 evenings to help me sleep. I never took excessive amounts: only two or three
20 tablets at a time. Whenever I woke during the night and could not get back to
21 sleep--which occurred several times a night--I took another two or three
22 tablets.

23 (Id. ¶ 6 (emphases added).) With respect to the night of May 31, Yannai stated:

24 As usual, I had difficulties sleeping that night and took two or three Valium
25 tablets before I went to bed and whenever I woke up, because I wanted to get
26 as much sleep as possible before returning to Court. I did not take anything
27 other than my usual medications that day and evening. The next morning, I
28 felt better than I had on the morning before, so I drove to Court instead of

1 calling a car service. I began to feel dizzy after about 40 minutes, however, so
2 I pulled over at a gas station, intending to rest a few minutes before continuing
3 my drive. Instead, I woke up in a hospital bed.

4 (Id. ¶ 9 (emphases added).) Yannai assured the court that he "did not want to stop the trial," that he
5 "did want to be there, to participate in any way I could," and that he did want to "see it through to the
6 end." (Id. ¶ 10.) He said his failure to attend trial on June 1 "was not . . . the result of any intentional
7 act on my part." (Id.)

8 The declaration of Yannai's trial attorney stated that Yannai had been an active,
9 engaged, and positive participant in his trial preparations, and that after summations Yannai had told
10 the attorney that he felt very optimistic about the trial's outcome. The declaration of Yannai's personal
11 physician advanced the view that the most likely cause of Yannai's second overdose was an accidental
12 residual sedative buildup as a result of his frequent use of benzodiazepine drugs for sleep. The
13 physician also stated that Yannai's positive test result for amphetamine could be explained by pills
14 the physician had prescribed to Yannai for narcolepsy or by certain over-the-counter medicines such
15 as Sudafed; and he suggested that accidental carbon monoxide poisoning from Yannai's very old car
16 could have contributed to his collapse on June 1.

17 In opposition to Yannai's new-trial motion, the government submitted the declaration
18 of toxicologist Elizabeth Spratt, who estimated that Yannai's declaration that he took two or three
19 Valium tablets several times during the night before his nonappearance in court, i.e., at most 12 pills,
20 severely underreported his Valium intake. She noted that the strongest dosage of Valium available
21 for legal sale is 10-milligram tablets; thus, if the declaration were accurate, Yannai would have
22 ingested no more than 120 milligrams of Valium. However,

23 [a]ccording to Yannai's medical records he is 240 pounds For an
24 individual of his size a dose of even 120 milligrams would not result in the

1 collapse and coma that transpired on June 1, 2011 and continued until June 3,
2 2001. Instead, a much larger dose of at least 380 milligrams taken would be
3 required to cause such a result in a man of Yannai's size. Even assuming
4 Yannai took 10mg tablets (the largest available tablet size), Yannai would
5 have needed to ingest 38 pills within a short period of time to cause himself to
6 slip into a 3-day coma-like state. Ingestion of such a large quantity of pills
7 necessarily demonstrates that Yannai purposefully overdosed on valium.

8 (Declaration of Elizabeth Spratt signed June 28, 2012 ("Spratt Decl."), ¶ 5.) Spratt also disputed
9 Yannai's physician's residual-buildup theory. She opined that Yannai "almost certainly ingested the
10 valium[,] identified in his urine test at White Plains hospital[,] after awakening in the morning, either
11 shortly before or during his drive from Northern Westchester to Brooklyn" on June 1, because if he
12 had ingested the

13 valium during the course of the night, it would not have been possible that he
14 woke up alert and ready to drive only to then become overcome with dizziness
15 and pass out 40 minutes into the drive. Instead, he would have remained
16 asleep in his bed.

17 (Id. ¶¶ 7, 6.)

18 Yannai, in reply, submitted the declaration of toxicologist Dr. Richard A. Stripp, who
19 disputed Spratt's conclusions, stating that her calculations ignored relevant individual variables and
20 that her conclusions could not be supported simply by a urine toxicology screen test without further
21 blood or plasma tests, which were never performed. (Declaration of Richard A. Stripp, dated
22 September 27, 2012 ("Stripp Decl."), ¶¶ 7-8, 11-12.) He too concluded, however, that "the available
23 medical evidence [wa]s adequate to establish that Mr. Yannai likely succumbed to an overdose of a
24 benzodiazepine-based drug such as Valium" (Id. ¶ 14.)

25 In addition to these declarations and expert opinions, the government submitted
26 medical records related to Yannai's second overdose. These records for June 1, 2, and 3 indicated that
27 hospital staff had performed chemical and toxicology tests on Yannai and had consistently found that

1 his condition was most likely caused by a deliberate overdose of benzodiazepine. They included the
2 psychiatrist's report that on June 3, 2011, Yannai said he could not be sure just how many pills he had
3 taken in the early morning hours of June 1; he said he had taken "a bunch" at a time; and he had taken
4 the last "maybe at 5AM."

