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CHANGES APRIL 1, 2002 THROUGH DECEMBER 31, 2002

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

1 Fee schedule 44036
Technical correction 63966, 67445
1.3 (gg)(4), (vv) and (ww) added 58297
1.12 (g)(3) and (h) amended 62351
1.41a Removed 62353
1.41c Removed 62353
1.42 Removed 62353
1.55 (h) added 58297
1.62 (b) amended 62351
1.65 (d) amended 62351
1.66 (b)(5)(ii) amended 62351
3.2 (c) revised 38874
3.10 (a)(2)(i) revised; (d) removed 38874
Corrected 41166
3.11 (a)(2), (3) and (b) revised; (C) and (d) removed 38874
3.12 (c)(1), (4), (d)(1) introductory text and (f) revised; (d)(2) and (3) redesignated as (d)(3) and (4); new (d)(2) added 38874
3.12 (g)(2)(i) and (ii) amended 62351
3.22 Amended 62351
3.31 (a)(2) redesignated as (a)(3); new (a)(2) added; (a)(1) and new (3) revised; (d) amended 38875
3.33 (b) introductory text and (e) amended; (b)(3) and (d) removed; (b)(4) through (7) and (c)(2) redesignated as (b)(3) through (6) and (c) 38876
(e) amended 62351
3.40 Revised 38876
3.41 Removed 38876
3.42 (a)(2), (3), (4) and (6) revised 38876
3.44 (a)(4) introductory text and (5) revised 38876
3.46 (a)(6) and (8) revised 38876
3.50 (c) and (d) amended 62351
3.55 (e)(2) amended 62351
3.56 (e)(2) amended 62351
3.63 Amended 62351
3.70 (a) amended 62351, 62352
3 Appendix A amended 62352
4 Technical correction 44931
4.2 (a) amended 62352
4.5 (c) introductory text, (3) and (d)(1) amended; (f)(3) removed; (f)(4) redesignated as (f)(3) 77410
4.7 (b)(3)(i), (d)(1)(viii), (ix) and (3) amended; (d)(2) revised 77411
4.12 (b)(3)(vii) removed; (b)(3)(viii) redesignated as new (b)(3)(vii); (b)(3) introductory text, new (vii) and (5)(ii) amended 77411
4.13 (b)(1)(iv)(A) removed; (b)(1)(iv)(B) redesignated as (b)(1)(vii)(A) 77411
4.14 (a)(8)(v)(C) removed; (a)(8)(v)(D) redesignated as (a)(8)(v)(C); (a)(8)(iii) and new (v)(C) amended 77411
4.22 (c) introductory text, (f)(1) and (2)(i) amended 77411
4.26 (c) removed; (b) redesignated as new (c); new (b) added 42710
4.26 (d) revised 77411
4.36 (d) amended 77411
9.2 (h) amended 62352
9.11 (c) amended 62352
9.12 (b) amended 62352
9.26 Amended 62352
9.31 (a) amended 62352
11 Technical correction 39473
11.2 (a) amended 62352
11.4 (e) and (f) added 37322
15.03 (b) revised 64524
16.07 Amended 62352
17.03 Amended 62352
18.03 Amended 62352
19.00 (a)(3) amended 62352
21.02a (c) amended 62352
30 Order 30785, 45056
31.6 (f)(1) and (2) amended 62352
31.13 (n)(1) amended 62352
31.14 (a) amended 62352
36.3 (b)(2)(ii) amended 62352
37 Authority citation revised 62876
37.2 Revised 62876
37.5 (f)(1) and (2) amended 62352
(a), (b) and (f)(1) revised 62876
37.6 (a), (b) introductory text, (1), (2), (i) introductory text, (iii) and (c) revised 62877
37.8 (d) amended 62352
38 Authority citation revised 62877
38.2 Revised 62877
38.3 (e)(1) and (2) amended 62352
(a) revised 62878
38.4 (a)(2) revised 62878

