AUTHENTICATED
U.S. GOVERNMENT
INFORMATION
GPO

CHANGES APRIL 1, 2004 THROUGH AUGUST 31, 2004

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Title 17 Nomenclature change.....18803

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

1.10 (c), (e)(1), (2) and (f)(2) amended 41425
(b)(1), (2)(i), (c), (d)(4), (e) and (f) revised 49795
1.12 (i)(1) amended 41426
(a)(1), (b)(1), (2), (3), (4), (c), (d), (e), (f), (h) and (i)(1) revised 49797
1.16 (d)(1) amended.....41426
(f) revised 49798
1.17 (h)(3)(vi) amended 41426
(a)(1)(i)(B), (b)(4), (e)(1)(i), (ii), (h)(2)(vi)(C)(*1*), (*2*), (vii)(A)(*1*), (*2*), (vii)(B)(*1*), (*2*), (vii)(A)(*1*), (*2*), (3)(ii)(A), (B), (v)(A), (B) and (vii) revised; (b)(7) and (8) added 49799
1.18 (b) revised 49800
1.23 Amended 41426
1.30 Amended 41426
4.13 (a)(3)(iii)(C) and (D) revised; (a)(3)(iii)(E) added 41426
30.4 (a) revised 49803
30.10 Existing text designated as (a); (b) added.....49803
31.13 (c) and (e) amended 41426
36.3 (b)(1)(i), (ii) and (c)(2) revised; (b)(2) and (3) redesignated as (b)(3) and (4); (b)(1)(iii), (iv), new (2), (c)(1) heading and (3) heading added 43294
140.2 Revised.....41426
140.99 Second (d)(2) removed.....41426
143 Authority citation revised.....52997
143.1 Revised.....52997
143.2—143.8 (Subpart A) Designation and heading added 52997
143.2 (c) revised.....52997
143.7 (a) revised.....52997
143.8 (a) revised.....52995
143.9—143.10 (Subpart B) Added 52997
145.6 (a) revised.....41426
190.07 (b)(3)(v) amended 41427

Chapter II—Securities and Exchange Commission (Parts 200—399)

200.1—200.16 (Subpart A) Authority citation revised 34461
200.19a Introductory text amended.....34461
200.30–3 (a)(7)(v) amended; (a)(7)(vi) added 34461
(a)(79), (80) and (81) added.....34494
(a)(82) added 41078
(g) redesignated as (j); new (g), (h) and (i) added 41937
200.30–5 (g) through and (k) redesignated as (i) through (m); new (g) and (h) added 41937
200.30–18 (c) redesignated as (c)(1); (c)(2) added 41938
200.735–5 (b)(1)(ii) and (iv) amended; (b)(1)(v) removed; (b)(1)(vi) redesignated as new (b)(1)(v); new (b)(1)(v) and (m)(3) revised.....21058
211 Interpretive releases.....29066
230.146 (b)(1)(ii), (iii) and (2) revised; (b)(1)(iv) added 43298
231 Interpretive releases.....29066
232.10 (b) revised 22709
232.101 (a)(1)(ix) added 22709
232.104 (a) revised.....22709
232.201 (a) introductory text revised 22710
232.202 (a) introductory text revised 22710
232.301 Revised 21955, 49805
239 Authority citation revised.....33269, 39807
239.12 Form S–2 corrected.....48371
239.13 Form S–3 corrected.....48371
239.14 Form N–2 amended; eff. in part 1–31–06.....39808
Form N–2 amended.....52801
239.15A Form N–1A amended 22312, 33269, 49807, 52799
Form N–1A amended; eff. in part 1–31–06.....39808
239.17a Form N–3 amended 22314, 52802
Form N–3 amended; eff. in part 1–31–06.....39809
239.17b Form N–4 amended.....22316
239.17c Form N–6 amended.....22316
239.63 Revised 22710
240.3b–3 Removed.....48029
240.10a–1 (c), (d), (e)(13) and authority citation removed 48029

CHANGES APRIL 1, 2004 THROUGH AUGUST 31, 2004

TITLE 17 Chapter II—Con.