5 Finally, a court-appointed toxicologist, Dr. Harry A. Milman, gave his opinions in a
6 February 25, 2013 written report ("Milman Report") and at a toxicology hearing (see Hearing
7 Transcript, July 11, 2013 ("Tox. Tr.")), after examining Yannai's medical records and receiving what
8 Yannai represented were his three most recent prescriptions for benzodiazepine drugs. Dr. Milman
9 testified that the Yannai statement that he had ingested a bunch of Valium at 5:00 a.m. on June 1,
10 2011, was consistent with his falling unconscious shortly after he began his drive to court only if he
11 took at least 24 5mg Valium pills that morning. (See Tox. Tr. 72, 78.) But Dr. Milman noted that
12 Yannai's last prescription for Valium, according to the information provided by Yannai, was for 30
13 pills, 5mg each, in 2007. (See, e.g., id. at 17, 20, 25, 47.) Thereafter, in 2009 and 2010, Yannai had
14 been prescribed 30mg tablets of temazepam. (See id. at 17.) Dr. Milman opined that on June 1, 2011,
15 Yannai had likely overdosed not on Valium but instead on temazepam. (See id. at 17-18; Milman
16 Report at 33.) He estimated the quantity at 4-10 temazepam pills; he was "confident . . . within a
17 reasonable degree of scientific certainty" that Yannai had taken "at least four" temazepam pills. (Tox.
18 Tr. 17-18; see also id. at 37.) He had "less confidence" in his estimate as it got "closer to ten," saying,
19 "more likely than not, he took at least four. He may have taken more than four but I have no evidence
20 that he did." (Id. at 18.)

21 In his written report, Dr. Milman had opined that Yannai's overdose on temazepam on
22 June 1, 2011, was most likely accidental (see Milman Report at 32), in part because he believed that

1 "Yannai considered temazepam and diazepam the same drug and used the term Valium generically
 2 to describe both benzodiazepine drugs" (id. at 18-19). However, at the hearing Dr. Milman noted that
 3 he had not spoken with Yannai and that when he wrote his report he was not aware of either (a)
 4 Yannai's statements in his February 2012 declaration that the pills he took during the night of May
 5 31, 2011, were Valium or (b) his statements in both that declaration and his bail hearing testimony
 6 that the pills he took in August 2010 were sleeping pills and not Valium. (See, e.g., Tox. Tr. 36,
 7 44-45, 51-52.) After learning that Yannai had expressly distinguished between Valium and sleeping
 8 pills, Dr. Milman retreated from his conclusion that Yannai did not know the difference. (See id.
 9 at 44-46.) However, he stated, "I'm not sure how much [Yannai] understood" (id. at 46), and he
 10 remained of the view that the drug Yannai took in 2011 was temazepam rather than Valium (see
 11 generally id. at 59-60, 62, 72), although based on the available information, there was "no way to
 12 know which drug [Yannai] actually took" for either overdose (Tox. Tr. 22-23).

13 Dr. Milman, who was not a psychiatrist or a physician (see Tox. Tr. 13, 33), testified
 14 that he could not "determin[e] to a degree of scientific certainty . . . whether or not Mr. Yannai
 15 attempted to commit suicide or intentionally ingested an overdose of th[e drugs" found in his system
 16 (id. at 13 (emphasis added)). But leaving aside purpose, Dr. Milman testified variously that if Yannai
 17 took only four temazepam pills the overdose was likely accidental (see id. at 72 ("my confidence is
 18 good on the low end that if he took four pills, it was accidental")); but that as Yannai's temazepam
 19 prescription called for one pill, taken at bedtime, the ingestion of four or more was a "deliberate,"
 20 "intentional" overdose (e.g., id. at 32 ("he was prescribed one tablet So anything above that and
 21 anything contrary to that is deliberate."); id. at 14 ("he was prescribed one tablet Temazepam 30 mgs
 22 at bedtime. So, if he ingested more than one, obviously it was intentional")). Dr. Milman said

1 he "ha[d] no evidence that [Yannai] tried to commit suicide" or "tried to disrupt the hearing" (id.
2 at 18), but he "didn't rule out" those goals as "possibilit[ies]" (id. at 30).

3 Prior to scheduling the toxicology hearing, the court had invited Yannai and his wife
4 to provide the court with their testimony. Both declined. Prior to the hearing, the court had also
5 opined that Yannai should have the burden of proving that his overdose was not intentional. (See
6 Status Conference Transcript, April 25, 2013 ("April 2013 Tr."), at 22-23.)