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38.5 (c) added 62878
38 Appendix B amended 62352
39 Authority citation revised 62878
39.3 (e)(1) and (2) amended 62352
39.4 Heading revised; (c) text redesignated as (c)(2); (c)(1) added 62878
40 Fee schedule 38379
Authority citation revised 62878
40.1 Amended 62878
40.3 (a)(4) revised; (a)(5) added 62879
40.4 (b)(5) and (6) revised; (b)(7) and (8) added 62879
40.5 (a)(1)(v) and (vi) revised; (a)(1)(vii) added 62879
40.6 (a)(2) amended; (c)(2)(iii), (iv), (3)(ii)(B), (C) AND (D) revised; (c)(2)(v) and (3)(ii)(E) added 62879
40.7 (a)(1), (2) and (b) introductory text amended 62352
(b)(1) revised 62880
40.8 Added 62880
40 Appendix C amended 62880
41 Authority citation revised 36761, 53171, 58297
Heading revised 53171
Technical correction 67445
41.1 (j), (k) and (l) added 36761
41.3 Redesignated from 41.41 53171
(d) amended 62352
41.25 (a)(2) and (d) added; (b) revised 36761
41.33 (g)(1) and (2) amended 62352
41.41 Redesignated as 41.3 53171
Added 58297
41.42—41.49 (Subpart E) Added 53171
140.72 (a) amended 62352
140.73 (a) and (b) amended 62352
140.74 (a), (b) and (c) amended 62352
140.75 Amended 62352
140.76 (a) and (b) amended 62352
140.77 (a), (b) and (c) amended 62353
140.91 (a) and (b) amended 62353
140.92 (a), (b) and (c) amended 62353
140.93 (a), (b) and (c) amended 62353
140.95 (a), (b) and (c) amended 62353
140.96 (a) through (d) amended 62353
140.97 (a), (b) and (c) amended 62353
140.99 (a)(5) amended; (d)(2) revised 62353
140.100 Added 45299
Removed 62354
140.735–2a Note 7 amended 62353
145.6 (a) amended 62353
(b)(2) revised 63539
145 Appendix A amended 62353, 63539
150.3 (b) amended 62353
150.4 (e) amended 62353
170.12 Amended 62353
171.28 Amended 62353
171.31 (a) amended 62353
190 Technical correction 67445
190.01 (f) revised; (kk)(9) added 58298
190.02 (d)(8) amended; (d)(11) and (12) redesignated as(d)(12) and (13); new (d)(11) added 58298
190.07 (b)(1)(iii)(B)(*3*) revised 58298
190.08 (a)(2)(v) and (vi) revised; (a)(2)(vii) added 58298
190.10 (h) added 58298
(a), (b)(4), (d) heading, (1), (2) and (3) amended 62353
190 Appendix A amended 58298

Chapter II—Securities and Exchange Commission (Parts 200—399)

200.20b (a) revised 43535
200.30–3 (a)(77) added 65037
200.30–7 (a)(12) added; authority citation removed 30326
200.30–14 (g)(1)(xv) added 56220
210.3–01 (c) introductory text and (d) amended; (e) revised; (i) added 58503
210.3–09 Authority citation removed; (a) and (b) amended; (b)(1) through (4) added 58504
210.3–12 (a) and (b) amended; (g) added 58504
228 Authority citation revised 57287
228.307 Added 57287
229 Authority citation revised 57287
229.101 (e) revised 58504
229.307 Added 57287
230 Authority citation amended 19673
230.134b Revised 19868
230.403 (c) revised; authority citation removed 36698
230.405 Amended 19673
230.430 (b) introductory text revised 19868
230.430A (e) revised 19869
230.493 Revised 36699
230.495 (a), (c) and (d) revised 19869
230.496 Revised 19869
230.497 (c) and (e) revised 19869
231 Interpretive releases 43246
232 Authority citation revised 57287

