

AUGUST 2002

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CHANGES APRIL 1, 2002 THROUGH AUGUST 30, 2002

**TITLE 17—COMMODITY AND
SECURITIES EXCHANGES**

**Chapter I—Commodity Futures
Trading Commission (Parts
1—199)**

1 Fee schedule	44036
3.2 (c) revised.....	38874
3.10 (a)(2)(i) revised; (d) removed	38874
Corrected.....	41166
3.11 (a)(2), (3) and (b) revised; (C) and (d) removed.....	38874
3.12 (c)(1), (4), (d)(1) introductory text and (f) revised; (d)(2) and (3) redesignated as (d)(3) and (4); new (d)(2) added	38874
3.31 (a)(2) redesignated as (a)(3); new (a)(2) added; (a)(1) and new (3) revised; (d) amended	38875
3.33 (b) introductory text and (e) amended; (b)(3) and (d) re- moved; (b)(4) through (7) and (c)(2) redesignated as (b)(3) through (6) and (c).....	38876
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3.41 Removed	38876
3.42 (a)(2), (3), (4) and (6) revised	38876
3.44 (a)(4) introductory text and (5) revised	38876
3.46 (a)(6) and (8) revised.....	38876
4 Technical correction	44931
4.26 (c) removed; (b) redesignated as new (c); new (b) added	42710
11 Technical correction.....	39473
11.4 (e) and (f) added	37322
30 Order.....	30785, 45056
40 Fee schedule.....	38379
41 Authority citation revised	36761, 53171
Heading revised	53171
41.1 (j), (k) and (l) added	36761
41.3 Redesignated from 41.41	53171
41.25 (a)(2) and (d) added; (b) re- vised	36761
41.41 Redesignated as 41.3	53171
41.42—41.49 (Subpart E) Added	53171
140.100 Added	45299

**Chapter II—Securities and Ex-
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200.30-7 (a)(12) added; authority citation removed.....	30326
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230.134b Revised	19868
230.403 (c) revised; authority ci- tation removed.....	36698
230.405 Amended	19673
230.430 (b) introductory text re- vised	19868
230.430A (e) revised	19869
230.493 Revised.....	36699
230.495 (a), (c) and (d) revised.....	19869
230.496 Revised.....	19869
230.497 (c) and (e) revised.....	19869
231 Interpretive releases.....	43246
232.100 (a) and (c) revised.....	36699
232.101 (a)(1)(iii) note, (c)(16) and (17) redesignated as (a)(1)(iii) Note 1, (c)(15) and (16); (a)(1)(iii) Note 2, (a)(1)(vi), (vii), (viii) and (b)(7) through (10) added; (a)(1)(iv), (v), (c)(5), (6), (14), new (c)(15) and (16) amended; (b)(1), (6) and (c)(9) revised; (c)(15) and (d) removed.....	36699
232.303 (b) revised.....	36700
232.306 (a) revised; (b) redesi- gnated as (e); new (b), (c) and (d) added.....	36700
232.311 (f), (g) and (h) redesi- gnated as (h), (i) and (j); new (f) and (g) added.....	36700
232.601 Removed.....	36700
239 Authority citation amended	19869
239.17c Added	19870
239.31 Form F-1 amended.....	36700
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239.41 Form F-80 amended	36704
239.42 Form F-X amended.....	36709
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240.3a4-1 Undesignated center heading removed	19673
240.3a11-1 Revised	19673
240.6h-1 Added	36762
240.12b-12 (d) revised; authority citation removed.....	36704

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TITLE 17 Chapter II—Con.

240.13e-102 Amended	36705
240.14d-102 Amended	36705
240.14d-103 Amended	36706
240.16a-2 Introductory text amended	43535
240.16a-3 (d) revised	43535
240.31-1 Amended	46108
241 Interpretive releases	43246
242 Authority citation revised	53176
242.100 Undesignated center heading added	53176
242.400 Added	53176
242.401 Added	53176
242.402 Added	53176
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242.406 Added	53176
249.103 Amended	43535
249.104 Amended	43535
249.105 Amended	43535
249.220f Form 20-F amended	36706
249.306 Form 6-K amended	36708
249.240f Form 40-F amended	36707
249.250 Form F-X amended	36709
249.480 Form CB amended	36707
269.5 Form F-X amended	36709
270 Authority citation amended	19870
270.3a-1 Introductory text and (b) revised	43536
270.3a-2 (a) introductory text revised	43536
270.3a-3 Introductory text and (b) revised	43536
270.3a-5 (a) introductory text revised	43536
270.3a-6 (a) revised	43536
270.6c-6 (h) introductory text revised	43536
270.6e-2 (b)(15)(iv) revised	43536
270.6e-3(T) (b)(15)(iii)(B) revised	43536
270.8b-11 Authority citation removed; (b) revised	19870
270.8b-12 (b) revised	19870
270.10f-3 (b)(1) and (4) revised	31079
270.30f-1 Redesignated as 270.30h-1; revised	43537
270.30h-1 Redesignated from 270.30f-1	43537
270.17a-8 Revised	48518
274 Authority citation revised; Form N-1A amended	19870
274.11d Added	19870

274.12 Revised; Form N-8B-2 amended; Form N-6 added	19870
274.101 Form N-SAR amended	31079
274.202 Revised; Form 3 amended	43537
274.203 Revised	43537

Proposed Rules:

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2.8 Removed	31069
35 Heading revised	31069
Order	39272
35.1 Heading revised; (g) added	31069
35.10a Added	31069
35.10b Added	31069
35.25 (c)(1)(ii)(C) removed; (c)(1)(ii)(D) redesignated as new (c)(1)(ii)(C)	36096
141.1 (b)(1)(i) and (2) revised	36096
284 Policy statement	37669
Order	44529, 49564
284.12 (a) removed; (b) and (c) redesignated as new (a) and	