7 In September 2013, at Yannai's sentencing hearing, the district court denied the motion
8 for a new trial. The court found the experts' opinions generally unhelpful with respect to Yannai's
9 purpose in overdosing, noting that it was "beyond the expertise of a toxicologist" to opine on
10 questions of intent based solely on the amount of drugs in a person's system (Sentencing Hearing
11 Transcript, September 23, 2013 ("Sent'g Tr."), at 12), and noting that Yannai had failed to provide
12 answers to critical questions (see id.). The court found, however, that Yannai's declaration disclosed
13 that he "was popping pills the whole night before" his June 1 nonappearance (id.); that Yannai's
14 declaration that the pills taken that night were Valium was not credible (see id. at 10; see also id. (also
15 noting that "Dr. Milman didn't believe that he took Valium"))); that Yannai "clearly understood the
16 difference" between sleeping pills and Valium (id. at 11); and that the doctors at the hospital "at the
17 very least established that he took an overdose of pills for the second time in the course of this
18 criminal proceeding" (id. at 16). The court found that, "more likely than not," Yannai overdosed
19 deliberately. (Id. at 18-19.)

20 The court also, in addition to adhering to its prior view that Yannai would have nothing
21 to contribute to the parts of the trial that he missed, stated that the case against Yannai was
22 "overwhelming" and that it was "inconceivable . . . by any standard" that the "result would have been

1 A. The Right To Be Present; Principles of Waiver

2 An accused's right to be present at his trial is "[o]ne of the most basic of the rights
3 guaranteed by the Confrontation Clause" of the Sixth Amendment. Illinois v. Allen, 397 U.S. 337,
4 338 (1970).

5 [T]he constitutional right to be present at one's own trial exists "at any stage
6 of the criminal proceeding that is critical to its outcome if [the defendant's]
7 presence would contribute to the fairness of the procedure."

8 United States v. Tureseo, 566 F.3d 77, 83 (2d Cir. 2009) ("Tureseo") (quoting Kentucky v. Stincer,
9 482 U.S. 730, 745 (1987)). "This right is codified in Rule 43 of the Federal Rules of Criminal
10 Procedure which provides that a defendant, unless voluntarily absent, shall be present at every stage
11 of the trial including . . . 'the return of the verdict.'" United States v. Rosario, 111 F.3d 293, 298 (2d
12 Cir.) (quoting Fed. R. Crim. P. 43(a) (other internal quotation marks omitted)), cert. denied, 522 U.S.
13 969 (1997).

14 A defendant may waive his right to be present, either expressly, see, e.g., Diaz v.
15 United States, 223 U.S. 442, 453, 455, 459 (1912), or by his conduct, see, e.g., Illinois v. Allen, 397
16 U.S. at 342-43. "[W]here the offense is not capital and the accused is not in custody, . . . if, after the
17 trial has begun in his presence, he voluntarily absents himself, this does not nullify what has been
18 done or prevent the completion of the trial, but, on the contrary, operates as a waiver of his right to
19 be present, and leaves the court free to proceed with the trial in like manner and with like effect as if
20 he were present." Diaz, 223 U.S. at 455. This exception to the defendant's right to be present is
21 codified in Rule 43(c)--formerly Rule 43(b), see Crosby v. United States, 506 U.S. 255, 259-60
22 (1993)--which provides that "[a] defendant who was initially present at trial . . . waives the right to
23 be present . . . when the defendant is voluntarily absent after the trial has begun," and that "[i]f the

1 defendant waives the right to be present, the trial may proceed to completion, including the verdict's
 2 return . . . during the defendant's absence," Fed. R. Crim. P. 43(c)(1)(A) and (c)(2). This rule, which
 3 "allows an ongoing trial to continue when a defendant disappears[,] deprives the defendant of the
 4 option of gambling on an acquittal knowing that he can terminate the trial if it seems that the verdict
 5 will go against him." Crosby, 506 U.S. at 262 (emphasis added).

6 "A defendant who deliberately fails to appear in court does so voluntarily," and "his
 7 absence can be considered a 'knowing' waiver." United States v. Tortora, 464 F.2d 1202, 1208 (2d
 8 Cir.) ("Tortora"), cert. denied, 409 U.S. 1063 (1972); see, e.g., United States v. Sanchez, 790 F.2d
 9 245, 248-50 (2d Cir.) ("Sanchez"), cert. denied, 479 U.S. 989 (1986); United States v. Pastor, 557
 10 F.2d 930, 933-34 (2d Cir. 1977) ("Pastor"). Waiver of a constitutional right, however, is not to be
 11 presumed; indeed, "[t]here is a presumption against" such a waiver. Brookhart v. Janis, 384 U.S. 1,
 12 4 (1966); see, e.g., Glasser v. United States, 315 U.S. 60, 70 (1942). The district court "must
 13 vigorously safeguard a criminal defendant's right to be present." United States v. Fontanez, 878 F.2d
 14 33, 36 (2d Cir. 1989) ("Fontanez").

