

1 **WO**
2
3
4
56 **IN THE UNITED STATES DISTRICT COURT**
7 **FOR THE DISTRICT OF ARIZONA**
8

9 SiteLock LLC,

No. CV-19-02746-PHX-DWL

10 Plaintiff,

ORDER

11 v.

12 GoDaddy.com LLC,

13 Defendant.

15 Pending before the Court are (1) GoDaddy's motion to dismiss for lack of
 16 subject-matter jurisdiction (Doc. 579); (2) SiteLock's motion to enforce the Court's
 17 October 31, 2022 order and to set a trial date (Doc. 597); and (3) GoDaddy's motion to
 18 compel (Doc. 598).

19 For the following reasons, GoDaddy's motion to dismiss is denied and the other two
 20 motions are granted in part and denied in part.

21 **RELEVANT BACKGROUND**

22 The history of this case, which has been pending for over four years, is familiar to
 23 the parties and laid out in previous orders. (*See, e.g.*, Doc. 435.) In a nutshell, in 2013,
 24 SiteLock and GoDaddy entered into a contract (the "Reseller Agreement")¹ under which
 25 GoDaddy agreed to market and sell SiteLock's website security services to GoDaddy's
 26 customers. In this action, SiteLock accuses GoDaddy of various contractual breaches, as

27 ¹ SiteLock and GoDaddy also executed several addenda to the Reseller Agreement.
 28 (*See, e.g.*, Doc. 1-3.) For purposes of this order, the Court uses the term "Reseller
 Agreement" to refer collectively to the initial agreement and related addenda.

1 well as Lanham Act and state-law violations. (*See generally* Doc. 562.)

2 In April 2018 (a year before this litigation began), SiteLock’s parent company,
 3 Innovative Business Services, LLC (“IBS”), entered into a securities purchase agreement
 4 (the “SPA”) with SiteLock Intermediate Holdings, LLC (“SIH”). (Doc. 607-1 [SPA]; Doc.
 5 583-4 at 4 [“SiteLock is a subsidiary of IBS.”].) SIH, in turn, was affiliated with an
 6 investment fund known as “ABRY.”² Pursuant to the SPA, SIH acquired “all of the equity”
 7 of IBS. (Doc. 594-1 ¶ 2; Doc. 607-1.) Immediately following the transaction, SiteLock
 8 was a “wholly-owned, indirect subsidiary” of SIH and “remained a wholly-owned, direct
 9 subsidiary” of IBS. (Doc. 594-1 ¶ 2.)³ Neill Feather and Thomas Serani both signed the
 10 SPA as “Members” (*i.e.*, “holders of options to purchase membership interests” of IBS
 11 listed in Annex II) on behalf of Unitedweb Holdings, LLC (“Unitedweb Holdings”). (Doc.
 12 607-1 at 6, 59-60.)⁴ At relevant times, Serani was SiteLock’s “Chief Channel Officer”; in
 13 this role, Serani “was responsible for managing SiteLock’s relationship with GoDaddy
 14 throughout the entirety of that contractual relationship.” (Doc. 371-1 ¶ 1.) Feather is the
 15 “co-founder and former Chief Innovation Officer” of SiteLock. (Doc. 595 ¶ 1. *See also*
 16 Doc. 66 ¶ 1 [Feather averring, as of June 19, 2020: “I am the co-founder and Chief
 17 Innovation Officer of SiteLock”]. *But see* Doc. 583-4 at 5 [Feather testifying “I was the
 18 president of SiteLock and IBS”].)

19 On April 30, 2019, SiteLock initiated this action against GoDaddy. (Doc. 1.)

20 At some point during discovery, SiteLock produced the schedules to the SPA (the

21 ² It appears two entities existed: “ABRY Partners, LLC and ABRY Partners II, LLC.”
 22 (Doc. 594 at 2 n.1.) GoDaddy asserts that SiteLock assigned legal claims to “Abry
 23 Partners, LLC . . . when Abry acquired SiteLock.” (Doc. 579 at 1.) However, SiteLock
 24 describes the 2018 SPA transaction as between IBS (SiteLock’s parent company) and SIH
 25 (“an entity affiliated with funds managed by ABRY Partners II, LLC”). (Doc. 594 at 1-2.)
 26 In the SPA itself, in the “Notices” section of Annex III, SIH’s address begins with “c/o
 27 ABRY Partners II, LLC.” (Doc. 607-1 at 81.) The deposition testimony from Feather
 28 refers only to “ABRY.” (Doc. 367-11 at 13, 15.) In its response, SiteLock collectively
 refers to both ABRY Partners, LLC and ABRY Partners II, LLC as “ABRY.” (Doc. 594
 at 2 n.1.) For ease of reference, the Court will do the same.

³ In April 2019, when the lawsuit was filed, SiteLock identified itself as a subsidiary
 of SIH, but not of IBS. (Doc. 2 at 2.)

⁴ Unitedweb Holdings owned the majority of shares of IBS at the time the SPA was
 executed. (Doc. 79-2 at 10, 51.)

1 “SPA Schedules”) but not the SPA itself. (Doc. 491.)

2 On February 5, 2021, GoDaddy deposed Feather as SiteLock’s Rule 30(b)(6)
 3 representative. (Doc. 367-11 at 1.) During the deposition, the following exchange
 4 occurred:

5 GoDaddy: Did SiteLock assign its claims against GoDaddy to ABRY as
 6 part of ABRY’s acquisition of SiteLock?

7 SiteLock: Objection. Calls for legal conclusion. Calls for speculation.

8 Feather: SiteLock assigned all of its rights under its contracts to ABRY
 9 at the time of acquisition. When they acquired the business,
 they acquired the contracts as well.

10 (*Id.* at 13.) After the transcript of the deposition became available, SiteLock did not submit
 11 any corrections pursuant to Rule 30(e).

12 On March 22, 2022, the Court set a trial date of November 1, 2022. (Doc. 444.)

13 In the weeks leading up to the trial date, the parties exchanged drafts of the proposed
 14 final pretrial order. (Doc. 543 at 1.) During this process, GoDaddy raised (for the first
 15 time) the issue of subject-matter jurisdiction, asserting that SiteLock “may” lack standing
 16 based on Feather’s deposition testimony. (Doc. 551 at 3; Doc. 589 at 12.) In broad strokes,
 17 GoDaddy argues that because SiteLock assigned the legal claims asserted in this action to
 18 ABRY, SiteLock lacks standing to sue GoDaddy in this action. (Doc. 562 at 3; Doc. 579.)

19 On October 10, 2022, in an attempt to refute this claim, SiteLock produced a
 20 redacted copy of the SPA. (Doc. 588 at 8.)

21 On October 12, 2022, the Court held a status conference. (Doc. 545.) During the
 22 status conference, GoDaddy’s counsel stated: “[I]n our draft, we established that SiteLock
 23 likely does not even have standing and they have not even addressed that which is a
 24 minimum for this case to go forward. And so I respectfully suggest that the Court set an
 25 order to show cause that SiteLock demonstrate standing with admissible evidence”
 26 (Doc. 589 at 9.) GoDaddy then suggested “put[ting] the case off for two months . . . [to]
 27 allow the parties to address all of these issues.” (*Id.* at 10.) In response, SiteLock’s counsel
 28 stated: “I will say that [GoDaddy’s counsel] is wrong that we [did] not respond to their

1 standing argument which is completely meritless. They don't even actually make a
 2 standing argument. They say there may not be standing because of this testimony that is
 3 just taken out of context and we actually submitted the document that shows that SiteLock
 4 did not assign any claims away [GoDaddy] had a statement at the front of the [draft]
 5 pretrial order that said SiteLock may not have standing because one of our witnesses was
 6 asked . . . [if] SiteLock[,] . . . when it was bought by ABRY, did it assign its contract rights?
 7 And the witness, who is not lawyer[,] . . . said, yes, they had [as]signed contract rights.
 8 That's wrong. . . . We then . . . explained that is not the case and we gave as an exhibit the
 9 [SPA] which. . . does not assign contracts. . . . [O]bviously the nonlawyer misspoke and
 10 the true fact is that the contracts were not assigned." (*Id.* at 12-13.)⁵ Ultimately, the Court
 11 declined to delay trial, noting the long history of disputes in this case. (*Id.* at 24.) As for
 12 GoDaddy's request for "an order to show cause on standing," the Court invited GoDaddy
 13 to file a formal motion to dismiss if GoDaddy deemed it appropriate. (*Id.* at 27 ["I don't
 14 have anything before me right now. All I have is a case where a plaintiff has asserted
 15 contract and tort claims and[,] . . . typically, standing exists in that scenario. So if you've
 16 got your reasons to doubt standing, I just need it to be brought before me. I don't think it's
 17 appropriate for me, instead, to issue an order to show cause on it under these
 18 circumstances."].)

19 On October 13, 2022, the parties filed a 305-page joint proposed final pretrial order,
 20 which included the following statements:

21 GoDaddy respectfully submits this Court may not have subject matter
 22 jurisdiction over this matter due to SiteLock's lack of standing. . . . SiteLock's former CEO, Neill Feather, testified as SiteLock's corporate
 23 designee under Federal Rule of Civil Procedure 30(b)(6) . . . that "SiteLock
 24 assigned all of its rights under its contracts to . . . [ABRY] at the time of
 25 acquisition," including any rights to the claims SiteLock has asserted against
 GoDaddy in this action. . . . [ABRY] was the only entity present at the
 parties' May 6, 2021 mediation – an event that occurred after Sectigo

26 ⁵ The Court then asked: "Did you file a correction to the transcript after the
 27 deposition?" (Doc. 589 at 13.) SiteLock's counsel responded: "I didn't realize at the time,
 28 Your Honor, that it was wrong. They never raised this until the pretrial order and so we
 objected [at the deposition] to the question as . . . calling for speculation and calling for a
 legal conclusion [I]t's . . . just factually wrong, Your Honor. There is standing.
 SiteLock does own the contracts. It still owns the contracts." (*Id.*)

1 announced its acquisition of SiteLock. . . . These facts appear to call into
 2 question SiteLock's standing to assert the claims at issue, and thus go directly
 to subject matter jurisdiction. . . .

3 SiteLock submits that GoDaddy's speculation that SiteLock might lack
 4 standing to bring its claims, raised for the first time on the eve of trial, is
 5 incorrect. SiteLock does have standing. SiteLock is the counterparty to the
 6 contracts with GoDaddy and so has standing to sue GoDaddy in that capacity
 7 and as a victim of GoDaddy's unfair competition and violation of the
 8 Lanham Act. SiteLock did not assign its claims or its contracts to ABRY.
 9 The purchase agreement for the sale of SiteLock to ABRY . . . contains no
 10 assignment of the contracts or claims at issue, which remain at SiteLock.
 11 GoDaddy's questions to Mr. Feather (which SiteLock objected to as seeking
 12 a legal conclusion and calling for speculation) do not change the plain
 13 language of the purchase agreement, which makes clear that no such
 14 assignment occurred. SiteLock therefore has standing to bring this lawsuit.

15 (Doc. 551 at 3-4.)

16 On October 18, 2022, the Court held the final pretrial conference. (Doc. 561.) As
 17 relevant here, SiteLock's counsel noted that GoDaddy had not filed a motion to dismiss
 18 based on a lack of subject-matter jurisdiction. (Doc. 584 at 140.) In response, GoDaddy's
 19 counsel argued that filing a motion was unnecessary because the proposed pretrial order
 20 included "the parties' respective position statements on the issue of standing" and "it was
 21 undisputed that this was a live issue for the Court to determine." (*Id.* at 140-41.) In
 22 response, the Court again invited GoDaddy to file a motion on the issue if GoDaddy
 23 deemed it prudent. (*Id.* at 142.)

24 On October 24, 2022, GoDaddy moved for Rule 37 sanctions, arguing that the
 25 just-produced SPA was responsive to several requests for production ("RFPs") that
 26 GoDaddy had served on SiteLock during discovery. (Docs. 566-68.) As a remedy,
 27 GoDaddy asked the Court to either dismiss SiteLock's trademark claims and establish
 28 March 31, 2018 as the termination date of the Reseller Agreement or, alternatively, to
 continue trial, reopen discovery (to allow GoDaddy to obtain an unredacted copy of the
 SPA "as well as other documents SiteLock has withheld concerning its negotiations with
 [ABRY] related to SiteLock's claims in this action" and "to depose SiteLock and its
 witnesses on these issues"), and "permit GoDaddy to file a supplemental motion for
 summary judgment based on SiteLock's dispositive admissions in the [SPA]." (Doc. 566

1 at 1-2.)

2 The next day, the Court held a telephonic status conference on GoDaddy's sanctions
 3 motion. (Docs. 572, 588.) During the conference, SiteLock asserted that the SPA was
 4 neither responsive to any of GoDaddy's RFPs nor relevant to the case and that SiteLock
 5 had voluntarily produced the SPA "to basically nip [the standing] issue in the bud." (Doc.
 6 588 at 7-8.)⁶ Ultimately, the Court concluded that GoDaddy's sanctions motion was not
 7 "so deficient on its face" as to justify immediate denial and set an expedited briefing
 8 schedule. (*Id.* at 14-15.)

9 On October 28, 2022, SiteLock filed a response opposing GoDaddy's sanctions
 10 motion. (Doc. 578.) Among other things, SiteLock noted that, although SiteLock was sold
 11 to SIH pursuant to the SPA in 2018, the sale was between IBS and SIH and SiteLock itself
 12 "is not a signatory to the SPA." (*Id.* at 2.) SiteLock also asserted that the SPA "contains
 13 no assignment of the contracts or claims at issue" in this case and, more generally, SiteLock
 14 "did not assign its claims or its contracts to ABRY." (*Id.* at 4-5, citation omitted.)⁷

15 On October 29, 2022, three days before trial, GoDaddy filed a motion to dismiss for
 16 lack of subject-matter jurisdiction. (Docs. 579-81.) The motion is now fully briefed.
 17 (Docs. 594, 596.)

18 On October 31, 2022, the Court held a hearing to address several pending motions.
 19 (Docs. 587, 593.) As for GoDaddy's sanctions motion, the Court found that "SiteLock
 20 should have produced the SPA earlier, and that the failure to do so was a discovery
 21 violation." (Doc. 593 at 98.) The Court further found that the violation was neither
 22 substantially justified nor harmless. (*Id.* at 102-06.) However, the Court also determined
 23 the GoDaddy was "overstating the importance of the new information" in the SPA and,
 24 thus, the Court was inclined to deny GoDaddy's request to continue trial and instead simply

25 ⁶ In contrast, SiteLock argued that the SPA Schedules were responsive and relevant
 26 because they "describe [some of] SiteLock's claims against GoDaddy, . . . claim that
 27 GoDaddy breached the promotional obligations under the contract[,] . . . [and] describe
 GoDaddy's purported partial termination of the agreement, and with the trademark aspect
 as well." (Doc. 588 at 7.)

28 ⁷ On October 30, 2022, GoDaddy filed a reply supporting its motion for sanctions.
 (Doc. 582.)

1 order SiteLock to produce an unredacted copy of the SPA and authorize GoDaddy “to
 2 depose Feather and/or Serani to explore the topics raised in the late-disclosed materials”
 3 while postponing Feather’s and Serani’s trial testimony until after their depositions. (*Id.*
 4 at 105-08.) However, upon hearing the Court’s proposed remedy, SiteLock stated that it
 5 would prefer a trial continuance to the alternative of postponing Feather’s and Serani’s trial
 6 testimony. (*Id.* at 108-09.) Accordingly, the Court vacated the November 1, 2022 trial
 7 date and ordered the parties “to meet and confer regarding the timing of the depositions,
 8 any request by GoDaddy for further undisclosed documents related to the SPA, and the
 9 mechanics of rescheduling the trial date.” (Doc. 587 at 2.)

10 Afterward, the parties engaged in various discussions about whether and to what
 11 extent GoDaddy is entitled to additional discovery but were unable to agree on several
 12 issues. (*See, e.g.*, Docs. 600, 600-1 through 600-6.)

13 On February 2, 2023, SiteLock filed a motion to enforce the Court’s October 31,
 14 2022 order and set a trial date. (Doc. 597.) That motion is now fully briefed. (Docs. 604,
 15 612.) The same day, GoDaddy filed a motion to compel SiteLock to produce undisclosed
 16 documents related to the SPA. (Docs. 598-600.) That motion is now fully briefed. (Docs.
 17 610, 611.)

18 DISCUSSION

19 I. GoDaddy’s Motion To Dismiss

20 A. **Legal Standard**

21 “[S]tanding is an essential and unchanging part of the case-or-controversy
 22 requirement of Article III.” *Lujan v. Defenders of Wildlife*, 504 U.S. 555, 560 (1992).
 23 “[T]he irreducible constitutional minimum of standing contains three elements”: (1) a
 24 concrete and particularized injury in fact; (2) a causal connection between the injury and
 25 the challenged conduct; and (3) a likelihood that the injury would be redressed by a
 26 favorable decision. *Id.* at 560-61.

27 A motion to dismiss for lack of subject-matter jurisdiction under Rule 12(b)(1) “may
 28 challenge the plaintiff’s jurisdictional allegations in one of two ways. A ‘facial’ attack

1 accepts the truth of the plaintiff’s allegations but asserts that they are insufficient on their
 2 face to invoke federal jurisdiction.” *Leite v. Crane Co.*, 749 F.3d 1117, 1121 (9th Cir.
 3 2014) (citation omitted). “A ‘factual’ attack, by contrast, contests the truth of the plaintiff’s
 4 factual allegations, usually by introducing evidence outside the pleadings.” *Id.* Here,
 5 GoDaddy brings a factual attack as to SiteLock’s standing. (Docs. 579-80.) Thus,
 6 SiteLock “must support [its] jurisdictional allegations with ‘competent proof,’ under the
 7 same evidentiary standard that governs in the summary judgment context.” *Leite*, 749 F.3d
 8 at 1121 (internal citation omitted). “The plaintiff bears the burden of proving by a
 9 preponderance of the evidence that each of the requirements for subject-matter jurisdiction
 10 has been met.” *Id.* Generally, “if the existence of jurisdiction turns on disputed factual
 11 issues, the district court may resolve those factual disputes itself.” *Id.* at 1121-22.

