

DECEMBER 2007

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CHANGES APRIL 2, 2007 THROUGH DECEMBER 31, 2007

**TITLE 17—COMMODITY AND
SECURITIES EXCHANGES**

**Chapter I—Commodity Futures
Trading Commission (Parts
1—199)**

1.3 (xx) and (yy) added	63978
1.55 (f) revised	63979
1.56 (a) removed	63979
2 Authority citation revised	29247
2.4 Added	29247
3.1 (f) removed	63979
3.10 (d) added	35920
(c) revised	63979
3.12 (b) revised	63103
(h)(1)(ii) and (iii)(D) amended; (h)(1)(iv) added	63979
3.31 (c)(1) introductory text and (2) revised	63104
3.33 (f) introductory text revised	35920
4.10 (a) removed	63979
15.00 (g) removed	63979
18 Authority citation revised	60771
18.05 Revised	60771
21.02 (f) amended; (g) redesign- ated as (i); new (g) and (h) added	50211
21.04 Added	50211
30 Technical correction	17989
30 Appendix C amended	50646
38 Appendix B suspended in part	65658
140.2 Heading, introductory text and (c) revised	16269
145.6 (a) revised	16269
150	66097
166.1 (b) removed	63979
171.9 (b) revised	42277

**Chapter II—Securities and Ex-
change Commission (Parts
200—399)**

200 Authority citation revised	16955
Technical correction	33881
200.30-1 (e)(17) added	16955
210.1-02 (a)(2) revised; (p) through (bb) redesignated as (q) through (cc); new (p) added	35320
(a)(4) added; (p) removed; (q) through (cc) redesignated as (p) through (bb)	44927
210.2-02 (f) revised	35321

210.2-02T Heading revised; eff. 8- 27-07 to 6-30-09	35321
211 Staff accounting bulletin	63484,
74168	
228.308 (a)(4) and (b) revised	35321
229.308 (a)(4) and (b) revised	35321
230 Authority citation revised	20414
Authority citation amended	71566
230.144 (g)(2) and (3) and notes re- designated as (g)(3) and (4); preliminary note, (a)(3)(vi), (vii), (b), (c), (d)(1), (3)(i), (iii), (vii), (viii), (e) introduc- tory text, (1) introductory text, (2), (3), (f), (g)(1), new (3), (h) and (i) revised; (a)(3)(viii), (4), (d)(3)(ix), (x) and new (g)(2) added; (j) and (k) removed	71566
230.145 (c), (d) and (e) revised; au- thority citation removed	71570
230.146 (b)(1) and (2) revised	20414
230.190 (a)(2) and (3) revised; (a)(4) added	71571
230.401 (g)(1) amended; (g)(3) added	73551
230.701 (g)(3) amended	71571
230.903 (b)(3)(iii)(A), (B) introduc- tory text and (iv) revised	71571
232 Authority citation revised	39299
232.101 (a)(1)(x) and (xi) amended; (a)(1)(xii) added	16955
232.301 Revised	46561
232.401 (a), (b)(1)(ii) and (iii) amended; (b)(1)(iv) added; (d)(1)(i) and (2)(i) revised	39299
(a) and (b)(1)(iv) correctly amended	48742
239 Authority citation revised	39299
239.13 Form S-3 amended	73551
239.15a Form N-1A amended	39300
Form N-1A correctly amended	48742
239.33 Form F-3 amended	73551
239.144 (b) revised	71571
239.144 Form 144 amended	45111
240.3a4-2 Removed	56554
240.3a4-3 Removed	56554
240.3a4-4 Removed	56554
240.3a4-5 Removed	56554
240.3a4-6 Removed	56554
240.3a5-2 Added	56567
240.3a5-3 Added	56567
240.10a-1 Undesignated center heading and section removed	36359

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240.3b-9 Removed.....	56568	(b)(3)(iii), (iv), (vi) and (vii); new (n)(3)(ii) and (v) added	45557
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240.12b-2 Amended.....	35321, 44927		
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240.12g-4 (a) revised; authority citation removed.....	16956		
240.12h-1 (d) amended; (f) and (g) added.....	69566		
240.12h-3 (b)(1)(ii), (c) and (d) amended; (b)(2) and author- ity citation removed; (b)(3) redesignated as new (b)(2)	16956		
240.12h-6 Added.....	16956		
240.13a-15 (c) revised.....	35321		
240.13d-101 Amended	45111		
240.13d-102 Amended	45112		
240.14a-3 (a) revised	42237		
240.14a-7 Note 3 removed	42238		
240.14a-8 (i)(8) revised	70456		
240.14a-16 (a), (d)(3), (f)(2)(i), (ii), (h), (j)(3) and (n) revised; (f)(2)(iii) added.....	42238		
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242.201 Added	36359		
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5.9 (b)(3) and (6) amended	45324
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6.1 Amended	45324
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157.205 (c), (f) and (g) amended	45325
157.206 (b)(5)(i) revised	37436
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