15 "A waiver is ordinarily an intentional relinquishment or abandonment of a known right
 16 or privilege." Johnson v. Zerbst, 304 U.S. 458, 464 (1938); see, e.g., Tureseo, 566 F.3d at 83-84;
 17 Polizzi v. United States, 926 F.2d 1311, 1319 (2d Cir. 1991) ("Polizzi"); United States v. Hernandez,
 18 873 F.2d 516, 518-19 (2d Cir. 1989) ("Hernandez"); Tortora, 464 F.2d at 1208. "The determination
 19 of whether there has been an intelligent waiver . . . must depend, in each case, upon the particular
 20 facts and circumstances surrounding that case" Johnson v. Zerbst, 304 U.S. at 464. When the
 21 issue is whether the defendant has waived his right to be present at a critical stage of the criminal
 22 proceedings by absenting himself, the district court ordinarily must conduct an inquiry on the record

1 to determine whether the defendant has a sound excuse for his absence, see Tureseo, 566 F.3d
 2 at 83-84, or whether instead the defendant's "absence . . . was, in fact, knowing and voluntary,"
 3 Hernandez, 873 F.2d at 516. The defendant's absence on the date in question "must be appraised in
 4 the revealing light of what went on before and after that date." Pastor, 557 F.2d at 938; see also
 5 Sanchez, 790 F.2d at 251, 249 (stating that "[o]ur review of the district judge's exercise of discretion
 6 on this issue must be based on the relevant circumstances confronting the judge at the time of his
 7 ruling, without the benefit of hindsight," but noting that "no justification, either to the district court
 8 or on appeal, ha[d] been offered for [the defendant's] absence" (internal quotation marks omitted)
 9 (emphasis ours)).

10 The district court's ultimate decision whether to continue a trial while the defendant
 11 is voluntarily absent involves a balancing exercise that includes consideration of the public interest.
 12 See, e.g., Polizzi, 926 F.2d at 1319; Fontanez, 878 F.2d at 37; Pastor, 557 F.2d at 934.

13 [T]here is an inherent public interest in preventing contumacious defendants
 14 from dictating the conduct of their trials, as Diaz makes clear. There is also
 15 an element of public interest in avoiding inconvenience to assembled jurors
 16 and witnesses and the delay of other cases on the court's docket caused by an
 17 uncertain adjournment of the trial. In weighing the public interest in
 18 proceeding with trial against the defendant's cherished and fundamental right
 19 to be present, the district court must give due regard to the circumstances of
 20 the waiver. While there are circumstances in which it would be impermissible
 21 for a court to proceed with trial, see, e.g., Fontanez, 878 F.2d at 37 (holding it
 22 impermissible to proceed in a single-defendant case where court was informed
 23 that defendant's absence because of police detention would likely be brief),
 24 there is usually sufficient justification to do so even in the defendant's absence
 25 if the court finds the defendant to have engaged in "stonewalling and other
 26 misconduct," Sanchez, 790 F.2d at 250, or if "there is no reasonable likelihood
 27 that the trial could soon proceed with the defendant present," id. at 251.

28 United States v. Nichols, 56 F.3d 403, 418 (2d Cir. 1995) (emphases added); see, e.g., Tortora, 464
 29 F.2d at 1210 (public interest in proceeding with trial outweighed the defendant's right to be present

1 where, inter alia, "[e]xtensive delays would almost certainly have accompanied any adjournment and
2 the Government's main witness would have continued to be in potential danger until his testimony was
3 completed"); Fontanez, 878 F.2d at 37 (public interest in proceeding with trial did not outweigh the
4 defendant's right to be present where court was informed--accurately--that the defendant was being
5 officially detained and would be available shortly).

6 Our standard of review is multi-faceted. The district court's decision as to whether the
7 defendant had a right to be present at the stage in question is reviewed de novo. See, e.g., Tureseo,
8 566 F.3d at 83; United States v. Canady, 126 F.3d 352, 360 (2d Cir. 1997), cert. denied, 522 U.S.
9 1134 (1998). "[T]he court's factual findings as to whether [the defendant] was knowingly and
10 voluntarily absent will not be disturbed unless clearly erroneous." Polizzi, 926 F.2d at 1319 (internal
11 quotation marks omitted); see, e.g., Sanchez, 790 F.2d at 249; Pastor, 557 F.2d at 934. "Where there
12 are two permissible views of the evidence, the factfinder's choice between them cannot be clearly
13 erroneous." United States v. Murphy, 703 F.3d 182, 188 (2d Cir. 2012). "[T]he Sixth Amendment
14 . . . does not require the trial judge to accept at face value a defendant's claim of inability to appear
15 in court," Pastor, 557 F.2d at 934; nor does it require this Court to "confine [itself] . . . to
16 consideration only of the evidence tending to support [the defendant's] contentions," id. at 938. We
17 defer to the district court's factual determinations in this context because we recognize that "the trial
18 judge . . . is usually in a superior position to evaluate the evidence, including witnesses' credibility,
19 because of familiarity with the background and circumstances." Id. at 934.