12 B. The Parties’ Arguments

13 GoDaddy argues that SiteLock lacks standing because it assigned, to ABRY, all of
 14 the legal claims asserted in this action. (Doc. 580 at 1.) GoDaddy’s assignment theory
 15 relies on two pieces of evidence: (1) Feather’s deposition testimony; and (2) the fact that a
 16 “high-level” ABRY executive, Caitlin Yancheck, was SiteLock’s sole “client
 17 representative” at the parties’ May 6, 2021 mediation. (*Id.* at 3.) As for the former,
 18 GoDaddy argues that the SPA itself (which does not discuss any assignment) “does not
 19 contradict Mr. Feather’s testimony” and “is not enough for SiteLock to meet its burden at
 20 this stage of the proceedings. . . . Such is particularly true here, as an assignment between
 21 SiteLock and [ABRY] could take many forms, including but not limited to an oral
 22 agreement, letter, or a series of separate assignments or transfers through related entities.”
 23 (*Id.* at 8. *See also id.* at 9-10 [listing various ways in which GoDaddy believes SiteLock
 24 was “evasive” during discovery].) GoDaddy also argues that because SiteLock
 25 “renounce[s] the [SPA] as having anything to do with SiteLock,” “it is impossible for that
 26 same agreement to form the basis of SiteLock’s Article III standing.” (*Id.* at 9.)
 27 “Conversely, if SiteLock is IBS or SIH, then they have no Lanham Act claim, which is the
 28 sole claim that gives rise to federal question jurisdiction in the first place.” (*Id.* at 10,

1 citation omitted.)

2 In response, SiteLock argues it has standing because “GoDaddy harmed SiteLock
 3 by breaching its contract with SiteLock, unfairly competing with SiteLock, and infringing
 4 SiteLock’s federally-registered trademark” and “SiteLock’s injuries are fairly traceable to
 5 GoDaddy’s conduct and will be remedied by money damages.” (Doc. 594 at 1. *See also*
 6 *id.* at 2-3 [“This case is about all the ways that GoDaddy, after professing to be SiteLock’s
 7 business partner and promising to ‘endeavor to promote’ SiteLock’s services, betrayed and
 8 harmed SiteLock . . . between 2014 and 2018.”].) As for GoDaddy’s assignment theory,
 9 SiteLock asserts it “did not assign its claims or contracts with GoDaddy to [ABRY] or any
 10 other entity.” (*Id.* at 1.) For context, SiteLock explains that, in April 2018, SIH acquired
 11 all of the equity in IBS, SiteLock’s parent company. (*Id.* at 3.) This transaction was
 12 memorialized in the SPA. (*Id.*) “The deal was structured as an acquisition of equity, not
 13 an acquisition of assets” and “did not involve any assignment of SiteLock, LLC’s contracts
 14 with GoDaddy or legal claims against GoDaddy.” (*Id.*) As for Feather’s deposition
 15 answer, SiteLock contends that Feather was offering his “understanding as a businessman”
 16 that SIH “effectively acquired SiteLock’s assets (and contracts) by acquiring its equity”
 17 and “did not mean to suggest that, as a legal matter, SiteLock had assigned its claims or
 18 contracts to any ABRY entity.” (*Id.* at 1-2.)⁸ In an attempt to affirmatively prove there
 19 was no assignment, SiteLock submits two declarations. The first is from Feather, who
 20 avows that he does not “believe . . . that there was any formal or contractual ‘assignment’
 21 of contracts or claims from SiteLock to ABRY (or any other third party)” and that “[t]o
 22 [his] knowledge, at least until the time [he] left SiteLock in February 2021, SiteLock owned
 23 all of the contracts and claims relevant to this lawsuit.” (Doc. 595 ¶¶ 3-4.) The second is
 24 from Jason Juall, a lawyer who assisted in preparing the SPA, who avows that “[t]he 2018
 25 SPA did not assign SiteLock, LLC’s claims against GoDaddy, or its contracts with
 26 GoDaddy, to [ABRY] or any other entity—rather, those claims and contracts remained at
 27

28 ⁸ SiteLock also notes that, at the deposition, “SiteLock’s counsel objected to the question as calling for a legal conclusion and calling for speculation.” (Doc. 594 at 1.)

1 SiteLock, LLC, as is customary in a transaction structured as a purchase of equity of a
 2 parent company.” (Doc. 594-1 ¶ 3.) As for Yanchek’s presence at the mediation, SiteLock
 3 asserts that Yanchek attended “attended the mediation because she was an officer and
 4 director of SiteLock Group Holdings, LLC, which has an interest in this case pursuant to a
 5 February 2021 litigation funding agreement between SiteLock Group Holdings, LLC and
 6 Sectigo” and argues that “Yanchek’s attendance at the mediation . . . does not support
 7 GoDaddy’s speculation that SiteLock had assigned its claims to ABRY.” (Doc. 594 at 2.)⁹
 8 SiteLock also challenges GoDaddy’s discovery-related accusations and contends that, in
 9 any event, SiteLock “has now produced all transaction documents associated with the 2018
 10 SPA (including an index of all such transaction documents), which make clear that no
 11 assignment occurred.” (*Id.* at 14-15.)

12 In reply, GoDaddy reiterates that SiteLock bears the burden of proving standing and
 13 accuses SiteLock of “attempt[ing] to shift its burden onto GoDaddy,” which “is particularly
 14 outrageous given SiteLock’s decision to withhold relevant, critical documents while
 15 gaslighting GoDaddy with accusations that it was speculative and unfounded for GoDaddy
 16 to seek those same documents.” (Doc. 596 at 1.) According to GoDaddy, because
 17 “Feather’s Declaration is flatly contradicted by his prior testimony under oath as
 18 SiteLock’s Rule 30(b)(6) corporate designate, it amounts to nothing more than a
 19 ‘conclusory assertion[s]’ as to the non-existence of an assignment, and as a result, it is not
 20 ‘competent evidence.’” (*Id.* at 5, citation omitted.) GoDaddy also contends that Feather’s
 21 declaration is “untimely” because he did not sign it until the day after the filing deadline.
 22 (*Id.*) As for Juall’s declaration, GoDaddy contends it is “hearsay,” impermissibly vague,
 23 an “inadmissible expert opinion,” and “does not mean much of anything, even if it were
 24 deemed admissible.” (*Id.* at 5-6.) GoDaddy then reiterates its position that either the SPA
 25 applies to SiteLock (and “SiteLock is bound by the representations and warranties set forth

26
 27
 28 ⁹ For context, at some point before the May 2021 mediation, SiteLock Group Holdings, LLC (“SGH”), an “entity owned by funds managed by ABRY,” sold its equity interest in SIH to Sectigo, Inc. (“Sectigo”). (Doc. 594 at 2.)

1 therein") or SiteLock cannot use it to establish standing. (*Id.* at 7.)¹⁰

2 **C. Analysis**

3 Unless it assigned those claims to a third party, SiteLock would have standing to
 4 pursue the contract and tort claims asserted in this action. GoDaddy does not argue
 5 otherwise. Thus, the standing challenge turns on whether, as a factual matter, "SiteLock
 6 assigned the purported claims it is pursuing in this action" to ABRY. (Doc. 579 at 1.)¹¹
 7 As noted, SiteLock seeks to establish that no such assignment occurred, despite Feather's
 8 deposition testimony to the contrary, by submitting declarations from Feather and Juall.
 9 GoDaddy raises various objections to those declarations in its reply. Thus, it is necessary
 10 to begin by resolving GoDaddy's objections.

11 In his declaration, Feather asserts that his deposition testimony was inaccurate,
 12 simply reflected his "understanding from a business perspective that when SiteLock was
 13 acquired, the purchaser effectively acquired all of SiteLock's contracts as well," and was
 14 "not mean[t] to suggest . . . that there was any formal or contractual 'assignment' of
 15 contracts or claims from SiteLock to ABRY (or any other third party)." (Doc. 595 ¶ 3.)

16

17 ¹⁰ In a footnote in its reply, GoDaddy also accuses SiteLock of failing to comply with
 18 its obligations under Rule 7.1 of the Federal Rules of Civil Procedure and LRCiv 7.1.1,
 19 "presumably to conceal the identity of the party controlling this litigation." (Doc. 596 at 8
 20 n.5.) GoDaddy does not request any relief associated with this accusation and, in any event,
 21 "[t]he district court need not consider arguments raised for the first time in a reply brief."
Zamani v. Carnes, 491 F.3d 990, 997 (9th Cir. 2007). But even if properly raised, the
 Court notes that SiteLock repeatedly refers to IBS as its "former owner." (See, e.g., Doc.
 518 at 2, emphasis added.) The fact that SiteLock was owned by IBS for some period
 following the April 2018 SPA does not establish that SiteLock was owned by IBS when
 the lawsuit was filed in April 2019.

22

23 ¹¹ The law in this area may not be as settled as GoDaddy portrays it to be. (Doc. 580
 24 at 8 n.1.) To be sure, some courts have held that "once a claim has been assigned, the
 25 assignor lacks standing to sue on that claim" because "[a] party who has assigned a claim
 26 away does not have [a] personal stake." *DW Aina Le'a Dev., LLC v. Hawaii*, 2022 WL
 27 1665311, *14 (D. Haw. 2022). See also *IOW, LLC v. Breus*, 425 F. Supp. 3d 1175, 1185
 (D. Ariz. 2019). But not all courts agree. See, e.g., *Fund Liquidation Holdings LLC v.
 28 Bank of Am. Corp.*, 991 F.3d 370, 381 (2d Cir. 2021), cert. denied, 142 S. Ct. 757 (2022)
 (holding that a pre-suit assignment of claims "does not pose a constitutional roadblock" to
 Article III standing); *Nastasi & Assocs., Inc. v. Bloomberg, L.P.*, 2021 WL 3541153, *1
 (S.D.N.Y. 2021) ("[P]re-suit assignments do not raise constitutional standing issues and
 should be analyzed under Rule 17 instead."). The Court finds it unnecessary to delve
 further into this complicated issue because, even assuming that GoDaddy's legal position
 is correct (i.e., an assignment of claims necessarily negates standing), GoDaddy's request
 for dismissal fails on the facts—as discussed herein, there was no assignment.

1 Feather also avers that he has no personal knowledge of “any oral or written assignment of
 2 any contracts or claims from SiteLock to ABRY or any of its subsidiaries or affiliates” and
 3 states that, to his knowledge, at least until the time he left SiteLock in February 2021,
 4 “SiteLock owned all of the contracts and claims relevant to this lawsuit.” (*Id.* ¶ 4.)

5 GoDaddy contends that Feather’s declaration is “hearsay,” “conclusory,” and
 6 “procedurally improper.” (Doc. 596 at 3, 5.) These objections are unavailing. It is not
 7 clear to the Court how Feather’s statements in the declaration could qualify as “hearsay”
 8 and GoDaddy provides no explanation. (*Id.* at 2-3.) Nor is Feather’s declaration overly
 9 conclusory—indeed, it provides more detail than his deposition testimony (upon which
 10 GoDaddy heavily relies). As for its procedural propriety, GoDaddy argues Feather’s
 11 declaration should be disregarded because it was filed one day after the filing deadline.
 12 (*Id.* at 5.) To the extent the Court could, in its discretion, disregard Feather’s declaration
 13 based on the one-day delay, the Court declines to do so.¹²

14 On the merits, GoDaddy contends that Feather’s declaration lacks evidentiary value
 15 because it conflicts with his deposition testimony and urges the Court to invoke the sham
 16 affidavit doctrine. (Doc. 596 at 4 “[J]ust as SiteLock is not permitted to change Mr.
 17 Feather’s testimony through errata, they also cannot change it through an attorney-crafted
 18 declaration.”). Under the sham affidavit doctrine, “a party cannot create an issue of fact
 19 by an affidavit contradicting his prior deposition testimony.” *Van Asdale v. Int’l Game*
 20 *Tech.*, 577 F.3d 989, 998 (9th Cir. 2009) (citation omitted). However, to invoke the
 21 doctrine, the Court must find that “the contradiction was actually a ‘sham’” and the
 22 inconsistency between the deposition testimony and the subsequent declaration must be
 23 “clear and unambiguous.” *Id.* at 998-99 (citation omitted). The first condition is not
 24 satisfied here. In his declaration, Feather provides a reasonable explanation for why his
 25 deposition testimony was inaccurate. (Doc. 595 ¶ 3.) Moreover, as discussed in more
 26 detail later in this order, Feather’s declaration is supported by other record evidence (such

27 ¹² Although GoDaddy spends nearly a page remarking on SiteLock’s untimeliness in
 28 filing the Feather declaration, it does not suggest the one-day delay was at all disruptive to
 the case, which has been pending for four years.

1 as the SPA itself and the Juall declaration). Thus, the Court does not find that, as a factual
 2 matter, Feather's declaration is a sham. *See also Raygarr LLC v. Emps. Mut. Cas. Co.*,
 3 2020 WL 919443, *11 (D. Ariz. 2020) ("The Court declines to disregard Garrison's
 4 affidavit as a sham; the affidavit does not contradict Garrison's deposition testimony but,
 5 rather, sets forth a reasonable explanation of the basis for and thought process behind that
 6 testimony."). Thus, even if Feather's declaration and deposition testimony could be viewed
 7 as contradictory, the declaration is a permissible vehicle for explaining the discrepancy.

8 This situation is easily distinguishable from *Martinez v. Env't Oil Recovery, Inc.*,
 9 2022 WL 98003 (E.D. Tex. 2022), upon which GoDaddy relies. There, the plaintiff
 10 originally testified that "he could not remember the number, make, or model of defendant's
 11 pickup trucks" but later submitted a declaration describing the vehicles, without any
 12 explanation for the contradiction. *Id.* at *2. Here, Feather has credibly explained why his
 13 earlier answer was based on a mistaken understanding of a legal term of art (*i.e.*, when an
 14 assignment occurs). Notably, Feather was asked only one question about assignment (*see*
 15 *generally* Doc. 367-11)—the fact that he was not questioned at length, and his counsel
 16 made a contemporaneous objection on the ground that the question was ambiguous and
 17 called for a legal conclusion, makes it more probable that the mistaken testimony was
 18 attributable to confusion. *See, e.g.*, *Walden v. Md. Cas. Co.*, 2017 WL 5894532, *2 (D.
 19 Mont. 2017) ("Courts analyzing the rule look to whether subsequent testimony 'flatly
 20 contradicts' previous testimony, or whether the inconsistencies are attributable to
 21 confusion."); *Popovic v. Spinogatti*, 2016 WL 2893426, *6 (D. Ariz. 2016) (the deponent's
 22 unclear responses and the fact that he was not examined at length on the issue weighed
 23 against finding that the contradiction between his statements was clear and unambiguous).
 24 Accordingly, the Court will not exclude Feather's declaration under the sham affidavit
 25 doctrine. *See also Yeager v. Bowlin*, 693 F.3d 1076, 1080 (9th Cir. 2012) ("[T]he sham
 26 affidavit rule should be applied with caution because it is in tension with the principle that
 27 the court is not to make credibility determinations when granting or denying summary
 28 judgment.") (cleaned up); *Messick v. Horizon Indus. Inc.*, 62 F.3d 1227, 1231 (9th Cir.

1 1995) (“[T]he non-moving party is not precluded from elaborating upon, explaining or
 2 clarifying prior testimony elicited by opposing counsel on deposition; minor
 3 inconsistencies that result from an honest discrepancy, a mistake, or newly discovered
 4 evidence afford no basis for excluding an opposition affidavit.”). In a related vein,
 5 although Feather provided the deposition testimony in the capacity of a Rule 30(b)(6)
 6 representative, the Ninth Circuit has cautioned against excluding evidence that conflicts
 7 with a Rule 30(b)(6) deposition where it can be “deemed as clarifying or simply providing
 8 full context for the Rule 30(b)(6) deposition.” *Snapp v. United Transp. Union*, 889 F.3d
 9 1088, 1103 (9th Cir. 2018). *See also id.* at 1104 (“The Rule 30(b)(6) testimony also is not
 10 binding against the organization in the sense that the testimony can be corrected, explained
 11 and supplemented, and the entity is not ‘irrevocably’ bound to what the fairly prepared and
 12 candid designated deponent happens to remember during the testimony.”) (citation
 13 omitted).

14 Finally, SiteLock’s failure to correct Feather’s deposition testimony pursuant to
 15 Rule 30(e) does not alter the analysis. SiteLock’s counsel explained that he did not correct
 16 the transcript after Feather’s deposition because he “didn’t realize at the time . . . that it
 17 was wrong.” (Doc. 589 at 13.) Given that Feather was only asked one question on the
 18 issue, to which SiteLock objected, it was reasonable for SiteLock’s counsel to interpret
 19 Feather’s response as describing the substance of the transaction rather than testifying
 20 about SiteLock’s assignment of legal claims. Tellingly, GoDaddy itself did not
 21 immediately move to dismiss based on Feather’s deposition testimony but instead waited
 22 until the eve of trial—18 months after the deposition—to raise its standing-related
 23 concerns. The timing of GoDaddy’s motion does not, of course, result in forfeiture or
 24 waiver, because subject-matter jurisdiction can never be waived, but it does underscore the
 25 reasonableness of SiteLock’s counsel’s determination that it was unnecessary to pursue a
 26 formal correction under Rule 30(e).

27 Turning to the other declaration, Juall is a “partner at the law firm DLA Piper LLP
 28 (US)” who “assisted in preparing” the SPA. (Doc. 594-1 ¶¶ 1-2.) Juall avers that the SPA

1 “did not assign SiteLock, LLC’s claims against GoDaddy, or its contracts with GoDaddy,
 2 to ABRY Partners II, LLC, ABRY Partners, LLC, or any other entity” and that, to his
 3 knowledge, “there are no other agreements, written or oral, that assign SiteLock, LLC’s
 4 claims against GoDaddy, or its contracts with GoDaddy to ABRY Partners II, LLC, ABRY
 5 Partners, LLC, or any other entity.” (*Id.* ¶ 3.) Juall also explains that, “[g]iven [his] role
 6 in representing private equity funds managed by ABRY Partners II, LLC in connection
 7 with the 2018 SPA, [he] would expect to have been aware of, and/or involved in, any such
 8 assignment if it had occurred.” (*Id.*) Finally, Juall asserts that Yancheck is “an officer and
 9 director of SiteLock Group Holdings, LLC. SiteLock Group Holdings, LLC was the parent
 10 company of [SIH] until February 2021. In February 2021, SiteLock Group Holdings, LLC
 11 sold all equity in [SIH] to Sectigo.” (*Id.* ¶ 4.)

