

Pub. L. 107-155, set out as an Effective Date of 2002 Amendment; Regulations note under section 30101 of this title.

#### CODIFICATION

Section was formerly classified to section 441a of Title 2, The Congress, prior to editorial reclassification and renumbering as this section. Some section numbers referenced in amendment notes below reflect the classification of such sections prior to their editorial reclassification to this title.

#### CONSTITUTIONALITY

For information regarding constitutionality of certain provisions of this section, formerly classified to section 441a of Title 2, The Congress, see Congressional Research Service, *The Constitution of the United States of America: Analysis and Interpretation*, Table of Laws Held Unconstitutional in Whole or in Part by the Supreme Court.

#### PRIOR PROVISIONS

A prior section 315 of Pub. L. 92-225 was renumbered section 311, and is classified to section 30111 of this title.

Another prior section 315 of Pub. L. 92-225 was renumbered section 310, and is classified to section 30110 of this title.

#### AMENDMENTS

2014—Subsec. (a)(1)(B). Pub. L. 113-235, §101(a)(1), inserted “, or, in the case of contributions made to any of the accounts described in paragraph (9), exceed 300 percent of the amount otherwise applicable under this subparagraph with respect to such calendar year” before semicolon at end.

Subsec. (a)(2)(B). Pub. L. 113-235, §101(a)(2), which directed amendment by substituting “, or, in the case of contributions made to any of the accounts described in paragraph (9), exceed 300 percent of the amount otherwise applicable under this subparagraph with respect to such calendar year;” for the semicolon at the end, was executed by making the substitution for the semicolon which appeared before “or” at the end to reflect the probable intent of Congress.

Subsec. (a)(9). Pub. L. 113-235, §101(a)(3), added par. (9).

Subsec. (d)(5). Pub. L. 113-235, §101(b), added par. (5).

2002—Subsec. (a)(1). Pub. L. 107-155, §§304(a)(1), 319(b), substituted “Except as provided in subsection (i) and section 441a-1 of this title, no person” for “No person” in introductory provisions.

Subsec. (a)(1)(A). Pub. L. 107-155, §307(a)(1), substituted “\$2,000” for “\$1,000”.

Subsec. (a)(1)(B). Pub. L. 107-155, §§102(1), 307(a)(2), substituted “\$25,000;” for “\$20,000; or”.

Subsec. (a)(1)(C). Pub. L. 107-155, §102(2), inserted “(other than a committee described in subparagraph (D))” after “committee” and substituted “; or” for period at end.

Subsec. (a)(1)(D). Pub. L. 107-155, §102(3), added subpar. (D).

Subsec. (a)(3). Pub. L. 107-155, §307(b), amended par. (3) generally. Prior to amendment, par. (3) read as follows: “No individual shall make contributions aggregating more than \$25,000 in any calendar year. For purposes of this paragraph, any contribution made to a candidate in a year other than the calendar year in which the election is held with respect to which such contribution is made, is considered to be made during the calendar year in which such election is held.”

Subsec. (a)(7)(B)(ii), (iii). Pub. L. 107-155, §214(a), added cl. (ii) and redesignated former cl. (ii) as (iii).

Subsec. (a)(7)(C), (D). Pub. L. 107-155, §202, added subpar. (C) and redesignated former subpar. (C) as (D).

Subsec. (c)(1). Pub. L. 107-155, §307(d)(1), redesignated existing provisions as subpar. (A), struck out at end “Each limitation established by subsection (b) of this section and subsection (d) of this section shall be in-

creased by such percent difference. Each amount so increased shall be the amount in effect for such calendar year.”, and added subpars. (B) and (C).

Subsec. (c)(2)(B). Pub. L. 107-155, §307(d)(2), substituted “means—” for “means the calendar year 1974” and added cls. (i) and (ii).

Subsec. (d)(1). Pub. L. 107-155, §213(1), substituted “paragraphs (2), (3), and (4)” for “paragraphs (2) and (3)”.

Subsec. (d)(4). Pub. L. 107-155, §213(2), added par. (4).

Subsec. (h). Pub. L. 107-155, §307(c), substituted “\$35,000” for “\$17,500”.

Subsec. (i). Pub. L. 107-155, §304(a)(2), added subsec. (i).

Subsec. (i)(1)(E). Pub. L. 107-155, §316, added subpar. (E).

Subsec. (j). Pub. L. 107-155, §304(a)(2), added subsec. (j).

1986—Subsecs. (a)(5), (b)(1). Pub. L. 99-514 substituted “Internal Revenue Code of 1986” for “Internal Revenue Code of 1954”, which for purposes of codification was translated as “title 26” thus requiring no change in text.

### Statutory Notes and Related Subsidiaries

#### EFFECTIVE DATE OF 2014 AMENDMENT

Pub. L. 113-235, div. N, §101(c), Dec. 16, 2014, 128 Stat. 2773, provided that: “The amendments made by this section [amending this section] shall apply with respect to funds that are solicited, received, transferred, or spent on or after the date of the enactment of this section [Dec. 16, 2014].”

