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4	SELECT COMMITTEE TO INVESTIGATE THE
5	JANUARY 6TH ATTACK ON THE U.S. CAPITOL,
6	U.S. HOUSE OF REPRESENTATIVES,
7	WASHINGTON, D.C.
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11	INTERVIEW OF: CHRISTOPHER J. TOMNEY
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15	Thursday, April 14, 2022
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17	Washington, D.C.
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20	The interview in the above matter was held via Webex, commencing at 9:00 a.m.

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2	Appearances:
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5	For the SELECT COMMITTEE TO INVESTIGATE
6	THE JANUARY 6TH ATTACK ON THE U.S. CAPITOL:
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- 17 For the DEPARTMENT OF HOMELAND SECURITY:
- 18 VICTORIA RAPOPORT, ESQ.
- 19 HILARY LEDWELL, ESQ.

1 2 Mr. This is a transcribed interview of Director Chris Tomney conducted by the House Select Committee to investigate the January 6th attack on the United States 3 Capitol pursuant to House Resolution 503. 4 Director Tomney, could you please state your full name and spell your last name 5 for the record. 6 7 Mr. Tomney. Sure. My full name is Christopher James Tomney. Last name, it's T-o-m as in Michael, N as in Nancy, e-y. Tomney. 8 9 Mr. Thank you, sir. In the room here today for the committee, both 10 physically and virtually, are myself, **sector**, our researcher, all of whom are members of the Select Committee's 11 12 investigative team and serve as investigative counsel. 13 I note on your end you have Hilary Ledwell and Victoria Rapoport. Anyone else on your end of the table, sir, so to speak. 14 Mr. Tomney. Not that I'm aware of, but let me ask Hilary to verify. 15 Ms. Ledwell. Hi, this is Hilary. No one else that I'm aware of from our side. 16 Thanks. 17 Thank you so much. This will be a staff led interview and 18 Mr. 19 members of the committee may of course choose to ask questions. 20 Again, my name is and I'm investigative counsel with the Select 21 Committee. Before we begin, I'd like to describe a few ground rules. While I will lead the questioning for today's interview, there may be additional questions from my other 22 23 colleagues or from members who may choose to join us today. And if members do join us during the interview, I'll pause, acknowledge them, so everyone knows and is aware 24 25 that they are in the room.

- Mr. Tomney, you are permitted to have counsel present, as you do. And counsel
 has already identified themselves for the record.
- There's an official court reporter transcribing the record of this deposition. Please wait until each question is completed before you begin your response, and we will try to wait until your response is complete before we ask the next question. The stenographer cannot record nonverbal responses such as shaking of heads, so it's important that you answer each question with an audible verbal response.
- 8 We ask that you provide complete answers based on your best recollection. If 9 the question is not clear, of course, please ask me for clarification. And if you don't 10 know or if you don't remember, just please simply say so. I don't want you to speculate 11 or guess if you don't know.
- 12 I would also like to remind you that it's unlawful to deliberately provide false
 13 information to Congress. And you and your attorney will have the opportunity to review
 14 the transcript of this interview.

So I am done with my end. Victoria, I'll turn it over to you for your part before
we get started in earnest.

Ms. <u>Rapoport.</u> Perfect. Good morning, everyone. My name is Victoria Rapoport and I just wanted to make a quick statement for the record. The department has made available to the committee, consistent with requests from the chairman, information and records that the department would not publicly release. This includes information and records covered under the Privacy Act, personnel and other privacy information, for official use only, intelligence and law enforcement sensitive records, and raw intelligence information.

24 While the department has made this information and records available to the 25 committee, the department continues to assert that such information and records

1	provided to the committee and any discussion of such information or records during
2	the course of the transcribed interview is not intended for public disclosure.
3	DHS is not waiving any protections and, for the purposes of administrative
4	efficiency and to promote constructive dialogue during the transcribed interview, is
5	making this assertion at the outset of the transcribed interview to preserve all assertions
6	of protection from public release or disclosure over information or records used or
7	discussed during the transcribed interview. The transcript and any attachments are
8	protected from further dissemination to the same extent as the documents and
9	information they are based on.
10	Please consult with the department prior to any public release or disclosure.
11	Thank you. I'll turn it over to Mr. Tomney.
12	Mr. Thank you, Victoria. I appreciate it so much. Thank you so
13	much.
14	EXAMINATION
14 15	EXAMINATION BY MR.
15	BY MR.
15 16	BY MR. BY MR. Q And again, welcome, Director Tomney. I appreciate your time this
15 16 17	BY MR. BY MR. Q And again, welcome, Director Tomney. I appreciate your time this morning.
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15 16 17 18 19 20	BY MR. Q And again, welcome, Director Tomney. I appreciate your time this morning. A Thank you. Q So let me first just give you a roadmap of kind of where we're going to go with the questioning.
15 16 17 18 19 20 21	BY MR. Q And again, welcome, Director Tomney. Lappreciate your time this morning. A Thank you. Q So let me first just give you a roadmap of kind of where we're going to go with the ustioning. the spoke information of the state of
15 16 17 18 19 20 21 22	BY MR. Q And again, welcome, Director Tomney. Lappreciate your time this morning. A Thank you. Q So let me first just give you a roadmap of kind of where we're going to go with the uestioning. It's going to be very similar to what we did the last time you and l spoke informally, so there shouldn't be anything new in terms of what we're going to cover, but we'll start with your background.

We'll then go backwards in time and talk about the summer of 2020 and sort of
 what your role was during that time period. And we may juxtapose sort of what you did
 during the summer of 2020 with what happened on January 6th.

- And then finally, and with just some recommendations from you, sir, if any,
 regarding what we, the collective federal government we, along with state and local
 partners, can do to prevent something like this from happening again in the future. So
 that's sort of the roadmap.
- 8 Any questions about that before we get started?
- 9 A No questions.

10 Q All right, sir. So let's start with your professional -- personal and 11 professional background, sir. Tell us about your professional background and sort of 12 how you got to the position that you're in now.

A Certainly. So I'm a retired Coast Guard rear admiral. I retired in the summer of 2018. Shortly after I retired, that summer, about two months into retirement, I got a call out of the blue from the acting deputy secretary at the time, Ms. Claire Grady. Claire and I had served together in the Coast Guard when she was a senior executive within the acquisition directorate when I became a new flag officer in 2009.

Why she had called me was my last assignment, I was the director of the Joint
 Interagency Task Force South under U.S. Southern Command down in Key West, Florida.

21 It's a task force that's made up of all five branches of the U.S. military with

representatives from U.S. law enforcement, the U.S. intelligence community, the U.S.

23 R&D community as well as working with 42 different international countries and partners.

But -- and the role of that task force was to coordinate the detection and monitoring of

25 illicit activity by narco-traffickers in the western hemisphere.

Given that I was leading this joint interagency task force, I got to meet then
Secretary Christine Nielson on several visits down to Key West. And after I retired, I was
asked if I would be willing to join the department in a civilian capacity as a senior advisor
to look and make recommendations to the secretary and deputy secretary on how DHS
could work better in a joint collaborative fashion such as what I was doing down in
Florida.

I joined the department and came on board starting in October of 2018 as a senior
advisor. The position I currently hold right now, which is the director for the office of
operations coordination, that billet was vacant and before the department hired a new
director for ops coordination, they asked for my insights and recommendations, which is
what I did.

12 The position was then advertised early in 2019. I want to say it was January or 13 early February. I applied for the position and then ultimately was selected and was 14 offered and assumed the role that I currently perform right now on August 4th of 2019. 15 So it was just changing positions from a senior counselor to now the director for ops 16 coordination. And that's been since August of 2019 to this day.

