

3. Capitalization Rules

(See also Chapter 4 “Capitalization Examples” and Chapter 9 “Abbreviations and Letter Symbols”)

- 3.1. It is impossible to give rules that will cover every conceivable problem in capitalization, but, by considering the purpose to be served and the underlying principles, it is possible to attain a considerable degree of uniformity. The list of approved forms given in chapter 4 will serve as a guide. Obviously such a list cannot be complete. The correct usage with respect to any term not included can be determined by analogy or by application of the rules.

Historic or documentary accuracy

- 3.2. Where historic, documentary, technical, or scientific accuracy is required, capitalization and other features of style of the original text should be followed.

Proper names

- 3.3. Proper names are capitalized.

Rome	John Macadam	Italy
Brussels	Macadam family	Anglo-Saxon

Derivatives of proper names

- 3.4. Derivatives of proper names used with a proper meaning are capitalized.

Roman (of Rome)	Johannean	Italian
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- 3.5. Derivatives of proper names used with acquired independent common meaning, or no longer identified with such names, are set lowercased. Since this depends upon general and long-continued usage, a more definite and all-inclusive rule cannot be formulated in advance.

roman (type)	macadam (crushed rock)	italicize
brussels sprouts	watt (electric unit)	anglicize
venetian blinds	plaster of paris	pasteurize

Common nouns and adjectives in proper names

- 3.6.** A common noun or adjective forming an essential part of a proper name is capitalized; the common noun used alone as a substitute for the name of a place or thing is not capitalized.

Massachusetts Avenue; the avenue
 Washington Monument; the monument
 Statue of Liberty; the statue
 Hoover Dam; the dam
 Boston Light; the light
 Modoc National Forest; the national forest
 Panama Canal; the canal
 Soldiers' Home in Holyoke; the soldiers' home
 Johnson House (hotel); Johnson house (residence)
 Crow Reservation; the reservation
 Cape of Good Hope; the cape
 Jersey City
 Washington City

but city of Washington; the city

Cook County; the county
 Great Lakes; the lakes
 Lake of the Woods; the lake
 North Platte River; the river
 Lower California

but lower Mississippi

Charles the First; Charles I
 Seventeenth Census; the 1960 census

- 3.7.** If a common noun or adjective forming an essential part of a name becomes separated from the rest of the name by an intervening common noun or adjective, the entire expression is no longer a proper noun and is therefore not capitalized.

Union Station: union passenger station
 Eastern States: eastern farming States
 United States popularly elected government

- 3.8.** A common noun used alone as a well-known short form of a specific proper name is capitalized.

the Capitol building in Washington, DC; *but* State capitol building
 the Channel (English Channel)
 the Chunnel (tunnel below English Channel)
 the District (District of Columbia)

- 3.9.** The plural form of a common noun capitalized as part of a proper name is also capitalized.

Seventh and I Streets
 Lakes Erie and Ontario
 Potomac and James Rivers
 State and Treasury Departments
 British, French, and United States Governments
 Presidents Washington and Adams

- 3.10.** A common noun used with a date, number, or letter, merely to denote time or sequence, or for the purpose of reference, record, or temporary convenience, does not form a proper name and is therefore not capitalized. (See also rule 3.39.)

abstract B	figure 7	room A722
amendment 5	first district (not congressional)	rule 8
apartment 2	flight 007	schedule K
appendix C	graph 8	section 3
article 1	group 7	signature 4
book II	mile 7.5	spring 1926
chapter III	page 2	station 27
chart B	paragraph 4	table 4
class I	part I	title IV
collection 6	phase 3	volume X
column 2	plate IV	ward 2
drawing 6	region 3	
exhibit D		

- 3.11.** The following terms are lowercased, even with a name or number.

aqueduct	irrigation project	shipway
breakwater	jetty	slip
buoy	levee	spillway
chute	lock	turnpike
dike	pier	watershed
dock	reclamation project	weir
drydock	ship canal	wharf

Definite article in proper place names

- 3.12.** To achieve greater distinction or to adhere to the authorized form, the word *the* (or its equivalent in a foreign language) is capitalized when used as a part of an official name or title. When such name or

title is used adjectively, *the* is not capitalized, nor is *the* supplied at any time when not in copy.

