

SEPTEMBER 2007

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CHANGES APRIL 2, 2007 THROUGH SEPTEMBER 28, 2007

**TITLE 17—COMMODITY AND
SECURITIES EXCHANGES**

**Chapter I—Commodity Futures
Trading Commission (Parts
1—199)**

2 Authority citation revised.....	29247
2.4 Added	29247
3.10 (d) added	35920
3.33 (f) introductory text revised	35920
21.02 (f) amended; (g) redesign- ated as (i); new (g) and (h) added	50211
21.04 Added	50211
30 Technical correction	17989
30 Appendix C amended	50646
140.2 Heading, introductory text and (c) revised	16269
145.6 (a) revised	16269
171.9 (b) revised	42277

**Chapter II—Securities and Ex-
change Commission (Parts
200—399)**

200 Authority citation revised.....	16955
Technical correction	33881
200.30-1 (e)(17) added	16955
210.1-02 (a)(2) revised; (p) through (bb) redesignated as (q) through (cc); new (p) added	35320
(a)(4) added; (p) removed; (q) through (cc) redesignated as (p) through (bb)	44927
210.2-02 (f) revised	35321
210.2-02T Heading revised; eff. 8- 27-07 to 6-30-09	35321
228.308 (a)(4) and (b) revised	35321
229.308 (a)(4) and (b) revised	35321
230 Authority citation revised.....	20414
230.146 (b)(1) and (2) revised	20414
232 Authority citation revised.....	39299
232.101 (a)(1)(x) and (xi) amended; (a)(1)(xii) added	16955
232.301 Revised	46561
232.401 (a), (b)(1)(ii) and (iii) amended; (b)(1)(iv) added; (d)(1)(i) and (2)(i) revised	39299
(a) and (b)(1)(iv) correctly amended	48742
239 Authority citation revised.....	39299
239.15a Form N-1A amended	39300
Form N-1A correctly amended	48742

239.144 Form 144 amended	45111
240.10a-1 Undesignated center heading and section removed	36359
240.12b-2 Amended	35321, 44927
240.12g3-2 (d)(1) and (2) revised; (e) and (f) added	16955
240.12g-4 (a) revised; authority citation removed	16956
240.12h-3 (b)(1)(ii), (c) and (d) amended; (b)(2) and author- ity citation removed; (b)(3) redesignated as new (b)(2)	16956
240.12h-6 Added	16956
240.13a-15 (c) revised	35321
240.13d-101 Amended	45111
240.13d-102 Amended	45112
240.14a-3 (a) revised	42237
240.14a-7 Note 3 removed	42238
240.14a-16 (a), (d)(3), (f)(2)(i), (ii), (h), (j)(3) and (n) revised; (f)(2)(iii) added	42238
240.14a-101 Amended	42238
240.14b-1 (d) introductory text revised; (d)(5) added	42238
240.14b-2 (d) introductory text revised; (d)(5) added	42239
240.14c-2 (d) revised	42239
240.14c-3 (d) revised	42239
240.14d-100 Amended	45112
240.15d-15 (c) revised	35322
240.17g-1—240.17g-6 Undesignated center heading added	33620
240.17g-1 Added	33620
240.17g-2 Added	33620
240.17g-3 Added	33620
240.17g-4 Added	33620
240.17g-5 Added	33620
240.17g-6 Added	33620
241 Interpretive releases	35343
242.105 (a) revised; (b) and (c) re- designated as (c) and (d); new (b) added	45107
242.200 (g) introductory text re- vised; (g)(2) removed	36359
(e)(3) revised	45557
242.201 Added	36359
242.203 (b)(3)(i) revised; (b)(3)(ii) through (v) redesignated as (b)(3)(iii), (iv), (vi) and (vii); new (n)(3)(ii) and (v) added	45557
249.103 Amended	45112
Form 3 amended	45113
249.104 Amended	45112
Form 4 amended	45113

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TITLE 17 Chapter II—Con.

249.105 Amended; Form 5 amended.....	45112
249.324 Added; Form 15F added	16958
249.501 Form BD amended.....	20224
249.501a Form BDW amended.....	20225
249b.300 Added	33624
270.8b-33 Revised.....	39299
274.11A Form N-1A amended.....	39300
Form N-1A correctly amended	48742
274.202 Form 3 amended.....	45113
274.203 Form 4 amended.....	45113
275 Authority citation revised.....	55042
275.206(3)–3T Added; interim; eff. 9-30-07 to 12-31-09	55042
275.206(4)–8 Added	44761

Proposed Rules:

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TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES**Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)**

2.9 (c) amended	45323
3c Authority citation revised.....	45323
3c.2 (a) revised	45323
4.30 (b)(6)(ii) and (28)(iii) amended.....	45323
4.32 (h) amended	45324
4.33 (b)(2) amended.....	45324
4.41 (f)(4)(vii) and (6)(v) amended	45324
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4.81 (d) amended	45324
4.92 (b) amended	45324
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4.104 (c) amended	45324
4.107 (a) amended	45324
5.9 (b)(3) and (6) amended	45324
5.18 (a)(5)(i) amended	45324
6.1 Amended	45324
8.11 (a)(1) and (2) amended; (a)(3) removed; (a)(4) redesignated as new (a)(3)	45324
11.10 (c)(5) amended	45324
16.12 (b) amended	45324
16.16 (a) amended	45324
16.19 (c)(2) amended	45324
16.22 (b) amended	45324
33 Policy statement.....	42277
33.10 Amended	45324
35 Policy statement.....	18569
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35.2 (c) amended.....	45325
35.5 (b) amended	45325
35.13 (a)(3) amended	45325
35.27 Revised.....	40038
35.28 Regulation at 72 FR 12492 compliance date extended	19112
35.36—35.42 (Subpart H) Revised	40038
37 Policy statement.....	18569
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37.6 Regulation at 72 FR 12492 compliance date extended	19112
37.7 Regulation at 72 FR 12493 compliance date extended	19112
38.1 Revised	38767
38.2 (a)(4) revised	21099
(a)(8) added.....	38767
40 Added	16598