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CHANGES APRIL 2, 2001 THROUGH MARCH 29, 2002

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

1 Fee schedule **32737**
Order **34110**
Authority citation revised **42269**
1.1 Revised **42269**
1.3 (gg)(3) and (uu) added **20744**
Introductory text revised **42269**
(g) added **53516**
1.10 (a)(2) and (j)(8) revised **53516**
1.12 (b)(2) revised **20744**
1.17 (a)(1)(i)(B) revised; (e)(1)(ii), (h)(2)(vi)(C)(*2*), (vii)(A)(*2*), (B)(*2*), (viii)(A)(*2*), (3)(ii)(B) and (v)(B) amended **20744**
(a)(1)(ii) redesignated as (a)(1)(iii); new (a)(1)(ii) added **53517**
1.32 Revised **41133**
1.33 (g) added **53517**
1.37 (a) redesignated as (a)(1); (a)(2) added **20744**
(c) and (d) added **42269**
1.41 Removed **42269**
1.41b Removed **42269**
1.43 Removed **42269**
1.45 Removed **42269**
1.46 (a) introductory text and (e) revised; (d)(4) through (7) and (9) removed **53517**
1.50 Removed **42269**
1.51 Removed **42269**
1.55 (d) and (f) revised **53518**
1.68 Added **20744**
3 Technical correction **47059**
Authority citation revised **53518**
3.1 (a)(1) and (2) revised **53518**
3.10 (a)(1)(i) revised; (a)(3) added; (d) amended **43082**
(a)(2)(ii) removed; (a)(2)(i) redesignated as (a)(2) **53518**
3.21 (c) introductory text revised **53518**
3.31 (a) redesignated as (a)(1); (a)(2) added **53518**
3.32 Removed **53518**
3.34 Removed **53518**
3 Appendix A amended **53518**
Appendix B amended **53521**
4.10 (e)(1) revised **53522**
4.24 (f)(1)(v) and (h)(2) revised **53522**
4.32 Added **53522**
4.34 (f)(1)(ii) and (h) revised **53522**
5 Appendices A and E redesignated as Part 40 Appendices A and C; removed **42287**
15 Authority citation revised **42269**
Authority citation revised 11571
15.03 (b) revised 11571
Table corrected 13680
15.05 Heading revised; (e) and (h) added **42269**
30 Order **27859, 34110**
Compliance date **50102**
36 Revised **42270**
37 Added **42271**
37.2 Revised 11227
38 Added **42277**
38.2 Revised 11227
39 Added **45609**
Compliance date **50102**
40 Added **42283**
Fee schedule **42289**
Compliance date **50103**
40 Appendices A and C redesignated from Part 5 Appendices A and E; new Appendix A amended **42287**
41 Added **42287, 44511**
Authority citation added **43086**
Heading and authority citation revised **44965**
Authority citation revised 11227
41.1 (a), (b) and (f) through (i) added **44965**
41.21—41.25 (Subpart C) Added **55083**
41.27 Added 11227
41.31—41.34 (Subpart D) Added **44965**
41.34 Revised 11229
41.41 Added **43086**
140 Authority citation revised 5939
140.91 (a)(8) added **43087**
(a)(7) added **53523**
140.99 (i) amended; (i)(1) and (2) revised; (i)(3) added **44967**
140.735–1 Revised 5939
140.735–2 Revised 5939
140.735–2a Added 5940
140.735–8 (b)(3) revised 5941
155.3 (b)(2) revised **53523**
155.4 (b)(2) revised **53523**
155.5 Removed 11229
155.6 Added **53523**
160 Added **21252**
160.18 (b)(1) revised **24061**
(a) corrected **24183**
(a) corrected 6790

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CHANGES APRIL 2, 2001 THROUGH MARCH 29, 2002

TITLE 17 Chapter I—Con.

166 Authority citation revised **42287**
166.5 Added **42287**
170 Authority citation revised **42288**
Technical correction **47059**
170.8 Revised **42288**
170.15 Revised **43083**
180 Removed **42288**
190.01 (bb) revised **20745**

Chapter II—Securities and Exchange Commission (Parts 200—399)