12 GoDaddy contends, without explanation, that Juall’s declaration is “hearsay.” (Doc.
 13 596 at 5-6.)¹³ However, in his declaration, Juall represents that his assertions are based on
 14 his personal knowledge and explains how he gained such knowledge (*i.e.*, as a lawyer
 15 involved in the 2018 acquisition). (Doc. 594-1 ¶¶ 1-3.) Next, GoDaddy argues that the
 16 declaration does not provide enough “detail as to the nature or extent of Mr. Juall’s
 17 involvement” in the SPA and thus Juall’s “assertion that he is not aware of any assignment
 18 of rights by SiteLock . . . does not mean much of anything.” (Doc. 596 at 5-6.) This
 19 argument is unavailing. Although the Court might agree that more information about
 20 Juall’s role in the transaction would be helpful if a significant question existed as to whether
 21 an assignment occurred during the 2018 acquisition, that is not the case here. GoDaddy’s
 22 assignment theory is premised on a two-sentence response by Feather during a deposition,
 23 which Feather himself has explained was in error and which SiteLock has rebutted with
 24

25 ¹³ GoDaddy also argues that Juall has provided an inadmissible “expert opinion” about
 26 what is customary in such transactions. (Doc. 596 at 6.) This argument seems to arise
 27 from Juall’s explanation that the “SPA did not assign SiteLock, LLC’s claims against
 28 GoDaddy, or its contracts with GoDaddy, to [ABRY] or any other entity – rather, those
 claims and contracts remained at SiteLock, LLC, as is customary in a transaction structured
 as a purchase of equity of a parent company.” (Doc. 594-1 ¶ 3.) The Court finds it
 unnecessary to decide whether this reference to customary deal parameters was permissible
 because it has played no role in the Court’s analysis here.

1 multiple pieces of evidence. Accordingly, the Court is not persuaded that more information
 2 about Juall's participation in the 2018 acquisition is necessary and disagrees with
 3 GoDaddy's contention that, without such additional information, Juall's declaration lacks
 4 evidentiary value.

5 In addition to relying on the Feather and Juall declarations, SiteLock contends that
 6 “[t]he plain language of the 2018 SPA (and accompanying transaction documents) refutes
 7 any suggestion that SiteLock assigned its claims or contracts against GoDaddy to ABRY
 8 or anyone else. Nothing in the 2018 SPA purports to assign SiteLock's claims against
 9 GoDaddy or contracts with GoDaddy to ABRY, an ABRY-affiliate, or any other entity.”
 10 (Doc. 594 at 9-10.) GoDaddy does not dispute this description of the SPA but provides a
 11 number of reasons it believes the SPA is not dispositive. In large part, GoDaddy focuses
 12 on SiteLock's corporate identity, pointing to statements that SiteLock made in relation to
 13 the SPA discovery dispute. (Doc. 580 at 2-3. *See also* Doc. 584 at 90-91.) Specifically,
 14 SiteLock argued (and continues to argue) that the SPA was not relevant to this litigation at
 15 least in part because SiteLock was not a party to it. (*See, e.g.*, Doc. 578 at 2, 6, 13; Doc.
 16 588 at 12-13; Doc. 594 at 15; Doc. 612 at 6.) This position is based on SiteLock's assertion
 17 that SiteLock is not the same entity as IBS or SIH. (*See, e.g.*, Doc. 578 at 13; Doc. 594 at
 18 15 & n.20; Doc. 610 at 7; Doc. 612 at 5-6.) GoDaddy focuses heavily on this assertion,
 19 arguing that if SiteLock is not the same entity as IBS or SIH, then SiteLock cannot rely on
 20 the SPA “to prove standing.” (Doc. 580 at 3.) In other words, according to GoDaddy,
 21 *either* SiteLock is legally indistinguishable from IBS (and thus bound by “unequivocal
 22 admissions [in the SPA] that SiteLock has no trademark claims and that the Reseller
 23 Agreement between SiteLock and GoDaddy terminated in March 2018”) or SiteLock
 24 cannot use the SPA to “establish standing.” (*Id.* at 4-5.)

25 GoDaddy's line of reasoning relies on a false premise. SiteLock is not relying on
 26 the SPA to “*establish* standing.” It is undisputed that, but for an assignment, SiteLock
 27 would have standing to bring its claims in this action. To demonstrate that the alleged
 28 assignment did not occur—that is, to accomplish the often tricky task of proving a

1 negative—SiteLock provides the Feather declaration and the Juall declaration, both of
 2 which affirmatively state that there was no assignment. SiteLock relies on the SPA simply
 3 to show that it is consistent with those representations (because it makes no mention of an
 4 assignment). If the SPA were SiteLock’s sole piece of evidence on the assignment issue,
 5 the analysis might be different—as GoDaddy notes, it is theoretically possible that an
 6 assignment could have been memorialized in some other document (or even accomplished
 7 orally). But the SPA is not SiteLock’s sole piece of evidence. For those reasons,
 8 GoDaddy’s argument for dismissal—that “[i]f the Court were to credit SiteLock’s
 9 argument, and hold that the [SPA] does not apply to SiteLock, the Court would have to
 10 dismiss this case for lack of standing” (Doc. 580 at 5)—is, at best, a misunderstanding of
 11 the issues.

12 For these reasons, SiteLock has shown, by a preponderance of the evidence, that it
 13 did not assign to ABRY the claims asserted in this action. SiteLock provides sworn
 14 declarations from Feather and Juall, both of whom avow that the 2018 SPA did not involve
 15 an assignment and that they are, more broadly, unaware of any assignment. These
 16 representations are consistent with the SPA, which does not discuss any assignment. The
 17 only potentially contradictory evidence is Feather’s deposition testimony, but SiteLock has
 18 now persuasively demonstrated why that testimony should be viewed as the product of a
 19 good-faith mistake.

20 Nor does Yanchek’s attendance at the mediation suggest (much less establish) that
 21 SiteLock assigned its claims to ABRY. There are plenty of reasons a parent (or
 22 grandparent) company might want to be represented at a subsidiary’s mediation with
 23 another company. Indeed, SiteLock has provided evidence that, even after the sale to
 24 Sectigo, SGH’s interest in the matter continued pursuant to a litigation funding agreement,
 25 which Sectigo and SGH executed concurrently with Sectigo’s purchase of SIH. (Doc.
 26 594-2 ¶¶ 2-3.)

27 As for the various discovery-related accusations, although the Court agrees with
 28 GoDaddy that SiteLock should have produced the SPA sooner, that finding was already

1 the subject of significant discussion during the October 31, 2022 hearing. Also, the extent
 2 to which further discovery related to the SPA is appropriate is addressed in Part II of this
 3 order. Thus, the Court declines to rehash these issues here.

4 Finally, the alternative forms of relief sought by GoDaddy (*e.g.*, the opportunity to
 5 depose Juall) are denied. This conclusion is supported by the weakness of GoDaddy's
 6 assignment theory and the fact that GoDaddy has known since February 5, 2021—which
 7 was well before discovery concluded—about the information upon which its assignment
 8 theory is based.

9 **II. Discovery Requests**

10 Also pending before the Court are (1) SiteLock's motion to enforce the Court's
 11 October 31, 2022 order and set a trial date (Doc. 597) and (2) GoDaddy's motion to compel
 12 SiteLock to produce certain documents related to the SPA (Doc. 598). Because both
 13 motions arise from the Court's rulings at the October 31, 2022 hearing, it is helpful to begin
 14 there.

15 **A. Additional Background**

16 **1. GoDaddy's Motion For Sanctions**

17 As discussed in the Relevant Background, in a draft of the joint proposed pretrial
 18 order, GoDaddy suggested that SiteLock "may" lack standing in this action because
 19 "SiteLock assigned all of its rights under its contracts" to ABRY in 2018. (Doc. 551 at 3.)
 20 To refute this theory, SiteLock produced a redacted copy of the SPA. GoDaddy then
 21 moved for sanctions, arguing that SiteLock's failure to produce the SPA earlier in the
 22 litigation was a discovery violation. (Docs. 566-67.)

23 For context, two documents exist: the SPA and the SPA Schedules. The SPA
 24 Schedules, which SiteLock produced during discovery, include several references to
 25 SiteLock's relationship with and claims against GoDaddy. (*See, e.g.*, Doc. 607-2 at 14
 26 [Schedule 3.9(d): "SiteLock, LLC received a notice from GoDaddy.com, LLC to terminate
 27 the Reseller Agreement by and between SiteLock, LLC and GoDaddy.com, LLC dated
 28 November 4, 2013, First Addendum to Reseller Agreement dated May 1, 2014, Second

1 Addendum to Reseller Agreement dated October 31, 2014, and to terminate Section II of
 2 the Third Addendum to Reseller Agreement dated July 11, 2016 (collectively referred to
 3 as the ‘GoDaddy Agreement’); provided, however, such notice included the survival of
 4 certain provisions contained therein.”].)

5 Meanwhile, although the SPA itself does not mention GoDaddy by name, it does
 6 explain the meaning of the Schedules. For example, Schedule 3.9(r), entitled “Contracts,”
 7 merely provides: “Reference is made to the termination of certain provisions of the
 8 GoDaddy Agreement.” (Doc. 607-2 at 14-15.) Section 3.9(r) of the SPA clarifies that
 9 Schedule 3.9(r) is a list of instances where IBS or a subsidiary “suffered any Material
 10 Adverse Effect.” (Doc. 607-1 at 18-19.) Perhaps most important, Section 3.16.3 of the
 11 SPA states that, except as provided in Schedule 3.16.3(b), “to the knowledge of the [IBS],
 12 no Person is infringing on misappropriating, or using on an unauthorized basis any [IBS]
 13 Owned Intellectual Property and no Person is considering or threatening such a Claim.”
 14 (*Id.* at 24). Schedule 3.16.3, in turn, does not include a section (b), let alone disclose in
 15 section (b) any instances of trademark infringement by GoDaddy or contemplated lawsuits
 16 against GoDaddy involving trademark infringement. (Doc. 607-2 at 32.)

17 In its motion for sanctions, GoDaddy argued that the SPA was responsive to several
 18 of its RFPs and should have been produced during discovery. (Doc. 567 at 3-6.)
 19 Specifically, GoDaddy asserted that the SPA contained representations and warranties by
 20 SiteLock that “squarely contradict[] SiteLock’s allegations in this action.” (*Id.* at 9 [“The
 21 [SPA] is thus an unequivocal admission that SiteLock’s trademark claims fail as a matter
 22 of law”]; *id.* [“[T]he [SPA] confirms (again) that the Reseller Agreement terminated
 23 in March 2018.”]; *id.* at 13 [arguing the SPA contain “admissions and representations that
 24 are dispositive of SiteLock’s claims, in whole or in part”].)¹⁴ As relief, GoDaddy asked
 25 the Court to dismiss SiteLock’s Lanham Act and unfair competition claims, to preclude
 26 SiteLock from asserting trademark misuse as part of its contract claims, and to establish
 27 March 31, 2018 at the termination date of the Reseller Agreement. (Doc. 566 at 2-3.) In

28 ¹⁴ GoDaddy also filed a reply, reiterating many of these arguments. (Doc. 582.)

1 the alternative, GoDaddy requested a continuance of the trial to allow GoDaddy to conduct
 2 additional discovery and file a supplemental motion for summary judgment. (*Id.* at 3.)

3 In response, SiteLock argued the SPA was not responsive to GoDaddy's RFPs,
 4 focusing in large part on the fact that SiteLock was "not a signatory to the SPA," which
 5 was between IBS and SIH. (Doc. 578 at 2. *See also id.* at 13 [describing IBS and ABRY
 6 as "third parties"].) SiteLock also argued that, in any event, the statements in the SPA
 7 would not "foreclose" SiteLock's claims in this action. (*See, e.g., id.* at 11 "[E]ven if
 8 Section 3.16 could be understood to be a statement that no party was infringing on
 9 SiteLock's trademark, that would not 'foreclose' SiteLock's trademark claim. At most,
 10 this statement might suggest SiteLock did not yet appreciate the full legal ramifications of
 11 GoDaddy's misconduct in April 2018."].)

12 **2. October 31, 2022 Hearing**

13 On October 31, 2022, the Court held a hearing to address, among other things,
 14 GoDaddy's motion for sanctions. (Doc. 587.) During the hearing, GoDaddy reiterated
 15 that the SPA is relevant to SiteLock's trademark claims and the Reseller Agreement's
 16 termination date and argued that the representations in the SPA (and SPA Schedules) are
 17 binding on SiteLock because Feather and Serani both signed the agreement and "adopted
 18 all of [the] representations and warranties" therein. (Doc. 593 at 68-72.) In a related vein,
 19 GoDaddy argues that IBS is not "truly a non-party" and that "the evidence doesn't support"
 20 "viewing [IBS and SiteLock] as totally distinct companies such that the representations in
 21 [the SPA] don't apply or bind SiteLock." (*Id.* at 68.) The Court then asked: "Is that some
 22 sort of . . . piercing the corporate veil theory, that IBS does not deserve to be a distinct
 23 entity such that its statements are binding on its [subsidiaries]?" (*Id.*) GoDaddy's counsel
 24 responded: "I think so, Your Honor," but, when prompted by the Court, was unable to
 25 identify a case for that proposition. (*Id.* at 68-71.) As for prejudice, GoDaddy argued that
 26 if the SPA had been timely disclosed, "there would have been an opportunity to seek
 27 additional discovery," including an unredacted copy of the SPA and "other annexes" to the
 28 SPA. (*Id.* at 68-70 [arguing that the SPA is "proof positive" that SiteLock's production

1 was not complete and asserting “to the extent that there are other documents between
 2 [ABRY] and SiteLock or IBS and [SIH], those would also be responsive”].)

3 In response, and after some discussion with the Court, SiteLock ultimately conceded
 4 that the SPA *Schedules* were responsive to RFP 2 (which requested “[a]ll documents
 5 relating to the termination of any contractual provision at issue in this litigation”)¹⁵ and that
 6 the SPA itself “provides context for the schedules.” (*Id.* at 75-78 [explaining the search
 7 process for responsive documents].) However, SiteLock downplayed the importance of
 8 the SPA, arguing that even assuming SiteLock “had not conceptualized the trademark
 9 violation as a federal Lanham Act claim” in April 2018, that lack of conceptualization is
 10 “not an admission that GoDaddy didn’t . . . use[] SiteLock’s trademark to promote a
 11 competing service” (*Id.* at 85-86.) As for whether the failure to disclose the SPA
 12 “opens a can of worms that there’s other documents out there related to the due diligence”
 13 in the 2018 deal, SiteLock stated: “[W]e searched for and produced documents,
 14 communication[s] between SiteLock and [ABRY] . . . about this case with GoDaddy,
 15 which is what the RFPs called for.” (*Id.* at 89.) SiteLock also represented that it
 16 “confirmed with the deal counsel that anything that they had received from the data room
 17 had been produced. And it was. . . . [T]hose documents were given to the purchaser . . .
 18 from the seller. Not from SiteLock directly. . . . [B]ut the bottom line is, those documents
 19 have all been produced.” (*Id.* at 89-90.)

20 After considering the parties’ moving papers and oral arguments, the Court found
 21 that “SiteLock should have produced the SPA earlier, and that the failure to do so was a
 22 discovery violation,” both because the SPA was responsive to RFP 2 and because the SPA
 23 was arguably subject to production pursuant to the Mandatory Initial Discovery Pilot
 24 Project (“MIDP”). (*Id.* at 98-102.) The Court also concluded that SiteLock’s failure to
 25 produce the document was not substantially justified. (*Id.* at 103.) As for harmlessness,
 26 the Court “agree[d] with GoDaddy that when the SPA is read in conjunction with the

27 ¹⁵ According to SiteLock, it produced the SPA Schedules in response to RFP 57, which
 28 sought “documents related to SiteLock’s efforts to find a buyer that referenced GoDaddy.”
 (Doc. 593 at 76-77.)

1 schedules, it raises an inference that IBS didn't believe that SiteLock had a Lanham Act
 2 claim as of April 5th, 2018. And this inference was not something that arose solely from
 3 the schedules." (*Id.* at 103.) However, the Court also stated: "[I]t's not clear . . . that this
 4 was very important new information in the overall scheme of things. . . . As we've
 5 discussed, GoDaddy has not identified any authority suggesting that this statement would
 6 somehow have a preclusive admission effect that could give rise to a summary judgment
 7 motion The entity that made the statement, IBS, is not the same entity as the
 8 plaintiff in this case. . . . And this is simply a statement that on a particular date IBS was
 9 unaware of the existence of a potential claim. There are all sorts of reasons why a parent
 10 could be unaware of a subsidiary's potential claim on one date, yet the claim turns out to
 11 be a winner." (*Id.* at 104-05 ["I just don't see this as the type of smoking gun that GoDaddy
 12 sets it out to be."]).)¹⁶

13 Next, the Court addressed sanctions:

14 On the one hand, SiteLock has nobody to blame but itself for the current
 15 predicament. It went out of its way for whatever reason to only turn over
 16 part of a document without the other part that had new information that was
 unfavorable to its position. . . .

17 On the other hand, GoDaddy, in my view, is overstating the importance of
 18 the new information, and as a result the relief they're seeking would result in
 a windfall.

19 I have little trouble concluding that the dismissal of the Lanham claim would
 20 be an unwarranted, overbroad discovery [sanction], for all the reasons I've
 21 just stated. The closer call is whether there's some more immediate form of
 relief that I could grant here. . . . I've tried to really put my thumb on the
 scale . . . in GoDaddy's favor here.

22 One of the requests is that I continue the trial for six months so that I can
 23 reopen discovery and allow successive summary judgment briefing. . . .
 24 [S]ubject to having an open mind to anything that's filed later, this just
 doesn't seem anywhere near a dispositive admission that could lead to
 summary judgment. So, really, we're talking about, should more discovery
 be granted, and do I need to put off the trial to allow that discovery? I am
 just very loathe to continue the trial. This case is very old. It is time for it to
 25 be tried. I know the parties have already put tremendous resources into

26 ¹⁶ As for the Reseller Agreement's termination date, the Court "was less persuaded
 27 that there were discovery issues . . . in that . . . there's a stronger argument that the
 28 information that was in the SPA was cumulative of what was in the schedules that were
 previously disclosed. . . . [T]o me the Lanham Act issue is the one that was the most
 concerning." (*Id.* at 113.)

