

JANUARY 2002

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CHANGES APRIL 2, 2001 THROUGH JANUARY 31, 2002

**TITLE 17—COMMODITY AND
SECURITIES EXCHANGES**

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		240.14a-3 (b)(9) revised; authority citation removed.....	247
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		240.15a-7 Added; interim.....	27799
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240.15a-10 Added	45146	257.1 (e) through (h) and author- ity citation removed; new (e) added; (i) through (m) redes- ignated as (f) through (j)	29474
240.15b2-2 (e)(2) and (3) amended; (e)(4) added	45147	257.2 Authority citation removed	29474
240.15b11-1 Added	45147	270 Technical correction	30311
240.17a-3 (a)(6), (7), (12)(i) intro- ductory text and (ii) revised; (a)(12)(i)(a) through (h) re- designated as (a)(12)(i)(A) through (H); (a)(17) through (22), (f) and (g) added; au- thority citation removed; eff. 5-2-03	55838	Compliance date	50102
(a) introductory text, (5), (11)(ii), (12)(i)(A) through (F) and (H) amended; eff. 5-2-03	55840	270.2a-7 (a)(5), (11) and (20) re- vised	36161
240.17a-4 Authority citation re- moved	55838	270.5b-3 Added	36161
(a), (b) introductory text, (1), (4), (c), (d), (e) introductory text and (j) revised; (e)(5) through (8), (k) and (l) added; eff. 5-2-03	55840	270.12d3-1 (d)(8) note removed	36162
(b)(7), (e)(1), (f)(3)(ii) and (vii) amended; eff. 5-2-03	55841	270.31a-2 (f)(1) and (2) revised; (f)(3) redesignated as (f)(4); new (f)(3) added	29228
240.17a-24 Added	35843	271 Interpretive releases	33177
240.17Ad-7 (f) revised; authority citation removed	21659	274 Compliance date	50102
240.19b-4 (a) revised	43743	274.101 Form N-SAR amended	36162
240.19b-7 Added	43743	275 Technical correction	30311
240.31-1 Revised	3058	275.204-2 (g)(1) and (2) revised; (g)(3) added; authority cita- tion removed	29228
241 Interpretive releases	22921, 33176, 49274	242.303 (d) amended; eff. 5-2-03	55841
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242.303 (d) amended; eff. 5-2-03	55841	450 Authority citation revised	28655
248.2 Existing text designated as (a); (b) added	45147	450.2 (e) revised	28655
248.3 (m)(5) and (6) amended; (m)(7) added; (n)(2)(i) re- moved; (n)(2)(ii) and (iii) re- designated as new (n)(2)(i) and (ii)	45147	(e) table corrected	29888
249.1a Correctly removed; CFR correction	36701	<i>Proposed Rules:</i>	
249.10 Form 1-N added	43743	1	45221, 50786, 55608
249.220f Form 20-F amended	32539	3	27476, 33494, 45221
249.310 Form 10K amended	247	4	45221
249.310b Form 10-KSB amended	248	15	64383
249.501b Form BD-N added	45147	35	65858
249.819 Revised; Form 19b-4 amended	43743	39	24308
249.822 Form 19b-7 added	43744	41	20118, 27560, 29517, 34864, 36218, 37932, 45904, 50720, 50786, 55608, 56902, 58007
251 Interpretive releases	33176	140	20118, 29517, 45221
257 Authority citation added	29474	155	36218, 45221
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