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CHANGES APRIL 1, 2010 THROUGH JANUARY 31, 2011

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

1.1 Removed **55418**
1.3 (nn) and (yy) revised **55418**
1.4 Revised **55418**
1.10 (j) revised **55418**
1.35 (a), (a–1) and (b) revised **55419**
1.36 (a) revised **55422**
1.37 (a)(1) revised **55422**
1.40 Revised **55422**
1.46 (a) and (b) revised **55422**
1.52 (a), (c) introductory text, (1), (2), (g)(3), (4), (h), (j) and (k) revised **55423**
3.1 (c) revised **55424**
3.4 (a) revised **55424**
3.10 Heading, (a)(1), (b) and (d) revised **55424**
3.12 Heading, (a), (f)(1)(iii)(E), (4), (h)(1)(i) and (iii) revised; (j) removed **55424**
3.21 (b)(3), (c) introductory text, (1), (2), (3) and (4)(i) revised **55425**
3.30 (a) revised **55426**
3.31 (a)(1), (b), (c) and (d) revised **55426**
3.33 (a) introductory text, (b) introductory text, (6) and (e) revised **55426**
3.44 (a)(1) through (5) revised **55427**
3.45 (b) revised **55427**
3.50 (b)(2) revised **55427**
3.60 (b)(2)(i)(B) revised **55428**
4.7 (a)(1)(v)(B) and (2)(i) revised **55428**
4.12 (b)(1)(i)(C) revised **55428**
4.13 (a)(3)(ii)(A) and (B)(*1*) revised **55428**
4.14 (a)(7) revised **55428**
4.23 (a)(1), (7), (b)(1) AND (2) revised **55428**
4.24 (b)(1) introductory text, (e)(6), (g), (h)(2), (4)(iii), (i)(2)(ii), (j)(1)(vi), (3), (l)(1)(iii), (2) introductory text and (i) revised; (b)(1) clause amended; (i)(2)(xii) redesignated as (i)(2)(xiii); (b)(4) and new (i)(2)(xii) added **55429**
4.25 (c)(3)(ii) revised **55430**
4.30 Revised **55430**
4.33 (a)(6), (b)(1) and (2) revised **55430**
4.34 (b), (e)(2), (g), (h), (i)(2), (j)(1), (3), (k)(1)(ii), (iii), (2) introductory text and (i) revised **55430**
5 Added **55432**
10.1 (a) revised **55449**
30 Order **35291**
44 Added; interim **63084**
44.00 (c), (d) and (e) redesignated as (d), (e) and (f); (c) revised; interim **78896**
44.03 Added; interim **78896**
140.94 Added **55449**
145.5 (d)(1)(viii) and (h) revised **55449**
147.3 (b)(4)(i)(H) and (8) revised **55450**
160.1 (b) revised **55450**
160.3 (a) introductory text, (2), (n)(1)(i), (2)(i), (o)(1)(i) and (u)(2)(i)(A) revised; (k)(2)(i)(B) through (F), (w)(2), (3) and (4) redesignated as (k)(2)(i)(C) through (G), (w)(3), (4) and (5); new (k)(2)(i)(B), new (w)(2) and (x) added **55450**
160.4 (c)(2)(ii) and (e)(1)(iv) revised **55451**
160.30 Introductory text revised **55451**
166.2 Revised **55451**
166.5 (a)(1)(i), (ii) and (iii) redesignated as (a)(1)(i)(A), (B) and (C); new (a)(1)(ii) added; (a)(1)(iv) removed; (a)(2), (3), (c)(5)(1)(A) and (C) revised **55451**
190.01 (a) revised; (oo) added **17302**
190.04 (d)(3) added **44893**
190.07 (b)(2)(viii) revised **17303**
190 Appendix A amended **17303**

Chapter II—Securities and Exchange Commission (Parts 200—399)

200 Authority citation revised **47449**
200.30–3 (a)(12), (31) AND (57) revised; (a)(42) amended; (a)(58) added **62467**
200.30–4 (a)(1), (3), (4), (10), (11) and (13) revised **42600**
(a)(13) revised **49820**

NOTE: **Boldface page numbers indicate 2010 changes.**

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TITLE 17 Chapter II—Con.