20 Once the district court has found that a defendant's absence at a particular stage of trial
21 was voluntary, its decisions that the voluntary absence should be considered a waiver of the right to
22 be present and that the circumstances warrant a continuation of the trial are reviewed for abuse of

discretion. See, e.g., Tureseo, 566 F.3d at 83; Fontanez, 878 F.2d at 35-36. If we determine that the court's decision to proceed with trial in the defendant's absence was an abuse of discretion, we nonetheless will consider "whether the error created any reasonable possibility of prejudice" or whether instead it was harmless. Tureseo, 566 F.3d at 84 (internal quotation marks omitted); see, e.g., id. at 84-85 (holding that the failure to conduct an evidentiary hearing was harmless in light of the brevity of the defendant's absence during part of the jury charge, the instruction that the jury was not to draw any negative inference from the defendant's absence, and the overwhelming evidence of the defendant's guilt); Fontanez, 878 F.2d at 37-38 (holding that, in light of the government's report that the defendant would be arriving shortly, it was error, and not harmless, to address the jury's request for a read-back of testimony and to deliver an Allen charge in his absence); cf. United States v. Salim, 690 F.3d 115, 123-25 (2d Cir. 2012) (holding that it was error to find that the defendant's decision not to attend resentencing was voluntary without assessing the credibility and reasonableness of his explanation that that decision was based on his fear of abuse; but affirming because the issue was unpreserved and did not warrant reversal under plain-error analysis because the defendant did "not prove[] that his presence would have affected the outcome of his resentencing"), cert. denied, 133 S. Ct. 901 (2013).

B. The Denials of a Continuance, a Mistrial, and a New Trial

With these substantive principles in mind, we turn to the district court's decisions to deny (a) Yannai's motions at trial for a longer continuance and a mistrial and (b) Yannai's postverdict motion pursuant to Rule 33 for a new trial. A defendant's motion for a mistrial may be granted where something has occurred to interfere with the defendant's right to a fair trial. See generally United

1 States v. Farhane, 634 F.3d 127, 168-70 (2d Cir.), cert. denied, 132 S. Ct. 833 (2011). A defendant's
2 motion for a new trial pursuant to Rule 33 may be granted "if the interest of justice so requires." Fed.
3 R. Crim. P. 33(a). The court's denial of either type of motion is reviewable for abuse of discretion,
4 see, e.g., United States v. Marshall, 458 F.2d 446, 451 (2d Cir. 1972) (denial of a mistrial); United
5 States v. Owen, 500 F.3d 83, 87 (2d Cir. 2007), cert. denied, 552 U.S. 1237 (2008) (denial of a new
6 trial), as is the denial of a motion for a continuance, see, e.g., Bernstein v. Travia, 495 F.2d 1180,
7 1182 (2d Cir. 1974). A court abuses its discretion if (1) it relies on an erroneous view of the law,
8 (2) its decision rests on a clearly erroneous finding of fact, or (3) its decision--though not necessarily
9 the product of a legal error or a clearly erroneous factual finding--cannot be located within the range
10 of permissible decisions. See, e.g., United States v. Owen, 500 F.3d at 87.

11 Preliminarily, we note that, although Yannai argues that the district court erred in
12 opining that he had the burden of proving that his overdose was accidental rather than designed to
13 commit suicide or to disrupt the trial, we need not decide the burden-of-proof question. Although
14 seemingly conflicting statements as to which side has the burden can be found in our precedents,
15 compare United States v. Salim, 690 F.3d at 119 ("the government has not satisfied its burden of
16 proving that [the defendant] waived his right to be present"), with United States v. Sanchez, 790 F.2d
17 at 249 ("the defendant bears the burden of justifying his absence from a known proceeding against
18 him"), we think it clear (a) that in connection with the motion for a mistrial, the court did not impose
19 the burden of proof on Yannai, and (b) that in connection with the motion for a new trial, the matter
20 of which side bore the burden of proof as to whether the overdose was accidental or purposeful was
21 immaterial.

1 When defense counsel moved for a mistrial, reporting on their conversation with
2 Yannai after he regained consciousness, the district court found that Yannai's action was deliberate,
3 without indicating in any way that Yannai had the burden of proof. Indeed, its decision as framed
4 seems to indicate that the court viewed the burden of proof at that stage as being on the government--
5 and as having been easily met. The court stated that "the objective evidence strongly suggests . . . that
6 this was a deliberate act" (Trial Tr. 1203), that "[b]ased on this record, in my mind it's quite clear that
7 this was a deliberate act" (*id.* at 1204), and that deliberateness was established "certainly by more
8 likely than not, and possibly beyond a reasonable doubt" (*id.* at 1203 (emphases added)).

9 In connection with Yannai's Rule 33 motion for a new trial, the court repeatedly
10 expressed its view that the burden of proof as to whether Yannai's overdose was intentional or the
11 result of an accident should be on Yannai, as that information was peculiarly within his knowledge.
12 (See, e.g., April 2013 Tr. 22-23; Tox. Tr. 83-85; Sent'g Tr. 2-8.) However, there was no dispute that
13 the standard of proof on that issue was preponderance of the evidence, *i.e.*, which circumstance was
14 more likely than not; and, as the district court recognized, allocation of the burden of proof under that
15 standard is material only if the evidence is evenly balanced (see, e.g., Sent'g Tr. 17 ("the party who
16 bears the burden of proof where the evidence is [in] equipoise loses")). But the record is clear that
17 the court did not find the evidence as to whether Yannai's overdose was deliberate evenly balanced:

18 MS. HINDE [Yannai's attorney]: [I]s it the Court's finding that
19 the evidence is in equipoise?

20

21 THE COURT: He took it deliberately--you know, I don't find it in
22 equipoise. I find that it's more likely than not that he took it deliberately.