British Consul v. The Mermaid (title of legal case)

The Dalles (OR); The Weirs (NH); *but* the Dalles region; the Weirs streets

The Hague; *but* the Hague Court; the Second Hague Conference

El Salvador; Las Cruces; L'Esterel

The National Mall; The Mall (Washington, DC only)

The Gambia

but the Congo, the Sudan, the Netherlands

- 3.13.** Rule 3.12 does not apply in references to newspapers, periodicals, vessels, airships, trains, firm names, etc.

the Washington Post

the U-3

the Times

the *Los Angeles*

the Atlantic Monthly

the Hotel Roanoke

the *Mermaid*

the National Photo Co.

Particles in names of persons

- 3.14.** In foreign names such particles as *d'*, *da*, *de*, *della*, *den*, *du*, *van*, and *von* are capitalized unless preceded by a forename or title. Individual usage, if ascertainable, should be followed.

Da Ponte; Cardinal da Ponte

Den Uyl; Johannes den Uyl; Prime Minister den Uyl

Du Pont; E.I. du Pont de Nemours & Co.

Van Rensselaer; Stephen van Rensselaer

Von Braun; Dr. Wernher von Braun

but d'Orbigny; Alcide d'Orbigny; de la Madrid; Miguel de la Madrid

- 3.15.** In anglicized names such particles are usually capitalized, even if preceded by a forename or title, but individual usage, if ascertainable, should be followed.

Justice Van Devanter; Reginald De Koven

Thomas De Quincey; William De Morgan

Henry van Dyke (his usage)

Samuel F. Du Pont (his usage); Irénée du Pont

- 3.16.** If copy is not clear as to the form of such a name (for example, *La Forge* or *Laforge*), the two-word form should be used.

3.17. In names set in capitals, *de*, *von*, etc., are also capitalized.

Names of organized bodies

3.18. The full names of existing or proposed organized bodies and their shortened names are capitalized; other substitutes, which are most often regarded as common nouns, are capitalized only in certain specified instances to indicate preeminence or distinction.

National governmental units:

U.S. Congress: 114th Congress; the Congress; Congress; the Senate; the House; Committee of the Whole, the Committee; *but* committee (all other congressional committees)

Department of Agriculture: the Department; Division of Publications, the Division; similarly all major departmental units; *but* legislative, executive, and judicial departments

Bureau of the Census: the Census Bureau, the Bureau; *but* the agency

Environmental Protection Agency: the Agency

Geological Survey: the Survey

Government Publishing Office: the Publishing Office, the Office

American Embassy, British Embassy: the Embassy; *but* the consulate; the consulate general

Treasury of the United States: General Treasury; National Treasury; Public Treasury; the Treasury; Treasury notes; New York Subtreasury, the subtreasury

Department of Defense: Military Establishment; Armed Forces; All-Volunteer Forces; *but* armed services

U.S. Army: the Army; All-Volunteer Army; the Infantry; 81st Regiment; Army Establishment; the Army Band; Army officer; Regular Army officer; Reserve officer; Volunteer officer; *but* army shoe; Grant's army; Robinson's brigade; the brigade; the corps; the regiment; infantryman

U.S. Navy: the Navy; the Marine Corps; Navy (Naval) Establishment; Navy officer; *but* naval shipyard; naval officer; naval station

U.S. Air Force: the Air Force

U.S. Coast Guard: the Coast Guard

French Ministry of Foreign Affairs; the Ministry; French Army; British Navy

American Indian and Alaska Native federally recognized entities:

Shawnee Tribe, the Tribe; Cherokee Nation, the Nation; Alturas Indian Rancheria, the Rancheria; Cahuilla Band of Indians, the Band; Takotna Village, the Village; Akiak Native Community, the Community

International organizations:

United Nations: the Council; the Assembly; the Secretariat

Permanent Court of Arbitration: the Court; the Tribunal (only in the proceedings of a specific arbitration tribunal)

