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CHANGES APRIL 1, 2016 THROUGH JULY 29, 2016

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

3.21 (e) added 18747
23 Authority citation revised 34847
23.160 Added 34847
23.500 (g) and (i)(1) revised 27314
45 Authority citation revised 41772
45.1 Amended 41772
45.3 Revised 41772
45.4 (b)(2)(ii) removed; eff. 6-27-16 41774
Revised; eff. 7-27-16 41774
45.5 Revised 41775
45.8 Revised 41777
45.10 Revised 41778
45 Appendix 1 revised 41778
143 Authority citation revised 41437
143.8 (a)(1) through (4) and (b) revised; (c) removed; interim 41437

Chapter II—Securities and Exchange Commission (Parts 200—399)

201.141 (a)(2)(iv), (v) and (3) revised 50233
201.154 Introductory text added; (b) amended 50234
201.161 (c)(2)(iii) revised 50234
201.180 (a)(1) introductory text, (i), (2) and (c) introductory text revised 50234
201.220 Revised 50234
201.221 (c) revised 50234
201.222 Heading and (b) revised 50235
201.230 (a)(1)(vi), (b)(1)(iii) and (c) amended; (b) heading revised; (b)(1)(iv) and (2) redesignated as (b)(1)(v) and (3); new (b)(1)(iv) and (2) added 50235
201.232 (a) introductory text and (c) through (f) revised 50235
201.233 Revised 50236
201.234 (a) and (c) revised 50238
201.235 Heading, (a) introductory text, (2), (4) and (5) revised; (b) added 50238
201.250 Revised 50239
201.320 Revised 50239
201.360 Heading, (a)(2), (3), (b) introductory text and (c) revised 50239
201.410 (b) revised; (c) redesignated as (d); new (c) added 50240
201.411 (c) amended; (d) revised 50240
201.420 (a) revised; (c) amended 50240
201.440 (b) revised 50241
201.450 (b), (c) and (d) revised 50241
201.900 Revised 50241
201.1001 (Subpart E) Revised; interim 43045
229.1100 (a) amended 40512
229.1104 (e)(2) amended 40512
229.1105 (a)(3)(ii) amended 40512
229.1115 Amended 40512
229.1125 Appendix amended 40512
230.405 Amended 28705, 40512
230.456 (c)(3) amended 40512
232.301 Revised 31502, 43048
239.45 Form SF-3 amended 40512
240 Authority citation revised 28705, 30142
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240.3a67-10 (a)(5), (6) and (d) added 30142
240.3a71-3 (a)(6) through (9) and (c) added 30142
240.3a71-6 Added 30143
(d)(3) added 39844
240.3b-4 (c)(1) Instruction redesignated as (c)(1) Note and revised 28705
240.12g-1 Revised 28705
240.12g-2 Revised 28705
240.12g-3 (a)(2), (b)(2) and (c)(2) revised 28706
240.12g-4 (a) revised 28706
240.12g5-1 (a)(8) added 28706
240.12h-3 (b)(1) revised 28706
240.13q-1 Revised 49426
240.15Fb1-1 Undesignated center heading revised 39844
240.15Fh-1 Added 30144
240.15Fh-2 Added 30144
240.15Fh-3 Added 30144
240.15Fh-4 Added 30144
240.15Fh-5 Added 30144
240.15Fh-6 Added 30144
240.15Fi-1 Added 39844
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249 Authority citation revised.....37138
249.308 Form 8-K amended.....40512
249.310 Form 10-K amended; interim.....37138
249.312 Form 10-D amended.....40512
249b Authority citation amended.....49427
249b.400 Form SD amended.....49427

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35.1 (d)(2), (3) and (g) amended; CFR correction.....18748
35.4 Revised; CFR correction.....18748
35.11 Amended; CFR correction.....18748
35.28 (g)(1)(iv)(A) revised; (g)(1)(vi) added.....42909
35.36 (a)(4) amended.....33383
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250 Authority citation revised.....43940
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4.88 (a) amended; CFR correction.....18748
10.1 (h)(1) introductory text amended; CFR correction.....18748
10.31 (f) amended; CFR correction.....18748
10.470 Heading revised; CFR correction.....18748
12.74 (b)(2) amended; CFR correction.....18749
24.22 (g)(7) amended; CFR correction.....18749
122.24 (b) introductory text removed; CFR correction.....18749

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