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232.100 (a) and (c) revised 36699
232.101 (a)(1)(iii) note, (c)(16) and (17) redesignated as (a)(1)(iii) Note 1, (c)(15) and (16); (a)(1)(iii) Note 2, (a)(1)(vi), (vii), (viii) and (b)(7) through (10) added; (a)(1)(iv), (v), (c)(5), (6), (14), new (c)(15) and (16) amended; (b)(1), (6) and (c)(9) revised; (c)(15) and (d) removed 36699
232.302 (a) and (b) revised 57287
232.303 (b) revised 36700
232.306 (a) revised; (b) redesignated as (e); new (b), (c) and (d) added 36700
232.311 (f), (g) and (h) redesignated as (h), (i) and (j); new (f) and (g) added 36700
232.601 Removed 36700
239 Authority citation amended 19869
239.17b Form N-4 amended 69979
239.17c Added 19870
Form N-6 amended 69981
239.31 Form F–1 amended 36700
239.32 Form F–2 amended 36701
239.33 Form F–3 amended 36701
239.34 Form F–4 amended 36701
239.36 Form F–6 amended 36702
239.37 Form F–7 amended 36702
239.38 Form F–8 amended 36702
239.39 Form F–9 amended 36703
239.40 Form F–10 amended 36703
239.41 Form F–80 amended 36704
239.42 Form F–X amended 36709
239.800 Form CB amended 36707
240 Authority citation amended 57288, 58299
240.3a4–1 Undesignated center heading removed 19673
240.3a11–1 Revised 19673
240.6h–1 Added 36762
240.10b–10 (a)(2)(i)(C), (b)(2) and (3) amended; (a)(7)(i) through (iv) and authority citation removed; (e) redesignated as (f); new (e) added 58312
240.11aC1–7 Removed 79458
240.11d2–1 Added 58313
240.12b–2 Amended 58505
240.12b–12 (d) revised; authority citation removed 36704
240.12b–15 Revised 57288
240.13a–10 Note redesignated as Note 1; Note 2 added 57288
(b), (c), (e)(2) and (f) amended; (j) added 58505
240.13a–14 Added 57288
240.13a–15 Added 57289
240.13e–102 Amended 36705
240.14d–102 Amended 36705
240.14d–103 Amended 36706
240.15c3–3 Authority citation removed; (a)(1) amended; (a)(14), (15) and (o) added 58299
240.15d–5 Corrected; CFR correction 59779
240.15d–10 Authority citation revised 57288
Note redesignated as Note 1; Note 2 added 57289
(b), (c), (e)(2) and (f) amended; (j) added 58505
240.15d–14 Added 57289
240.15d–15 Added 57290
240.16a–2 Introductory text amended 43535
240.16a–3 (d) revised 43535
(f)(1)(i)(A) and (g) revised 56467
240.16a–6 (a) introductory text and (b) revised 56467
240.16a–8 (a)(1) revised 56467
240.17a–3 (f) added 58299
240.17a–4 (b)(9) revised; (k) and (l) redesignated as as (l) and (m); new (k) added; eff. in part 5–2–03 58299
240.17a–5 (l)(4) added 58300
240.17a–7 (a)(1) and (2) amended; (c) redesignated as (d); new (c) added 58300
240.17a–11 (i) added 58300
240.17a–13 (e) redesignated as (f); new (e) added 58300
240.31–1 Amended 46108
241 Interpretive releases 43246
242 Authority citation revised 53176
242.100 Undesignated center heading added 53176
242.400 Added 53176
242.401 Added 53176
242.402 Added 53176
242.403 Added 53176
242.404 Added 53176
242.405 Added 53176
242.406 Added 53176
249 Authority citation amended 57290
249.103 Amended 43535
Form 3 amended 56467
249.104 Amended 43535

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Form 4 amended 56468
249.105 Amended 43535
Form 5 amended 56468
249.220f Form 20–F amended 36706, 57293
249.240f Form 40–F amended 36707, 57294
249.250 Form F–X amended 36709
249.306 Form 6–K amended 36708
249.308a Form 10–Q amended 57290
Revised; Form 10–Q amended 58506
249.308b Form 10–QSB amended 57291
249.310 Authority citation revised 57290
Form 10–K amended 57292
Revised; Form 10–K amended 58506
249.310b Form 10–KSB amended 57293
249.330 Form N–SAR amended 57296
249.480 Form CB amended 36707
269.5 Form F–X amended 36709
270 Authority citation amended 19870, 57295
270.3a–1 Introductory text and (b) revised 43536
270.3a–2 (a) introductory text revised 43536
270.3a–3 Introductory text and (b) revised 43536
270.3a–5 (a) introductory text revised 43536
270.3a–6 (a) revised 43536
270.6c–6 (h) introductory text revised 43536
270.6e–2 (b)(15)(iv) revised 43536
270.6e–3(T) (b)(15)(iii)(B) revised 43536
270.8b–11 Authority citation removed; (b) revised 19870
270.8b–12 (b) revised 19870
270.10f–3 (b)(1) and (4) revised 31079
270.30a–2 Added 57295
270.30b1–3 Amended 57296
270.30f–1 Redesignated as 270.30h–1; revised 43537
270.30h–1 Redesignated from 270.30f–1 43537
270.17a–8 Revised 48518
274 Authority citation revised, ... 19870, 57296, 69979
Form N–1A amended 19870
274.11c Form N-4 amended 69979
274.11d Added 19870
Form N-6 amended 69981
274.12 Revised; Form N–8B–2 amended; Form N–6 added 19870
274.101 Form N-SAR amended 31079, 57296
274.202 Revised 43537
Form 3 amended 43537, 56467
274.203 Revised 43537
275.203A–2 (f) added 77625
279.1 Form ADV amended 77625