23 MS. HINDE: All right. Okay. So no equipoise.

1 (Id. at 18-19 (emphases added).) In finally denying Yannai's motion for a new trial "in the interest
2 of justice" (id. at 28-29), the court said that "even if the government had the burden of proof, I would
3 still find that his absence was voluntary and deliberate" (id. at 29).

4 In sum, the district court did not deny Yannai's motions on the basis of any view that
5 the evidence was evenly balanced. Accordingly, we need not further address the matter of allocation
6 of the burden of proof. To the extent that the burden was on the government, it was found to have
7 been satisfied.

8 1. The Denials of a Longer Continuance and a Mistrial

9 We see no error of law in the district court's refusal to adjourn the trial for more than
10 one day or in its denial of Yannai's motion for a mistrial. After being informed that Yannai was not
11 present and was in the hospital, the court sought to determine the cause of his hospitalization. The
12 court noted that a defendant has the right to be present at trial, including the stages at which the
13 instructions are being given to the jury and the jury is deliberating. It also correctly noted that the
14 court may proceed with a trial from which the defendant has voluntarily absented himself, but that
15 if Yannai "ha[d] a legitimate medical excuse," that would not constitute a voluntary absence. (Trial
16 Tr. 1167.)

17 Nor can we see any abuse of discretion in the court's efforts, described in Part I.C.
18 above, to determine the reason for Yannai's absence, or any clear error in its subsequent findings.
19 After being informed that Yannai had been hospitalized and was unconscious for reasons as yet
20 unknown, the court promptly adjourned the trial for the day, without deciding when the trial would
21 be resumed (see, e.g., Trial Tr. 1172-74). The court was then informed that a hospital psychiatrist told

1 federal agents that "[i]t appeared that Mr. Yannai had tried to commit suicide by taking an overdose"
2 (id. at 1177), and that the psychiatrist sought information from the agents because Yannai's medical
3 records revealed his August 2010 overdose but Yannai's wife denied that he had previously overdosed
4 (see id. at 1177-78). Defense counsel, after speaking with the psychiatrist, confirmed to the court that
5 the medical assessment was "that it was an overdose" (id. at 1182; see id. at 1185).

6 The court then by telephone, with counsel present, proceeded to solicit information
7 directly from both the psychiatrist and the internist who was primarily responsible for attending to
8 Yannai. Both doctors confirmed that the consensus of all of the physicians involved in his care was
9 that "the most likely explanation for his being unconscious . . . was . . . an overdose of Valium or
10 some such medication." (Id. at 1187; see id. at 1188 ("this is likely an overdose case").) Although
11 defense counsel argued that the medical opinions were inconclusive as to whether Yannai had
12 attempted suicide, they acknowledged that "[i]t appears to be an ove[r]dose." (Id. at 1191.)

13 Yannai's reliance on the First Circuit's decision in United States v. Latham, 874 F.2d
14 852 (1st Cir. 1989), for the proposition that "ingesting a potentially lethal overdose . . . 'does not mean
15 that [the defendant] voluntarily absented himself from trial'" (Yannai brief on appeal at 44 (quoting
16 874 F.2d at 858)), is unpersuasive. Although that court stated that "[i]t defie[d] common sense to
17 maintain that a sane defendant would attempt suicide to avoid a trial on drug charges," 874 F.2d at
18 858, what common sense suggests depends on the circumstances; and there is no hint in that opinion
19 that the defendant had ever previously attempted suicide--unlike Yannai's acknowledgement that in
20 August 2010 he attempted suicide "because [he] didn't want to go to jail" (Bail Tr. 42).

21 The district court's finding that Yannai's overdose was "the result of a voluntary act"
22 (Trial Tr. 1191) was supported by the toxicology report of drugs in Yannai's urine; by the physicians'

1 consensus, after ruling out other causes, that Yannai had overdosed to such an extent that he might
2 not regain consciousness for two more days; and by Yannai's admission at his bail hearing that he had
3 already once attempted to commit suicide by means of an overdose in order to avoid going to jail (see
4 Bail Tr. 35, 42; see also Trial Tr. 1191 ("He's done it once before.")). We cannot conclude that it was
5 clearly erroneous for the court to find that Yannai's overdose preventing his presence at trial on June
6 1 was deliberate.