17 Q Appreciate that, sir. Tell us a little bit about what your responsibilities are 18 as the director of ops coordination.

A Sure. So my office consists of four primary directorates. One directorate is just mission support, so I won't really go into much detail. That just provides all the support services to my directorate, HR, information technology, budget, that sort of functions.

The three primary directorates, though, that do operational work, the first is called our Current Operations Division, COD. And within the current operations divisions, there's three primary sections. The first is the National Operations Center, 1 which is by far the largest. It also goes by the acronym NOC or N-O-C.

2 And what the National Operations does, it acts as the principal operations center 3 not only for DHS, but for the entire Homeland Security enterprise. And the statutory language for the NOC is found in Title 6 U.S.C. 321d, which describes the NOC as that 4 5 principal federal operations center that provides situational awareness and a common 6 operational picture and provides information, disseminates information not only on 7 terrorism-related information, but information related to manmade and other natural disasters in order for decision-makers within that Homeland Security enterprise to make 8 9 informed decisions. So it's really a situational awareness center.

10 The second section within the Current Operations Division on top of the NOC 11 includes our Special Events Program. Special Events Program also goes by the acronym SEP or S-E-P. And they work with state and local partners to help coordinate and 12 facilitate the assignment of federal resources, capabilities, personnel and assets in order 13 to safely perform a multitude of state and local activities and events around the country. 14 15 This past year, there was over 40,000 different events that were submitted to the 16 department to get what is known as a SEAR rating or a special event assessment rating known as S-E-A-R, SEAR rating. 17

18 And then the third primary section within the Current Operations Division is the 19 secretary's briefing staff. And, as the name entails, it's made up of personnel not only 20 from my staff, but detailees from other DHS components and they compile information 21 and provide a daily product to the secretary and the deputy secretary on what's going on 22 around the department, around the federal government, et cetera, what they need to 23 know for the day. They also respond to requests for information that may come up 24 throughout the day in order to meet the needs of the secretary and the deputy secretary. So COD is the second division. The third division is our continuity division. One 25

1 of my primary collateral duties for the department is I act as the continuity coordinator 2 for DHS. Within the continuity divisions, we maintain the continuity program for the 3 department. We work on continuity plans. We work with the front office on the secretarial successor program, and we make sure we have the various alternate facilities, 4 5 capabilities, equipment in order for us as a department to continue our primary mission 6 essential functions, regardless of what's going on within the department, across the 7 nation, across the globe, that we're able to maintain our vital services as a department on behalf of the federal government. So that's the continuity division. 8

9 The last division within my office is known as the JIAG. And that stands for the 10 Joint Incident Advisory Group, J-I-A-G. Basically the way I describe the JIAG for others, 11 it's our initial attempt as a department to create a DHS version of the joint staff which is 12 found within DoD. It's basically made up of detailees from the other components and 13 offices within DHS.

They sit together as an integrated team to then work on joint projects/products to provide the secretary, the deputy secretary and other DHS leaders with a joint integrated look and perspective on whatever issues we may be working on in that given time.

17 So whereas the secretary and the deputy secretary get great advice and counsel 18 from senior leaders of the various offices, that advice and counsel is very much stove 19 piped from one agency's point of view and we try to provide that joint perspective on 20 issues to the front office. Over.

Q Appreciate that, sir. Clearly you have a very wide and very deep portfolio. So as we move forward and we're going to talk, I want you to do a deeper dive into sort of the things that your department does, but focus on those that are related or had the most relevance, I guess, for January 6th. But I do want to just tease out a couple of others before we do that. So you mentioned in the beginning something called mission support. Can you
 just give me sort of a thumbnail sketch of what that organization does.

A Yeah. So the mission support division is really the administrative division that provides the services, the support services to all the other members within the office for them to do their jobs. So they're not doing operational stuff. They're maintaining our budget; they're maintaining our IT equipment; they're making sure people are getting paid; they are maintaining liaison with the Office of Legislative Affairs.

So again, it's those support services, which basically any organization needs
those services. You need ITs, you need to get paid, you need a budget. So that's all
the supports -- mission support type stuff which is being done within that division.

11 Q Copy that, sir. And moving over to the continuity coordinator function, is 12 another way of sort of summing up what that does, the continuity of government, making 13 sure government can continue to function if there's a disaster, if there's a terrorist attack 14 or something like that? Is that what you're talking about when you talk about 15 continuity?

A Yeah. So continuity really has -- there's two real primary types of continuity. There's continuity of operations known as COOP and then as you just alluded to, there's the continuity of government or COG. So, yes, the continuity division performs both aspects, both COOP as well as COG.

Again, COOP is, again, making sure that we're able to continue to perform all the multitude of operations that our department does, from law enforcement operations to maritime operations to protective service operations. It's ensuring that operations are able to continue regardless of the environment that we find ourselves operating in.

24 Whereas continuity of government is to ensure the continued constitutional 25 framework that our nation has been founded on, making sure that that continuity of government, the three branches of government, et cetera, that that government is able
 to continue regardless of whatever internal/external threats our nation may be facing.

They sound very similar, and they are. But one is actual operations and one is
the larger framework of maintaining our constitutional framework for the nation.

5 Q Copy that, sir. And staying with continuity for a second, with specific 6 reference to January 6th, were any of the functions or responsibilities of that portion of 7 your portfolio implicated at all in either the lead-up or on January 6th itself? I know we 8 didn't talk about this last time, but I'm just curious to know.

9 A So one of the things we do as a department, so this department has an 10 alternate operating facility. There's actually many different facilities. Our primary one 11 that we use is out in Mount Weather. And my office maintains basically a warm cell.

We keep a warm status out there where we have personnel that that's their assigned duty station is out at Mount Weather, to make sure that if we had to, for whatever reason, you know, go out there, that the equipment is working, all the terminals are up and running, we have voice, we have video, you know, that we're able to continue operating from our alternate facility with no loss of mission at all.

So again, regarding January 6th, we would have had that same team out there as well. So again, whether there was anything nefarious or even if we just had like a power outage or we had equipment failure for whatever reason, we could shift -- and I'm talking now primarily the watch, which provides that situational awareness -- we could shift the watch seamlessly out there to Mount Weather to continue providing that situational awareness of what was going on on the given day.

Q Copy that, sir. Appreciate that.

23

24 So now let's focus on sort of the middle -- what I'll call the middle of your 25 portfolio. So to bookend by mission support on one end, continuity on the other, 1 sort of that spot in the middle that sort of had the three different components to it,

2 and I want to just sort of drill down on that a little bit more.

3 A Sure.

Q So with respect to that section of your portfolio, and I know you sort of went through it individually, but generally speaking, what would you say are the primary functions and responsibilities of that portion of your organization?

A Yeah. So again, that division is known as the Current Operations Division or COD. And again, that's where I would say the bulk of the activity within my staff is occurring on any given day. And certainly on January 6th and the lead-up of January 6th, that's where the majority of the actual, you know, moving parts are occurring at that point. And again, with the centerpiece being that National Operations Center or the NOC.

So again, within the NOC, in the days leading up to January 6th, this is where
we are engaging with our interagency partners to make sure that we have good
two-way communications and flows. We are coordinating the assignment of liaison
officers. We don't have any permanent liaison officers either within the NOC or if the
NOC has their own liaisons to other external agencies.