240.10a–2 Removed 48029
240.13k–1 Added 24024
240.14a–101 Amended 39807
240.15c3-1 (a)(7) undesignated center heading and (c)(13) undesignated center heading revised; (a)(7) text and (c)(13) text added; (c)(15) amended; authority citation removed 34461
240.15c3-1e Revised 34462
240.15c3-1g Added 34467
240.17a-4 (b)(12) added 34471
240.17a-5 (a)(5) and (k) through (o) redesignated as (a)(6) and (l) through (p); new (a)(5) and (k) added 34471
240.17a-11 (b)(2) and (h) revised 34472
240.17a-12 (l) revised 34494
240.17h-1T (d)(4) redesignated as (d)(5); new (d)(4) added 34472
240.17h-1T (d)(5) redesignated as (d)(6); new (d)(5) added 34494
240.17h-2T (b)(4) redesignated as (b)(5); new (b)(4) added 34472
240.17h-2T (b)(5) redesignated as (b)(6); new (b)(5) added 34494
240.17i-1 Undesignated center heading and section added 34495
240.17i-2 Added 34495
240.17i-3 Added 34495
240.17i-4 Added 34495
240.17i-5 Added 34495
240.17i-6 Added 34495
240.17i-7 Added 34495
240.17i-8 Added 34495
240.31-1 Removed 41078
240.31 Added 41078
240.31T Added (temporary) 41080
241 Interpretive releases 29066, 48029
242 Heading revised 48029
242.105 (b) amended 48029
242.200 Undesignated center heading and section added 48029
242.201 Added 48029
242.202T Added; eff. 9–7–04 to 8–6–07 48029
242.203 Added 48029
249.11 Added 41080
249.220f Form 20–F amended 24024
249.308 Form 8–K corrected 48371
249.308a Form 10–Q corrected 48371
249.308b Form 10–QSB corrected 48371
249.310 Form 10–K corrected 48371
249.331 Form N–CSR amended 52804
249.446 Revised 22710
259.602 Revised 22710
269.7 Revised 22710
270 Authority citation amended 46389
270.0–1 (a)(7) added 46389
270.10f–3 (c)(3), (9), (12)(i) and (ii) amended; (c)(11) revised 46389
270.12b–1 (c) revised 46389
270.15a–4 (b)(2)(vii) revised 46389
270.17a–7 (f) revised 46389
270.17a–8 (a)(4) revised 46389
270.17d–1 (d)(7)(v) revised 46389
270.17e–1 (c) revised 46389
270.17g–1 (j)(3) revised 46390
270.17j–1 (a)(1)(i), (2)(i), (d)(1)(i) introductory text, (ii) introductory text, (iii) introductory text and (2)(iv) revised; (a)(11) and (d)(2)(vi) added 41707
270.18f–3 (e) revised 46390
270.23c–3 (b)(8) revised 46390
270.30a–2 (a) and (b) amended 52799
270.31a–2 (a)(4) and (5) amended; (a)(6) added 46390
274.11A Form N–1A amended 22312, 33269, 49807, 52799
Form N–1A amended; eff. in part 1–31–06 39808
274.11a–1 Form N–2 amended; eff. in part 1–31–06 39808
Form N–2 amended 52801
274.11b Form N–3 amended 22314, 52802
Form N–3 amended; eff. in part 1–31–06 39809
274.11c Form N–4 amended 22316
274.11d Form N–6 amended 22316
274.128 Form N–CSR amended 52804
274.402 Revised 22711
275 Authority citation amended 41707
275.204–2 (a)(12), (13) and (e)(1) revised 41707
275.204A–1 Added 41708
279.1 Form ADV amended 41709

Chapter IV—Department of the Treasury (Parts 400—499)