7 In considering whether the trial should be resumed despite Yannai's absence, the
8 district court weighed Yannai's "right to be here if he wanted to be here[, a]nd if he didn't voluntarily
9 absent himself" (Trial Tr. 1192) against the fact that the proceedings had been completed through the
10 presentation of evidence, the closing arguments, and the finalization of the instructions to be given
11 to the jury, and against the court's assessment that for the final stages of the court's delivery of the
12 instructions and the jury's deliberations, Yannai would have nothing to contribute. Given these
13 considerations and the medical estimate that Yannai could be unconscious for at least two more days,
14 the court decided that the trial should be resumed at noon on June 2. Although it would have been
15 within the court's discretion to grant a longer continuance in hopes of obtaining information from
16 Yannai himself after he regained consciousness, it was not an abuse of discretion to decline to do so.
17 At least one day of jury time had been wasted (see id. at 1174 ("I've dragged" these "twelve citizens
18 in here today. I'm going to send them home after--in less than an hour.")), and on June 2, the
19 second day of Yannai's absence, it was reported that the earliest he was likely to be released from the
20 hospital was late Friday night or Saturday (see id. at 1204). And if there was any error in not granting
21 the two- or three-day continuance advocated by defense counsel, it was harmless, for such a
22 continuance would not have sufficed to permit Yannai to attend the remainder of trial: He was not

1 released from the hospital until June 10. Yannai has not identified any aspect of the public interest
2 that foreclosed resumption of the trial in his absence.

3 Nor can we conclude that the district court abused its discretion in denying the defense
4 motion for a mistrial. In that motion, made on June 3 shortly after the jury informed the court that it
5 had reached a verdict, but before the verdict was read, defense counsel stated that they had just spoken
6 to Yannai by telephone, that Yannai "told us that he did not intentionally overdose on any
7 medications" (Trial Tr. 1345), and that Yannai said "he had taken Valium the night before but he
8 woke up in the morning" and set out for court (id. at 1346 (emphasis added)). Counsel argued that
9 Yannai therefore should not be found to have absented himself voluntarily. The district court
10 declined--appropriately--to grant a mistrial "on the basis of [Yannai's] unsworn statement" (id.
11 at 1347). And the court found Yannai's statement to be "implausible" (id.) in light of, inter alia,
12 Yannai's "prior [suicide] attempt" (id.) and the unlikelihood that one would "sleep for two days" from
13 "tak[ing] one or two Valiums before . . . go[ing to bed]" (id. at 1348).

14 The court's skepticism proved to be well warranted, for Yannai's eventual sworn
15 declaration and the subsequently obtained hospital records showed that the statement relayed to
16 support the mistrial motion was misleadingly incomplete. Yannai's representation at the time of the
17 mistrial motion not only failed to state that he took more than the prescribed one-tablet dose of
18 Valium before going to bed the night before his June 1, 2011 failure to appear in court, but also
19 omitted that he took perhaps an additional dozen or more Valiums during the night. In his February
20 2012 declaration, Yannai revealed that he had taken "two or three Valium tablets before . . . bed," plus
21 "another two or three tablets" "[w]henver [he] woke during the night and could not get back to sleep-
22 -which occurred several times." (Yannai Decl. ¶¶ 9, 6.) Assuming that "several" means just three or

1 four, Yannai had ingested at least eight (two pills before bed plus two on three occasions during the
2 night) and as many as 15 (three pills before bed plus three on four occasions during the night)
3 Valiums that night. In addition, Yannai told the hospital psychiatrist that he had taken another
4 "bunch" of Valiums at about 5 a.m. on June 1, another fact not revealed at the time of the mistrial
5 motion.

6 In sum, we cannot conclude that the district court's decision on June 1 to proceed with
7 the trial in Yannai's absence or its denial of the June 3 motion for a mistrial constituted an abuse of
8 discretion.

9 2. The Denial of Yannai's Motion for a New Trial

10 Nor do we see an abuse of discretion in the district court's conclusion that the interest
11 of justice did not warrant a new trial. In connection with Yannai's Rule 33 motion, the court received
12 the opinions of the parties' experts as to whether Yannai had overdosed and if so whether the overdose
13 was accidental or intentional; and the court appointed Dr. Milman as a neutral expert to address those
14 questions.

15 As described in Parts I.C. and D. above, the general medical consensus was that there
16 had been an overdose. Yannai's claim in his declaration that he "never took excessive amounts" of
17 Valium was ludicrous in light of his admission in that declaration that he took "two or three tablets
18 at a time . . . several times a night" (Yannai Decl. ¶ 6). Dr. Milman indeed doubted whether the pills
19 ingested by Yannai in 2011 were Valium, theorizing that they were probably temazepam pills, which
20 were six times stronger than the Valium pills Yannai had been prescribed (and which had last been
21 prescribed in 2007). Given that Yannai was rendered unconscious "for about two and a half days and

1 [was] in a near coma state" (Tox. Tr. 57-58), Dr. Milman estimated that Yannai must have ingested
 2 at least four and perhaps as many as 10 temazepam pills (see id. at 72)--i.e., as much as 300 mg of
 3 benzodiazepine, and toxicologist Spratt estimated that Yannai must have ingested at least 380 mg of
 4 benzodiazepine (see Spratt Decl. ¶ 5 (Valium, according to Yannai)). Spratt opined that the overdose
 5 was "purposeful[]" (id.); Dr. Milman, as described in Part I.D. above, opined variously that the
 6 overdose may have been "accidental" (Tox. Tr. 72), or was "intentional" (id. at 14) or "deliberate" (id.
 7 at 32). Dr. Stripp's view was that neither "the science of toxicology [n]or its practitioners possess
 8 tools to determine whether an overdose was the result of accidental or intentional behavior." (Stripp
 9 Decl. ¶ 14.)