18 The other thing -- and you may ask later on -- is we were setting up a virtual 19 situation room that we used very effectively on January 6th. And it was basically a tool 20 that we had been using all the way through that summer of 2020, so in the months 21 leading up to January 6, as a way to get not only DHS components, but our interagency 22 components up on a single -- again, we call it a virtual sit room. It's like a virtual chat 23 room where everyone could log in, it's secured, you needed a password, you -- you know, 24 just the general public could not access it. But for the sharing and passing and in real time of law enforcement-related information where folks could ask questions and, as 25

1 events were unfolding, it could be disseminated broadly, simultaneously to all the

components and agencies that were up in that virtual sit room. And we can talk more
about that based upon any detailed questions you may have.

But I'll stop there, Bryan, see what other questions, where you want to drill down
on.

Q No, I appreciate that. I definitely want to talk about the virtual situation
room when we sort of get to that part of the story. But I want to sort of tease apart the
COD and the NOC for a second.

So from the perspective of explaining to someone who is not familiar with what
operations centers are and what they do and how they're staffed and, you know, what
liaison officers are, can you kind of give us sort of a NOC 101 about those things. Sort
of what's its primary function? How does it work? How is it staffed? You know,
who populates it? Who are partners and liaisons, that sort of thing?

A Sure. Yeah, no. Great question. Happy to do that.

14

15 I think one key point I would lead off with is our National Operations Center
16 within the department and my role as the director for ops coordination is I have -- and
17 on the date in question, on January 6th, I had no direct operational tasking authority.

So we are in the business of gathering, acquiring and disseminating information for situational awareness purposes, but we are not a center where I give tasking direction and have the authority to direct. I'm not like a military unit where I can direct a DHS component or an interagency partner to do anything. So, I mean, that's something key to get out there.

The way we are structured, we are made up of a combination of DHS employees who are on my staff as FTE employees of the department who work for -- directly for me. And we're also staffed up by a number of interagency liaisons who are representatives from other not only federal departments, but we have a number of state and local law
 enforcement as well who sit and reside actually on the NOC watch floor as direct
 representatives of their components.

So they perform two primary functions. One is to disseminate information
that they acquire by sitting on the NOC floor back to their home agency, but at the
same token act as a provider of information, what their home agency may have,
provide that information to us within the NOC.

As I mentioned, the statutory language of the NOC in 6 U.S.C. 321 delta, we disseminated for the entire Homeland Security enterprise. So we're not just a center solely for passing information to the DHS secretary and component leaderships. We disseminate and share information with every federal, state, local, territorial, tribal, private sector, international partner that has a role to play in protecting/preserving Homeland Security going forward.

Our physical layout within the National Operations Center, we have what we term a high side and a low side. The high side is our top secret SCI SCIF. Our low side is still a classified space, but it's only classified up to the secret level. On the high side, our senior most watch stander is what we term the NOC duty director, also goes by the acronym NDD. The NOC duty director is a GS-15. Senior most watch stander sits on the high side.

The NOC duty director is supported by a senior watch officer, who is also a GS-15, and that senior watch officer sits on the low side. So we have two GS-15s, NDD on the high side, and the senior watch officer, also known as the SWO, S-W-O, on the low side. The way I describe their division of labor, seen as on paper they're the same pay grade, the SWO manages the actual watch itself, so the people who are in the center, making sure they are doing their individual jobs, making sure that all the processes and 1 procedures are flowing within the center itself.

2	The NOC duty director is the direct representative of the DHS secretary. And
3	the NOC duty director's primary responsibility is to ensure the dissemination of
4	information and act as that direct communicator to the secretary, deputy secretary,
5	chief of staff, White House sit room, any interagency partner. While the SWO can do
6	that as well, the NOC duty director's kind of that looking upwards and out of the
7	center while the SWO is looking down and in on the way that people are doing their
8	jobs.
9	Also within the NOC, we have portions of DHS intel and analysis, I&A. They have
10	a number of centers. One of the I&A centers is known as CETC, spelled C-E-T-C. That's
11	the Current and Emerging Threat Center. They're also an around-the-clock watch. And
12	the CETC watch sits on that high side where the NOC duty director resides.
13	So within feet of each other, we have intel watch standers right next to the ops
14	watch standers to again help the facilitation of information between the intel and
15	operations.
16	We have a number of federal agencies within the NOC, everyone from
17	Department of Defense, Department of Transportation, Department of Veterans Affairs.
18	We have representatives from the Department of State's diplomatic security service, et
19	cetera.
20	We also have a number, but not all, a number of DHS component representatives
21	to include Secret Service has a representative, TSA's TSOC, the Transportation Security
22	Operations Center, maintains a watch within the NOC. Countering Weapons of Mass
23	Destruction's office, CWMD, maintains a watch within the NOC. And FPS represents the
24	management directorate with an FPS liaison within the NOC as well.
25	We have some state and local representatives within the NOC. And again, this is

1 based upon the value proposition that state and locals find in the NOC. So we have 2 representatives from -- everyone from et cetera. They're all there representing their local 3 jurisdictions. And when we have issues that either affect that part of the country or 4 something that may have nationwide interest, they help to facilitate the information 5 sharing with state and local agencies as well. 6 As far as fusion centers, we rely on DHS I&A, who has a number of DHS intel 7 officers, IO, assigned to fusion centers around the country. So again, it's through that 8 9 cross-coordination between CETC and the NOC that we reach out so we're not double 10 tapping and we're both reaching out to state and local fusion centers. We rely on I&A through their intel officers to provide that information. 11 I'll stop there, see what follow-up questions you may have. 12 Appreciate that, sir. Very, very helpful, very exhaustive explanation and I 13 Q appreciate it. 14 15 With respect to the liaison officers or the partners, state and federal, that may be at the NOC, do you recall if at any time whether the Washington Metropolitan 16 Police Department or the United States Capitol Police were ever part of the NOC? 17 А Great question. So the answer to MPD is no. For Capitol Police as well as 18 19 I'll mention the U.S. Supreme Court Police, they both have assigned representatives to 20 the NOC. 21 On January 6th itself, Capitol Police LNO was not physically in the NOC, but he 22 was up on Capitol Hill and he was providing us realtime information from Capitol Hill, 23 whereas on most days he would be actually in the NOC. But on the day in question, he was up there on Capitol Hill. 24 I will also say now, where we're at today, post-January 6, the Capitol Police have 25

looked at their manning requirements and they have since pulled back their Capitol Police
 LNO. So we currently do not have one assigned today, although we did on the date in
 question.

4 Q Copy that, sir. And is LNO the acronym for the liaison officer?

5 A l'm sorry, yes.

6 Q No, that's okay. I knew what it was, but just for purposes of other people 7 who might be reading the record and not know what an LNO is.

8 So you talked about how primarily what you do is that -- well, you said what you 9 don't do is you're not an operational director. You don't direct assets, you don't 10 command action. You corral information, you pull that information in and then you

disseminate it to folks, and I want to sort of talk about that a little bit.

Let's start with how do you acquire, how do you get your information that you bring into the NOC? Talk to us sort of about what that process looks like both on the low side and on the high side.

A Sure. So there's no -- do I still -- okay. There you are. You froze for a second.

17 So I'll start off by saying there is no one primary way. It's a multitude of ways 18 that information flows, both being pushed to the NOC as well as the NOC pulling 19 information to itself.

l'll start off first with open source. So one of the ways we get information
provided to us is through open source reporting. The NOC maintains a contract with a
private company to basically monitor both traditional media, news media, as well as
social media that can provide us realtime tipping and queuing when there's issues, again,
in traditional or social media that may have a nexus to Homeland Security.