(a)(15) added **54465**
200.30–11 Added **47449**
(b) redesignated as (c); new (b) added 2806
200.82a Added **56780**
Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
200.735–1—200.735–18 (Subpart M) Authority citation revised **42276**
200.735–1 Revised; footnote 1 removed **42276**
200.735–2 (b) revised **42276**
200.735–3 (a) revised; footnotes 2 through 5, 7, (b)(2) through (6) and (8) through (12) removed; (b)(7) and footnote 6 redesignated as (b)(2) and new footnote 1; new (b)(2) amended; (c) through (h) added **42276**
200.735–4 (a) and (c) revised; footnotes 8, 11 through 16, (b)(1) through (4), (6), (7), (8), (d) and authority citation removed; (b)(5)(i), (ii), (iii), (e), (f), (g) and footnotes 9 and 10 redesignated as (b)(1), (2), (3), new (d), new (g), (h) and new footnotes 2 and 3; new footnote 2 and new (d)(1) amended; new footnote 4, new (e) and new (f) added; new (d)(2)(ii) revised **42276**
200.735–5 Revised; footnote 17 removed **42277**
200.735–6 Revised; footnote 18 removed **42277**
200.735–7 Revised; footnote 19 removed **42277**
200.735–8 (a) revised; footnotes 20, 21 and 22 removed; (d)(1) and (2) amended; footnotes 23 and 24 redesignated as new footnotes 5 and 6 ..... **42277**
200.735–9 Revised **42277**
200.735–10 Revised **42277**
200.735–11 (a) revised; footnote 25, (c) through (f) and (j) removed; (g), (h), (i), (k) and (l) redesignated as new (c) through (g); new (c), (d) and (e) amended **42278**
200.735–15 (b), (e) and (f) amended **42278**
200.735–17 Amended **42278**
201 Authority citation amended 4070
201.61—201.68 (Subpart C) Removed **57385**
201.100 (b)(3) added 4070
201.430 (c) amended **47449**
201.700 Added 4070
201.701 Added 4070
202 Authority citation revised **47449**
202.11 Redesignated as 202.190 ..... **47451**
202.140—202.190 (Subpart A) Added **47449**
202.170 Added 4072
202.190 Redesignated from 202.11 ..... **47451**
210.2–02 (f) revised **57387**
211 Interpretive release **59896**
229.111 (a) introductory text revised; (a)(7) and (8) added 4243
229.308 (a)(4) and (b) revised **57387**
229.1104 (e) added 4511
229.1121 (c) added 4511
230 Authority citation amended 4243
230.146 Regulation at 74 FR 3974 exp. date extended to 7–16–11; (c)T amended **72664**
230.151A Regulation at 74 FR 3175 withdrawn **64642**
230.193 Added 4244
230.239T Regulation at 74 FR 3974 exp. date extended to 7–16–11; (e) amended **72664**
231 Interpretive release **59897**
232 Authority citation revised 4511
232.13 (a)(4) revised **56780**
Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
232.101 (a)(1)(xvi) added 4511
232.301 Revised **17854, 55966**
Revised 1515
232.312 (a) introductory text amended **80300**
232.314 Added 4511
240 Authority citation revised **54477**
Authority citation amended **64653**
Authority citation amended 4511
240.12a–10T Regulation at 74 FR 3975 exp. date extended to 7–16–11; (b) amended **72664**
240.12h–1 Regulation at 74 FR 3975 exp. date extended to 7–16–11; (h)T amended **72664**
240.13Aa–2T Added; interim **64653**
240.13a–11 (b) revised **56780**

