AUTHENTICATED
U.S. GOVERNMENT
INFORMATION
GPO

CHANGES APRIL 1, 2002 THROUGH MAY 31, 2002

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

11.4 (e) and (f) added 37322
30 Order 30785
41 Authority citation revised 36761
41.1 (j), (k) and (l) added 36761
41.25 (a)(2) and (d) added; (b) revised 36761

Chapter II—Securities and Exchange Commission (Parts 200—399)

200.30–7 (a)(12) added; authority citation removed 30326
230 Authority citation amended 19673
230.134b Revised 19868
230.403 (c) revised; authority citation removed 36698
230.405 Amended 19673
230.430 (b) introductory text revised 19868
230.430A (e) revised 19869
230.493 Revised 36699
230.495 (a), (c) and (d) revised 19869
230.496 Revised 19869
230.497 (c) and (e) revised 19869
232.100 (a) and (c) revised 36699
232.101 (a)(1)(iii) note, (c)(16) and (17) redesignated as (a)(1)(iii) Note 1, (c)(15) and (16); (a)(1)(iii) Note 2, (a)(1)(vi), (vii), (viii) and (b)(7) through (10) added; (a)(1)(iv), (v), (c)(5), (6), (14), new (c)(15) and (16) amended; (b)(1), (6) and (c)(9) revised; (c)(15) and (d) removed 36699
232.303 (b) revised 36700
232.306 (a) revised; (b) redesignated as (e); new (b), (c) and (d) added 36700
232.311 (f), (g) and (h) redesignated as (h), (i) and (j); new (f) and (g) added 36700
232.601 Removed 36700
239 Authority citation amended 19869
239.17c Added 19870
239.31 Form F–1 amended 36700
239.32 Form F–2 amended 36701
239.33 Form F–3 amended 36701
239.34 Form F–4 amended 36701
239.36 Form F–6 amended 36702
239.37 Form F–7 amended 36702
239.38 Form F–8 amended 36702
239.39 Form F–9 amended 36703
239.40 Form F–10 amended 36703
239.41 Form F–80 amended 36704
239.42 Form F–X amended 36709
239.800 Form CB amended 36707
240.3a4–1 Undesignated section heading removed 19673
240.3a11–1 Revised 19673
240.6h–1 Added 36762
240.12b–12 (d) revised; authority citation removed 36704
240.13e–102 Amended 36705
240.14d–102 Amended 36705
240.14d–103 Amended 36706
249.220f Form 20–F amended 36706
249.306 Form 6–K amended 36708
249.240f Form 40–F amended 36707
249.250 Form F–X amended 36709
249.480 Form CB amended 36707
269.5 Form F–X amended 36709
270 Authority citation amended 19870
270.8b–11 Authority citation removed; (b) revised 19870
270.8b–12 (b) revised 19870
270.10f–3 (b)(1) and (4) revised 31079
274 Authority citation revised; Form N–1A amended 19870
274.11d Added 19870
274.12 Revised; Form N–8B–2 amended; Form N–6 added 19870
274.101 Form N-SAR amended 31079

Proposed Rules:

3 19358
37 20702
38 20702
39 20702
40 20702
228 35620
229 .....19896, 35620
230 .....19914, 36712
239 .....19886, 19914, 36712
240 .....19896, 30628
249 .....19896, 19914, 35620
270 .....31081, 36712
274 .....19886, 36712
275 19500
279 19500