25 So I think everyone is familiar with the incident that just happened several days

ago on the subway up in New York City where there was a shooter on a subway train.
As you can imagine, folks that were directly involved in that instant, they were up on
immediately social media, sending Tweets and sending snap chats and communicating
that something is going on.

5 Oftentimes, many -- a high percentage of the time, the initial indicators that we 6 get within the National Operations Center that there may be something going on is 7 through people posting on social media and that's getting picked up. So it kind of 8 helps -- if you think of it -- helps to skew us into a direction that there may be something 9 going on that we need to look into further.

Oftentimes social media may be traditional law enforcement initial reporting because law enforcement may be initially responding to a request 911 call. And before we would even know through official channels, we're getting alert that, hey, there's shots fired at a high school in a certain part of the country. You know, do we have an active shooter? Is this -- you know, what's going on? So that is one way, through our contract with traditional and social media, that we would get information.

The second way we would get information I mentioned already is through all those various liaisons we have assigned to the NOC, through their -- you know, working back with their parent agencies, just pulling and providing information to us. Or if we have indicators that there's something going on in a certain part of the country, let's say in San Diego, whereas we may not have a San Diego police officer within the NOC, we do have Los Angeles Police, we may ask LAPD's liaison, hey, can you ask, you know, your colleagues what they may know going on down there in San Diego.

23 What we have found over the years is the best way to get information from law 24 enforcement agencies is to have fellow law enforcement officers contact fellow law 25 enforcement officers. Not having a nameless government civilian in Washington, D.C. asking what's going on, but saying I'm so and so with this police agency trying to get some
indication as to what may be going on. So we would get it, again, either pushed to us
from a law enforcement partner or one of our liaisons would pull it for us at our request.

We also within the NOC have a few, not many, a few liaison officers that we assign external from the NOC. The one that we rely on principally is a permanently assigned billet we have over at FBI. And that is a billet -- so whenever we have a law enforcement incident, we would be reaching out to our DHS ops, our NOC liaison officer to the FBI to find out what the FBI/Department of Justice may know about a specific situation.

I mentioned earlier about our relationship with DHS intel and analysis through
their current and emerging threat center. So, again, we might get information through
intelligence channels provided to us through I&A. I&A may get their information
through their relationships with all the state and local fusion centers, through the intel
officers. And we have also a relationship with the government of Canada and their
National Operations Center, their national center, so to exchange information with our
partners to the north, we may get it that way as well.

One procedural thing we do within the National Operations Center is every time the watch changes over -- and our watch schedule is we have our watch team stand eight-hour watches Monday through Friday and then 12-hour watches on Saturdays, Sundays and federal holidays. So every time the watch changes out, whether it's every eight hours or every 12 hours, one of the things they do at the start of the watch is they do what's called a blast call.

And what a blast call is, is they get up all the federal operations centers, all the DHS operations centers at the start of the watch saying we've just changed over the watch here in the NOC, here's what the NOC is currently monitoring for events and the

1 current status, and then they poll and see if any other department or agency has any 2 other additional information that they would like to provide to the NOC and the 3 broader group that the NOC may not already have awareness of. And then I think the last way -- and again, certainly not the -- let me take that 4 5 back. It may not be the last way, but one of the other primary ways, the virtual situation 6 room -- I won't go into detail now because I know you're probably going to have 7 questions later -- but the virtual situation room is a way to get realtime information. And then I will just end by saying a term of art that I used when I headed up 8 9 Coast Guard intelligence where we had a term of art back then was every Coastie is a 10 collector, is -- every member of the Homeland Security enterprise has eyes, has ears. 11 We know the DHS phrase, if you see something, say something. 12 So again, we may get information just from our daily interactions with others both 13 inside the department as well as outside the department. It could be as simple as 14 talking with a military aide to the secretary or the deputy secretary to know that they're 15 going to a meeting or that something came up in a VTC or private discussion that, you know, hey, can the NOC look into something because the secretary heard during a 16 17 telephone call with an individual that something may be happening. 18 So multitude of ways that we may get information to us. But I'll stop there, 19 Bryan. Copy that, sir. I appreciate it. 20 Q With respect to DHS I&A, you mentioned that they have an intel watch that 21 22 also sits in the NOC. Is that function the NOC's primary interface with the intelligence 23 community, with the IC? Is that how it gets its high side or some of its high side information? 24

A Yes. So we rely on I&A to be that direct interface with the intel community.

So we would not independently and knowingly go around I&A. We would rely on I&A to
 be that front door from DHS to the broader IC and then rely on I&A to make sure we're
 aware of any intelligence.

Q Copy that, sir. So you've done a really good job of explaining the multitude of ways that DHS ops and NOC in particular ingest information. Let's talk about how it disseminates that information.

Sort of the primary ways it does it, who are the primary clients, whether they
be internal to DHS such as DHS senior leadership and/or other federal partners, state
and local. Sort of walk us through what the dissemination of information looks like.

A Sure. And again, kind of like the ingest, there's a multitude of ways that we disseminate information as well. So in no particular order, within the department, the NOC maintains secretarial information requirements, which are a list of key issues and events that, should we get indications that these triggers are being met, these are issues that the secretary and his staff would like to be immediately notified for. That's something that every leader, every secretary, you know, tweaks based upon what their threshold for awareness is.

So one of the ways we would disseminate is if we get an event that triggers secretarial information requirements, it would either be a direct call directly to the secretary. More likely than not, before we go directly to the secretary or directly to the deputy secretary, the NOC duty director would first reach out to their chief of staff saying this is what we have. We believe the secretary needs or the deputy secretary needs to be notified. Do you want to do it as their chief of staff or do you desire us to do it. And that would be something that would be worked in real time.

24 If, given the sensitivity of the information, the gravity of the situation, the
25 timeliness of the situation, should the NOC not be able to reach either of their chiefs of

staff, they have been given the green light to go directly to the principal when time is of
 the essence. So that's one way.

3 Another way that our senior leaders get it is through that production of that secretary's daily brief, which I mentioned one of the three parts of that Current 4 5 Operations Division is the secretary's briefing staff. So they prepare a written daily 6 product, they work with all the DHS components, compile operational information and 7 provides a daily written product that not only goes to the secretary and the deputy secretary, but it goes to the top leadership throughout the department and all the 8 9 components and staff. So that's another way that information is disseminated 10 internally.

A third way it's disseminated internally is through NOC notes and NOC awareness reports. Very similar, but they go to different audiences. What a NOC note is and NOC watch updates are basically tactical, very short, concise situation reports, sitreps as we call them, to senior leaders across the department when we get indications that an event affecting the homeland is unfolding.

We try -- it's our own internal procedures within ops -- we try to get out a NOC note within 30 minutes of initial notification of an event. We get alerted through whatever that means I've talked about earlier, whether it's a social media hit that there may be shots being fired at a high school, we'll within 30 minutes try to verify, try to get additional informations, but, within 30 minutes, get out initial notification.

And then given the severity of the incident, whether or not the incident is occurring, whether or not it is growing in intensity, et cetera, we would be providing updates to that on an ad hoc basis as the information warrants providing updates.

24 Those NOC notes and NOC watch updates are internal to DHS.

25 When the event rises to equities beyond just DHS, we then would send out a NOC

awareness report. And those NOC awareness reports goes to the entire Homeland
Security enterprise. And we caveat it at the beginning of those NOC awareness reports
that much of the information may be similar to what was previously reported in a NOC
note, because, again, the NOC note only went to DHS leadership. The NOC awareness
report, because we're opening up the aperture to others, a lot of it may seem repetitive
to the initial DHS recipients of the initial NOC notes going forward.