403 Authority citation revised 33259
403.1 Revised 33259
403.4 (e) through (l) redesignated as (f) through (m); new (e) added 33259

CHANGES APRIL 1, 2004 THROUGH AUGUST 31, 2004

Proposed Rules:

1.....39880
15.....26333
16.....26333
17.....26333
18.....26333
19.....26333
21.....26333
30.....1799
38.....39880
40.....44981
41.....44981
145.....44981
150.....33874
200—399 (Ch. II).....46117
200.....30142
210.....26650, 30750
228.....26650, 30750, 35982
229.....26650, 30750, 35982
230.....21650, 25182, 26650, 30142, 30750
232.....17864, 23856, 26650, 30750, 34860
239.....21650, 25182, 26650, 30750
240.....17864, 21650, 23856, 25182, 25778, 26650, 30142, 30750, 32784, 34860, 35982, 39682, 44988
242.....26650, 30142, 30750, 39682, 44988
245.....26650, 30750
247.....42302
249.....17864, 21650, 23856, 25182, 26650, 30142, 30750, 34860
275.....25778, 45172, 51620
279.....25778, 45172, 51620

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES

Title 18 Nomenclature change.....18803

Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)

1b.21 (f) revised.....32438
4.303 (a)(2) and (e)(2) amended.....32438
11.3 (d) amended.....32438
12 Authority citation revised.....32438
12 Nomenclature change.....32438
12.3 (b)(10) amended.....32438
33.6 Revised.....32438
34.3 (k) revised.....32438
35.8 (b) revised.....32438
36.1 (b)(1) revised.....32438
141.400 (b)(2)(iv) and (3)(iv) redesignated as (b)(2)(vii) and (3)(vii); new (b)(2)(iv), (v), (vi), (3)(iv), (v) and (vi) added.....32443
(b)(3)(i) corrected.....34568
154.209 Revised.....32438
157.6 (b)(7) revised.....32439
157.205 (b)(5) revised.....32439
260.300 (b)(2)(iv) and (3)(iv) redesignated as (b)(2)(vii) and (3)(vii); (b)(2)(iv), (v), (vi), (3)(iv), (v) and (vi) added.....32443
292.207 (b)(4) revised.....32439
300.10 (a)(1) revised.....32439
357.4 (b)(2)(iv) redesignated as (b)(2)(vii); new (b)(2)(iv), (vi) and (viii) added.....32444
358.1 (c) amended.....23587
358.2 Revised.....23587
358.3 (d)(5) redesignated as (d)(6); (a)(3), new (d)(5) and (k) added; (b)(1), new (d)(6)(ii) and (v) revised;.....23587
(d)(5) and (6)(iv) revised; (d)(6)(v) amended; (d)(6)(vi) added.....48386
358.4 (a)(5) and (6) added; (b)(1), (2), (3)(i), (iii), (iv), (v), (c), (e)(3) and (5) revised.....23588
(a)(5), (e)(2) and (3) amended; (e)(5) revised.....48386
358.5 (a)(1), (2), (b)(1), (2), (4), (7), (8), (c)(5) and (d) revised.....23588
365.3 (c) revised.....32439
375.101 (b) revised.....32439
375.105 (c) revised.....32439
381.302 (a) amended.....27834
381.303 (a) amended.....27834
381.304 (a) amended.....27834
381.305 (a) amended.....27834
381.403 Amended.....27834
381.505 (a) amended.....27834
381.801 Amended.....27834
385.203 (d) added.....32439
385.206 (b)(10) revised.....32440
385.1104 (a)(5) revised.....32440
385.2001 (a)(1)(iii) and note revised.....32440
385.2003 (c)(1)(ii) revised; (c)(3) and (4) removed; (c)(5) redesignated as (c)(3).....32440
385.2010 (i)(3) amended.....32440
388.106 Heading and (a) revised.....32440
388.109 (a)(1) revised; (a)(4), (5) and (6) removed.....41191