1 preparing for trial that is starting tomorrow. . . . I, of course, don't want to
 2 do anything that is unfairly prejudicial to GoDaddy by making them litigate
 without full knowledge of facts.

3 [T]he best imperfect . . . solution I can come up with is allowing depositions
 4 of Feather and Serani, but keeping the trial date as it is. . . . To the extent
 that means that that interrupts SiteLock's order of proof, I'm not that
 5 sympathetic . . . If SiteLock's view is that this is so disruptive they would
 rather have the continuance, I'm happy to hear that now.

6 (*Id.* at 105-08.)

7 SiteLock's counsel then stated: "Your Honor, we do believe that, I'm afraid. Mr.
 8 Serani and Mr. Feather are essentially the substance of our case. . . . And so in light of
 9 Your Honor's ruling, we would request some very short, as short as possible, continuance."

10 (*Id.* at 108-09.)

11 GoDaddy agreed to SiteLock's continuance suggestion. (*Id.* at 109-10.) Thus, the
 12 Court vacated the trial date, ordered the parties "to meet and confer about getting these
 13 depositions done sooner rather than later," and further ordered the parties to "come forward
 14 with a proposal about when you would like this case to be tried and when you're available
 15 for it to be tried." (*Id.* at 109-11.) As for GoDaddy's document requests, the Court ordered
 16 SiteLock to turn over an unredacted version of the SPA. (*Id.* at 111.) The Court also stated
 17 that, "[t]o the extent there are other documents out there beyond the unredacted SPA" to
 18 which GoDaddy felt entitled, the parties should "meet and confer about it and figure out
 19 precisely what additional documents you think you're entitled to" and, if they were unable
 20 to agree, to file something with the Court. (*Id.* at 111-12.) The same day, the Court issued
 21 a minute order memorializing these rulings. (Doc. 587.)

22 3. Post-Hearing Events

23 On November 9, 2022, GoDaddy sent SiteLock a letter containing additional
 24 discovery requests. (Doc. 600 ¶ 3.) Specifically, GoDaddy "respectfully demand[ed]" that
 25 SiteLock produce the following:

- 26 1. All drafts or redlined versions of the SPA exchanged between, on the
 27 one hand, SiteLock, IBS, Unitedweb Holdings, LLC, Unitedweb, Inc.
 28 or their deal counsel and, on the other hand, [ABRY], SIH, or their
 deal counsel.

- 1 2. All due diligence materials related to the SPA, including, but not limited to, documents “uploaded to the ‘data room’ used to collect materials during due diligence on the transaction.”
- 2 3. All correspondence between, on the one hand, SiteLock, IBS, Unitedweb Holdings, LLC, Unitedweb, Inc., or their deal counsel and, on the other hand, [ABRY], SIH, or their deal counsel, concerning the transaction that ultimately culminated in the SPA, including, but not limited to, preliminary deal discussions prior to the exchange of drafts of the SPA.
- 3 4. All internal SiteLock correspondence, meaning correspondence involving SiteLock, IBS, Unitedweb Holdings, LLC, and/or Unitedweb, Inc., excluding privileged communications, concerning the transaction that ultimately culminated in the SPA.
- 4 5. All documents that Mr. Feather and/or Mr. Serani relied upon in making the representations and warranties set forth in the SPA and the Disclosure Schedules thereto.
- 5 6. Mr. Feather’s operative employment agreement with SiteLock and/or IBS (or any similar agreement) in effect prior to [ABRY’s] acquisition of SiteLock.
- 6 7. Mr. Serani’s operative employment agreement with SiteLock and/or IBS (or any similar agreement) in effect prior to [ABRY’s] acquisition of SiteLock, including, but not limited to, the “compensation agreement submitted under separate file” referenced in Schedule 3.20.2(a).

(Doc. 600-2 at 1-2, internal citation omitted.) GoDaddy also stated: “[T]o the extent SiteLock claims it does not have possession, custody, or control of any of the documents discussed above, GoDaddy intends to serve subpoenas as necessary, including, but not limited to, subpoenas on deal counsel, [ABRY], IBS, SIH, Unitedweb Holdings, LLC, and Unitedweb, Inc.” (*Id.* at 2. *See also id.* at 3 [“We reserve the right to subpoena Ms. Yanchek and Mr. St. Jean for depositions based on SiteLock’s new revelations.”].)

On November 18, 2022, SiteLock produced an unredacted copy of the SPA. (Doc. 600-1 at 4.)

On November 24 2022, SiteLock further responded to GoDaddy’s requests. (Doc. 600-3.) First, SiteLock agreed to produce “(1) a set of the documents contained in the transaction binder for the SPA (along with an index identifying these documents), (2) the seven emails concerning GoDaddy that were uploaded to the data room and provided to [SiteLock] by deal counsel for ABRY, as described in [counsel’s] October 28, 2022

1 declaration, and (3) employment agreements for Mr. Serani and Mr. Feather that we have
 2 been able to locate based on a reasonable search.” (*Id.* at 1, internal citation omitted. *See*
 3 *also id.* at 5 “[W]e are producing Mr. Feather’s employment agreement with ABRY with
 4 this letter. We are in the process of determining whether Mr. Feather had an employment
 5 agreement with IBS prior to his employment agreement with ABRY. . . . [W]e are
 6 producing Mr. Serani’s employment agreement with IBS with this letter.”].) SiteLock also
 7 produced a “Securities Purchase Agreement dated February 12, 2021, under which [SGH]
 8 agreed to sell [SIH] to Sectigo, Inc.” (the “Sectigo SPA”) and “certain other documents
 9 included in the [Sectigo SPA] transaction binder (along with an index identifying the
 10 documents in the transaction binder) or otherwise associated with this sale.” (*Id.* at 1-2.
 11 *But see id.* at 2 [“We do not believe that these documents are relevant to any party’s claim
 12 or defense or responsive to any of GoDaddy’s RFPs. In addition, these documents were
 13 created after the end of document discovery, after the final date for supplementation of
 14 MIDP responses, and after the date limitations that both parties placed on their respective
 15 RFP responses. Nevertheless, we are producing these documents in the interests of full
 16 transparency and to avoid another late-breaking argument from GoDaddy that additional
 17 document discovery is necessary before trial. Because certain of these documents (or
 18 portions thereof) are protected work product, we have also produced a supplemental
 19 privilege log reflecting these portions.”].) SiteLock then addressed each request
 20 specifically, objecting on grounds such as relevance, breadth, responsiveness, and control;
 21 SiteLock also asserted it had produced documents that fell under some of the requested
 22 categories in response to RFPs 69 and 70. (*Id.* at 2-5.) SiteLock further objected to
 23 GoDaddy’s stated intent to serve subpoenas on third parties and disputed GoDaddy’s
 24 “suggestion . . . that SiteLock in any way misrepresented the relevance of Mr. St. Jean or
 25 Ms. Yanchek of ABRY.” (*Id.* at 5. *See also id.* at 5-6 [asserting that neither St. Jean nor
 26 Yanchek has “unique, first-hand knowledge of facts relevant to this case” and the fact that
 27 both individuals are referenced in the SPA does not somehow give them such knowledge].)

28 On December 16, 2022, after admonishing SiteLock for its “tone,” GoDaddy asked

1 SiteLock several clarifying questions about the produced materials and challenged the
 2 redactions in the Sectigo SPA and SiteLock’s failure to produce the “litigation funding
 3 agreement” (the “LFA”) between Sectigo and SGH (which was listed in SiteLock’s
 4 privilege log). (Doc. 600-4 at 1-2.) GoDaddy also responded to SiteLock’s
 5 request-specific objections, arguing, in broad strokes, that all of the requested materials
 6 were relevant, responsive to RFPs 2, 6, 8, 13, 57-60, and 65-70 (or, at a minimum, subject
 7 to disclosure under the MIDP), and “plainly within SiteLock’s control.” (*Id.* at 2-7).¹⁷
 8 However, GoDaddy also agreed to narrow several of its requests to focus on “the subject[]
 9 matters reflected in Sections 1.1, 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2
 10 of the SPA” and SPA Schedules. (*Id.* at 4-7.) Finally, GoDaddy reiterated its intent to
 11 “move ahead with third party subpoenas once SiteLock fulfills its obligations of producing
 12 all responsive documents called for by GoDaddy’s supplemental discovery requests.” (*Id.*
 13 at 8.)

14 On January 9, 2023, SiteLock responded. (Doc. 600-5.) After answering several of
 15 GoDaddy’s clarifying questions, SiteLock stated: “Your December 16 letter . . . , for the
 16 first time, requests all documents that are merely ‘referenced’ in the SPA. That request is
 17 vague and overbroad. . . . Accordingly, SiteLock has not produced all documents that are
 18 merely ‘referenced’ in the SPA and its annexes. . . . SiteLock has produced all documents
 19 that were part of the SPA’s transaction binder, as well an index to the transaction binder
 20 which confirms that all documents that were part of the binder have been produced.” (*Id.*
 21 at 1-2.) However, SiteLock also offered to consider a more specific request if GoDaddy
 22 believed a certain document referenced in the SPA should be produced. (*Id.* at 2.) SiteLock
 23 then responded to GoDaddy’s arguments about relevance, responsiveness, control, and
 24 work product. (*Id.* at 2-5.) Finally, SiteLock expressed that it would like to schedule the
 25 supplemental depositions of Feather and Serani and identify a new trial date. (*Id.* at 6.)

26 On January 19, 2023, the parties met and conferred about the discovery dispute.

27 ¹⁷ GoDaddy also observed that SiteLock had not stated, in its letter, that “SiteLock
 28 produced all such relevant responsive materials” and, based on SiteLock’s failure to make
 such a statement, accused SiteLock of “still concealing documents.” (Doc. 600-4 at 4-5.)

1 (Doc. 600 ¶¶ 10-11. *See also* Doc. 600-6 [transcript of meeting].) In relevant part,
 2 GoDaddy’s counsel asked whether SiteLock had searched for the requested documents.
 3 (Doc. 600-6 at 8-10.) SiteLock’s counsel expressed that SiteLock had tried to obtain
 4 documents from several entities,¹⁸ all of which stated they would not produce them
 5 voluntarily. (*Id.* at 8-9.) SiteLock’s counsel also represented that SiteLock had not (at
 6 least since the October 31, 2022 hearing) conducted any searches to determine whether
 7 SiteLock, itself, possessed the requested materials. (*Id.* at 10-12. *See also id.* at 12-13
 8 [arguing it would be “burdensome” to conduct such searches].) Ultimately, the parties
 9 agreed they were at an impasse. (*Id.* at 15-16.)

10 **B. Legal Standard**

11 Under Rule 37(a)(3)(B), “[a] party seeking discovery may move for an order
 12 compelling an answer, designation, production, or inspection” when the non-moving party
 13 “fails to produce documents . . . as requested under Rule 34.”

14 “Rule 34 governs requests and responses or objections for accessing documents and
 15 other information within the ‘possession custody or control’ of other parties.” *Murillo v.*
 16 *Arizona*, 2023 WL 2540245, *2 (D. Ariz. 2023). “The purpose of Rule 34 is to make
 17 relevant and nonprivileged documents and objects in the possession of one party available
 18 to the other.” *Clark v. Vega Wholesale Inc.*, 181 F.R.D. 470, 471 (D. Nev. 1998) (citation
 19 omitted). Rule 26(b), in turn, defines the “Scope and Limits” of discovery. Under Rule
 20 26(b)(1),

21 Parties may obtain discovery regarding any nonprivileged matter that is
 22 relevant to any party’s claim or defense and proportional to the needs of the
 23 case, considering the importance of the issues at stake in the action, the
 24 amount in controversy, the parties’ relative access to relevant information,
 25 the parties’ resources, the importance of the discovery in resolving the issues,
 26 and whether the burden or expense of the proposed discovery outweighs its
 27 likely benefit.

28 *Id.* “[T]he party seeking to compel discovery has the initial burden of establishing that its

¹⁸ The transcript describes the entities as “Avery and Avery Entities.” (Doc. 600-6 at 9.) Context suggests this was an error in transcription and the parties were discussing ABRY. (*See also id.* [discussing IBS, “NetWeb Holdings,” “NetWeb Incorporated,” and “Avery Holdings”].)

1 request satisfies the relevancy requirements of Rule 26(b).” *Doe v. Swift Transp. Co.*, 2015
 2 WL 4307800, *1 (D. Ariz. 2015). This “is a relatively low bar.” *Cont'l Circuits LLC v.*
 3 *Intel Corp.*, 435 F. Supp. 3d 1014, 1018 (D. Ariz. 2020). *See also* Fed. R. Civ. P. 26(b)(1)
 4 (“Information within this scope of discovery need not be admissible in evidence to be
 5 discoverable.”). If the movant meets its burden of establishing relevancy, “the party
 6 opposing discovery has the burden to demonstrate that discovery should not be allowed
 7 due to burden or cost and must explain and support its objections with competent
 8 evidence.” *Doe*, 2015 WL 4307800 at *1.

9 Rule 26(b)(1) also requires that discovery be proportional to the needs of the case.
 10 *In re Bard IVC Filters Prod. Liab. Litig.*, 317 F.R.D. 562, 564 (D. Ariz. 2016) (“The 2015
 11 amendments also added proportionality as a requirement for permissible discovery.
 12 Relevancy alone is no longer sufficient”). “The parties and the court have a collective
 13 responsibility to consider the proportionality of all discovery and consider it in resolving
 14 discovery disputes.” Fed. R. Civ. P. 26, advisory committee notes on the 2015
 15 amendments. In other words, Rule 26 “does not place the burden of proving
 16 proportionality on the party seeking discovery.” *In re Bard IVC Filters*, 317 F.R.D. at 564.
 17 *See also id.* (noting that the proportionality inquiry “requires input from both sides”).

18 **C. The Parties’ Arguments**

19 Based on the events described in Part II.A, SiteLock moves to enforce the Court’s
 20 October 31, 2022 order and set a trial date. (Doc. 597 at 1.) GoDaddy moves to compel
 21 the production of “undisclosed documents related to the SPA.” (Docs. 598-99.) The two
 22 motions substantially overlap, both in terms of the issues addressed and the relief requested.
 23 For clarity and efficiency, the Court has attempted to summarize the arguments by
 24 subject-matter, integrating all of the parties’ submissions into one, cohesive analysis.

25 The parties dispute whether and to what extent GoDaddy is entitled to the
 26 documents it requests, which fall into two categories: (1) documents related to the SPA;
 27 and (2) documents related to Sectigo. As for the first category, GoDaddy requests the
 28 following:

1. Drafts or redlined versions of the SPA exchanged between, on the
2 one hand, SiteLock, IBS, Unitedweb Holdings, LLC, Unitedweb,
3 Inc. or their deal counsel and, on the other hand, [ABRY], SIH, or
4 their deal counsel.
5. Due diligence materials related to the SPA, including, but not
6 limited to, documents ‘uploaded to the ‘data room’ used to collect
7 materials during due diligence on the transaction,’ that concern or
8 relate to Sections 1.1, 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1,
9 3.24.1, and 3.24.2 of the SPA and the Disclosure Schedules thereto.
10. Correspondence between, on the one hand, SiteLock, IBS,
11 Unitedweb Holdings, LLC, Unitedweb, Inc., or their deal counsel
12 and, on the other hand, [ABRY], SIH, or their deal counsel,
13 concerning the transaction that ultimately culminated in the SPA,
14 including, but not limited to, preliminary deal discussions prior to
15 the exchange of drafts of the SPA, but limited to correspondence that
16 concerns or relates to the subject matters reflected in Sections 1.1,
17 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the
18 SPA and the Disclosure Schedules thereto.
19. Internal SiteLock correspondence, meaning correspondence
20 involving SiteLock, IBS, Unitedweb Holdings, LLC, and/or
21 Unitedweb, Inc., excluding privileged communications, concerning
22 the transaction that ultimately culminated in the SPA, but limited to
23 correspondence that concerns or relates to the subject matters
24 reflected in Sections 1.1, 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1,
25 3.24.1, and 3.24.2 of the SPA and the Disclosure Schedules thereto.
26. Documents that Feather or Serani relied upon in making the
27 representations and warranties set forth in Sections 1.1, 2.6, 3.9,
28 3.10, 3.12, 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the SPA and
the Disclosure Schedules thereto.

(Doc. 599 at 3-4, internal citations omitted.) SiteLock opposes the five SPA-related document requests as seeking discovery that is irrelevant, disproportionate, and, at least to some extent, outside of SiteLock’s custody and control. (Doc. 597 at 6-10. *See also* Doc. 610 at 2-11.) GoDaddy argues the SPA-related documents are relevant for the same reasons as the SPA and responsive to various RFPs (Doc. 599 at 5-8; Doc. 604 at 3-8) and further argues that SiteLock has not demonstrated any undue burden associated with producing the requested documents (*id.* at 9-10). As for custody and control, GoDaddy contends that the Court should reject SiteLock’s arguments because SiteLock has not searched for the documents (Doc. 599 at 8) and argues that SiteLock, for a variety of reasons, has legal control over the documents sought. (*See, e.g., id.* at 9 [invoking the “alter ego” doctrine]; *id.* at 11 [arguing that IBS acted as SiteLock’s “agent” with respect to “key

1 contracts”]; *id.* at 12 [arguing that “SIH is controlling this litigation”]; Doc. 604 at 13-14
 2 [arguing that IBS is effectively the same entity as SiteLock]; *id.* at 14 [noting that SiteLock
 3 has not provided a declaration avowing that it lacks legal control over the requested
 4 documents].)