Generally, the way in practice it works out, almost exclusively we start off with a
NOC note because we don't know how big -- unless obviously it's something major like a
9 911 style attack where obviously it's something broad like that. But mostly we start off
with the internal report and then broaden out as the situation becomes clearer, more
into focus as we get additional information.

We also have, just like we have secretarial intelligence requirements, we also have Presidential critical information requirements known as PCIRs. So -- and it's not just with us. Within DHS, all federal departments and agencies have Presidential CIRs. They're the same.

Basically like what the secretarial information requirements are, it's those events that warrant the attention of the White House through the White House situation room, as we've known it, W-H-S-R or WHSR as we call the acronym.

So again, when we're working an event that may warrant notification within the
 department, it may also trigger a Presidential critical information requirement that
 requires a written notification over to WHSR as well. So that's another way we
 disseminate.

23 We disseminate very informally through that network of liaison officers that I've 24 already talked about as well. One of the things I have not talked about yet during this 25 session is our OPSDEPs forum. It stands for operations deputies forum. It was a forum that we had in place at the time of January 6. It was a forum that we had in place for
over two years where we had the senior operators from all DHS components and offices
would get together on a weekly basis to share and disseminate for situational awareness
operational matters that was impacting the department. We would meet every Tuesday
for an hour, and at the end of each hourly meeting, we would devote a period of time
where I would poll the representatives to see if they had any information they would like
to share with other DHS elements that were at the meeting.

And then the virtual sit room, again, another tool to disseminate information. 8 9 One of the other ways we disseminate to state and local partners I have not talked about 10 is HSIN, the Homeland Security Information Network. We have a HSIN desk within the 11 NOC. HSIN stands for H-S-I-N, the Homeland Security Information Network. But it's a 12 way to disseminate Homeland Security information to state and local partners where 13 state and local agencies can request from DHS a password and a username and it's a way for us to disseminate information across the vast network of state and local partners 14 around the country. 15

16 So I'll stop there, see what else you may have on that.

17 Q Copy that, sir. Appreciate it.

18 Are fusion centers included in the audience of folks that you may share

19 information to such as the NOC awareness reports that you mentioned?

A Yes. NOC awareness reports, I'm hesitating. Not completely sure on that. They would be getting information disseminated certainly through the Homeland Security Information Network, HSIN, and then they would be getting information directly from their assigned DHS intel officers, again, assuming that the CETC watch has asked those IOs

24 to disseminate it.

25 As far as the NOC awareness reports, I would have to ask my staff. I'm not sure

1 at this moment in time.

Q Copy that, sir. I was just using that as an example. I was really just trying to get at whether or not you generally share information with fusion centers and it sounds like you do.

5 Understanding that DHS I&A is essentially your intel partner in the NOC and 6 drawing on your intelligence integration and information sharing background both from 7 JIATF South and in standing up the JIAG in the department itself, talk to me about what 8 your relationship is like with DHS I&A, how does it work, is it --

9 A can you repeat? The bandwidth is breaking up, so I didn't catch 10 that.

Q Copy that, sir. I'm happy to.

11

So again, referencing back to your experience both in JIATF South and standing up to JIAG and intelligence integration and information sharing, understanding that DHS I&A is your intel partner in the NOC, talk to us about what that relationship is like. How does it work? How do you think it's working? Is it good? Is it bad? Is it better? Just sort of generally give us a sense about what the relationship is like between I&A and providing the intel piece to the NOC.

A Yeah. So I would say the relationship today is good. I will also say that the relationship is very much personality driven and personality dependent. I would not say it's necessarily solidified through processes and procedures. I have seen it worked better.

For example, during my tenure down at the Joint Interagency Task Force South where intel and operations were fully integrated, here we are peers and we share and exchange information, but it's based upon relationships more than it's solidified in procedures. And what I mean by that is I&A's watch works directly for I&A's leadership.
 They're colocated. We work together. But, for example, the NOC duty director can
 task and assign other NOC watch standers to do stuff. He can ask and direct the SWO,
 the senior watch officer, to take certain actions, et cetera. What the NOC duty director
 can do with CETC is make requests, is can ask permission.

6 The other thing is -- and again, having at one point in time in my career, having 7 grown up in the Coast Guard intelligence field and knowing, again, the type of work that 8 they do, and I understand about protecting sources and methods, I understand about the 9 needs to know, et cetera.

10 So procedurally, a lot of what CETC does has to go back to I&A's front office and 11 I&A's leadership to really get permission and guidance for sharing and disseminating 12 information. And oftentimes information is passed to the NOC only after permission 13 is being granted from a senior within I&A.

Another challenge that I face at my level is oftentimes I&A's leadership is 14 15 providing information directly to departmental's leadership and I'm not aware of it. So whereas I'm trying to consolidate, whereas I'm trying to integrate information, I can't 16 necessarily integrate information that I don't have direct knowledge and awareness of. 17 18 Why I thought it worked better during my time in uniform in south Florida was 19 down there, it was purely a single watch center made up of both intel and ops watch 20 standers. Those intel watch standers reported directly to my command duty officer. And that command duty officer represented me and the entire command, not just 21 22 represented the ops side of the command but represented the entire command. 23 The role of my intel staff was to provide administrative oversight to those intel 24 watch standers, make sure they were appropriately trained, make sure they followed

1	the watch, those intel watch officers reported directly to that duty officer. It's not
2	the case and has not been the case here at DHS. That CETC watch reports not to me
3	and not to the NOC duty director. They report directly to I&A.
4	I think, again, jumping the gun here to the end of this interview and
5	recommendations, that's one of the things I think could be improved upon in the
6	department, but, again, it's not what we currently enjoy today.
7	Q Copy that, sir. I appreciate that.
8	What I'd like to do is, before we move on to the next section of our interview,
9	which is going to be the lead-up to January 6, I want to pause and ask if any of my
10	colleagues have any questions for Director Tomney about anything that we've
11	discussed so far before we change topics.
12	Mr. Okay. Director Tomney, this is my colleague,
13	He may have a couple of questions for you. Stand by.
14	Mr. <u>Tomney.</u> Hi,
15	BY MR.
16	Q Hi, Director Tomney. How are you?
17	A Good.
18	Q I wanted to ask drill down on a couple of issues that you brought up
19	regarding I&A, CETC and the NOC. We've heard that perhaps there is some overlap or
20	duplicativeness between I&A between CETC's social media collection, its open source
21	collection, and that which happened at the NOC and other places in DHS.
22	How would you characterize that? Is there a duplicativeness or duplication or
23	do the parts kind of work together seamlessly?
24	A So I will caveat by saying I'm not 100 percent certain of the procedures that
25	I&A's open source collectors follow. I'm aware of their role that they perform, but I'm

1 not, you know, precisely clear on procedurally.

2 But I would say that there is likely some overlap, but I will also say that I&A's 3 open source collectors perform some services that definitely are unique -- and I hate that word -- but are unique that we just don't do on the ops side of the house. And 4 5 what specifically -- so first of all, what we do from an open source collector within ops 6 is, as I mentioned earlier, we're just, you know, have our antennas -- and I use that 7 term, you know, not figuratively -- I mean, figuratively, not literally. We're just 8 listening to the airwaves and, you know, listening to and trying to collect if someone is 9 Tweeting out, hey, there's shooting going on in Times Square, to alert us to something. 10 CETC and their open source collectors may have that capability. I don't know. But what we do not do and what CETC does do is when there is an incident -- and let's 11 12 say, Jerry, you are now a person of interest to law enforcement for whatever reason and 13 maybe you were arrested for something and we now want to know what is your social media presence out there in cyberspace. This would be something that we do not do 14 15 within ops because now we're into an area that is beyond just situation awareness. Something has occurred. There's now a person that is of interest either for intelligence 16 purposes, law enforcement purposes whatsoever. 17

So my understanding now, which, again, may not be 100 percent accurate, my understanding is that's where I&A's open source collectors is looking at -- they're not drilling down into firewalled and protected websites, but anything that Jerry may have put out there that the general public has access to, they would be looking at your social media profile for law enforcement and intelligence value. I don't know if that helps,

Q It does. And I'm grateful for that. Thank you very much for that explanation, Director Tomney.