#### EFFECTIVE DATE OF 2002 AMENDMENT

Pub. L. 107-155, title III, §307(e), Mar. 27, 2002, 116 Stat. 103, provided that: “The amendments made by this section [amending this section] shall apply with respect to contributions made on or after January 1, 2003.”

Amendment by Pub. L. 107-155 effective Nov. 6, 2002, except that amendments by sections 102 and 307 of the Act applicable with respect to contributions made on or after Jan. 1, 2003, and amendments by sections 202, 213, 214(a), 304(a), 316, and 319(b) of the Act not applicable with respect to runoff elections, recounts, or election contests resulting from elections held prior to Nov. 6, 2002, see section 402 of Pub. L. 107-155, set out as an Effective Date of 2002 Amendment; Regulations note under section 30101 of this title.

#### REGULATIONS BY THE FEDERAL ELECTION COMMISSION

Pub. L. 107-155, title II, §214(c), Mar. 27, 2002, 116 Stat. 95, provided that: “The Federal Election Commission shall promulgate new regulations on coordinated communications paid for by persons other than candidates, authorized committees of candidates, and party committees. The regulations shall not require agreement or formal collaboration to establish coordination. In addition to any subject determined by the Commission, the regulations shall address—

“(1) payments for the republication of campaign materials;

“(2) payments for the use of a common vendor;

“(3) payments for communications directed or made by persons who previously served as an employee of a candidate or a political party; and

“(4) payments for communications made by a person after substantial discussion about the communication with a candidate or a political party.”

### § 30117. Modification of certain limits for House candidates in response to personal fund expenditures of opponents

#### (a) Availability of increased limit

##### (1) In general

Subject to paragraph (3), if the opposition personal funds amount with respect to a can-

didate for election to the office of Representative in, or Delegate or Resident Commissioner to, the Congress exceeds \$350,000—

(A) the limit under subsection (a)(1)(A)<sup>1</sup> with respect to the candidate shall be tripled;

(B) the limit under subsection (a)(3)<sup>1</sup> shall not apply with respect to any contribution made with respect to the candidate if the contribution is made under the increased limit allowed under subparagraph (A) during a period in which the candidate may accept such a contribution; and

(C) the limits under subsection (d)<sup>1</sup> with respect to any expenditure by a State or national committee of a political party on behalf of the candidate shall not apply.

**(2) Determination of opposition personal funds amount**

**(A) In general**

The opposition personal funds amount is an amount equal to the excess (if any) of—

(i) the greatest aggregate amount of expenditures from personal funds (as defined in subsection (b)(1)) that an opposing candidate in the same election makes; over

(ii) the aggregate amount of expenditures from personal funds made by the candidate with respect to the election.

**(B) Special rule for candidate's campaign funds**

**(i) In general**

For purposes of determining the aggregate amount of expenditures from personal funds under subparagraph (A), such amount shall include the gross receipts advantage of the candidate's authorized committee.

**(ii) Gross receipts advantage**

For purposes of clause (i), the term "gross receipts advantage" means the excess, if any, of—

(I) the aggregate amount of 50 percent of gross receipts of a candidate's authorized committee during any election cycle (not including contributions from personal funds of the candidate) that may be expended in connection with the election, as determined on June 30 and December 31 of the year preceding the year in which a general election is held, over

(II) the aggregate amount of 50 percent of gross receipts of the opposing candidate's authorized committee during any election cycle (not including contributions from personal funds of the candidate) that may be expended in connection with the election, as determined on June 30 and December 31 of the year preceding the year in which a general election is held.

**(3) Time to accept contributions under increased limit**

**(A) In general**

Subject to subparagraph (B), a candidate and the candidate's authorized committee

shall not accept any contribution, and a party committee shall not make any expenditure, under the increased limit under paragraph (1)—

(i) until the candidate has received notification of the opposition personal funds amount under subsection (b)(1); and

(ii) to the extent that such contribution, when added to the aggregate amount of contributions previously accepted and party expenditures previously made under the increased limits under this subsection for the election cycle, exceeds 100 percent of the opposition personal funds amount.

**(B) Effect of withdrawal of an opposing candidate**

A candidate and a candidate's authorized committee shall not accept any contribution and a party shall not make any expenditure under the increased limit after the date on which an opposing candidate ceases to be a candidate to the extent that the amount of such increased limit is attributable to such an opposing candidate.

**(4) Disposal of excess contributions**

**(A) In general**

The aggregate amount of contributions accepted by a candidate or a candidate's authorized committee under the increased limit under paragraph (1) and not otherwise expended in connection with the election with respect to which such contributions relate shall, not later than 50 days after the date of such election, be used in the manner described in subparagraph (B).

**(B) Return to contributors**

A candidate or a candidate's authorized committee shall return the excess contribution to the person who made the contribution.