5 As for the second category of discovery sought (*i.e.*, documents related to Sectigo),
 6 GoDaddy moves to compel SiteLock to produce the LFA and an unredacted version of the
 7 Sectigo SPA. (Doc. 598 at 1.) SiteLock opposes these requests on two grounds: relevance
 8 and the work product doctrine. (Doc. 597 at 10-12; Doc. 610 at 11-16.) GoDaddy argues
 9 that SiteLock’s privilege log is inadequate and, in any event, the work product doctrine
 10 does not apply. (Doc. 599 at 12-14, 16-17; Doc. 604 at 14-16.) As for the LFA, GoDaddy
 11 further argues that, even if the document is protected work product, SiteLock waived that
 12 protection by relying on the LFA to establish standing and, in a related vein, GoDaddy has
 13 a “substantial need” for it. (Doc. 599 at 14-16.)¹⁹

14 Finally, SiteLock asks the Court to “compel[] GoDaddy to provide its availability
 15 for the two four-hour depositions” of Feather and Serani and “set[] a new date for trial.”
 16 (Doc. 597 at 1.) Specifically, SiteLock requests a trial date between March 20, 2023 and

17 ¹⁹ GoDaddy also accuses SiteLock of “withholding more relevant documents,”
 18 asserting that “SiteLock attached to [its opposition to GoDaddy’s sanctions motion] yet
 19 another relevant, undisclosed document.” (Doc. 599 at 6-7.) The document in question is
 20 an email chain between Serani, Feather, and someone at GoDaddy. (Doc. 578-2.) SiteLock’s
 21 counsel avers that after GoDaddy filed its sanctions motion (Doc. 566), SiteLock’s counsel
 22 reached out to ABRY’s deal counsel “to confirm that any ‘pleadings, correspondence and other documents relating to’ SiteLock’s claims against GoDaddy that
 23 were provided to the Purchaser under Section 3.12 were also produced in this litigation.”
 24 (Doc. 578-1 ¶ 4.) ABRY’s counsel “voluntarily provided SiteLock with seven PDF
 25 documents reflecting GoDaddy-related emails that were uploaded to the ‘data room’ during
 26 due diligence on the 2018 SPA.” (Doc. 610 at 7 n.5.) Although “SiteLock had already
 27 produced versions of each of these emails in discovery” (Doc. 578-1 ¶ 5), “in an abundance
 28 of caution,” SiteLock again provided GoDaddy with the emails. (Doc. 610 at 7-8 n.5.) The
 “relevant, undisclosed document” that GoDaddy alleges SiteLock failed to produce during
 discovery is one of the seven PDFs. (*Id.*) At the top of that document, there is a note
 stating: “Notice of stoppage on reporting” (Doc. 578-2), which did not appear on the
 version of the email that SiteLock produced during discovery (Doc. 610 at 8 n.5). In its
 reply, GoDaddy asserts that SiteLock “concealed” the document during discovery by
 producing “a different version of it in discovery *without this key language.*” (Doc. 611 at
 3.) However, GoDaddy does not explain, nor can the Court ascertain, the significance of
 the “Notice of stoppage of reporting” text. In any event, GoDaddy does not request any
 relief related to SiteLock’s failure to produce the newly disclosed version of the email
 during discovery.

1 July 14, 2023. (*Id.* at 12-13.) Alternatively, SiteLock asks the Court to “order GoDaddy
 2 to provide its availability for trial and then set a new trial date that works for the Court and
 3 the parties.” (*Id.* at 13.) In response, GoDaddy asserts it “is not available for trial from
 4 March through September” of 2023. (Doc. 604 at 17.) In reply, SiteLock argues that the
 5 Court should either “order GoDaddy to provide its availability for trial within the next six
 6 months” or, “at the very least, substantiate its claims that it is unavailable for trial before
 7 October 2023.” (Doc. 612 at 1.)

8 **D. Analysis**

9 **1. Meet And Confer Efforts**

10 Rule 37(a)(1) provides that a motion to compel must “include a certification that the
 11 movant has in good faith conferred or attempted to confer with the person or party failing
 12 to make the disclosure or discovery in an effort to obtain it without court action.” *See also*
 13 LRCiv 7.2(j) (“No discovery motion will be considered or decided unless a statement of
 14 moving counsel is attached thereto certifying that after personal consultation and sincere
 15 efforts to do so, counsel have been unable to satisfactorily resolve the matter.”).

16 Along with its motion to compel, GoDaddy provides a “Certification of Counsel”
 17 and supporting statement describing the parties’ meet-and-confer efforts about GoDaddy’s
 18 production requests. (Docs. 601, 602. *See also* Docs. 600-1 through 600-5
 19 [correspondence]; Doc. 600-6 [transcript of phone conversation].) SiteLock does not
 20 challenge the sufficiency of GoDaddy’s meet-and-confer efforts. (*See generally* Doc. 610.)

21 In contrast, SiteLock did not include a meet-and-confer certification with its motion.
 22 Thus, GoDaddy contends that SiteLock’s motion “must . . . be denied for its failure to meet
 23 and confer—in violation of this Court’s Orders and the Local Rules.” (Doc. 604 at 2.)
 24 More specifically, GoDaddy faults SiteLock for “only referenc[ing] deposition and trial
 25 scheduling once, in a January 9, 2023 letter” and “never mention[ing] these issues during
 26 the parties’ meet and confer call.” (*Id.*) SiteLock replies: “The parties exchanged four
 27 letters on these exact disputes between November 2022 and January 2023. The parties then
 28 met-and-conferred telephonically on January 19, 2023. In these discussions, GoDaddy (1)

1 demanded broad document discovery into drafting materials related to the 2018 SPA, (2)
 2 demanded that SiteLock produce documents in the possession of third parties (and
 3 threatened to subpoena these third parties if SiteLock did not comply), (3) repeatedly stated
 4 that it would not schedule supplemental depositions until SiteLock capitulated to
 5 GoDaddy's demands, and (4) refused to provide its availability for trial, despite SiteLock's
 6 request." (Doc. 612 at 10-11, internal citations omitted.)

7 For several reasons, the Court declines GoDaddy's invitation to deny SiteLock's
 8 motion for failure to meet-and-confer. First, although Local Rule 7.2(j) requires that
 9 parties certify the adequacy of their meet-and-confer efforts as to discovery motions, it is
 10 not clear that SiteLock's motion—which seeks a Court order preemptively making certain
 11 discovery-related findings but also setting a trial date and compelling GoDaddy to provide
 12 its availability for Feather's and Serani's depositions—falls into this category. And, to the
 13 extent SiteLock's motion *is* discovery-related, the record indicates the parties did
 14 meet-and-confer on those issues. (Docs. 600-1 through 600-6.) It also appears that
 15 SiteLock asked GoDaddy to provide its availability for the depositions and a new trial date
 16 in written correspondence (Doc. 600-5 at 6); in response, GoDaddy stated that it would
 17 work with SiteLock to schedule the depositions “[o]nce the outstanding document issues
 18 are resolved” (Doc. 612-2 at 2). Given the unresolved discovery-related disputes, it was
 19 reasonable of SiteLock to assume that the parties were also at an impasse about the
 20 depositions and trial date. Finally, although the meet-and-confer requirement serves an
 21 important purpose as a general matter, in this instance, given the significant overlap
 22 between GoDaddy's and SiteLock's motions, it seems unlikely that further conferral efforts
 23 about the scheduling issues would be productive without further guidance from the Court.
 24 Thus, judicial economy weighs in favor of resolving both motions on the merits.²⁰ *See also*
 25

26 ²⁰ The parties provide competing descriptions of the meet-and-confer efforts that
 27 preceded their respective motions, with each side blaming the other for the parties' inability
 28 to resolve these issues cooperatively and efficiently. (*See, e.g.*, Doc. 604 at 2 & n.3; Doc.
 612 at 1-2.) The Court declines to address those arguments here except to note that, given
 the contentious history of this litigation, the Court is not particularly sympathetic to either
 side's complaints.

1 *Rogers v. Giurbino*, 288 F.R.D. 469, 477 (S.D. Cal. 2012) (“[C]ourts can still decide a
 2 motion on the merits despite a failure to meet and confer.”).

3 **2. Documents Related To The SPA**

4 As discussed, GoDaddy seeks an order compelling SiteLock to produce (1) drafts
 5 of the SPA, (2) due diligence materials related to certain provisions of the SPA, (3) certain
 6 external correspondence related to the subject matter of those provisions; (4) internal
 7 correspondence involving SiteLock, IBS, Unitedweb Holdings, and/or Unitedweb that
 8 concerns the subject matter of those provisions; and (5) documents upon which Feather
 9 and/or Serani relied in making the representations and warranties in those provisions.
 10 (Doc. 599 at 3-4.)

11 SiteLock objects to these requests as seeking information that is irrelevant and
 12 disproportional to the needs of the case and beyond the scope of the Court’s October 31,
 13 2022 order. (Doc. 579 at 6-8; Doc. 610 at 2-6.) SiteLock also contends that, at least to
 14 some extent, the requests seek documents outside of SiteLock’s possession, custody, or
 15 control. (Doc. 579 at 8-10; Doc. 610 at 6-11.)

16 **a. Relevance And Proportionality**

17 To determine relevance in this context, the Court looks to both Rule 26(b) and the
 18 scope of the October 31, 2022 order granting GoDaddy’s request for discovery sanctions.
 19 GoDaddy bears the initial burden with respect to relevance. *Doe*, 2015 WL 4307800 at *1;
 20 Doc. 587 at 2 [“The parties are to meet and confer regarding . . . any request by GoDaddy
 21 for further undisclosed documents related to the SPA]; Doc. 593 at 111-12.)

22 To recap, during the October 31, 2022 hearing, the Court determined that the SPA
 23 was responsive to GoDaddy’s RFP 2 as a “document[] relating to the termination of any
 24 contractual provision at issue in this litigation” and was also arguably subject to disclosure
 25 pursuant to the MIDP. (Doc. 593 at 98-102.) As for relevance, the Court found that the
 26 SPA contained information about whether the Reseller Agreement had been terminated by
 27 GoDaddy in March 2018, representations about pending or threatened legal claims
 28 affecting SiteLock, and representations about potential trademark infringement. (*Id.*) The

1 Court further determined that some of the relevant information in the SPA was not apparent
 2 from the SPA Schedules alone. (*Id.* at 103-04.)

3 GoDaddy contends that the Court’s determination that the SPA is relevant
 4 establishes that the drafts, due diligence materials, and correspondence are relevant as well.
 5 (Doc. 599 at 5-6.) More specifically, GoDaddy contends that “the SPA contains warranties
 6 (adopted by Feather and Serani) that, *inter alia*, (1) no one infringed on SiteLock’s
 7 trademark, and (2) the Reseller Agreement terminated before April 2018,” which “bear
 8 directly on SiteLock’s claims.” (*Id.* at 6. *See also id.* [“SiteLock intends to call Feather
 9 and Serani as their star witnesses at trial to, presumably, contradict their representations in
 10 the SPA. . . . This certainly makes drafts of, and communications related to, those
 11 representations relevant, especially when they may reveal why those representations were
 12 made and upon what information.”].)

13 SiteLock argues that although the Court determined that the *executed* SPA was
 14 relevant, “because it contains signed statements from SiteLock’s parent company (IBS)
 15 about its knowledge of trademark infringement . . . and the termination of SiteLock’s
 16 contracts,” “[t]he same cannot be said of drafts, correspondence, and due diligence
 17 materials related to the 2018 SPA, which do not contain such authoritative statements.”
 18 (Doc. 597 at 6. *See also id.* at 6-7 [arguing the SPA’s integration clause means “these
 19 documents are not even relevant to the interpretation of the 2018 SPA itself”]; Doc. 610 at
 20 3 [“The Court’s holding that the 2018 SPA ‘me[t] Rule 26’s low bar for relevance in the
 21 discovery context’ does not automatically mean that every document related to the drafting
 22 of the 2018 SPA is now relevant and discoverable. And the Court’s reasoning—that the
 23 2018 SPA contained authoritative statements from SiteLock’s parent company about
 24 trademark and termination issues—has no application to drafting materials that are not
 25 even relevant to interpreting the fully integrated 2018 SPA.”].)

26 SiteLock misstates the Court’s reasoning, which did not turn on the fact that the
 27 SPA was signed and executed. Even if unexecuted, the SPA would have been responsive
 28 to RFP 2 as a document relating to the termination of the Reseller Agreement. Further, the

1 Court found the SPA was relevant because it contained information regarding the Reseller
 2 Agreement's termination date, SiteLock's claims against GoDaddy, and whether any entity
 3 was infringing SiteLock's trademarks. (Doc. 593 at 98-102.) To the extent the Court
 4 expressed doubts about whether the representations in the SPA were binding on SiteLock,
 5 those comments formed part of the harmlessness inquiry and went to the document's
 6 evidentiary weight, not its relevance. (*Id.* at 103-06.) Even if expressed in drafts, any
 7 comments touching upon the same subjects would remain relevant.

8 In a related vein, SiteLock argues the requested documents are not responsive to any
 9 of GoDaddy's RFPs. (Doc. 597 at 6-7; Doc. 610 at 4-5.) Even assuming that is true,
 10 SiteLock's discovery violation gave rise to the current need for supplemental discovery—
 11 thus, to the extent the documents' relevance only became clear after the SPA was produced,
 12 faulting GoDaddy for failing to formally request those documents during discovery would
 13 constitute impermissible burden-shifting. (*See also* Doc. 593 at 106.)

14 With these clarifications in mind, the Court turns to GoDaddy's specific requests.
 15 First, GoDaddy requests “[d]rafts or redlined versions of the SPA exchanged between, on
 16 the one hand, SiteLock, IBS, Unitedweb Holdings, LLC, Unitedweb, Inc. or their deal
 17 counsel and, on the other hand, [ABRY], SIH, or their deal counsel.” (Doc. 599 at 3-4.)
 18 The Court agrees with GoDaddy that the drafts of the SPA are relevant for the same reasons
 19 the SPA itself is relevant. Further, this relevance only became apparent after SiteLock's
 20 late disclosure of the SPA.

21 Second, GoDaddy requests “[d]ue diligence materials related to the SPA, including,
 22 but not limited to, documents uploaded to the data room used to collect materials during
 23 due diligence on the transaction that concern or relate to Sections 1.1, 2.6, 3.9, 3.10, 3.12,
 24 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the SPA and the [SPA] Schedules thereto.” (Doc.
 25 599 at 4, internal citation and quotation marks omitted.) In its third and fourth requests,
 26 GoDaddy requests two categories of correspondence “concerning the transaction that
 27 ultimately culminated in the SPA, including, but not limited to, preliminary deal
 28 discussions prior to the exchange of drafts of the SPA, but limited to correspondence that

1 concerns or relates to the subject matters reflected in Sections 1.1, 2.6, 3.9, 3.10, 3.12, 3.15,
 2 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the SPA and the [SPA] Schedules thereto":
 3 (1) "[c]orrespondence between, on the one hand, SiteLock, IBS, Unitedweb Holdings,
 4 LLC, Unitedweb, Inc., or their deal counsel and, on the other hand, [ABRY], SIH, or their
 5 deal counsel"; and (2) "[i]nternal SiteLock correspondence, meaning correspondence
 6 involving SiteLock, IBS, Unitedweb Holdings, LLC, and/or Unitedweb, Inc., excluding
 7 privileged communications." (*Id.*) Fifth, GoDaddy requests "[d]ocuments that Feather or
 8 Serani relied upon in making the representations and warranties set forth in Sections 1.1,
 9 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the SPA and the Disclosure
 10 Schedules thereto." (*Id.*)

11 As for its second, third, fourth, and fifth requests, GoDaddy contends the requested
 12 materials are relevant because "the SPA contains warranties . . . that . . . no one infringed
 13 on SiteLock's trademark[] and . . . [that] the Reseller Agreement terminated before April
 14 2018. These warranties bear directly on SiteLock's claims. The [requested documents]
 15 are relevant for these same reasons and are reasonably calculated to reveal the basis upon
 16 which those representations were made." (*Id.* at 6.) In response, SiteLock again argues
 17 that "the 2018 SPA is a fully integrated contract" and thus such "parol evidence" is
 18 irrelevant. (Doc. 610 at 3.)

19 As a general matter, the Court agrees with GoDaddy that, to the extent the SPA
 20 contains relevant representations, the materials underlying those representations (and
 21 related correspondence) are also relevant. In relation to GoDaddy's sanctions motion, the
 22 parties debated whether various representations in the SPA were relevant. (Docs. 567, 578,
 23 582, 593.) In its motion to compel, GoDaddy again explains the perceived relevance of
 24 many of these representations. (*See, e.g.*, Doc. 599 at 3, citing sections 3.9(d), 3.9(r),
 25 3.10.1(j), 3.10.3, 3.20.1, 3.16.3, and 3.24.1.) On the current record, the Court concludes
 26 that the following representations in the SPA meet Rule 26's low bar for relevance:

27 **3.9(d) and (r):** Section 3.9 provides that, except as disclosed in
 28 Schedule 3.9 (or as contemplated by the Reseller Agreement), "neither the
 Company nor any of its Subsidiaries have," among other things, (d) "outside
 the Ordinary Course, entered into, materially modified, amended, extended

1 or terminated, or waived, released, or assigned any rights or Claims under,
 2 any Material Contract” or (r) “suffered any Material Adverse Effect.” (Doc.
 3 607-1 at 18-19 [Section 3.9(d), (r)].) The termination of “certain provisions”
 of the Reseller Agreement is listed in subparts (d) and (r) of Schedule 3.9.
 (Doc. 607-2 at 14-15.)

4 **3.10.1(j):** Section 3.10.1(j) provides that, with several exceptions
 5 (including as disclosed in Schedule 3.10.10), except for as disclosed in
 6 Schedule 3.10.1 (and several other exceptions not relevant here), “neither the
 7 Company nor any of its Subsidiaries is a party to or bound by . . . any
 8 Contract, the termination of which or the failure of which to be renewed,
 9 would reasonably be expected to have, individually, a Material Adverse
 10 Effect.” (Doc. 607-1 at 20-21.) SiteLock’s agreement with GoDaddy is not
 11 listed in Schedule 3.10.1(j). (Doc. 607-2 at 19.)

12 **3.10.2:** Section 3.10.2 provides, in relevant part, that except as
 13 disclosed on Schedule 3.10.2, “there is no event, occurrence, condition, or
 14 act (including the consummation of the transactions contemplated by this
 15 Agreement and the Ancillary Agreements) that, with the giving of notice or
 16 the passage of time, could become a default or event of default in any
 17 material respect under any such Material Contract by any of the parties
 18 thereto. The Company has made available to the Purchaser true and complete
 19 copies of each written Material Contract and true and complete summaries
 20 of all oral Material Contracts.” (Doc. 607-1 at 21-22.) Schedule 3.10.2
 21 provides: “The Company believes GoDaddy is in breach of the GoDaddy
 22 Agreement for: (i) failing to promote and market the Company products in
 23 accordance with its obligations under the GoDaddy Agreement, and (ii) for
 24 not paying SiteLock, LLC for its products once GoDaddy has received
 25 payment from end users.” (Doc. 607-2 at 21.)

