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6 **IN THE UNITED STATES DISTRICT COURT**
7 **FOR THE DISTRICT OF ARIZONA**
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9 John Francis Mahoney,

10 Plaintiff,

11 v.

12 Sheena Valdez, et al.,

13 Defendants.
14

No. CV-23-08607-PCT-DJH

ORDER

15 Defendants Sheena Valdez and Danielle Thorhaug (“Defendants”), Probation
16 Officers for the State of Arizona, have filed a Motion to Dismiss for Lack of Subject Matter
17 Jurisdiction and Failure to State a Claim under Federal Rules of Procedure 12(b)(1) and
18 12(b)(6). (Doc. 42). *Pro se* Plaintiff John Francis Mahoney (“Plaintiff”) has not filed a
19 Response, nor Defendants a Reply, and the time to do so has passed. LRCiv 7.2(c–d)
20 (giving an opposing party 14 days to file a responsive memorandum and a moving party 7
21 days to file a reply). Plaintiff has also filed various other motions, including: a Motion for
22 Leave to File Non-Electronic Evidence (Doc. 38); a Motion for Extension of Time to File
23 Notice of Removal¹ (Doc. 39); a Motion for Entry of Default Judgment (Doc. 48); a Motion
24 to Dismiss the State’s Competency Challenge (Doc. 50); and a Motion to Compel the
25 Production of State Court Transcripts (Doc. 56). For the reasons that follow, the Court will
26 grant Defendants’ Motion, dismiss this action, and deny Plaintiff’s Motions as moot.

27 **I. Background**

28 ¹ The Court notes that this matter is already pending in federal court, so a Notice of Removal from state court is unnecessary.

1 Plaintiff pled guilty to various felonies and misdemeanors in Arizona state court and
 2 was sentenced to five years of “standard probation” as a result. (Doc. 42-1 at 2–7). On
 3 November 20, 2023, Plaintiff filed an eighty-page Complaint seeking declaratory and
 4 injunctive relief against Defendants and requested that the Court clarify “the rights and
 5 duties of the parties under the Interstate Compact.” (Doc. 1 at 1–2). Plaintiff filed an
 6 Amended Complaint on November 29, 2024, alleging these same claims and facts but
 7 attaching additional exhibits. (Doc. 8 at 1–2). The “Interstate Compact” Plaintiff speaks
 8 of is a compact probationers may apply for to transfer their probation to another state.
 9 (Doc. 42 at 2). Plaintiff applied to transfer his probation from Arizona to California but
 10 withdrew his request as a result of the Covid-19 pandemic. (Doc. 42-1 at 10).

11 After withdrawing his request to transfer probation (Doc. 42-1 at 13-14), Plaintiff’s
 12 probation was revoked because he violated the conditions of his release. (*Id.*) He was also
 13 sentenced to forty-two days in jail because of this probation violation. (*Id.*) Plaintiff now
 14 asks that this Court enjoin the State of Arizona and his probation officers from enforcing
 15 probation against him and vacate the State’s enforcement of probation under the
 16 Declaratory Judgment Act. (Doc. 8 at 1-2).

17 **II. Legal Standards**

18 Plaintiff seeks relief under the Declaratory Judgment Act, which provides that “[i]n
 19 a case of actual controversy within its jurisdiction . . . any court of the United States, upon
 20 the filing of an appropriate pleading, may declare the rights and other legal relations of any
 21 interested party seeking such a declaration, whether or not further relief is or could be
 22 sought.” 28 U.S.C. § 2201(a). The Declaratory Judgment Act confers “unique and
 23 substantial discretion” upon district courts “in deciding whether to declare the rights of
 24 litigants.” *Wilton v. Seven Falls Co.*, 515 U.S. 277, 286 (1995). A court has subject matter
 25 jurisdiction in a declaratory judgment action when “the facts alleged, under all the
 26 circumstances, show that there is a substantial controversy, between parties having adverse
 27 legal interests, of sufficient immediacy and reality to warrant the issuance of a declaratory
 28 judgment.” *MedImmune, Inc. v. Genentech, Inc.*, 549 U.S. 118, 127 (2007). “A declaratory

1 judgment plaintiff must demonstrate, by a totality of the circumstances, the presence of an
 2 actual or imminent injury caused by the defendant that can be redressed by judicial relief.”
 3 *Ours Tech., Inc. v. Data Drive Thru, Inc.*, 645 F. Supp. 2d 830, 836 (N.D. Cal. 2009) (citing
 4 *Teva Pharms. USA, Inc. v. Novartis Pharms. Corp.*, 482 F.3d 1330, 1338 (Fed. Cir. 2009)).

5 A motion to dismiss under Rule 12(b)(1) tests the subject matter jurisdiction of the
 6 court. See *Savage v. Glendale Union High Sch.*, 343 F.3d 1036, 1039–40 (9th Cir. 2003).
 7 The plaintiff must show that the court in question has jurisdiction to hear their case. See
 8 *Kokkonen v. Guardian Life Ins. Co. of Am.*, 511 U.S. 375, 377 (1994). A court must dismiss
 9 a plaintiff’s complaint if it fails to establish subject matter jurisdiction. *Savage*, 343 F.3d
 10 at 1039 n.2.

11 **III. Discussion**

12 Defendants argue that the Court should dismiss Plaintiff’s request for declaratory
 13 and injunctive relief because (1) he lacks standing; (2) the Eleventh Amendment bars his
 14 claims against the probation officers acting in their official capacity; (3) the *Rooker-*
 15 *Feldman* doctrine prohibits a district court from hearing a direct appeal from the final
 16 judgement of a state court; and (4) Plaintiff fails to state a claim entitling him to relief.
 17 (Doc. 42 at 1–2). The Court finds that it must abstain from hearing this case under the
 18 *Rooker-Feldman* doctrine.