Hague Peace Conference of 1907: the Hague Conference; the Peace Conference; the Conference

Common-noun substitutes:

Virginia General Assembly: the assembly

California State Highway Commission: Highway Commission of California; the highway commission; the commission

Montgomery County Board of Health: the Board of Health, Montgomery County; the board of health; the board

Common Council of the City of Pittsburgh: the common council; the council

Buffalo Consumers' League: the consumers' league; the league

Republican Party: the party

Southern Railroad Co.: the Southern Railroad; Southern Co.; Southern Road; the railroad company; the company

Riggs National Bank: the Riggs Bank; the bank

Metropolitan Club: the club

Yale School of Law: Yale University School of Law; School of Law, Yale University; school of law

3.19. The names of members and adherents of organized bodies are capitalized to distinguish them from the same words used merely in a descriptive sense.

a Representative (U.S.)

a Shriner

a Boy Scout

a Republican

a Socialist

a Knight (K.C., K.P., etc.)

an Elk

an Odd Fellow

a Federalist

a Democrat

Names of countries, domains, and administrative divisions

3.20. The official designations of countries, national domains, and their principal administrative divisions are capitalized only if used as part of proper names, as proper names, or as proper adjectives. (See Chapter 17, Principal Foreign Countries table.)

United States: the Republic; the Nation; the Union; the Government; also Federal, Federal Government; *but* republic (when not referring specifically to one such entity); republican (in general sense); a nation devoted to peace

New York State: the State, a State (a definite political subdivision of first rank); State of Veracruz; Balkan States; six States of Australia; State rights; *but* state (referring to a federal government, the body politic); foreign states; church and state; statehood; state's evidence

Territory: territory of American Samoa, Guam, Virgin Islands; Yukon, Northwest Territories; the Territory(ies), Territorial
 Dominion of Canada: the Dominion; but dominion (in general sense)
 Ontario Province, Province of Ontario: the Province, Provincial; but province, provincial (in general sense)

- 3.21.** The similar designations *commonwealth*, *confederation* (*federal*), *government*, *nation* (*national*), *powers*, *republic*, etc., are capitalized only if used as part of proper names, as proper names, or as proper adjectives.

British Commonwealth, Commonwealth of Virginia: the Commonwealth; *but* a commonwealth government (general sense)
 Swiss Confederation: the Confederation; the Federal Council; the Federal Government; *but* confederation, federal (in general sense)
 French Government: the Government; French and Italian Governments: the Governments; *but* government (in general sense); the Churchill government; European governments
 Cherokee Nation: the Nation; *but* Greek nation; American nations
 National Government (of any specific nation); *but* national customs
 Allied Powers, Allies (in World Wars I and II); *but* our allies, weaker allies; Central Powers (in World War I); *but* the powers; European powers
 Republic of South Africa: the Republic; *but* republic (in general sense)

Names of regions, localities, and geographic features

- 3.22.** A descriptive term used to denote a definite region, locality, or geographic feature is a proper name and is therefore capitalized; also for temporary distinction a coined name of a region is capitalized.

the North Atlantic States	the Continental Divide
the Gulf States	Deep South
the Central States	Midsouth
the Pacific Coast States	the Far East
the Lake States	Far Eastern
East North Central States	the East
Eastern North Central States	Middle East
Far Western States	Middle Eastern
Eastern United States	Mideast
the West	Mideastern (Asia)
the Midwest	Near East (Balkans, etc.)
the Middle West	the Promised Land
the Far West	the Continent (continental Europe)
the Eastern Shore (Chesapeake Bay)	the Western Hemisphere
the Badlands (SD and NE)	the North Pole

the North and South Poles	Western Europe, Central Europe)
the Temperate Zone	(political entities)
the Torrid Zone	<i>but</i>
the East Side	lower 48 (States)
Lower East Side (sections of a city)	the Northeast corridor

3.23. A descriptive term used to denote direction or position is not a proper name and is therefore not capitalized.

north; south; east; west
 northerly; northern; northward
 eastern; oriental; occidental
 east Pennsylvania
 southern California
 northern Virginia; *but* Northern Virginia (D.C. suburbs)
 west Florida; *but* West Florida (1763–1819)
 eastern region; western region
 north-central region
 east coast; eastern seaboard
 northern Italy
 southern France
but East Germany; West Germany (former political entities)

Names of calendar divisions

3.24. The names of calendar divisions are capitalized.

January; February; March; etc.
 Monday; Tuesday; Wednesday; etc.
but spring; summer; autumn (fall); winter

Names of holidays, etc.