200 Comment period extended **38370**
200.30–3 (a)(72) added; interim **27796**
(a)(73) added **31840**
(a)(74) added **35842**
(a)(73)(i) and (ii) amended; (iii) added **40886**
(a)(75) and (76) added **43721**
200.30–4 (a)(12) added **35842**
200.30–18 (h) and (i) redesignated as (i) and (j); new (h) added **35842**
200.800 (Subpart N) Revised 14634
202.3 (b)(3) added; authority citation removed **43741**
204.1—204.29 Authority citation revised **54130**
204.1 (b) revised **54130**
204.2 (a) revised **54130**
204.3 (a) removed; (b) through (f) redesignated as (a) through (e); new (b), (c) and (e) revised **54130**
204.4 (a) and (b) removed; (c) and (d) redesignated as new (a) and (b); new (a) revised **54130**
204.5 Introductory text revised **54130**
204.6 (a) and (d) revised **54131**
204.8 Amended **54131**
204.9 (c) and (d) revised **54131**
204.10 Removed **54131**
204.11 Amended **54131**
204.30—204.44 (Subpart B) Authority citation revised **54131**
204.31 (a) amended **54131**
204.32 Amended **54131**
204.33 Introductory text and (c) revised; (f) amended **54131**
204.35 (a) amended **54131**
204.36 (b) amended **54131**
204.38 (a) amended **54132**
204.40 (b) introductory text, (2) and (e) amended **54132**
204.43 (a) introductory text revised; (a)(6) amended **54132**
204.44 Amended **54132**
204.50—204.56 (Subpart C) Authority citation revised **54132**
204.50 Revised **54132**
204.51 Removed **54132**
204.52 (a) revised; (b) introductory text and (2) amended; (c) added **54132**
(c) corrected **56383**
204.53 Removed **54132**
Corrected **56383**
204.54 (a) introductory text amended; (b) removed; (c) redesignated as new (b); new (b) revised **54132**
204.55 Revised **54132**
204.56 Revised **54132**
204.60—204.65 (Subpart D) Added **54132**
204.75—204.77 (Subpart D) Redesignated as Subpart E **54132**
204.76 (a) amended **54135**
204.77 (c) removed; (a) and (b)(5) revised **54135**
210 Policy statement **38149**
210.2–02 (e) added 13533
210.3–01 Preceding Notes 1T, 2T and 3T added; eff. 3–15–02 through 12–31–02 13533
211 Staff accounting bulletin **36457**
Policy statement **38149**
Interpretive releases **48336, 49274**
228 Authority citation revised 13535
228.201 (d) added 245
228.304T Added; eff. 3–15–02 through 12–31–02 13535
228.310 Notes 1T and 2T added; eff. 3–15–02 through 12–31–02 13535
228.601 (b)(10)(ii)(B) redesignated as (b)(10)(ii)(C); new (b)(10)(ii)(B) added 246
228.601T Added; eff. 3–15–02 through 12–31–02 13536
229 Authority citation amended 246
Authority citation revised 13536
229.201 (d) added; authority citation removed 246
229.304T Added; eff. 3–15–02 through 12–31–02 13536
229.601 (b)(10)(iii)(B) redesignated as (b)(10)(iii)(C); new (b)(10)(iii)(B) added 247
229.601T Added; eff. 3–15–02 through 12–31–02 13536

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CHANGES APRIL 2, 2001 THROUGH MARCH 29, 2002

230 Authority citation amended 230, 13536
230.135b Revised 230
230.401a Added 13536
230.427T Added; eff. 3–15–02 through 12–31–02 13536
230.428 (b)(2)(iv) instruction 2T added; eff. 3–15–02 through 12–31–02 13537
230.437a Added 13537
231 Interpretive releases....**33176, 49274**
232.301 Revised....**42942, 49830**
239.32 Form F–2 amended....**32539**
239.33 Form F–3 amended....**32539**
240 Authority citation amended **21659, 43741, 55837**
Comment period extended....**38370**
Authority citation amended 247
240.3a4–2 Added; interim **27796**
240.3a4–3 Added; interim **27796**
240.3a4–4 Added; interim **27796**
240.3a4–5 Added; interim **27796**
240.3a4–6 Added; interim **27796**
240.3a5–1 Added; interim **27798**
240.3a55–1 Added **44514**
240.3a55–2 Added **44514**
240.3a55–3 Added **44514**
240.3b–17 Added; interim **27798**
240.3b–18 Added; interim **27798**
240.6a–2 (e) revised; (f) added; authority citation removed **43741**
240.6a–3 (c) added; authority citation removed....**43741**
240.6a–4 Added **43741**
240.12b–37 Added 13537
240.14a–3 (b)(9) revised; authority citation removed....247
240.14a–101 Amended 247
240.15a–7 Added; interim **27799**
240.15a–8 Added; interim **27799**
240.15a–9 Added; interim **27799**
240.15a–10 Added **45146**
240.15b2–2 (e)(2) and (3) amended; (e)(4) added **45147**
240.15b11–1 Added....**45147**
240.17a–3 (a)(6), (7), (12)(i) introductory text and (ii) revised; (a)(12)(i)(*a*) through (*h*) redesignated as (a)(12)(i)(A) through (H); (a)(17) through (22), (f) and (g) added; authority citation removed; eff. 5–2–03....**55838**
(a) introductory text, (5), (11)(ii), (12)(i)(A) through (F) and (H) amended; eff. 5–2–03 ....**55840**
240.17a–4 Authority citation removed....**55838**
(a), (b) introductory text, (1), (4), (c), (d), (e) introductory text and (j) revised; (e)(5) through (8), (k) and (l) added; eff. 5–2–03....**55840**
(b)(7), (e)(1), (f)(3)(ii) and (vii) amended; eff. 5–2–03....**55841**
240.17a–24 Added **35843**
240.17Ad–7 (f) revised; authority citation removed **21659**
240.19b–4 (a) revised **43743**
240.19b–7 Added **43743**
240.31–1 Revised....3058
(a), (d), (e) and Preliminary Note amended; (f) and (g) added 5202
241 Interpretive releases....**22921, 33176, 49274**
Interpretive releases 8
242.303 (d) amended; eff. 5–2–03 ....**55841**
248.2 Existing text designated as (a); (b) added **45147**
248.3 (m)(5) and (6) amended; (m)(7) added; (n)(2)(i) removed; (n)(2)(ii) and (iii) redesignated as new (n)(2)(i) and (ii) **45147**
249.1a Correctly removed; CFR correction **36701**
249.10 Form 1–N added **43743**
249.220f Form 20–F amended....**32539**
Form 20–F amended; eff. 3–18–02 through 12–31–02 13537
249.310 Form 10K amended 247
249.310b Form 10–KSB amended 248
249.501b Form BD–N added **45147**
249.819 Revised; Form 19b–4 amended **43743**
249.822 Form 19b–7 added....**43744**
251 Interpretive releases....**33176**
257 Authority citation added....**29474**
257.1 (e) through (h) and authority citation removed; new (e) added; (i) through (m) redesignated as (f) through (j)....**29474**
257.2 Authority citation removed **29474**
260.19a–1 Added 13538
270 Technical correction....**30311**

