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CHANGES APRIL 1, 2009 THROUGH NOVEMBER 30, 2009

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

4.7 (b)(2)(iii)(A), (B), (b)(2)(iv), (v) and (3)(i)(D) added; (b)(3)(i) introductory text, (B), (C) and (ii) revised 57590
4.13 (c)(2) removed; (c)(3) redesignated as new (c)(2).....57590
4.22 (a) introductory text, (1) introductory text, (2) introductory text, (c) introductory text, (4), (5), (d), (e) and (f)(2) revised; (c)(6) redesignated as (c)(8); (a)(5), (6), and new (c)(6) and (7) added 57590
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40.5 (a)(8) revised 17394
40.6 (a)(3)(vi) revised.....17394
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41.24 (a)(6) added.....17394
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200.30–4 (a)(13) added.....40069
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210.3–04 Revised 18614
210.3–05 (a)(1)(i) and (ii) revised; authority citation removed 18614
210.3–10 (i)(3) revised.....18615
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210.7–01—210.7–05 Authority citation following undesignated center heading removed 18615
210.7–03 Amended 18615
210.7–04 Amended 18615
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210.11–02 (b)(3) revised; Instruction 2 amended 18616
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239.15A Form N–1A correctly amended 26782
239.17b Form N–4 correctly amended 26782
239.25 Form S–4 amended 18617
239.33 Form F–3 amended 18618
239.39 Form F–9 correctly amended 15668
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239.90 Form 1–A amended 18618
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240.12b–2 Amended 18618
240.12h–1 Regulation at 74 FR 3975 exp. date extended to 11–30–09 47724
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240.14a–101 Instructions amended 18618
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242.204 Added 38292
242.300 (i) revised; (j) removed; (k) redesignated as new (j) 52372
242.301 (b)(5)(i)(C) and (6)(i)(C) amended; (b)(5)(i)(D) and (6)(i)(D) revised; (b)(5)(i)(E) and (6)(i)(E) removed 52372
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248.4—248.9 (Subpart A) Heading removed 40431
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248.10—248.12 (Subpart B) Heading removed 40431
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248.16 Undesignated center heading added 40431
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248.101—248.128 (Subpart B) Added 40431
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249.638 Form ATS–R amended 52372
249.821 Form PILOT amended 52372
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270.10f–3 (a)(3) revised; (a)(5) removed; (a)(6), (7) and (8) redesignated as new (a)(5), (6) and (7) 52373
270.30b1–6T Added; interim; eff. through 9–17–10 48376
274.11A Form N–1A correctly amended 26782

274.11c Form N–4 correctly amended 26782

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806.32 (a) revised 49813
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