3.25. The names of holidays and ecclesiastic feast and fast days are capitalized.

April Fools' Day	Fourth of July; the Fourth
Arbor Day	Halloween
Armed Forces Day	Hanukkah
Birthday of Martin Luther King, Jr.	Inauguration Day (Federal)
Christmas Day, Eve	Independence Day
Columbus Day	Labor Day
Father's Day	Lincoln's Birthday
Feast of the Passover; the Passover	Memorial Day (also
Flag Day	Decoration Day)

Mother's Day	St. Valentine's Day
New Year's Day, Eve	Thanksgiving Day
Patriot Day	Veterans Day
Presidents Day	Washington's Birthday
Ramadan	Yom Kippur
Rosh Hashanah	<i>but</i> election day, primary day

Trade names and trademarks

- 3.26.** Trade names, variety names, and names of market grades and brands are capitalized. Some trade names have come into usage as generic terms (e.g., cellophane, thermos, and aspirin); when reference is being made to the formal company or specific product name, capitalization should be used. (See Chapter 4 "Capitalization Examples" trade names and trademarks.)

Choice lamb (market grade)	Xerox (the company)
Red Radiance rose (variety)	<i>but</i> photocopy (the process)

Scientific names

- 3.27.** The name of a phylum, class, order, family, or genus is capitalized. The name of a species is not capitalized, even if derived from a proper name. (See rule 11.9.)

Arthropoda (phylum), Crustacea (class), Hypocharia (order), Agnostidae (family), *Agnostus* (genus)
Agnostus canadensis; *Aconitum wilsonii*; *Epigaea repens* (genus and species)

- 3.28.** In scientific descriptions coined terms derived from proper names are not capitalized.

aviculoid menodontine

- 3.29.** Any plural formed by adding *s* to a Latin generic name is capitalized.

Rhynchonellas Spirifers

- 3.30.** In soil science the 12 soil orders are capitalized.

Alfisols	Gelisols	Oxisols
Andisols	Histosols	Spodosols
Aridisols	Inceptisols	Ultisols
Entisols	Mollisols	Vertisols

3.31. Capitalize the names of the celestial bodies as well as the planets.

Sun	Mars	Alpha Centauri
Moon	the Big Dipper	Orion
Saturn	Ceres	the Milky Way
Earth	Kepler-1647b	<i>but</i> the moons of Jupiter

3.32. In general, names of diseases, viruses, and syndromes are not capitalized. An exception is when the disease is named for the person who discovered it or the geographic location where the disease occurred.

Alzheimer(s) disease	Hodgkin lymphoma
cancer	Lyme disease
diabetes	measles
Down syndrome	Parkinson(s) disease
Ebola virus	West Nile virus
group A strep infection;	Zika virus
hepatitis C; herpes B virus	

Historical or political events**3.33.** Names of historical or political events used as a proper name are capitalized.

Battle of Bunker Hill	Holocaust, the	Renaissance
Christian Era	Middle Ages	the American
Cold War	New Deal	Revolution; the
D-Day	New Federalism	Revolution
Dust Bowl	New Frontier	V-E Day
Fall of Rome	Prohibition	War of 1812
Great Depression	Restoration	War on Poverty
Great Society	Reformation	

but Korean war; Vietnam war; Gulf war

Personification**3.34.** A vivid personification is capitalized.

The Chair recognizes the gentlewoman from New York;
but I spoke with the chair yesterday.
 For Nature wields her scepter mercilessly.
 All of a sudden,
 Time stood still.