10 In light of the record, there was no genuine doubt that Yannai's failure to appear in
 11 court on June 1 was the result of an overdose:

12 THE COURT: Is it your position that it wasn't an overdose at all?

13

14 MR. CHECKMAN [counsel for Yannai]: My position--we don't
 15 challenge the fact that there may very well have been an overdose of
 16 Benzodiazepine in--what we contest is whether that overdose was anything
 17 other than accident.

18 (Tox. Tr. 10-11.)

19 As to what "other than accident" may have precipitated the overdose, the district court
 20 noted that the appropriate inquiry was not, narrowly, whether Yannai had attempted suicide; it was
 21 whether his absence from trial on June 1 was voluntary, and, if so, whether the purpose of his absence
 22 was disruption of the trial proceedings. (See, e.g., id. at 14.) The court's findings that Yannai's
 23 overdose was intentional, and that his absence was voluntary and designed to disrupt the trial, were
 24 supported by the record. Yannai's overdose in August 2010 had resulted in his being hospitalized for

1 two days. (See, e.g., Bail Tr. 43 ("I was unconscious for two days.")) The court permissibly inferred
2 that, having had that experience, Yannai would have been aware that a new overdose during the trial
3 would similarly result in his being unconscious and unable to attend court for a period of days. The
4 court was entitled to be skeptical of Yannai's claim of optimism as to the likely outcome of the trial,
5 especially given the court's own assessment that the evidence against Yannai was overwhelming. It
6 was also entitled to find not credible the claim that Yannai intended to be in court on June 1, given
7 that to do so he needed to leave home at 7 a.m. but took "a bunch" of additional Valiums to go back
8 to sleep at 5 a.m. Yannai's first intentional overdose was admittedly motivated by his desire not to
9 go to jail. It was not clearly erroneous for the court to find that his second intentional overdose, taken
10 after hearing all the evidence against him and predictably making him unavailable for the final days
11 of his trial, was designed to forestall the likely verdicts against him.

12 In light of these findings, the court concluded that the interest of justice did not warrant
13 a new trial, which would have necessitated, inter alia, convening a new jury and putting the women
14 who testified that Yannai had fraudulently hired and then sexually abused them--and who were
15 residents of five different foreign countries--through the inconvenience and trauma of testifying again.
16 We cannot conclude that the denial of a new trial was an abuse of discretion.

17 C. The Claim of Prejudicial Publicity

18 Yannai also contends that he was unduly prejudiced by media reports during trial,
19 heard by some of the jurors, that he had attempted to commit suicide. We see no flaw in the district
20 court's handling of this matter. After consulting with counsel, the court questioned the three jurors
21 who had heard of the media reports; all three said their ability to continue as fair and impartial jurors

1 would not be affected by what they had heard. See generally United States v. Scopo, 861 F.2d 339,
2 349 (2d Cir. 1988), cert. denied, 490 U.S. 1048 (1989); United States v. Gaggi, 811 F.2d 47, 51 (2d
3 Cir.), cert. denied, 482 U.S. 929 (1987); United States v. Gigante, 729 F.2d 78, 82 (2d Cir.), cert.
4 denied, 467 U.S. 1206 (1984). Defense counsel made no objections and did not suggest that anything
5 further need be done. We see no abuse of discretion in the court's determination that the jurors
6 retained the requisite impartiality and that the publicity did not warrant a new trial.

7 Yannai argues, however, that he was prejudiced by the district court's refusal to grant
8 more than a one-day continuance of trial because a two-day continuance would have allowed the jury
9 to be told that the reports of attempted suicide "were not true." (Yannai reply brief on appeal at 21;
10 see also Declaration of Michael Schneider dated September 7, 2012, ¶ 11 (after Yannai regained
11 consciousness and said he had not attempted suicide, the jury could have been told that the media
12 reports "w[ere] simply not true").) This contention is meritless, as it assumes the truth of Yannai's
13 representation that he did not again attempt suicide, which was far from established.

14 CONCLUSION

15 We have considered all of Yannai's arguments on this appeal and have found them to
16 be without merit. The matter is remanded for clerical correction of the judgment to show that
17 Yannai's conviction on Count 7, unlawful employment of aliens, was under 8 U.S.C. § 1324a, rather
18 than 8 U.S.C. § 1324. The judgment is otherwise affirmed.