Chapter IV—Department of the Treasury (Parts 400--499)

420.2 (c) revised 77414
420.3 (c)(1), (2) and (3) revised 77415
420 Appendix B amended 77415

Proposed Rules:

1 52641
3 19358
4 ..... 65743, 68785, 69598, 77446
15 50608
37 20702
38 20702
39 20702,
40 ..... 20702, 65325
71 69598
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205 71670
210 ..... 44964, 66208, 71018, 76780
228 ... 35620, 42914, 66208, 68054, 68790, 77594
229 ..... 19896, 35620, 42914, 44964, 66208, 68054, 68790, 77594
230 ..... 19914, 36712, 50326, 79466
232 ..... 41877, 51508, 79466
239 ..... 19886, 19914, 36712, 60828, 79466
240 ..... 19896, 30628, 38588, 38610, 39642, 39647, 41877, 42914, 50326, 51508, 59748, 65325, 66208, 67496, 69430, 71909, 76780, 77594, 79466
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249 ..... 19896, 19914, 35620, 38610, 41877, 42914, 51508, 57298, 60828, 68054, 68790, 69430, 76780, 79466
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259 79466
260 79466
269 79466
270 ..... 31081, 36712, 57298, 66208, 71915
274 ..... 19886, 36712, 57298, 60828, 66208, 76780, 77594, 79466
275 ..... 19500, 48579, 60841
279 ..... 19500, 48579

420.....49630

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES

Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)

2.8 Removed.....31069
11 Appendix A revised.....70159
35 Heading revised.....31069
Order.....39272
35.1 Heading revised; (g) added.....31069
35.10a Added.....31069
35.10b Added.....31069
35.25 (c)(1)(ii)(C) removed; (c)(1)(ii)(D) redesignated as new (c)(1)(ii)(C).....36096
101 Amended.....67701
Regulation at 67 FR 67701 eff. date corrected.....70007
141.1 (b)(1)(i) and (2) revised.....36096
201 Amended.....67703
Regulation at 67 FR 67703 eff. date corrected.....70007
284 Policy statement.....37669
Order.....44529, 49564
284.12 (a) removed; (b) and (c) redesignated as new (a) and (b); new (a)(1)(i) through (v) amended.....30794
284.241—284.242 (Subpart H) Removed.....72099
352 Amended.....67706
Regulation at 67 FR 67706 eff. date corrected.....70007
375.302 (x) added; eff. 1–7–03.....52410
381.302 (a) amended.....54087
381.303 (a) amended.....54087
381.304 (a) amended.....54087
381.305 (a) amended.....54087
381.403 Amended.....54087
381.505 (a) amended.....54087
381.801 Amended.....54087
385 Authority citation revised.....52412
385.1601—385.1602 (Subpart P) Added.....52412
385.2011 (c)(3) revised.....36096
388.....64835
388.109 (a)(4)(i) revised.....21996
390 Added; eff. 1–7–03.....52410

Chapter III—Delaware River Basin Commission (Parts 400--499)

401.101—401.119 (Subpart H) Authority citation revised.....56753
401.110 (a) revised.....56753

Proposed Rules:

1—399 (Ch. I).....16071, 20922
2.....51516
4.....58739
16.....58739
35....22250, 54749, 55376, 55452, 57187, 58751, 61049, 63327, 67339, 69816, 70194, 70890, 76122, 76321, 77007
37.....35062
101.....51150, 57994, 69816, 70890
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346.....69816, 70890
352.....51150, 57994, 69816, 70890
358.....35062
375.....57994, 64835
388.....57994

TITLE 19—CUSTOMS DUTIES

Chapter I—United States Customs Service, Department of the Treasury (Parts 1—199)

4.7 Heading revised; (b) text redesignated as (b)(1); new (b)(1) amended; (b)(2), (3), (4) and (e) added.....66331
4.7a (c)(1) amended; (c)(4) and (f) added.....66332
4.8 (b) amended.....66332
4.30 (n) added.....66332
4.37 (d) through (g) redesignated as (e) through (h); (c) and new (e) amended; new (d) added.....68032
4.94 (b) amended.....52862
10 Authority citation amended.....39289
10.183 Revised.....39289
10.199 Revised.....62882
12 Technical correction.....43247, 66333
12.104g (a) amended.....38878, 47449, 59160, 61260