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CHANGES APRIL 2, 2001 THROUGH MARCH 29, 2002

TITLE 17 Chapter II—Con.

Compliance date **50102**
270.2a–7 (a)(5), (11) and (20) revised **36161**
270.5b–3 Added **36161**
270.12d3–1 (d)(8) note removed **36162**
270.31a–2 (f)(1) and (2) revised; (f)(3) redesignated as (f)(4); new (f)(3) added **29228**
271 Interpretive releases **33177**
274 Compliance date **50102**
274.101 Form N–SAR amended **36162**
275 Technical correction **30311**
275.204–2 (g)(1) and (2) revised; (g)(3) added; authority citation removed **29228**

Chapter IV—Department of the Treasury (Parts 400—499)

450 Authority citation revised **28655**
450.2 (e) revised **28655**
(e) table corrected **29888**

Proposed Rules:

1 ..... **45221, 50786, 55608**
3 ..... **27476, 33494, 45221**
4 ..... **45221**
15 ..... **64383**
35 ..... **65858**
39 ..... **24308**
41 ..... **20118, 27560, 29517, 34864, 36218, 37932, 45904, 50720, 50786, 55608, 56902, 58007**
140 ..... **20118, 29517, 45221**
155 ..... **36218, 45221**
170 ..... **27476, 33494**
190 ..... **50786, 55608**
202 ..... **26978**
230 ..... **50744, 66839**
232 ..... **50744**
239 ..... **50744**
240 ..... **26978, 27560, 34042, 34864, 38390, 45904, 49877, 50744, 50786, 55608**
242 ..... **50720, 55608, 56902, 58007**
248 ..... **34042**
249 ..... **26978, 34042, 50744**
269 ..... **50744**
270 ..... **57602, 57614, 58412**

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES

Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)

2.65 Removed 12470
11 Appendix A revised **59361**
141.61 Revised **67078**
157.208 (d) Table I revised 6168
157.215 (a) Table II revised 6168
284.12 (b) heading, (1) and (2) amended; (b)(1)(v) and (c)(1)(ii) heading revised; (c)(1)(ii) text designated as (c)(1)(ii)(A); (c)(1)(ii)(B) added 11916
381.302 (a) amended **63163**
381.303 (a) amended **63163**
381.304 (a) amended **63163**
381.305 (a) amended **63163**
381.403 Amended **63163**
381.505 (a) amended **63163**
381.801 Amended **63163**
385.2011 (a)(8) added **67078**
385.2201 (e)(1)(viii) and (g)(3) added **67482**
388 Comment period extended 11229

Chapter XIII—Tennessee Valley Authority (Parts 1300—1399)

1301.9 (a) revised 14853
1315 Authority citation revised 9925
1315.400 (a), (b) and (e) amended 9925

Proposed Rules:

1—399 (Ch. I) ..... **50591** 6665, 11954
2 ..... **40929, 67134**
35 ..... **40929, 55140, 65858, 67134** 3632
37 ..... **40929, 50919, 55900, 67134**
101 1026
161 ..... **50919, 55900**
201 1026
250 ..... **50919, 55900**
284 ..... **50919, 53134, 55900** 44
352 1026

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