26 **3.10.3:** Section 3.10.3 provides that, except as disclosed on Schedule
 27 3.10.3, “neither the Company, nor any Subsidiary of the Company, has
 28 received any written notice (a) alleging breach of any Material Contract, (b)
 terminating or threatening to terminate any Material Contract or (c) of intent
 not to renew a Material Contract.” (Doc. 607-1 at 23.) Schedule 3.10.3
 identifies “the termination of certain provisions [of] the GoDaddy
 Agreement.” (Doc. 607-2 at 22.)

29 **3.12:** Section 3.12 provides that, except as disclosed in Schedule
 30 3.12, “since January 1, 2013, there is no Claim pending or threatened against
 31 or affecting the Company or any of its Subsidiaries, or any of their respective
 32 properties or assets, and no event has occurred or circumstance exists that
 33 could reasonably be expected to give rise to or serve as a basis for any of the
 34 foregoing. The Company has made available to the Purchaser true, correct
 35 and complete copies of all pleadings, correspondence and other documents
 36 relating to each proceeding disclosed on Schedule 3.12 . . .” (Doc. 607-1 at
 37 22.) Schedule 3.12 lists SiteLock’s claim against GoDaddy (as described in
 38 Schedule 3.10.2). (Doc. 607-2 at 24.)

39 **3.16.3(b) and (d):** Section 3.16.3 (which falls under Section 3.16, entitled
 40 “Intellectual Property”) provides that, except as disclosed on Schedule
 41 3.16.3, among other things, (b) “to the knowledge of the Company, no Person
 42 is infringing on misappropriating, or using on an unauthorized basis any
 43 Company Owned Intellectual Property and no Person is considering or
 44 threatening such a Claim” and (d) “neither the Company nor any of its
 45 Subsidiaries has entered into any Contract to indemnify any other Person

1 against any charge of infringement of any Company Owned Intellectual
 2 Property other than in the Ordinary Course.” (Doc. 607-1 at 24-25.)
 3 Schedule 3.16.3 identifies “the GoDaddy Agreement” with respect to subpart
 4 (d) but does not include any information with respect to subpart (b). (Doc.
 5 607-2 at 32.) Thus, read together with Schedules 3.16.3(b) and (d), Section
 6 3.16.3 makes two representations relevant to this litigation: First, to IBS’s
 7 knowledge, GoDaddy was not infringing on SiteLock’s trademark. Second,
 8 the Reseller Agreement was, at least in IBS’s view, a contract “to indemnify
 9 any other Person against any charge of infringement of any Company Owned
 Intellectual Property other than in the Ordinary Course.”

10 **3.20.1:** Section 3.20.1, which falls under the heading “Relationships;
 11 Employee Compensation,” includes, among other things, representations
 12 about facts, events, or circumstances affecting subsidiaries’ relationships
 13 with other entities. (Doc. 607-1 at 29.) Schedule 3.20.1 identifies “the
 14 termination of certain provisions of the GoDaddy Agreement” as an
 15 exception to those representations. (Doc. 607-2 at 42.)

16 **3.24.1:** Read together with Section 3.24.1, Schedule 3.24.1 lists “the
 17 fifteen (15) largest resellers or distributors and the fifteen (15) largest
 18 suppliers of the Company and its Subsidiaries”; GoDaddy is identified as one
 19 of the largest resellers from 2015 to February 2018 and as one of the largest
 20 suppliers from 2016 to February 2018. (Doc. 607-1 at 31; Doc. 607-2 at
 21 55-58.)

22 **3.24.2:** Section 3.24.2 provides that, except as disclosed on Schedule
 23 3.24.2, “since January 1, 2017, no Person listed on Schedule 3.24.1 has
 24 terminated its relationship with the Company or any of its Subsidiaries or
 25 materially changed the pricing or other terms of its business with the
 26 Company or any of its Subsidiaries and no such resellers or supplier has
 27 notified the Company or any of its Subsidiaries that it intends to terminate or
 28 materially change the pricing or other terms of its business with the Company
 or any of its Subsidiaries.” (Doc. 607-1 at 31.) Schedule 3.24.2 references
 the termination of certain provisions of the Reseller Agreement. (Doc. 607-
 2 at 58.)

19 For ease of reference, the Court will refer to the above-summarized representations as the
 20 “Key Representations.”

21 The Court finds that the relevance of the Key Representations was not apparent until
 22 the SPA was produced. Although this conclusion applies with more force to certain
 23 representations than others—for example, the absence of section (b) in Schedule 3.16.3 is
 24 impossible to understand without reference to Section 3.16.3(b), whereas the tables in
 25 Schedule 3.24.1 could arguably stand on their own—the Court is satisfied that the SPA, at
 26 a minimum, provides helpful context for all of the Key Representations.

27 With this in mind, the Court turns to GoDaddy’s requests for discovery related to
 28 “Sections 1.1, 2.6, 3.9, 3.10, 3.12, 3.15, 3.16.3, 3.20.1, 3.24.1, and 3.24.2 of the SPA and

1 the [SPA] Schedules thereto” and the subject matters “reflected” in those contractual
 2 provisions. (Doc. 599 at 4.) As worded, these requests are overbroad in two respects.
 3 First, GoDaddy has not established that the following sections of the SPA contain relevant
 4 representations: 1.1;²¹ 2.6; 3.9(a)-(c), (e)-(q), (s)-(x); 3.10.1(a)-(i), (k)-(u); 3.16.3(a), (c),
 5 (e)-(i). Second, although the Court agrees with GoDaddy that 3.9(d), 3.9(r), 3.10.1(j),
 6 3.10.2, 3.10.3, 3.12, 3.20.1, and 3.24.1 contain representations relevant to this litigation,
 7 many of those provisions also contain a great deal of irrelevant representations. For
 8 example, Schedule 3.9(d) lists four unrelated contractual agreements (between SiteLock
 9 and other entities). (Doc. 607-2 at 14.) Because the representations related to those
 10 agreements are irrelevant, the due diligence materials and correspondence related to those
 11 representations (and their subject matters), as well as the documents Feather and Serani
 12 relied upon in making those representations, are irrelevant as well. Accordingly, the Court
 13 narrows requests two through five to focus only on the Key Representations in sections
 14 3.9(d), 3.9(r), 3.10.1(j), 3.10.2, 3.10.3, 3.12, 3.20.1, and 3.24.1.

15 In relation to its fifth request, GoDaddy also argues that materials on which Feather
 16 and Serani relied in making the Key Representations are relevant because, among other
 17 reasons, Feather and Serani signed the SPA as SiteLock’s “agents.” (Doc. 604 at 5. *See*
 18 *also id.* [arguing the statements in the SPA should be “imput[ed]” to SiteLock because
 19 “they were made to effectuate the sale of SiteLock, and were meant to bind SiteLock”].) Because the Court agrees with GoDaddy that Feather’s and Serani’s knowledge about the
 20 Key Representations is relevant—SiteLock previously acknowledged that Feather and
 21

22
 23 ²¹ Section 1.1, entitled “Certain Interpretative Matters,” does not discuss SiteLock’s
 24 relationship with GoDaddy (even by implication) and does not have a corresponding
 25 Schedule. (Doc. 607-1 at 6.) The section was not discussed at the October 31, 2022 hearing
 26 (Doc. 593) and GoDaddy does not explain the relevance of section 1.1 in its motion to
 27 compel. (Doc. 599.) However, unlike the other irrelevant sections, GoDaddy did reference
 28 1.1.3 once in its motion for sanctions, asserting: “SiteLock and its ‘Knowledge Persons,’
 including Mr. Feather and Mr. Serani, provided the representations and warranties set forth
 in the [SPA]” and SPA Schedules. (Doc. 567 at 6, citing section 1.1.3.) To the extent
 GoDaddy’s position is that Feather’s and Serani’s status as “Knowledge Persons” is
 relevant to the litigation, it is free to inquire further in their respective depositions.
 However, GoDaddy has not established the relevance of Section 1.1 for purposes of the
 document requests.

1 Serani “are essentially the substance of our case” (Doc. 593 at 108)—it is not necessary to
 2 address GoDaddy’s alternative agency-based arguments.²² Likewise, because the Court
 3 finds that the SPA-related documents are relevant for the same reasons the SPA itself is
 4 relevant, it need not address GoDaddy’s argument that the documents are also relevant to
 5 SiteLock’s standing. (Doc. 599 at 6.)

6 As for proportionality, SiteLock argues the requested SPA-related documents are
 7 “grossly disproportionate to both the needs of the case and the narrow scope of
 8 supplemental discovery ordered by this Court.” (Doc. 597 at 8.) According to SiteLock,
 9 “[t]he Court originally contemplated that [Feather’s and Serani’s] depositions would take
 10 place the following weekend during a recess from trial” and “[t]he Court did not
 11 contemplate a new multi-month round of broad document discovery focused solely on the
 12 drafting of the 2018 SPA.” (*Id.* *See also* Doc. 610 at 5-6.) SiteLock also notes that
 13 “GoDaddy already has the 2018 SPA itself, the disclosure schedules, and every other
 14 document contained in the transaction binder for the 2018 SPA” and “can ask SiteLock’s
 15 witnesses about these documents at their supplemental depositions and at trial.” (Doc. 597
 16 at 8 [“GoDaddy does not need to pore over every draft and piece of correspondence related
 17 to the drafting of the 2018 SPA.”].)

18 SiteLock’s proportionality arguments are unavailing for several reasons. First,
 19 although the Court was initially inclined to simply allow the depositions of Feather and
 20 Serani to occur during trial, to require SiteLock to produce the unredacted SPA, and to
 21 stick with the trial date of November 1, 2022, the Court also made clear that this suggested
 22 remedy was an “imperfect solution” intended to balance the fact that SiteLock “caused this
 23 whole problem with the late disclosure” against the “great costs” of a continuance. (Doc.
 24 593 at 106-08. *See also id.* at 107 [“I know the parties have already put tremendous
 25 resources into preparing for trial that is starting tomorrow. . . . That’s something I weigh.
 26 I, of course, don’t want to do anything that is unfairly prejudicial to GoDaddy by making

27 ²² The Court is also wary that some of these alternative theories (e.g., that SiteLock
 28 should be bound by the statements in the SPA) are substantive arguments buried in a
 discovery motion.

1 them litigate without full knowledge of facts. I'm trying to take that into consideration too.
 2 And the best imperfect, admittedly, solution I can come up with is allowing depositions of
 3 Feather and Serani, but keeping the trial date as it is. . . . To the extent that means that that
 4 interrupts SiteLock's order of proof, I'm not that sympathetic") Because SiteLock
 5 responded by indicating it would rather continue the trial than proceed on those terms (*id.*
 6 at 109), a looming trial date no longer factors into the Court's decision as to the appropriate
 7 relief for SiteLock's discovery violation (or into the Court's proportionality analysis under
 8 Rule 26(b)(1)).

9 Turning to Rule 26(b)(1), the proportionality analysis involves "considering the
 10 importance of the issues at stake in the action, the amount in controversy, the parties'
 11 relative access to relevant information, the parties' resources, the importance of the
 12 discovery in resolving the issues, and whether the burden or expense of the proposed
 13 discovery outweighs its likely benefit." As for the importance of the issues at stake,
 14 SiteLock describes the documents related to the SPA as "ancillary." (Doc. 597 at 8. *See*
 15 also Doc. 612 at 4 ["[T]he 'importance of the issue at stake' . . . is minimal at best."].)
 16 However, even assuming the SPA is not the "smoking gun" that GoDaddy portrays it to
 17 be, it is relevant to the litigation and responsive to at least one of GoDaddy's RFPs. Given
 18 that SiteLock created the need for this supplemental discovery by failing to produce a
 19 responsive document, SiteLock's argument that GoDaddy is now "creating a sideshow"
 20 (*id.* at 1) is not as persuasive as it might have been had SiteLock complied with its discovery
 21 obligations in the first instance. For the same reason, SiteLock's arguments that "GoDaddy
 22 can ask Mr. Feather and Mr. Serani about the bases of [the relevant] representations in their
 23 upcoming depositions" (Doc. 610 at 3) and that "the burden and expense of this discovery
 24 far outweighs any speculative benefit that it might provide" (Doc. 612 at 4) are
 25 unpersuasive.²³ Finally, as discussed in more detail below, SiteLock also seems to assert

26

 27 ²³ In its reply supporting its motion to enforce the October 31, 2022 order, SiteLock
 28 also argues that "the drafting materials that GoDaddy seeks are not 'important to resolving
 the issue[]' that GoDaddy seeks to investigate: the statements made in the 2018 SPA."
 (Doc. 612 at 4.) Setting aside the propriety of raising this argument for the first time in a
 reply, the argument is premised on the assumption that the SPA's relevance arises from the
 fact that it contains "signed" statements. Because the Court disagrees with this premise,

1 that many of the requested documents are outside its custody or control. (Doc. 597 at 8-
2 10; Doc. 610 at 6-10.) To the extent this representation has any bearing on the
3 proportionality analysis, it cuts against SiteLock because it suggests that the number of
4 SPA-related documents SiteLock would be required to produce in response to GoDaddy's
5 five requests (and the associated cost of the production) would not be particularly high.

b. Possession, Custody, Or Control

7 SiteLock contends “the Court should limit any production to materials in the
8 possession, custody, and control of SiteLock itself” and “reject GoDaddy’s attempt to
9 compel SiteLock to produce documents possessed by third party entities such as IBS,
10 UnitedWeb Inc., [ABRY], and their respective law firms, which are not within SiteLock’s
11 possession, custody, or control.” (Doc. 597 at 8-9.)

12 “Control is defined as the legal right to obtain documents upon demand.” *In re*
13 *Citric Acid Litig.*, 191 F.3d 1090, 1107 (9th Cir. 1999) (citation omitted).²⁴ *See also id.* at
14 1108 (“Ordering a party to produce documents that it does not have the legal right to obtain
15 will oftentimes be futile, precisely because the party has no certain way of getting those
16 documents.”). “The party seeking production of the documents . . . bears the burden of
17 proving that the opposing party has such control.” *United States v. Int’l Union of*
18 *Petroleum & Indus. Workers, AFL-CIO*, 870 F.2d 1450, 1452 (9th Cir. 1989).²⁵

19 the argument fails on the merits.

²⁴ “Although *Citric Acid* addressed control as used in Civil Rule 45, there is no reason why it should be interpreted differently in Civil Rule 34(a)(1), and district courts have so held.” *In re Tavernier*, 2022 WL 5224019, *1 (Bankr. D. Or. 2022). *See also Forestier v. City of Vancouver*, 2006 WL 8455194, *2 (W.D. Wash. 2006) (“The legal control test is the standard under both Rule 34 and Rule 45.”).

23 GoDaddy argues that SiteLock must verify, under oath, that SiteLock does not have
24 custody or control of some or all of the requested documents *before* the burden of proof
25 shifts to GoDaddy. (Doc. 599 at 8.) According to GoDaddy, “SiteLock cannot meet this
26 burden because SiteLock has not even searched for the SPA Documents.” (*Id.* See also
27 Doc. 604 at 14 [“SiteLock did not submit a declaration stating that it does not have a legal
28 right to access these documents”].) Several district courts have held that a party
asserting that it does not have custody or control over requested documents “must state so
under oath and describe efforts he made to locate responsive documents.” *Bryant v.
Armstrong*, 285 F.R.D. 596, 603 (S.D. Cal. 2012). See also *Rogers v. Giurbino*, 2016 WL
3878163, *4 (S.D. Cal. 2016). This requirement arises from the general principle that
“[w]hen a response to a production of documents is not a production or an objection, but
an answer, the party must answer under oath.” *Bryant*, 285 F.R.D. at 603 (citation omitted).
Here, however, the issue is not whether SiteLock has properly responded to GoDaddy’s

1 In an attempt to meet this burden, GoDaddy first argues that SiteLock has
 2 demonstrated that it has access to documents possessed by SIH and/or IBS (and their
 3 respective deal counsel) by producing such documents in the past. (Doc. 599 at 9-11.) For
 4 example, SiteLock produced the SPA and the SPA Schedules and was able to obtain
 5 “additional SPA documents” from DLA Piper (“deal counsel to SIH”) within four days.
 6 (*Id.* at 9.) SiteLock also produced the Sectigo SPA and an email “ostensibly between
 7 Unitedweb and IBS.” (*Id.* at 10-11.) In response, SiteLock argues that these facts do not
 8 establish control because “[p]ractical ability’ is not the standard in the Ninth Circuit;
 9 instead, the responding party must have the ‘legal right’ to obtain those documents.” (Doc.
 10 597 at 9, citation omitted.) Thus, “the mere fact that ABRY’s deal counsel voluntarily
 11 provided SiteLock with six emails related to GoDaddy that were uploaded to the ‘data
 12 room’ during due diligence on the 2018 SPA” does not establish that SiteLock has a legal
 13 right to the additional documents sought by GoDaddy, which include “ABRY’s ‘internal
 14 correspondence’ regarding negotiations over the 2018 SPA.” (*Id.* at 9-10.) SiteLock also
 15 contends that it “has advised GoDaddy that ABRY has declined to provide SiteLock these
 16 documents.” (*Id.* *See also* Doc. 610 at 8 “[A]s SiteLock has advised GoDaddy, SiteLock
 17 has no legal right to compel SIH to produce these documents.”).)

18 SiteLock has the better of this argument. In *Citric Acid*, the Ninth Circuit rejected
 19 the “practical-ability-to-obtain-documents test,” concluding that the “legal control test is
 20

21 production requests but instead whether GoDaddy’s requests, on their face, seek materials
 22 outside of SiteLock’s legal control. GoDaddy has the burden of establishing such control.
Int’l Union of Petroleum & Indus. Workers, 870 F.2d at 1452. Of course, if after
 23 conducting a diligent search and reasonable inquiry in an effort to obtain responsive
 24 documents pursuant to this order, SiteLock’s position is that no responsive documents exist
 25 within its possession, custody, or control, SiteLock will be required to supplement its
 26 discovery responses to reflect that result. Fed. R. Civ. P. 34(b)(2)(C). In an abundance of
 27 caution, the Court further notes that if SiteLock’s search efforts reveal that SiteLock has
 28 possession or custody of some of the requested documents that SiteLock contends are
 outside its legal control (such as communications between ABRY and IBS about the SPA,
 for example), SiteLock is required to produce those documents. In other words, at this
 juncture, the Court’s analysis is limited to whether SiteLock has control over documents
 possessed by IBS, SIH, ABRY, Unitedweb, Unitedweb Holdings, and/or the entities’
 respective deal counsel. For purposes of that analysis, SiteLock is not required to avow,
 under oath, that it does not have control over some or all of the requested documents.