Religious terms

- 3.35.** Words denoting the Deity except *who*, *whose*, and *whom*; names for the Bible and other sacred writings and their parts; names of confessions of faith and of religious bodies and their adherents; and words specifically denoting Satan are all capitalized.

Heavenly Father; the Almighty; Lord; Thee; Thou; He; Him; *but* himself; You, Your; Thy; Thine; [God's] fatherhood

Mass; Communion

Divine Father; *but* divine providence; divine guidance; divine service

Son of Man; Jesus' sonship; the Messiah; *but* a messiah; messiahship; messianic; messianize; christology; christological

Bible, Holy Scriptures, Scriptures, Word; Koran; Talmud; *also* Biblical; Scriptural; Koranic; Talmudic

New Testament; Ten Commandments

Gospel (memoir of Christ); *but* gospel music

Apostles' Creed

Episcopal Church; an Episcopalian; Catholicism; a Protestant

Christian; *also* Christendom; Christianity; Christianize

Black Friars; Brother(s); King's Daughters; Daughter(s); Ursuline Sisters; Sister(s)

Satan; the Devil; *but* a devil; the devils; devil's advocate

Titles of persons

- 3.36.** Civil, religious, military, and professional titles, as well as those of nobility, immediately preceding a name are capitalized.

President Obama

Dr. Bellinger

Queen Elizabeth II

Nurse Joyce Norton

Ambassador Acton

Professor Leverett

Lieutenant Fowler

Examiner Jones (law)

Chairman Williams

Vice-Presidential candidate Smith

but baseball player Harper; maintenance person Flow; foreperson Taylor

- 3.37.** To indicate preeminence or distinction in certain specified instances, a common-noun title immediately following the name of a person or used alone as a substitute for it is capitalized.

Title of a head or assistant head of state:

Barack Obama, President of the United States: the President; the President-elect; the Executive; the Chief Magistrate; the Commander in Chief; ex-President Bush; former President Reagan; *similarly* the Vice President; the Vice-President-elect; ex-Vice-President Cheney

Terry McAuliffe, Governor of Virginia: the Governor of Virginia; the Governor; *similarly* the Lieutenant Governor; *but* secretary of state of Idaho; attorney general of Maine

Title of a head or assistant head of an existing or a proposed National governmental unit:

John Kerry, Secretary of State: the Secretary; *similarly* the Acting Secretary; the Under Secretary; the Assistant Secretary; the Director; the Chief or Assistant Chief; the Chief Clerk; *but* Secretaries of the military departments; secretaryship

Titles of the military:

General of the Army(ies): United States only; Supreme Allied Commander; General Joseph F. Dunford, Jr., Chairman, Joint Chiefs of Staff; Joint Chiefs of Staff; Chief of Staff, U.S. Air Force; the Chief of Staff; *but* the commanding general; general (military title standing alone not capitalized)

Titles of members of diplomatic corps:

Walter S. Gifford, Ambassador Extraordinary and Plenipotentiary: the American Ambassador; the British Ambassador; the Ambassador; the Senior Ambassador; Her Excellency; *similarly* the Envoy Extraordinary and Minister Plenipotentiary; the Envoy; the Minister; the Chargé d'Affaires; the Chargé; Ambassador at Large; Minister Without Portfolio; *but* the consul general; the consul; the attaché

Title of a ruler or prince:

Elizabeth II, Queen of the United Kingdom of Great Britain and Northern Ireland: the Queen; the Crown; Her Most Gracious Majesty; Her Majesty; *similarly* the Emperor; the Sultan

Charles, Prince of Wales: the Prince; His Royal Highness

Titles not capitalized:

Charles F. Hughes, rear admiral, U.S. Navy: the rear admiral

Steven Knapp, president of The George Washington University: the president

C.H. Eckles, professor of dairy husbandry: the professor

Barbara Prophet, chairwoman of the committee; the chairman; the chairperson; the chair

3.38. In formal lists of delegates and representatives of governments, all titles and descriptive designations immediately following the names should be capitalized if any one is capitalized.