Is it possible for you to very broadly describe the content of the secretary's
 daily brief? Not the intelligence-sensitive information that's in there, but kind of
 what gets to the secretary, what's handled by the NOC, kind of like what sorts of
 information, what chunks of information and how that may shift and change over time
 depending on the secretary.

A Yeah. So, again, to your last point there, yeah, it changes based upon the
secretary's, you know, interest and guidance.

8 So the secretary's briefing staff will be working daily with each of the other 9 operational components and staffs to kind of either pull information based upon a 10 request either from the secretary, the deputy secretary or one of their senior advisors, 11 to pull the answers to, you know, requests for information, what we term RFIs. So 12 pulling that information, putting it into a future secretary's daily brief, as well as 13 operational reporting over the last 24 hours.

So generally speaking, this is broadly speaking, the beginning of the brief will be any sort of overnight developments over the last 24 hours of major operational issues of the department, a major drug seizure, a major, you know, migrant interdiction, a pollution case, you know, that sort of stuff. And again, at that senior executive level, just the facts -- if you remember Joe Friday -- just the facts, you know, just the key bullets of what went on.

20 It's also an opportunity for our components to highlight successes. So, again, we 21 would also be including in the brief the results of any sort of, let's say if there was a

criminal investigation, whether Secret Service or HSI, you know, was doing an

23 investigation that led to an arrest or a seizure or a takedown of an organization, we'd be

24 putting in the highlights of that as well.

25 One of the things some secretaries like in their daily briefs from an aviation

standpoint is the wait times at the TSA checkpoints around the country. We often put in
the national weather forecast and a national weather map. Because, again, things like
wildfires, things like tornados, et cetera, we'll put in the weather maps and the weather
forecast around the nation.

5 So, again, it's normally success stories, significant operational events from the last 24 hours, you know, airports, weather, those types of things would be in the brief. 6 7 Q Thank you. When it comes to I&A's intelligence or information that they may want to share with the secretary and the staff, the senior staff, does it go through 8 9 the secretary's daily brief? Is this your opportunity to see I&A's intelligence? I know 10 you had said that sometimes I&A or often I&A sends intelligence directly to the secretary 11 and you don't get eyes on it. Is this your one opportunity or one of the few would you characterized? 12

A So I would say it's ad hoc. It's no -- it's a friction point whereas at times some I&A products will go through the secretary's briefing staff and be in the daily book. Other times it would go direct from I&A directly to the secretary, the deputy secretary and bypass the secretary's briefing staff.

17 I think it all is based upon either the sensitivity of the information, how broadly
18 it's disseminated, does I&A want the secretary's briefing staff to even know about
19 something very sensitive, you know, if they're dealing with a known or suspected
20 terrorist or something like that. So sometimes it does, sometimes it doesn't. It's
21 not definitive one way or the other, unfortunately.

22 Q And one final question and then I will happily let you go. And 23 you for the opportunity to ask questions. And thanks again, Director Tomney, for your 24 responses.

25 Is the secretary's daily brief the primary way the secretary gets intelligence and

1 operational information on a daily basis or are there other briefs, a routinized brief?

2 I know that there's a Presidential daily brief, but within the department, is this -- at

- 3 least within the last administration, the last several years, was this the primary way?
- A So it's the primary way to get the basic foundational information of the day.
 And then it would be, again, updated throughout the day and evening through those NOC
 notes as other time-sensitive events would happen throughout the day.
- But yeah. So it's more of the -- what I would term the issues that we have the
 luxury of time, that it doesn't need to go like at 10 o'clock at night. It's stuff that can
 wait to get published into a finished product that he or she could read the next
 morning as part of the start of their workday, you know, to complement, to your point,

11 like the Presidential daily brief that they get.

14

15

24

Mr. Once again, thank you, Director Tomney. And thank you,
Mr. Tomney. Thanks, Imm

Mr. Thank you, Appreciate it.

Q So Director Tomney, we've been going for about 65 minutes or so. I think we probably have another hour, maybe hour and a half together. Did you want to take a break, sir, before we press forward, for 5 or 10 minutes, or do you want to press? It's totally up to you.

A lam good, but if others need to take a comfort break, I'm happy to pause, so l'll defer to you. But I'm personally -- I'm fine to continue. So --

Q Copy that, sir. So am I. So we'll just press -- and if at any point you want to take a break, just let me know and we can always pause and take five, okay?

A All right. Thank you.

25 Q So now let's segue over into the lead-up of January 6th. And I want to start

with -- what we'll use as a segue is a couple of times in the first part of our conversation,
you talked about this virtual situational room. And I'm wondering if you could tell us in
a little more detail what that is, sort of what its origins were and sort of what role was it
designed to serve with respect to January 6th itself.

A Sure. So the virtual situation room has been around for a couple of years.
It was not designed or utilized exclusively for January 6th. It had been used quite
extensively prior to that.

8 For those of you old enough here on this interview who can remember the old 9 America Online AOL chat rooms, it's kind of a modern day version of that. It is a 10 software solution where individuals can log in, kind of like what we're on right now 11 with Webex, where you can look at all the participants on this call that we're on today. 12 Our virtual situation room has the same capabilities, so you can see who is all in the sit 13 room.

14 Kind of like Webex here, it has a chat feature, so you could post questions, you 15 could post information within the virtual situation room. It allows us to hang 16 attachments. So if we had documents, if we had reports, if we had images or pictures, 17 charts, we could hang those in the virtual situation room.

18 It had video and audio like we're using right now. So very much similar to Cisco 19 Webex, very much similar to Microsoft Teams that many of us are familiar with. But it 20 was a closed -- meaning it's not open to the general public -- a closed law enforcement 21 community where we would activate it, we would act as the host or the monitors for that 22 virtual situation room, allowing folks to enter the situation room, again, if they had a valid 23 need to be there because we are passing law enforcement related information. And it's 24 a way to basically baseline, flatten out the information flow and then when information 25 was happening, to get it to everyone at the exact same time.

So you're not playing the phone game, let's call everyone up, you're not sending out an email or a report hoping someone opens it up in their inbox or you hope it's not sitting in someone's inbox for hours. It gets everyone out there, you know, at the exact same time.

5 So that's what the virtual situation room is. We used it quite extensively during 6 the summer of 2020 for civil unrest, in the lead-up to January 6. And in the lead-up to 7 other types of planned major events where we were going to leverage that virtual 8 situation room, we would again make -- how should I describe it? We would advertise 9 that we were going to be activating the virtual situation room so folks would know that 10 would be a tool that they would be able to leverage.

11 We would be, again, announcing it at our blast calls whenever the watches would 12 change out, alerting everyone that the virtual situation room is available. Our liaison 13 officers, we would say, hey, make sure your agencies know the virtual situation room. 14 You know, informal discussions, you know, at my level and my peers' level. So trying to 15 get the word out that that's a tool, not the only tool, but a tool that folks could use to, 16 again, have situational awareness. So I don't know if that leads to other questions on 17 that.