**(b) Notification of expenditures from personal funds**

**(1) In general**

**(A) Definition of expenditure from personal funds**

In this paragraph, the term "expenditure from personal funds" means—

(i) an expenditure made by a candidate using personal funds; and

(ii) a contribution or loan made by a candidate using personal funds or a loan secured using such funds to the candidate's authorized committee.

**(B) Declaration of intent**

Not later than the date that is 15 days after the date on which an individual becomes a candidate for the office of Representative in, or Delegate or Resident Commissioner to, the Congress, the candidate shall file a declaration stating the total amount of expenditures from personal funds that the candidate intends to make, or to obligate to make, with respect to the election that will exceed \$350,000.

**(C) Initial notification**

Not later than 24 hours after a candidate described in subparagraph (B) makes or obli-

<sup>1</sup> See References in Text note below.

gates to make an aggregate amount of expenditures from personal funds in excess of \$350,000 in connection with any election, the candidate shall file a notification.

**(D) Additional notification**

After a candidate files an initial notification under subparagraph (C), the candidate shall file an additional notification each time expenditures from personal funds are made or obligated to be made in an aggregate amount that exceeds \$10,000. Such notification shall be filed not later than 24 hours after the expenditure is made.

**(E) Contents**

A notification under subparagraph (C) or (D) shall include—

- (i) the name of the candidate and the office sought by the candidate;
- (ii) the date and amount of each expenditure; and
- (iii) the total amount of expenditures from personal funds that the candidate has made, or obligated to make, with respect to an election as of the date of the expenditure that is the subject of the notification.

**(F) Place of filing**

Each declaration or notification required to be filed by a candidate under subparagraph (C), (D), or (E) shall be filed with—

- (i) the Commission; and
- (ii) each candidate in the same election and the national party of each such candidate.

**(2) Notification of disposal of excess contributions**

In the next regularly scheduled report after the date of the election for which a candidate seeks nomination for election to, or election to, Federal office, the candidate or the candidate's authorized committee shall submit to the Commission a report indicating the source and amount of any excess contributions (as determined under subsection (a)) and the manner in which the candidate or the candidate's authorized committee used such funds.

**(3) Enforcement**

For provisions providing for the enforcement of the reporting requirements under this subsection, see section 30109 of this title.

(Pub. L. 92-225, title III, §315A, as added Pub. L. 107-155, title III, §319(a), Mar. 27, 2002, 116 Stat. 109.)

**Editorial Notes**

REFERENCES IN TEXT

Subsections (a)(1)(A), (3), and (d), referred to in subsec. (a)(1), probably mean subsections (a)(1)(A), (3), and (d) of section 30116 of this title.

CODIFICATION

Section was formerly classified to section 441a-1 of Title 2, The Congress, prior to editorial reclassification and renumbering as this section.

CONSTITUTIONALITY

For information regarding constitutionality of section 315A of Pub. L. 92-225, as added by section 319(a) of

Pub. L. 107-155, see Congressional Research Service, *The Constitution of the United States of America: Analysis and Interpretation, Table of Laws Held Unconstitutional in Whole or in Part by the Supreme Court.*

**Statutory Notes and Related Subsidiaries**

EFFECTIVE DATE

Section effective Nov. 6, 2002, but not applicable with respect to runoff elections, recounts, or election contests resulting from elections held prior to Nov. 6, 2002, see section 402 of Pub. L. 107-155, set out as an Effective Date of 2002 Amendment; Regulations note under section 30101 of this title.

**§30118. Contributions or expenditures by national banks, corporations, or labor organizations**

**(a) In general**

It is unlawful for any national bank, or any corporation organized by authority of any law of Congress, to make a contribution or expenditure in connection with any election to any political office, or in connection with any primary election or political convention or caucus held to select candidates for any political office, or for any corporation whatever, or any labor organization, to make a contribution or expenditure in connection with any election at which presidential and vice presidential electors or a Senator or Representative in, or a Delegate or Resident Commissioner to, Congress are to be voted for, or in connection with any primary election or political convention or caucus held to select candidates for any of the foregoing offices, or for any candidate, political committee, or other person knowingly to accept or receive any contribution prohibited by this section, or any officer or any director of any corporation or any national bank or any officer of any labor organization to consent to any contribution or expenditure by the corporation, national bank, or labor organization, as the case may be, prohibited by this section.

**(b) Definitions; particular activities prohibited or allowed**

(1) For the purposes of this section the term "labor organization" means any organization of any kind, or any agency or employee representation committee or plan, in which employees participate and which exists for the purpose, in whole or in part, of dealing with employers concerning grievances, labor disputes, wages, rates of pay, hours of employment, or conditions of work.

(2) For purposes of this section and section 79(h) of title 15,<sup>1</sup> the term "contribution or expenditure" includes a contribution or expenditure, as those terms are defined in section 30101 of this title, and also includes any direct or indirect payment, distribution, loan, advance, deposit, or gift of money, or any services, or anything of value (except a loan of money by a national or State bank made in accordance with the applicable banking laws and regulations and in the ordinary course of business) to any candidate, campaign committee, or political party or organization, in connection with any election

<sup>1</sup> See References in Text note below.