3.39. A title in the second person is capitalized.

Your Excellency	Mr. Chairman	<i>but</i> not conversational salutations
Your Highness	Madam Chairman	my dear General
Your Honor	Mr. Secretary	my dear sir

Titles of publications, papers, documents, acts, laws, etc.

- 3.40.** In the full or short English titles of periodicals, series of publications, annual reports, historic documents, and works of art, the first word and all important words are capitalized.

Statutes at Large; Revised Statutes; District Code; Bancroft's History; Journal (House or Senate) (short titles); *but* the code; the statutes
 Atlantic Charter; Balfour Declaration; *but* British white paper
 Chicago's American; *but* Chicago American Publishing Co.
 Reader's Digest; *but* New York Times Magazine; Newsweek magazine
 Monograph 55; Research Paper 123; Bulletin 420; Circular A; Article 15:
 Uniform Code of Military Justice; Senate Document 70; House Resolution
 45; Presidential Proclamation No. 24; Executive Order No. 24; Royal
 Decree No. 24; Public Law 89-1; Private and Union Calendars; Calendar
 No. 80; Calendar Wednesday; Committee Print No. 32, committee print;
but Senate bill 416; House bill 61; Congressional Record
 Annual Report of the Government Publishing Office, 2015; *but* seventh annual
 report, 19th annual report
 Declaration of Independence; the Declaration
 Constitution (United States or with name of country); constitutional; *but* New
 York State constitution: first amendment, 12th amendment
 Kellogg-Briand Pact; North Atlantic Pact; Atlantic Pact; Treaty of Versailles;
 Jay Treaty; *but* treaty of peace, the treaty (descriptive designations); treaty
 of 1919
United States v. Four Hundred Twenty-two Casks of Wine (law)
 American Gothic, Nighthawks (paintings)

- 3.41.** All principal words are capitalized in titles of addresses, albums, articles, books, captions, chapter and part headings, editorials, essays, headings, headlines, motion pictures and plays (including television and radio programs), papers, short poems, reports, songs, subheadings, subjects, and themes. The foregoing are also quoted.

- 3.42.** In the short or popular titles of acts (Federal, State, or foreign) the first word and all important words are capitalized.

Revenue Act; Walsh-Healey Act; Freedom of Information Act; Classification Act; *but* the act; Harrison narcotic law; Harrison narcotic bill; interstate commerce law; sunset law

- 3.43.** The capitalization of the titles of books, etc., written in a foreign language is to conform to the national practice in that language.

First words

- 3.44.** The first word following a comma or a colon that introduces a complete sentence or a direct quotation is capitalized. (See also rule 3.45)

The question is, Shall the bill pass?

He asked, “And where are you going?”

The following question came up for discussion: What policy should be adopted?

His only rule was this: Chickens are not allowed past the front parlor.

- 3.45.** The first word following a colon, an exclamation point, or a question mark is not capitalized if the matter following is merely a supplementary remark making the meaning clearer.

Revolutions are not made: they come.

Intelligence is not replaced by mechanism: even the televox must be guided by its master’s voice.

But two months dead! nay, not so much; not two.

What is this? Your knees to me? to your corrected son?

- 3.46.** The first word of a fragmentary quotation is not capitalized.

She objected “to the phraseology, not to the ideas.”

“The President,” he said, “will veto the bill.”

- 3.47.** The first word of a line of poetry is capitalized.

Lives of great men all remind us

We can make our lives sublime.

- 3.48.** The first word of a run-in list following a colon is not capitalized. (For lists that are not run in, see rule 8.28.)

There are three primary pigment colors: magenta, yellow, and cyan.

The vote was as follows: in the affirmative, 23; in the negative, 11; not voting, 3.

His goals were these: (1) learn Spanish, (2) see the Grand Canyon, and (3) climb Mt. Everest.

- 3.49.** The first word following *Whereas* in resolutions, contracts, etc., is not capitalized; the first word following an enacting or resolving clause is capitalized.

Whereas the Constitution provides . . . ; and

Whereas, moreover, . . . : Therefore be it

Whereas the Senate provided for the . . . : Now, therefore, be it

Resolved, That . . . ; and be it further

Resolved (jointly), That . . .