Q No, that's very good, sir. And again, I think it's a good segue into
January -- the lead-up to January 6 before we talk about the 6th itself.

Now understanding sort of how that component works and given all the background you've given us about how ops gets information, how it disseminates information, to the best of your recollection, when did what I'll call the specter of January 6th start to pop up on your radar? When did you as the director of ops start to get information that something might be brewing in or around either the Electoral College vote count and/or the inauguration? A I would say probably -- I can't give you a precise date, but as memory serves me, it would be mid to late December is when things -- that we knew that there was going to be large crowds. Law enforcement was starting to see in social media a number of various groups calling for protests. Law enforcement, like the Park Police, were starting to get applications for various permits in the city. So I would say starting mid to approaching the Christmastime frame of December of 2020, that's when, again, we started to know that things were starting to heat up.

8 I can tell you, though, initially, January 6th wasn't initially a date. It started first 9 looking at the inauguration. And then, again, as we were getting into late December, as 10 we start seeing what was going on at the state level down in the Georgia area, as law 11 enforcement started seeing more and more mention of January 6, it was about late 12 December, you know, between Christmas, maybe New Year's, that time frame that, yeah, 13 January 6 started becoming more clear as a significant date for law enforcement.

14 Q Copy that, sir. And you mentioned that it started out with what sounds like 15 open source information in part being provided by what I think I understand to be sort of 16 state and local law enforcement partners.

Were you getting any other information from, let's say, federal partners, from
Capitol Police or DoD or DOJ, FBI, any of those, or even anywhere else within the DHS
enterprise about sort of percolating about, you know, this buildup to what would
eventually be January 6th?

A Yes. So again, I mentioned previously about our weekly operations deputies forum. So again, this would be where we would be getting indications of what is on the radar of our various components.

24 So it's not just INA that has a social media collection capability. It's not just 25 within ops and my commercial contract company. Our other law enforcement

1 agencies also, for their own law enforcement purposes and under their own

2 authorities, have capabilities as well; agencies like the Secret Service, agencies like the Federal Protective Service as well. 3

So, again, not any one area, but, you know, through all these mechanisms, we 4 5 were starting to get indications that, you know, around January 6, things were going to 6 be -- there was a lot of interest that started, you know, coming together in that late December time frame. 7

At my level, I don't recall any direct engagements with interagency partners until 8 9 we got to the point -- and I know we're going to get there, so I won't go into the 10 details -- until we get to the point of those two calls at the cabinet level. That was really 11 where we started getting directly into the interagency, you know, passing/sharing of information. 12

Q Copy that, sir. So if I understand you, it sounds like prior to the calls on 13 January 3rd and 4th, the cabinet level calls, it sounds like DHS ops is getting info, sort of 14 15 assessing it, but it sounds like it hasn't started to push any of that information either out or up. Is that correct or is that fair? 16

Push out or up. Yeah, I don't think there was really anything that we had 17 А 18 yet to push out or up other than, yeah, there was a lot of interest surrounding it, but we 19 didn't have anything tangible yet to pass, correct.

20 Q Copy that, sir. And again, before we jump to the two cabinet level 21 calls -- and I know that you've mentioned that you also held your ops deputies forum. 22 Prior to the 3rd, to January 3rd, were there any DHS internal calls such as the 23 ops deputies' call or any other interagency calls where sort of the lead-up to January 6 was being discussed, putting aside the cabinet level discussion on the 3rd and the 4th? 24 25

January 3rd first cabinet level call. Nothing to -- as you just described it, nothing formal
 like that had occurred that I recall.

Q Copy that, sir. So let's start -- let's jump to January 3rd, then. Talk to me about sort of how is it that you became to be involved in that cabinet level call on that Sunday.

A Yeah. So that Sunday morning, I was at home. I live down in **Content** so I was at home. And I got word earlier that morning that the secretary was invited to a cabinet level call that was being convened that afternoon at 1 o'clock by the acting Secretary of Defense to talk about the planning for the federal interagency coordination and planning for security for the events on January 6th. And I was asked if I was available, if I could be a plus one to back bench the secretary on that call.

I said obviously if the secretary wants me, I can certainly, you know, be on that 12 call. And so I got a calendar invite from the secretary scheduler that morning. Once I 13 got wind of that, my first thing is I carry a secured mobile telephone. I went and 14 15 attempted to do a secure call, but where I live, I get spotty reception, so the secure call, test call didn't go very well. So I decided I was going to just drive into the city to be 16 there for the call at 1 o'clock and take it down there in the National Operations Center 17 18 where, again, in case there was any sort of hiccups, I had the watch team there that could 19 assist me in the call and managing the call.

After that, I reached out to my staff, through my deputy chief of staff for operations and I asked and I asked and I asked a schedule a no notice operations deputies call. We would call them snap OPSDEPs because we would have the routine regular Tuesday morning weekly forums. But whenever we had to call an add hoc meeting or a no notice meeting, we just called them snap OPSDEPs.

So I asked I said, let's do a snap OPSDEPs for 9 o'clock Sunday morning.

Let's get together our law enforcement components, INA, the Office of General Counsel, to talk about where do we stand as a department in our planning for January 6th and then let's prepare a one-pager -- and I use the term "one-pager." It's not always one page, but we try to keep it to a page -- let's prepare a one-page pager of talking points that we could give to the secretary prior to the 1 o'clock call so he could talk from an informed position about all the preparatory work that we were doing within the department.

8 So we did that snap OPSDEPs call at 9 o'clock Sunday morning after which the 9 watch then prepared that one-pager, which basically summarized what each one of our 10 law enforcement components either knew about January 6th or what was going to be 11 their force posture on the day of January 6th and how we were going to be postured. 12 And so that was what occurred Sunday morning. I drove into the city and then I took 13 the call from the operations center.

14 So I won't go further. Let you ask additional questions unless you want me to 15 continue into the call.

Q No, no, I appreciate it, sir. Thank you.

16

So the one-pager that you provided from the snap OPSDEPs call -- and you may
have said this and I may have just missed it -- to whom did you provide that one-pager
to? Is that something that went to AS1 or AS2 or was that something that was
disseminated just amongst the deputies? I'm just curious sort of where that
ultimately went.

A Yeah. That went obviously to -- because AS1 was the customer of it because we wanted him to be informed for the call. And then what our general procedures are is those one-pagers, we would then disseminate it back out to the OPSDEPs so they have awareness as well of this is what we sent to the secretary. So 1 everyone has that consolidated. So it would go much broader than just that.

Personally what I did as well, and this goes back to that summer of 2020, one of
the things we haven't talked about is AS1 Wolf appointed me as his direct liaison with the
Department of Justice for making sure we had transparency in the dissemination of
information.

6 And since the summer of 2020, I was regularly keeping the DOJ point of 7 contact, an individual by the name of Mr. David Burns from the criminal investigations division of DOJ as well as the FBI's deputy director, David Bowdich, I kept them 8 9 informed, whenever we would send out reports to the secretary on overnight 10 developments of civil unrest or as we were developing products on our force posture 11 for significant events that occurred during 2020 like, you know, July 4th, like Labor Day 12 weekend, like, you know, election day in November, making sure that DOJ and the FBI 13 knew our posture and in turn they would keep me informed about what they knew from a DOJ or an FBI standpoint. 14

So I would have to go back through my notes, but I'm fairly confident that I would
have sent that same one-pager to both Davids at DOJ and FBI so they knew, hey, your
principal will be on the call most likely with my principal. Here's what my principal
knows is our force posture going into the call.

