AUTHENTICATED
U.S. GOVERNMENT
INFORMATION
GPO

CHANGES APRIL 2, 2012 THROUGH OCTOBER 31, 2012

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

Chapter I Order 41260
1 Authority citation revised 20198, 21306, 30743, 36694, 48349
Fee schedule 26672
1.3 (m) revised; (ggg) through (mmm) added 30743
(ggg)(4)(ii)(D), (hhh)(6)(iii)(B)(*2*) and (jjj)(3)(iii)(A) corrected 39627
(xxx), (yyy), (zzz), (aaaa) and (bbbb) added 48349
1.6 Added 48354
1.7 Added 48354
1.8 Added 48354
1.9 Added 48354
1.35 (a-1)(5)(iv) revised 21306
1.52 Revised 36694
1.71 Added 20198
1.72 Added 21306
1.73 Added 21306
1.74 Added 21307
1.75 Added 21307
3 Authority citation revised 20200, 51903
3.1 (a)(1) revised; (h) and (i) added 20200
(a) introductory text, (2) and (3) revised; (a)(4) added; (d) and (e) removed 51903
3.2 Heading, (c) introductory text and (2) revised 51904
3.3 Added 20200
3.4 (a) revised 51904
3.10 (a)(3)(i)(A), (c)(2)(i), (3)(i), (4)(ii), (iii) and (iv) revised; (c)(5) added 51904
3.11 Revised 51905
3.12 (b), (c) introductory text, (g), (h)(1) introductory text, (i) and (ii) revised 51905
3.13 Removed 25338
3.21 (a)(1), (2), (b)(1), (2), (3), (c) introductory text, (4)(i) and (iii) revised; (a)(3) added 51906
3.22 (b) revised 51906
3.30 Revised 51906
3.31 (a), (b), (c)(1) introductory text, (2) and (d) revised 51907
3.33 (a) introductory text, (b) introductory text and (e) revised 51907
3.40 (a)(2) introductory text and (iv) revised 51908
3.42 (a) introductory text, (2), (6) and (8) revised 51908
3.44 (a)(5) revised 51908
3.46 (a) introductory text, (6), (8) and (10) revised 51908
3.56 (b)(1)(iv) revised 51908
3.60 (b)(2)(i) introductory text, (f)(3) and (l) revised 51908
3.64 (a)(2) revised 51909
3.75 (a) revised 51909
4 Authority citation revised 54358
4.7 (a)(2)(i)(C) added 54358
4.22 (a)(3) revised 54358
4.23 (a)(1), (7) and (b)(1) revised 54358
4.24 (g), (h)(1)(i), (i)(2)(xii), (j)(1)(vi), (3), (l)(1)(iii), (2) introductory text and (i) revised 54358
4.30 Revised 54359
4.32 Removed 54359
4.33 (a)(6) and (b)(1) revised 54359
4.34 (g), (i)(2), (j)(3), (k)(1)(iii), (2) introductory text and (i) revised 54359
16 Heading and authority citation revised 36696
16.01 Revised 36696
23 Authority citation revised 20202, 21307
23.200—23.206 (Subpart F) Added 20202
23.500—23.506 (Subpart I) Added 21307
23.500—23.505 (Subpart I) Added 55960
23.600—23.607 (Subpart J) Added 20205
23.608 Added 21308
23.609 Added 21308
23.610 Added 21308
23.611 Added 21308
32 Revised 25338
33 Heading revised 25343
33.2 (b) revised 25343
33.4 Introductory text, (b)(1)(iii) and (d)(3) amended; (a)(4) and (5)(iv) removed 25343
33.7 (b) introductory text, (1), (2), (5), (6), (7)(ii), (v) and (x) amended; undesignated text

CHANGES APRIL 2, 2012 THROUGH OCTOBER 31, 2012

TITLE 17 Chapter I—Con.

following (b)(5) revised; (b)(7)(iv) removed 25343
37 Revised 21309
38 Authority citation revised 21309, 36697
38.1—38.6 (Subpart A) Subpart A heading added 21309
Designated as Subpart A 36697
Regulation at 77 FR 21309 correctly removed 37803
38.1 Amended 36697
38.2 Revised 36697
38.3 Revised 36697
38.4 (a) and (b) revised 36698
38.5 Revised 36699
38.7 Added 36699
38.8 Added 36699
38.9 Added 36699
38.10 Added 36700
38.100 (Subpart B) Added 36700
38.150—38.160 (Subpart C) Added 36700
38.200—38.201 (Subpart D) Added 36700
38.250—38.258 (Subpart E) Added 36700
38.300—38.301 (Subpart F) Added 36700
38.350—38.351 (Subpart G) Added 36700
38.400—38.401 (Subpart H) Added 36700
38.450—38.451 (Subpart I) Added 36700
38.500 (Subpart J) Added 36700
38.550—38.553 (Subpart K) Added 36700
38.600—38.606 (Subpart L) Added 21309
Regulation at 77 FR 21309 correctly removed 37803
38.600—38.607 (Subpart L) Added 36700
38.601 (b) correctly added 37803
38.650—38.651 (Subpart M) Added 36700
38.700—38.712 (Subpart N) Added 36700
38.750—38.751 (Subpart O) Added 36700
38.800—38.801 (Subpart P) Added 36700
38.850—38.851 (Subpart Q) Added 36700
38.900 (Subpart R) Added 36700
38.950—38.951 (Subpart S) Added 36700
38.1000—38.1001 (Subpart T) Added 36700
38.1050—38.1051 (Subpart U) Added 36700
38.1100—38.1101 (Subpart V) Added 36700
38.1150 (Subpart W) Added 36700
38.1200—38.1201 (Subpart X) Added 36700
38 Appendix A revised 36709
Appendix B revised 36717
39 Authority citation revised 42590
39.6 Added 42590
39.12 (a)(1)(vi) and (b)(7) added 21309
42 Technical correction 48060
46 Added 35226
50 Added 44455
143.8 (a) revised 65102