1 the proper standard.” 191 F.3d at 1107-08. *See also Matthew Enter., Inc. v. Chrysler Grp.*
 2 *LLC*, 2015 WL 8482256, *3 (N.D. Cal. 2015) (“Like the majority of circuits, the Ninth
 3 Circuit has explicitly rejected an invitation to define ‘control’ in a manner that focuses on
 4 the party’s practical ability to obtain the requested documents.”) (internal quotation marks
 5 omitted). Thus, the fact that SiteLock previously obtained certain documents from SIH,
 6 IBS, ABRY, and/or the Unitedweb entities does not establish that SiteLock has ongoing
 7 legal control over other documents possessed by those entities. *See also Citric Acid*, 191
 8 F.3d at 1108 (the fact that “C&L–Switzerland . . . voluntarily furnished C&L–US with
 9 documents and information in the past” did not establish control where C&L–Switzerland
 10 could legally refuse to turn over the requested documents).

11 Next, GoDaddy argues that SiteLock’s close relationships with IBS and SIH
 12 establish that SiteLock has legal control of documents possessed by those entities. (Doc.
 13 599 at 9-11.)²⁶ Alternatively, GoDaddy argues that such control should be inferred under
 14 either the alter-ego standard or agency principles. (*Id.* at 8-11, footnote omitted.)

15 Under the definition of “control” in *Citric Acid*, a responding party may have control
 16 over a document by virtue of the responding party’s control over the entity that has
 17 possession of the document. *Hammler v. Hernandez*, 2020 WL 107064, *1 (S.D. Cal.
 18 2020). *See also Int’l Union of Petroleum & Indus. Workers*, 870 F.2d at 1452 (“A
 19 corporation must produce documents possessed by a subsidiary that the parent corporation
 20 owns or wholly controls.”). However, such “[c]ontrol must be firmly placed in reality, not
 21 in an esoteric concept such as ‘inherent relationship.’” *Int’l Union of Petroleum & Indus.*
 22

23 ²⁶ SiteLock contends that “GoDaddy does not make any argument that SiteLock has
 24 ‘possession, custody, or control’ over documents maintained by UnitedWeb Holdings
 25 LLC, UnitedWeb Inc., ABRY, or these non-parties’ respective law firms” and thus the
 26 Court should “deny GoDaddy’s motion to the extent it seeks to compel SiteLock to produce
 27 documents maintained by those non-parties.” (Doc. 610 at 7 n.4.) However, several of
 28 GoDaddy’s arguments as to IBS and SIH incorporate arguments about their respective
 parent companies, which, at least at some point, included Unitedweb and ABRY. (*See, e.g.*, Doc. 599 at 10-11 [“SiteLock was wholly owned by IBS, which, in turn, was majority-owned by Unitedweb and certain SiteLock employees.”]; *id.* at 12 [“SiteLock’s only representative at mediation was Caitlin Yanchek of [ABRY]/SGH/SIH.”]). At any rate, because the Court agrees with SiteLock on the merits as to IBS and SIH, GoDaddy’s arguments about Unitedweb and ABRY fail as well.

1 *Workers*, 870 F.2d at 1453-54 (citation omitted). “The requisite relationship is one where
 2 a party can order the person or entity in actual possession of the documents to release
 3 them.” *HDT Bio Corp. v. Emcure Pharms., Ltd.*, 2022 WL 16835758, *7 (W.D. Wash.
 4 2022) (citation omitted). “Such a position of control is usually the result of a statute,
 5 contractual provision, affiliation, or employment.” *Id.*

6 Here, GoDaddy argues that SiteLock has “control” over documents possessed by
 7 IBS because “IBS held itself out as being one and the same with SiteLock,” “SiteLock
 8 clearly did not view IBS as a separate entity,” “there was significant overlap in the
 9 ownership and management of SiteLock and IBS,” and “there is evidence that SiteLock
 10 acted for or controlled IBS.” (Doc. 599 at 8-11. *See also id.* at 12 [“SiteLock’s relationship
 11 with SIH is just as intertwined.”].) According to GoDaddy, “[c]ourts routinely find legal
 12 control where, as here, the party and its non-party affiliate are sufficiently intertwined.”
 13 (Doc. 604 at 11.) To support its position, GoDaddy relies on a number of district court
 14 cases, including *HDT Bio Corp. v. Emcure Pharms., Ltd.*, 2022 WL 16835758 (W.D.
 15 Wash. 2022); *K-fee Sys. GmbH v. Nespresso USA, Inc.*, 2022 WL 2156036 (C.D. Cal.
 16 2022); *QC Labs v. Green Leaf Lab, LLC*, 2019 WL 6797250 (C.D. Cal. 2019); *Almont
 17 Ambulatory Surgery Ctr., LLC v. UnitedHealth Grp. Inc.*, 2018 WL 1157752 (C.D. Cal.
 18 2018); *Thales Avionics Inc. v. Matsushita Avionics Sys. Corp.*, 2006 WL 6534230 (C.D.
 19 Cal. 2006); *Kyocera Int’l, Inc. v. Nokia, Inc.*, 2005 WL 8173284 (S.D. Cal. 2005); and
 20 *Choice-Intersil Microsystems, Inc. v. Agere Sys., Inc.*, 224 F.R.D. 471 (N.D. Cal. 2004).²⁷
 21 (Doc. 599 at 8-11; Doc. 604 at 11-14.)

22 In response, SiteLock contends that “GoDaddy’s cited cases are inapposite” because
 23 “[n]one of them apply the ‘legal right’ standard required by Ninth Circuit precedent.
 24 Instead, they either apply out-of-circuit precedent that the Ninth Circuit has rejected, or do
 25 not apply any precedent at all.” (Doc. 610 at 7 n.2. *See also id.* at 9 nn.7, 8.)

26 The issue of when a subsidiary has “control” over documents possessed by its parent

27 GoDaddy also references a number of out-of-circuit cases. (*See, e.g.*, Doc. 604 at 11-14.) However, because the definition of “control” for purposes of Rule 34 varies among circuits, the Court does not find these cases particularly persuasive.

1 corporation has generated some debate among district courts within the Ninth Circuit. *See,*
 2 *e.g., Quest Integrity USA, LLC v. A.Hak Indus. Servs. US, LLC*, 2016 WL 4533062, *4
 3 (W.D. Wash. 2016) (“Notwithstanding the definition of ‘control’ set forth in *International*
 4 *Union* and *In re Citric*, the Court notes that courts within the Ninth Circuit (including courts
 5 within the same district) have taken inconsistent positions when addressing whether a
 6 subsidiary possesses sufficient ‘control’ of documents or items allegedly in their parent
 7 corporation’s possession.”) (collecting cases). More specifically, some courts have
 8 indicated that facts such as “commonality of ownership” and “significant sharing of
 9 business resources” may establish legal control²⁸ while other courts have required a more
 10 specific showing that the subsidiary has the legal right to obtain documents from its parent
 11 on demand.²⁹

12 ²⁸ *See, e.g., QC Labs*, 2019 WL 6797250 at *7-8 (“In ruling on Rule 34 motions to
 13 compel a corporation to produce documents from another corporation, the courts have
 14 defined ‘control’ to include both the legal right to control the company and the actual
 15 ability. Entities with common ownership and are operated out of the same location, among
 16 other indicia of common possession, custody, and control may be required to produce
 17 documents held by the commonly owned entities, even if only one is a party to the
 18 litigation. . . . [W]here the relationship is thus such that the agent-subsidiary can secure
 19 documents of the principal-agent to meet its own business needs and documents helpful
 20 for use in the litigation, the courts will not permit the agent-subsidiary to deny control for
 21 purposes of discovery by an opposing party.”) (internal citations and quotation marks
 22 omitted); *Thales Avionics*, 2006 WL 6534230 at *4-6 (analyzing whether a subsidiary had
 23 control over documents possessed by its grandparent company based on “(a) commonality
 24 of ownership, (b) exchange or intermingling of directors, officers or employees of the two
 25 corporations, (c) exchange of documents between the corporations in the ordinary course
 26 of business, (d) any benefit or involvement by the non-party corporation in the transaction,
 27 and (e) involvement of the non-party corporation in the litigation”) (citation omitted);
 28 *Kyocera*, 2005 WL 8173284 at *5 (“Where the relationship concerns whether a subsidiary
 29 has the requisite control over a parent corporation to obtain information from the parent for
 discovery, courts consider ‘the degree of ownership and control exercised by the parent
 over the subsidiary, a showing that the two entities operate[] as one, demonstrated access
 to documents in the ordinary course of business, and an agency relationship.”) (citation
 omitted).

24 ²⁹ *See, e.g., PlayUp, Inc. v. Mintas*, 2022 WL 4112243, *2 (D. Nev. 2022) (“Courts
 25 generally recognize the formal separateness of related companies. . . . Hence, the party
 26 seeking such discovery must meet the heavy burden of showing that the party on whom
 27 the discovery was served has the legal right to obtain documents on demand from its
 28 affiliated company that actually possesses the documents. A showing like that made here
 of a past exchange of documents and some indicia of corporate overlap is plainly
 insufficient to meet that standard.”) (internal citations omitted); *M. G. v. Bodum USA, Inc.*,
 2020 WL 1667410, *3 (N.D. Cal. 2020) (disagreeing with the plaintiff’s proposition that
 “control may be established based on the ‘close nature’ in the actual corporate relationship
 even where the subsidiary does not have a legal right to demand that the parent furnish the
 requested discovery materials” because “[s]uch a broad reading is inconsistent with the

1 As SiteLock notes, the decisions finding control based on the close relationship
 2 between a subsidiary and its parent (without a specific finding that the subsidiary has the
 3 legal right to obtain documents from its parent on demand) have, at least for the most part,
 4 relied on out-of-circuit precedent. *See, e.g., QC Labs*, 2019 WL 6797250 at *5-8 (relying
 5 on *Uniden Am. Corp. v. Ericsson Inc.*, 181 F.R.D. 302, 306 (M.D.N.C. 1998), and *Steele*
 6 *Software Sys., Corp. v. DataQuick Info. Sys., Inc.*, 237 F.R.D. 561, 564-65 (D. Md. 2006));
 7 *Almont*, 2018 WL 1157752 at *18-19 (relying on *Uniden*); *Thales Avionics*, 2006 WL
 8 6534230 at *5 (relying on *Uniden*); *Kyocera*, 2005 WL 8173284 at *5 (relying on *Camden*
 9 *Iron & Metal, Inc. v. Marubeni Am. Corp.*, 138 F.R.D. 438 (D.N.J. 1991)); *Choice-Intersil*
 10 *Microsystems*, 224 F.R.D. at 472-73 (relying on *Camden*). Many of those out-of-circuit
 11 cases, in turn, defined “control” more broadly than the Ninth Circuit did in *Citric Acid*.
 12 *See, e.g., Uniden*, 181 F.R.D. at 305-06 (defining “control” as including “both the legal
 13 right to control the company and the actual ability” and reasoning that although “subsidiary
 14 corporations which are wholly owned by the parent have no right to order the parent
 15 corporation to turn over documents,” “the subsidiary may be required to respond to a Rule
 16 34 request which includes the parent company’s documents” based on “some intermingling
 17 of directors, officers, or employees, or business relations”); *Steele Software Sys.*, 237
 18 F.R.D. at 564 (“‘Control’ has been construed broadly by the courts as the legal right,
 19 authority, or practical ability to obtain the materials sought on demand.”); *Camden*, 138
 20 F.R.D. at 441-42 (“Federal courts construe ‘control’ very broadly under Rule 34. . . . [I]n
 21 parent/subsidiary situations, the determination of control turns upon whether the
 22 intracorporate relationship establishes some legal right, authority *or* ability to obtain the
 23 requested documents on demand. Evidence considered by the courts includes the degree
 24 of ownership and control exercised by the parent over the subsidiary, a showing that the
 25

26 Ninth Circuit’s holding in *In re Citric Acid*, as numerous courts in this district have
 27 recognized”); *City of Seattle v. ZyLAB N. Am., LLC*, 2017 WL 4418636, *6 (W.D. Wash.
 28 2017) (under *Citric Acid*, the close relationship between a parent and its subsidiary was not
 sufficient to demonstrate control in the absence of evidence that the subsidiary had “a
 contractual or legal relationship with [its parent], such that the former can force the latter to
 turn over documents in its possession”).

1 two entities operated as one, demonstrated access to documents in the ordinary course of
 2 business, and an agency relationship.”).

3 Accordingly, to the extent the cases cited by GoDaddy suggest that a subsidiary may
 4 have control over documents possessed by its parent irrespective of whether the subsidiary
 5 has the legal right to obtain such documents on demand, the Court declines to follow those
 6 decisions. *Accord Bodum*, 2020 WL 1667410 at *3-4 (“The Court declines Plaintiff’s
 7 invitation to [follow] the proposition that control may be established based on the close
 8 nature in the actual corporate relationship even where the subsidiary does not have a legal
 9 right to demand that the parent furnish the requested discovery materials. Such a broad
 10 reading is inconsistent with the Ninth Circuit’s holding in *In re Citric Acid. Litig.*, as
 11 numerous courts in this district have recognized.”) (cleaned up); *Otos v. WHPacific, Inc.*,
 12 2017 WL 2452008, *2 (W.D. Wash. 2017). Instead, the Court concludes that “the proper
 13 test for determining control between a subsidiary and its parent is whether the subsidiary
 14 has the legal right to demand production of the documents or things sought from its parent.”
 15 *Otos*, 2017 WL 2452008 at *2.

16 With this clarification in mind, the Court turns to the parties’ factual arguments
 17 regarding the presence or absence of control. GoDaddy identifies the following reasons
 18 why SiteLock should be deemed to have legal control over documents possessed by IBS:
 19 (1) IBS held itself out as being one and the same with SiteLock and the entities had
 20 consolidated financial statements, operations, and cash flows (Doc. 599 at 10); (2) SiteLock
 21 did not list IBS on its disclosure statement (*id.*); (3) Feather testified that “IBS’ ‘only job
 22 was owning SiteLock’” (*id.*, emphasis omitted); (4) Feather was “IBS’ former President”
 23 yet “did not even list IBS on his LinkedIn profile, listing just ‘SiteLock’ instead” (*id.*,
 24 emphasis omitted); (5) before the SPA, SiteLock was wholly owned by IBS (*id.* at 10-11);
 25 (6) “IBS and SiteLock had several overlapping officers and directors” (*id.* at 11); (7)
 26 “Feather testified . . . that IBS had no employees” (*id.*); (8) “Feather used a SiteLock email
 27 address to communicate with Unitedweb about IBS” (*id.*); (9) in the *Gorny* litigation, SIH
 28 averred that the SPA was initiated because “the owners of SiteLock decided to sell the

1 business by selling IBS (which wholly owned SiteLock)” (*id.*); and (10) “IBS bound
 2 SiteLock to reseller agreements, signing as d/b/a SiteLock” (*id.* at 11 n.5). As for
 3 SiteLock’s relationship with SIH, GoDaddy contends that control exists because “SiteLock
 4 produced documents purportedly in SIH’s possession” (*i.e.*, the SPA and related
 5 documents), assigned its claims to ABRY,³⁰ and SIH has a continuing interest in this
 6 litigation under the LFA. (*Id.* at 12.) Also, “SiteLock’s only representative at mediation
 7 was Caitlin Yanchek of ABRY/SGH/SIH.” (*Id.*)

8 In response, SiteLock asserts that IBS, SIH, and SiteLock are separate corporate
 9 entities and that any control IBS and/or SIH may have over documents possessed by
 10 SiteLock does not imply the converse. (Doc. 610 at 8-10. *See also* Doc. 597 at 9-10.)
 11 More specifically, SiteLock argues that the overlap in functions and ownership between
 12 SiteLock and IBS establishes, at most, that SiteLock has the “practical ability” to obtain
 13 documents from IBS/SIH, not the legal right to do so. (Doc. 610 at 8-9 & n.6.) SiteLock
 14 also contends that “[t]he fact that IBS has the power to, and sometimes does, use SiteLock
 15 as its public ‘d/b/a’ (doing business as) name . . . has no bearing on SiteLock’s ability to
 16 control IBS.” (*Id.* at 10.) Likewise, SiteLock argues that the fact it produced documents
 17 labeled “IBS” is “unremarkable”—“parties frequently receive documents from non-parties
 18 in the ordinary course of business and later produce those documents in litigation.” (*Id.*)
 19 Finally, SiteLock argues that the fact its *owners* decided to sell SiteLock by selling IBS
 20 “says nothing about SiteLock’s control over IBS’s documents at all.” (*Id.* at 10-11.) As
 21 for SIH (and SGH and ABRY), SiteLock argues that GoDaddy’s unsupported assertion
 22 that “those entities are supposedly ‘driving this action’ . . . comes nowhere close to showing
 23 SiteLock’s ‘legal right’ to obtain documents from these entities ‘upon demand.’” (Doc.
 24 612 at 7-8. *See also* *id.* at 8 n.5 [noting that “[n]one of the signatories to the 2018 SPA
 25 assumed the ‘liability relating to this lawsuit,’ or have ‘power of attorney over this
 26 lawsuit’”].)

27
 28 ³⁰ For the reasons discussed in Part I, the record does not support the theory that
 SiteLock assigned its claims to ABRY.