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Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
240.13d–1 (b)(1)(i) and (c)(1) revised; (b)(1) Instruction 1 added **56780**
Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
240.13d–102 Amended **56780**
Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
240.14a–2 (b) introductory text revised; (b)(7) and (8) added **56780**
Regulation at 75 FR 56780 eff. date stayed indefinitely **64641**
240.14a–4 (b)(2) introductory text and concluding text amended **56781**
Regulation at 75 FR 56781 eff. date stayed indefinitely **64641**
240.14a–5 (e)(1) and (2) amended; (e)(3) added **56782**
Regulation at 75 FR 56782 eff. date stayed indefinitely **64641**
240.14a–6 (a)(4) through (7) redesignated as (a)(5) through (8); new (a)(4) and (p) added; (a) Note (3) amended **56782**
Regulation at 75 FR 56782 eff. date stayed indefinitely **64641**
240.14a–8 (i)(8) revised **56782**
Regulation at 75 FR 56782 eff. date stayed indefinitely **64641**
240.14a–9 (c) added; authority citation removed; notes (a) through (d) redesignated as notes a. through d. **56782**
Regulation at 75 FR 56782 eff. date stayed indefinitely **64641**
240.14a–11 Added **56782**
Regulation at 75 FR 56782 eff. date stayed indefinitely **64641**
240.14a–12 Instructions amended **56787**
Regulation at 75 FR 56787 eff. date stayed indefinitely **64641**
240.14a–18 Added **56787**
Regulation at 75 FR 56787 eff. date stayed indefinitely **64641**
240.14a–101 Items 7 and 22 amended **56788**
Regulation at 75 FR 56788 eff. date stayed indefinitely **64641**
240.14n–1 Undesignated center headiing and section added **56788**
Regulation at 75 FR 56788 eff. date stayed indefinitely **64641**
240.14n–2 Added **56788**
Regulation at 75 FR 56788 eff. date stayed indefinitely **64641**
240.14n–3 Added **56788**
Regulation at 75 FR 56788 eff. date stayed indefinitely **64641**
240.14n–101 Added **56788**
Regulation at 75 FR 56788 eff. date stayed indefinitely **64641**
240.15c2–12 (b)(5)(i)(C) introductory text, (*2*), (*6*), (*7*), (*8*), (*10*), (*11*), (d)(1)(ii) and (2)(ii)(B) revised; (b)(5)(i)(C)(*12*), (*13*), (*14*) and (d)(5) added; (d)(1)(iii) removed **33155**
240.15c3–5 Added **69825**
240.15d–11 (b) revised **56792**
Regulation at 75 FR 56792 eff. date stayed indefinitely **64641**
240.15Ba2–6T Added; interim **54477**
240.19b–4 (g), (l)(1) and (4) revised 4072
240.15Ga–1 Added 4511
240.17g–7 Added 4515
241 Interpretive release **33156, 59897, 60617**
242.200 Regulation at 75 FR 11323 compliance date extended to 2–28–11 ..... **68702**
242.201 Regulation at 75 FR 11323 compliance date extended to 2–28–11 ..... **68702**
243.100 (b)(2)(iii) removed; (b)(2)(iv) redesignated as new (b)(2)(iii) **61051**
249 Authority citation amended 4515
249.220f Form 20–F amended **57387**
249.240f Form 40–F amended **57387**
249.308 Form 8–K amended **56792**
Regulation at 75 FR 56792 eff. date stayed indefinitely **64641**
249.330 Form N–SAR amended **64123**
249.331 Form N–CSR amended **64123**
249.1300T (Subpart N) Added; interim **54477**
249.1400 (Subpart O) Added 4515
260.4d–11T Regulation at 74 FR 3975 exp. date extended to 7–16–11; amended **72664**
274.101 Form N–SAR amended **64123**
274.128 Form N–CSR amended **64123**
275.203–1 (a) and (b) revised **49267**

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275.204–1 (a) and (c) notes removed; (b) and (c) revised **49267**
275.204–2 (a)(18) added; (h)(1) revised **41068**
(a)(14) revised **49268**
275.204–3 Revised.....**49268**
275.206(3)–3T (d) amended.....**82241**
275.206(4)–3 (e) added; authority citation removed **41069**
275.206(4)–4 Removed **49269**
275.206(4)–5 Added.....**41069**
279.1 Form ADV amended.....**49269**
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4.....**54794, 55698, 67254**
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20 .....**50950, 67258**
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275.....**42982, 63753, 75650, 77052, 77190** 5307
279.....**77052**

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES

Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)

1 Technical correction **24392**
1b.21 (b) revised; (g) and (h) added **21505**
2.1 (a) introductory text and (b) amended **43402**
2.9 (b) amended **43402**
2.13 (b) amended **43402**
2.55 (b)(4) amended.....**43402**
2.57 Amended.....**43402**
3b.1 Amended **43402**
3b.203 (b) and (c) amended **43402**
3b.221 (d)(2) amended.....**43402**
3b.224 (c)(2) amended.....**43402**
4.4 Amended.....**43402**
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4.22 Amended.....**43402**
4.32 (b)(1), (2) and (e)(1)(i) amended.....**43402**

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