- Resolved by the House of Representatives (the Senate concurring), That*
 (Concurrent resolution, Federal Government.)
- Resolved by the Senate of Oklahoma (the House of Representatives concurring therein), That* (Concurrent resolution, using name of State.)
- Resolved by the senate (the house of representatives concurring therein), That*
 (Concurrent resolution, not using name of State.)
- Resolved by the Assembly and Senate of the State of California (jointly), That*
 (Joint resolution, using name of State.)
- Resolved by the Washington Board of Trade, That*
- Provided, That*
- Provided further, That*
- Provided, however, That*
- And provided further, That*
- Ordered, That*
- Be it enacted, That*

Centerheads and sideheads

- 3.50.** Unless otherwise marked, centerheads are set in capitals, and sideheads are set in sentence case. In centerheads making two lines, wordbreaks should be avoided. The first line should be centered and set as full as possible.
- 3.51.** In heads set in caps, a small-cap *c* or *ac*, if available, is used in such names as *McLean* or *MacLeod*; otherwise a lowercase *c* or *ac* is used. In heads set in small caps, a thin space is used after the *c* or the *ac*.
- 3.52.** In such names as *LeRoy*, *DeHostis*, *LaFollette*, etc. (one-word forms only), set in caps, the second letter of the particle is made a small cap, if available; otherwise lowercase is used. In heads set in small caps, a thin space is used.
- 3.53.** In matter set in caps and small caps or caps and lowercase, capitalize all principal words, including parts of compounds which would be capitalized standing alone. The articles *a*, *an*, and *the*; the prepositions *at*, *by*, *for*, *in*, *of*, *on*, *per*, *to*, and *up*; the conjunctions *and*, *as*, *but*, *if*, *or*, and *nor*; and the second element of a compound numeral are not capitalized. (See also rule 8.133.)

World en Route to All-Out War

Curfew To Be Set for 10 o'Clock (To capitalized in an infinitive verb)

Man Hit With 2-Inch Pipe

No-Par-Value Stock for Sale

Yankees May Be Winners in Zig-Zag Race
 Ex-Senator Is To Be Admitted
 Notice of Filing and Order on Exemption From Requirements
but Building on Twenty-first Street (if spelled)
 One Hundred Twenty-three Years (if spelled)
 Only One-tenth of Shipping Was Idle
 Many 35-Millimeter Films in Production
 Built-Up Stockpiles Are Necessary (*Up* is an adverb here)
 The Per Diem Was Increased (*Per Diem* is used as a noun here); Lower Taxes
 per Person (*per* is a preposition here)

- 3.54.** If a normally lowercased short word is used in juxtaposition with a capitalized word of like significance, it should also be capitalized.

Buildings On and Near the National Mall

- 3.55.** In a heading set in caps and lowercase or in caps and small caps, a normally lowercased last word, if it is the only lowercased word in the heading, should also be capitalized.

All Returns Are In

- 3.56.** Verbs and the first element of an infinitive are capitalized.

Controls To Be Applied

but Aid Sent to Disaster Area (*to* is a preposition here)

- 3.57.** In matter set in caps and small caps, such abbreviations as *etc.*, *et al.*, and *p.m.* are set in small caps; in matter set in caps and lowercase, these abbreviations are set in lowercase.

PLANES, GUNS, SHIPS, ETC.

IN RE THE 8 P.M. MEETING

Planes, Guns, Ships, etc.

In re the 8 p.m. Meeting

JAMES BROS. ET AL.

James, Nelson, et al.

- 3.58.** Paragraph series letters in parentheses appearing in heads set in caps, caps and small caps, small caps, or in caps and lowercase are to be set as in copy.

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Addresses, salutations, and signatures

- 3.59.** The first word and all principal words in addresses, salutations, and signatures are capitalized. See Chapter 16 “Datelines, Addresses, and Signatures.”

Interjections

3.60. The interjection “O” is always capitalized. Other interjections within a sentence are not capitalized.

Sail on, O Ship of State!

For lo! the days are hastening on.

But, oh, how fortunate!