Chapter II—Securities and Exchange Commission (Parts 200—399)

229 Authority citation revised 38453
229 Technical correction 39390
229.407 (e)(3)(iv) added 38453
229.801 Industry guides 42175
229.802 Industry guides 42175
230.194 Added 48356
230.239 Added 20549
232.10 (b)(2) revised 54807
232.101 (a)(1)(ix) revised 54807
232.301 Revised 54808, 62432
240 Authority citation amended 30751, 41647, 56362, 56417
Authority citation revised 38454, 48356
Technical correction 39390, 50016
Authority citation corrected 43487
Clarification 43487
240.3a67-1 Undesignated center heading and section added 30751
240.3a67-2 Added 30751
240.3a67-3 Added 30751
240.3a67-4 Added 30751
240.3a67-5 Added 30751
240.3a67-6 Added 30751
240.3a67-7 Added 30751
240.3a67-8 Added 30751
240.3a67-9 Added 30751
240.3a68-1a Undesignated center heading and section added 48356
240.3a68-1b Added 48356
240.3a68-2 Added 48356

240.3a68-3 Added 48356
240.3a68-4 Added 48356
240.3a68-5 Added 48356
240.3a69-1 Added 48356
240.3a69-2 Added 48356
240.3a69-3 Added 48356
240.3a71-1 Added 30751
240.3a71-2 Added 30751
240.3a71-2A Added 30751
240.3Ca-1 Undesignated center heading and section added 41647
240.3Ca-2 Added 41647
240.10C–1 Undesignated center heading and section added 38454
240.12a-10 Added 20549
240.12h-1 (h) added 20549
240.13p-1 Added 56362
240.13q-1 Added 56417
240.15b12-1T (d) revised; interim 41677
240.15Ba2-6T Amended 59064
240.19b-4 Introductory text, (i) and (j) amended; (b) removed; (a) redesignated as new (b); new (a), (n) and (o) added; (l) introductory text revised 41648
241 Interpretive releases 42988, 48362
242.613 Added 45808
249 Authority citation amended 41650
Clarification 43487
249.819 Revised; Form 19b-4 revised 41650
249.1300T (Subpart N) Added 42176
Expiration date delayed to 9-30-13 59064
249b Authority citation amended 56362, 56418
249b.400 Added; Form SD added 56362
Existing text designated as (a); (b) added; Form SD amended 56418
260.4d-11 Added 20549
275.206(4)-5 (f)(2)(i) revised 28477
Regulation at 75 FR 41069 compliance date extended 35263

Proposed Rules:

1—199 (Ch. I) 28819, 41110, 41214
3 35892
15 43968
16 43968
17 43968
18 43968
20 43968
23 ..... 35892, 41109
39 ..... 41940, 50425
43 38229
49 26709
50 47170
151 31767
230 ..... 20749, 54464
239 54464
240 ..... 27150, 35625
270 20749
275 62185

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES

Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)

4 Authority citation revised 65474
4.39 (e) amended 65474
5 Authority citation revised 65474
5.29 (c) revised 65475
16 Authority citation revised 65475
16.8 (g) heading amended 65475
33 Authority citation revised 65475
33.8 Revised 65475
33.9 Removed 65475
34 Authority citation revised 65475
34.7 Amended 65475
35 Order 32184, 64890
Authority citation revised 65475
35.10b Revised 61924
35.28 (g)(4) through (7) redesignated as (g)(5) through (8); new (g)(4) added 26686
(c)(1) introductory text, (i), (ii), (iii), (v), (vi), (3) introductory text, (ii), (4), (d), (e)(1) introductory text, (ii), (2), (f)(1) introductory text, (i) and (3) revised; (f)(1)(ii), (iii), (iv) and (4) removed 41541
35.37 (f) revised 65475
35.41 (c) revised 61924
40 Order 24594, 26686, 27574
157.21 (h) amended 65475
157.34 (d)(4) amended 65475
284.12 (a)(1)(i) through (vii) revised 43720
348 Authority citation revised 65475
348.2 (a) revised 65475
357 Order 59739