1 As an initial matter, the Court disagrees in several ways with the manner in which
2 GoDaddy characterizes the evidence. First, GoDaddy’s contention that IBS “held itself
3 out as SiteLock” is only partially supported by the record. For example, Doc. 603-1
4 includes consolidated financial statements from IBS “dba” SiteLock, but also describes
5 IBS and SiteLock as distinct entities: “Innovative Business Services, LLC . . . was formed
6 in Delaware on September 30, 2009 and is the parent company of Site lock, LLC.” (Doc.
7 603-1 at 10. *See also id.* at 12 [“As a limited liability company, IBS has elected to be
8 treated as a partnership for federal income tax reporting. As a single-member limited
9 liability company, [SiteLock] is treated as a disregarded entity for income tax purposes.”];
10 *id.* at 18 [describing Unitedweb as an “entity related to” IBS and SiteLock through “similar
11 ownership”].) Second, it is not clear, as GoDaddy asserts (Doc. 611 at 8), that SIH’s
12 statement in the *Gorny* litigation establishes that “SiteLock’s owners exercised enough
13 control over IBS to direct the sale of SiteLock through a sale of IBS.” SIH represented that
14 SiteLock’s *owners* decided to execute the sale, not SiteLock itself. (Doc. 79-2 at 54 ¶ 26
15 [“In late 2017, the owners of SiteLock decided to sell the business by selling IBS (which
16 wholly owned SiteLock). ABRY, a private equity firm, expressed an interest in an
17 investment in IBS (and thereby buying SiteLock) to be made by private equity funds
18 affiliated with ABRY.”].) Given that IBS owned SiteLock, and that the SPA transferred
19 IBS’s equity to SIH, the assertion that the “owners of SiteLock” decided to sell SiteLock
20 by selling IBS could also be interpreted as merely reflecting the fact that the owners of IBS
21 also owned SiteLock. Third, as discussed in Part I, the record does not support GoDaddy’s
22 assertion that SiteLock “assigned” its claims to ABRY or that ABRY was the only entity
23 present at the May 2021 mediation.

24 At any rate, applying the legal standard for “control” provided in *Citric Acid*, the
25 Court agrees with SiteLock that, on this record, GoDaddy has not demonstrated that
26 SiteLock has the legal right to obtain documents from IBS or SIH. As for IBS, the facts at
27 most demonstrate a close corporate relationship between IBS and SiteLock, but such a
28 relationship does not establish that a subsidiary can compel its parent to release documents

1 or that the subsidiary would have legal recourse if the parent declined. “Legal right
 2 suggests an ownership interest, a binding contract, a fiduciary duty, or some other legally
 3 enforceable arrangement.” *Seegert v. Rexall Sundown, Inc.*, 2019 WL 12044514, *3 (S.D.
 4 Cal. 2019) (citations and quotation marks omitted). The fact that IBS wholly owned
 5 SiteLock and did business as SiteLock may suggest that IBS controlled SiteLock, but it
 6 does not suggest the converse. *Accord Illumina Cambridge Ltd. v. Complete Genomics,*
 7 *Inc.*, 2020 WL 820327, *8 (N.D. Cal. 2020) (noting that, under the *Citric Acid* standard,
 8 “a subsidiary generally would not have legal control over documents that a parent
 9 possesses”); *Ehrlich*, 2011 WL 3489105 at *1 (“Plaintiff has not established that Defendant
 10 BMW NA has legal control over documents in BMW AG’s files. BMW NA is owned by
 11 a company that is owned by BMW AG. Nothing about this relationship suggests that
 12 BMW NA has the power to order BMW AG to turn over documents to BMW NA.”);
 13 *Hambrecht Wine Grp., L.P. v. Millennium Imp. LLC*, 2006 WL 3302428, *2 (N.D. Cal.
 14 2006) (“[P]laintiff fails to distinguish between a parent’s control over a subsidiary, and a
 15 subsidiary’s control over its parent. Thus, although plaintiff might be able to argue
 16 successfully that after the acquisition LVMH had control over Millennium’s documents,
 17 plaintiff certainly has shown no legal right possessed by Millennium to exert any control
 18 over LVMH’s documents.”).³¹ Likewise, Feather’s status as the former president of both
 19 companies and use of his SiteLock email address to communicate with Unitedweb about
 20 IBS does not show that SiteLock has any legal right to obtain responsive documents from
 21 IBS upon demand. *See also Micron Tech., Inc. v. Tessera, Inc.*, 2006 WL 1646133, *2
 22 (N.D. Cal. 2006) (“Micron states that SUI is 100% owned by SPIL, and argues that SUI
 23 would in the ordinary course of business have access to the documents Micron is seeking.
 24 Micron cites the fact that SPIL created SUI to engage as SPIL’s sales agent in North
 25 America, and the fact that SUI and SPIL have overlapping management teams. . . . Micron

26

27 ³¹ Also, there is evidence that IBS and SiteLock are no longer affiliated. (*See, e.g.*,
 28 Doc. 600-6 at 15.) If so, it is not clear how the entities’ past relationship bears on
 SiteLock’s current ability to obtain documents from IBS (even if those documents relate to
 past endeavors).

1 has introduced evidence that SUI and SPIL are closely related companies with some
 2 management in common. This evidence would likely be sufficient under a ‘practical
 3 ability’ standard, but it falls short under the Ninth Circuit’s ‘legal right’ test.”); *Genentech,*
 4 *Inc. v. Trustees of Univ. of Pa.*, 2011 WL 5373759, *3 (N.D. Cal. 2011) (“The fact that
 5 Genentech is wholly owned by Roche, and Roche controls Genentech’s Herceptin
 6 operations—or has controlled those operations since May 2009—suggests at most that
 7 Roche has the legal right to obtain documents pertaining to Genentech. Penn has not shown
 8 that the converse is true.”) (footnotes omitted). Finally, the fact that SiteLock produced
 9 documents labeled “IBS” simply demonstrates that SiteLock has received documents from
 10 IBS in the past, which does not establish legal control. *See also Citric Acid*, 191 F.3d at
 11 1108.

12 GoDaddy also has not established that SiteLock has legal control over documents
 13 possessed by SIH (or ABRY).³² Again, the fact that SiteLock was previously able to obtain
 14 documents from SIH, such as the SPA and SPA Schedules, does not establish that it has
 15 the legal right to obtain other documents. Indeed, SiteLock’s counsel has indicated that
 16 ABRY will not voluntarily furnish the requested documents (Doc. 600-6 at 9-10) and
 17 GoDaddy has not provided any evidence that SiteLock has legal recourse to challenge
 18 ABRY’s decision. GoDaddy’s repeated assertion that SIH is “controlling this litigation”
 19 (Doc. 599 at 10) fares no better. To show such control, GoDaddy points to the LFA and
 20 the fact that Yancheck attended the mediation. (Doc. 604 at 11, citing Doc. 594-2 ¶ 2.)
 21 However, a former parent’s interest in a subsidiary’s litigation pursuant to a litigation
 22 funding arrangement does not establish that the subsidiary controls documents possessed
 23 by its former parent.

24 GoDaddy’s agency and alter ego arguments fail for similar reasons. Even assuming
 25

26 ³² As with IBS, it is not clear that SiteLock remains affiliated with ABRY. SiteLock
 27 represents that SGH (which was owned by funds affiliated with ABRY) sold SIH to Sectigo
 28 in 2021. (Doc. 594 at 4. *See also* Doc. 594-1 ¶ 4.) At least on the current record, and
 assuming that SGH is still owned by ABRY, it appears that SiteLock remains connected
 with ABRY only through the LFA, which does not establish that SiteLock controls ABRY
 for purposes of Rule 34.

1 that control may be established based on a principal-agent relationship or under the alter
 2 ego doctrine, the facts here don't support finding control under either theory. As for the
 3 alter ego standard, despite GoDaddy's arguments to the contrary (Doc. 599 at 12; Doc. 604
 4 at 12), the Court does not find that SiteLock has engaged in the sort of "fraud and deceit"
 5 or "misuse and abuse of the corporate form" that warrants finding control based on
 6 equitable principles. *Better Care Plastic Tech. Co. v. Gredale, LLC*, 2022 WL 2046206,
 7 *10 (C.D. Cal. 2022). GoDaddy seeks to analogize this case to *QC Labs*, arguing that
 8 "[m]uch like the party in *QC Labs*, SiteLock misled GoDaddy and the Court." (Doc. 604
 9 at 13.) But in *QC Labs*, the court found that the defendant had "intentionally avoided
 10 providing information" about the entity in possession of documents, including by having
 11 its Rule 30(b)(6) representative give evasive deposition answers. 2019 WL 6797250 at *9.
 12 SiteLock's failure to produce the SPA, although a discovery violation, is not comparable.
 13 Further, the Court does not find that SiteLock is "try[ing] to hide documents or make
 14 discovery of them difficult" by asserting that it does not control documents possessed by
 15 IBS, SIH, ABRY, and/or SGH. *Almont*, 2018 WL 1157752 at *18 (citation omitted). As
 16 discussed above, the Court largely agrees with SiteLock's arguments on the control issue.
 17 GoDaddy also argues that IBS acted as SiteLock's agent with respect to "key contracts"
 18 (Doc. 599 at 11) but does not provide any evidence suggesting that IBS did so in relation
 19 to the Reseller Agreement. And as for the SPA, GoDaddy does not provide, nor can this
 20 Court find, any case suggesting that the mere fact that the owners of IBS sold their interests
 21 to SIH, which had the effect of transferring ownership of SiteLock (IBS's subsidiary) to
 22 SIH, means that IBS acted as SiteLock's *agent*.³³ Accordingly, GoDaddy has failed to

23 ³³ There is conflicting case law as to whether a principal-agent relationship is
 24 sufficient to establish legal control under *Citric Acid*. For example, *AFL*
 25 *Telecommunications* relied on a Third Circuit case, *Gerling*, to find control. 2012 WL
 26 2590557 at *2. See also *id.* ("Although it is true that *Citric Acid* adopted the legal control
 27 test, it specifically stated that its decision was consistent with decisions in other circuits,
 28 including *Gerling* *Gerling* adopted the legal control test, but provided a more
 expansive definition than *AFL* suggests. Specifically, *Gerling* extended the test to a
 situation 'where the subsidiary was an agent of the parent in the transaction giving rise to
 the suit and in litigating the suit on the parent's behalf.'"). Other district courts have reached
 conflicting conclusions. See, e.g., *Dugan v. Lloyds TSB Bank, PLC*, 2013 WL 4758055,
 *2 (N.D. Cal. 2013) (criticizing *AFL Telecommunications* as inconsistent with *Citric Acid*
 because "there was no evidence that the subsidiary had the legal right to acquire documents

1 establish that SiteLock has control over documents possessed by IBS, SIH, Unitedweb,
 2 ABRY, and/or SGH.³⁴

3 Finally, as for GoDaddy's assertion that it "will file a motion for leave to issue
 4 subpoenas to SIH, IBS, and their respective deal counsel for the SPA Documents" (Doc.
 5 599 at 12 n.6), it is not clear that this issue is before the Court. However, without
 6 prejudging the merits of any future motion, the Court notes that it is generally inclined to
 7 allow GoDaddy to subpoena third parties to obtain documents related to the SPA (or, at
 8 least, documents that touch upon the Key Representations identified above). Although it
 9 is not clear that the SPA is terribly important to this litigation and the Court is wary of
 10 further extending the timeline for this case, the Court is also sympathetic to GoDaddy's
 11 position—SiteLock created the need for additional discovery by failing to produce a
 12 responsive document and, if SiteLock had timely produced the SPA, GoDaddy would have
 13 had the opportunity to issue follow-up subpoenas. Additionally, once GoDaddy became
 14 aware of the need to seek additional SPA-related documents, it was forced to confront a
 15 confusing maze of issues related to corporate control. Although the Court has largely
 16 resolved those control issues against GoDaddy here, it is important not to lose sight of the
 17 bigger picture—had SiteLock timely produced the SPA, as it was required to do, all of
 18 these complicated issues could have been resolved in an orderly fashion without imperiling
 19 the trial date. SiteLock only has itself to blame for the delay that working through these
 20 issues has produced.

21 ...

22 ...

23 from its parent on demand"); *Otos*, 2017 WL 2452008 at *2 (same); *Seifi*, 2014 WL
 24 7187111 at *2 (same). The Court need not wade into this debate because, even assuming
 25 the reasoning in *Gerling* applies, GoDaddy has not established that SiteLock acted as the
 agent of IBS and/or SIH with respect to the Reseller Agreement.

26 ³⁴ In its response opposing SiteLock's motion, GoDaddy suggests that "if this Court
 27 is not fully satisfied as to the issue of control, then in the alternative, it could permit
 discovery of 'agreements or contracts between the entities' that demonstrates a 'right to the
 28 information, upon request.'" (Doc. 604 at 14.) The Court declines this invitation.
 GoDaddy bears the burden of establishing legal control and has failed to do so. This failure
 does not warrant further discovery.

1 3. Sectigo Documents2 GoDaddy also requests the LFA and an unredacted version of the Sectigo SPA.
3 (Doc. 598 at 1; Doc. 599 at 4.)4 SiteLock opposes this request on relevance grounds, arguing that the requested
5 documents are not “relevant to any party’s claim or defense or responsive to any of
6 GoDaddy’s RFPs.” (Doc. 597 at 4.) SiteLock further explains: “In February 2021 (after
7 the close of written discovery in this case), Sectigo, Inc. acquired SiteLock’s corporate
8 grandparent (SIH). Although SiteLock does not believe these documents are relevant or
9 responsive to any of GoDaddy’s RFPs, SiteLock produced them after the October 31
10 hearing in an abundance of caution and an effort to avoid any further delays.” (Doc. 610
11 at 11. *See also id.* at 14 [arguing the LFA is not relevant to standing].)12 The Court agrees with SiteLock that GoDaddy has not established that the LFA or
13 the unredacted Sectigo SPA are relevant. GoDaddy does not even address relevance in any
14 of its motion papers. (Docs. 598, 599, 604, 611.) But even if it had, the Court reopened
15 discovery for the limited purpose of remedying SiteLock’s discovery violation. As
16 SiteLock notes, the Sectigo documents were created “after the end of document discovery,
17 after the final date for supplementation of MIDP responses, and after the date limitations
18 that both parties placed on their respective RFP responses.” (Doc. 597 at 4.) Thus,
19 GoDaddy’s request for the Sectigo documents does not fit within the scope of the Court’s
20 October 31, 2022 order.21 Moreover, to the extent GoDaddy argues that SiteLock must produce the LFA to
22 establish standing (*see, e.g.*, Doc. 599 at 14-15), the Court disagrees. Even without it,
23 Yanchek’s presence at the mediation does not come close to establishing that SiteLock
24 assigned its right to bring the legal claims in this action to ABRY, much less outweighing
25 the evidence to the contrary (*i.e.*, the Feather declaration, the Juall declaration, and the
26 SPA). SiteLock’s counsel has represented that he attended the mediation on behalf of
27 SiteLock and that Yanchek attended as a director of SGH because of SGH’s continuing
28 interest in the litigation due to the funding agreement. (Doc. 594-2 ¶¶ 1-3.) GoDaddy has

1 not provided any evidence that contradicts this explanation.

2 Accordingly, the Court need not address the parties' work product arguments.
 3 GoDaddy's requests for the LFA and an unredacted copy of the Sectigo SPA are denied.

4 4. Depositions And Trial Date

5 SiteLock asks the Court to set a new date for trial between March 20, 2023 and July
 6 14, 2023 or to "order GoDaddy to provide its availability for trial and then set a new trial
 7 date that works for the Court and the parties." (Doc. 597 at 13.) SiteLock also requests an
 8 order "compelling GoDaddy to provide its availability" for Feather's and Serani's
 9 depositions. (*Id.* at 1.) GoDaddy responds it "is not available for trial from March through
 10 September" of 2023. (Doc. 604 at 17.) In reply, SiteLock argues that the Court should
 11 either "order GoDaddy to provide its availability for trial within the next six months . . .
 12 or, at the very least, substantiate its claims that it is unavailable for trial before October
 13 2023." (Doc. 612 at 1.)

14 As for SiteLock's first request, the Court is not available for trial until, at the earliest,
 15 November 2023. Accordingly, the Court orders the parties to meet and confer regarding
 16 trial availability in November 2023 or from January 2024 onward and then file a joint
 17 notice setting forth that availability.

18 As for the depositions of Feather and Serani, the Court agrees with GoDaddy that
 19 the document request issues (including any disputes over subpoenas) should be resolved
 20 first. Thus, the Court declines at this time to get into the weeds of deposition scheduling.

21 IV. Costs

22 GoDaddy requests costs. (Doc. 599 at 17.) SiteLock argues that "GoDaddy is
 23 incorrect that the Court has already awarded it costs associated with this motion" and
 24 "GoDaddy is not otherwise entitled to fees in connection with this motion" because "[t]he
 25 documents it seeks are irrelevant, non-responsive, and well beyond the scope of this case
 26 and the limited supplemental discovery ordered by this Court." (Doc. 610 at 16.)

27 Rule 37(a)(5)(C) provides that when, as here, a motion to compel is granted in part
 28 and denied in part, the court "may, after giving a reasonable opportunity to be heard,

1 apportion the reasonable expenses for the motion.” *See generally W. Mortg. & Realty Co.*
 2 *v. KeyBank Nat'l Ass'n*, 2016 WL 11643651, *1 (D. Idaho 2016) (“Plaintiff cites to Rule
 3 37(a)(5)(A) in its request for fees, but . . . Rule 37(a)(5)(C) is the applicable subsection of
 4 the Rule because the motion was not granted in full. The primary difference between these
 5 two subsections is that an award is discretionary under Rule 37(a)(5)(C). Ultimately, the
 6 analysis of Plaintiff’s request under subsection 37(a)(5)(A) or 37(a)(5)(C) is the same, and
 7 arguments pertaining to the exceptions to Rule 37(a)(5)(A) are equally applicable to the
 8 Court’s determination of whether attorney fees should be apportioned under Rule
 9 37(a)(5)(C).”).

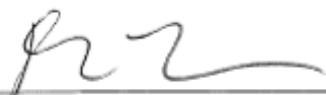
10 The Court concludes, in its discretion, that cost-shifting would be inappropriate
 11 here. Although GoDaddy has partially prevailed on its request to compel the production
 12 of additional documents, SiteLock’s positions were (at least for the most part) substantially
 13 justified and GoDaddy’s production request was denied in various respects.

14 Accordingly, **IT IS ORDERED** that:

- 15 (1) GoDaddy’s motion to dismiss (Doc. 579) is **denied**.
- 16 (2) SiteLock’s motion to enforce the Court’s order and set a trial date (Doc. 597)
 17 is **granted in part** and **denied in part**, as discussed herein.
- 18 (3) GoDaddy’s motion to compel (Doc. 598) is **granted in part** and **denied in**
 19 **part**, as discussed herein.
- 20 (4) Within one week of the issuance of this order, the parties must meet and
 21 confer and then file a joint notice setting forth their trial availability in November 2023 or
 22 from January 2024 onward.

23 Dated this 10th day of May, 2023.

24
 25
 26
 27
 28


 Dominic W. Lanza
 United States District Judge