19 **A. *Rooker-Feldman* Abstention**

20 Under the *Rooker-Feldman* doctrine, federal district courts generally lack
 21 jurisdiction to review a final state court decision. *D.C. Court of Appeals v. Feldman*, 460
 22 U.S. 462, 103 S.Ct. 1303, 75 L.Ed.2d 206 (1983); *Rooker v. Fidelity Tr. Co.*, 263 U.S. 413,
 23 44 S.Ct. 149, 68 L.Ed. 362 (1923). The reasoning underlying this principle is that the
 24 United States Supreme Court is the only federal court with jurisdiction to hear direct
 25 appeals from a final state court judgment. *Noel v. Hall*, 341 F.3d 1148, 1154 (9th Cir.
 26 2003). Therefore, if a party is disappointed with a state court judgment, that party may not
 27 appeal to federal district court, even if the issue would be otherwise within federal district
 28 court jurisdiction based upon a federal question or diversity of citizenship. *Id.* at 1155.

1 The doctrine applies to both final state court judgments and interlocutory orders. *See Doe*
2 *& Assocs. Law Offices v. Napolitano*, 252 F.3d 1026, 1030 (9th Cir. 2001). “The purpose
3 of the doctrine is to protect state judgments from collateral federal attack.” *Id.*

4 To determine whether *Rooker-Feldman* applies, and whether this Court lacks
5 jurisdiction, this Court must first consider whether this action was essentially brought as
6 an appeal from an adverse state-court decision. *See Lance v. Dennis*, 546 U.S. 459, 463
7 (2006); *Exxon Mobil Corp. v. Saudi Basic Indus. Corp.*, 544 U.S. 280, 291 (2005). This is
8 because the doctrine only applies when “the losing party in state court filed suit in federal
9 court after the state proceedings ended.” *Exxon Mobil*, 544 U.S. at 291 (emphasis added).
10 If issues presented in a federal suit are “inextricably intertwined” with issues presented in
11 a forbidden de facto appeal from a state court decision, *Rooker-Feldman* dictates that those
12 intertwined issues may not be litigated in federal court. *Kougasian v. TMSL, Inc.*, 359 F.3d
13 1136, 1142 (9th Cir. 2004).

14 *Rooker-Feldman* does not bar jurisdiction where the federal court plaintiff is simply
15 complaining of a “legal injury caused by an adverse party”; it instead bars jurisdiction if
16 the federal plaintiff is complaining of “a legal injury caused by a state court judgment.”
17 *Noel*, 341 F.3d at 1163. Thus, “[w]hen there is parallel state and federal litigation, *Rooker-*
18 *Feldman* is not triggered simply by the entry of judgment in state court.” *Exxon Mobil*, 544
19 U.S. at 292 (rejecting application of *Rooker-Feldman* doctrine when state court action was
20 resolved by jury verdict while related parallel federal court action was ongoing); *see also*
21 *Noel*, 341 F.3d at 1159 (“The rule that permits simultaneous litigation in state and federal
22 court of overlapping and even identical cases is deeply rooted in our system”). In other
23 words, if the state and federal actions are concurrent, and the federal action was not brought
24 in response to an adverse state court decision, *Rooker-Feldman* does not apply. *See id.*

25 Here, Plaintiff essentially appeals the state court’s imposition of a sentence of
26 probation. (Doc. 8 at 1–2). He asks this Court to “clarify the rights and duties of the parties
27 under the Interstate Compact.” (*Id.*) He also asks the Court to enjoin Defendants from
28 enforcing the conditions of his probation against him, such as reporting to his probation

1 officer, performing community service or searching for employment. (*Id.*) Plaintiff's
 2 request for declaratory and injunctive relief are "inextricably intertwined" with the state
 3 court's judgement and sentence of probation (Doc. 42-1 at 2-7) and are thus a "forbidden
 4 de facto appeal." *See Noel*, 341 F.3d at 1156 ("when a losing plaintiff . . . brings a suit in
 5 federal district court asserting as legal wrongs the allegedly erroneous legal rulings of the
 6 state court and seeks to vacate or set aside the judgment of that court, the federal suit is a
 7 forbidden de facto appeal"). The Court notes that a "[f]inal judgment in a criminal case
 8 means [the] sentence. The sentence is the judgment." *United States v. Arpaio*, 951 F.3d
 9 1001, 1006 (9th Cir. 2020) (citing *Berman v. United States*, 302 U.S. 211, 212 (1937)). As
 10 Defendants point out, the sentencing court retains jurisdiction over a probationer until
 11 probation is successfully completed or revoked—therefore—the proper forum for
 12 Plaintiff's arguments is in state court, not here. (Doc. 42 at 7 (citing *State v. Contreras*,
 13 885 P.2d 138, 141 (Ariz. Ct. App. 1994)). Thus, because this action was "essentially
 14 brought as an appeal from an adverse state-court decision," this Court does not have subject
 15 matter jurisdiction and must dismiss this action. *See Noel*, 341 F.3d at 1156.

16 Accordingly,

17 **IT IS ORDERED** that Defendants' Motion to Dismiss (Doc. 42) is **GRANTED**
 18 and Plaintiff's Amended Complaint (Doc. 8) is **DISMISSED** with prejudice.

19 **IT IS ALSO ORDERED** that Plaintiff's various Motions (Doc. 38, 39, 48, 50 and
 20 56) are all **DENIED** as moot.

21 **IT IS FINALLY ORDERED** directing the Clerk of Court to terminate and close
 22 this matter.

23 Dated this 21st day of May, 2024.

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 25 Honorable Diane J. Humetewa
 26 United States District Judge
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