Q Copy that, sir. Appreciate it.

19

20 So let's talk about the January 3rd call and start with who do you recall, what 21 agencies were represented on the call?

A The call was, again, convened at the request of the acting Secretary of Defense. There was a number of senior Department of Defense officials on the call to include, as I recall, the Deputy Secretary of Defense, the Chairman of the Joint Chiefs of Staff, the Secretary of the Army. Those were the principals. There may have been -- I don't recall now -- there may have been a senior official from the National Guard Bureau
as well on the call. There may have even been the head of the D.C. National Guard,
General Walker. Again, I'm not confident on that.

The ones I recall speaking on the call were the acting Secretary of Defense, the Secretary of the Army and the Chairman of the Joint Chiefs of Staff. I recall specifically those three speaking during the call, but I think there were other senior DoD officials.

Additionally to that, the acting attorney general was on the call, AG Rosen at the time. The Secretary of Interior was there. Oh, going back to the acting attorney general, he had with him the deputy director of the FBI, David Bowdich, who was on the call. Who else? FBI, DOJ, DoD. So obviously Secretary Wolf was invited. He did not initially start the call because he was getting ready to take off from Andrews and so he joined the call midway through the discussion.

13 I would have to look at my meeting notes. There may have been a couple of
14 others. If I'm forgetting one and you want to ask me was so and so there, I could tell
15 you, but there may have been a few others that I'm just overlooking right now.

16 Q Copy that, sir. No, I appreciate that.

What do you recall was generally discussed and sort of what were theoutcomes of the January 3rd meeting?

A So the whole purpose, as I recall in the opening comments by the Secretary of Defense behind this call, was that the Defense Department was asked to provide soldiers to help in the security on January 6, and the secretary was looking for getting a general consensus on what was the interagency's understanding of what was going to occur on January 6 and what were other departments in the AGC's posture going to be. Because he as the Secretary of Defense didn't really have a good understanding of what everyone else was doing and really wanted to just kind of baseline to have a discussion to 1 understand what others were doing on January 6.

And I think he, as I recall, started with the Secretary of the Army to describe the request that the Secretary of the Army had for sending National Guard to support the District, and the Secretary of the Army had not yet made a decision whether or not to provide the requested soldiers that were asked of by the city.

And then he went to the Chairman of the Joint Chiefs of Staff, General Milley.
And generally speaking, General Milley was very reluctant to put the U.S. military in a
position of policing U.S. citizens based upon our historical roots as a nation and using the
military against our own people. So he was very adamant and very skittish as to the
chairman about getting uniformed folks involved in the security for January 6.

And after that, it was just kind of around the roster, the folks on the call, about what each agency or department knew about January 6, how big a crowd was expected, what was people's understanding of expected level of violence and how are folks posturing their own departments and agencies.

15 I recall that there was a swag, as I would put it, a widely disparate view about the crowd size, but I think most folks on the call expected it was going to be a large crowd. 16 17 Numbers that I recall being thrown about on that call, anywheres from about 25 to 30,000 or more could be expected. And I remember someone -- don't ask me who, I 18 19 can't remember now -- commented that while that is a large number, D.C. has seen much 20 larger crowds over the years than that. And I think there was broad discussion/acknowledgment that folks were calling for bringing weapons into the city on 21 22 that day, so there was no surprise, there was no -- you know, no one disagreed that there 23 was going to be the high likelihood that there could be some violence on January 6. 24 That was kind of the flow of the call. Everyone spoke from their point of view

what they knew, what they didn't know, how they were going to be postured.

The call kind of ended with, one, whether or not folks thought there should be a follow-up call on Monday, and no one really pushed back. No one really had a strong opinion one way or the other. And I think because there was kind of a general lack of silence, Secretary of Defense said, well, let's go ahead and do a second call tomorrow to see, you know, if anything has really changed or if we get any additional clarity and it -- you know, tomorrow's call doesn't have to be as long or as exhaustive as this call that we're just now finishing. If anyone just has any updates, we can make it shorter.

The only thing that was kind of left as a, as I would term it, a hanging chad on that 8 9 call was who was going to be the lead federal coordinator for the federal government on 10 the 6th. That the Secretary of Defense felt like it shouldn't be the Department of 11 Defense, but he just called the meeting because no one else had called the meeting and 12 he just generally wanted to know what everyone was doing going on, but that someone should be coordinating and it shouldn't be the Department of Defense. So that was kind 13 of left as an opened, unanswered question that was kind of touched upon during the call. 14 15 And there was some hope that there would be some greater clarity on the next day's call to that guestion. So that's kind of how the call kind of concluded. 16

Secretary Wolf, just to conclude from a DHS perspective, he ultimately joined the 17 call, but only after I had been -- or DHS had been polled and then I spoke up on the 18 19 secretary's behalf, basically summarized that one-pager that we produced to the 20 secretary, let the acting Deputy Secretary of Defense know that, one, that our estimates 21 within DHS generally aligned with others, that we were anticipating a high number, 22 25,000 or more people. We were aware of a broad likelihood of being some violence. 23 I talked about the mission of the Federal Protective Service to protect federal 24 facilities, talked about that the Secret Service felt like they were adequately prepared to protect the White House complex and their protectees. And I also talked about 25

the virtual sit room, how we were connected with the other departments and agencies
that were on this call, with their agencies who were up on the call and that we would
be monitoring that. So that was kind of, you know, for two to three minutes, kind of
the highlights I gave the group on the call from the DHS standpoint.

5 Q Copy that, sir. I appreciate that. And I know you may not recall 6 specifically, but I'll ask, do you know if the U.S. Capitol Police was on that January 3rd 7 call?

A I know for a fact they were not. Were not.

8

9 Q Okay. And other than sort of the difference in crowd size, was your sense 10 that everyone on that call was on the same page in terms of what I will generically call the 11 threat picture for the 6th, or did you feel like not everyone was sort of on the same page 12 about what that looked like as of the 3rd?

13 So as of the 3rd, I think everyone was on the same page on the 3rd, knowing А that there was a number of permits that have already been submitted by the 3rd, again, 14 15 for the Department of Interior speaking on behalf of the U.S. Park Police. Talked about that there were so many permits that had already been submitted and that knowing the 16 17 groups that submitted the permits, there was consensus or broad awareness on the call 18 that there was a number of divergent ideological groups that were going to be in the city 19 at the same time on the 6th, so the likelihood and from past experience during the 20 summer of 2020 of protests and counter-protest groups getting into physical altercations, 21 there was a recognition that there was a high likelihood that that could occur on January 6th. 22

And again, on the 3rd, there was also awareness that there was a number of various posts on social media where folks were talking about bringing weapons into the city. So folks were expecting that there was going to be weapons in the crowds and 1 folks violating D.C.'s weapons, you know, laws.

2	Q Copy that, sir. And you mentioned that you spoke up on the secretary's
3	behalf because DHS was already polled by the time the secretary joined. Then
4	afterwards, you sort of briefed up the secretary on what you had briefed on the call.
5	Can you recall and again, just from sort of a general broad brush perspective,
6	as of the 3rd when you were briefing the secretary, what was DHS's posture? Like
7	what were we prepared to do? Sort of who was prepared to bring what to the fight,
8	so to speak, to the best of your recollection?
9	A Yeah. So from the best of my recollection, again, Secret Service, from their
10	mission space, felt like they were adequately prepared to safeguard the White House
11	complex, the vice presidential, you know, house. So Secret Service had no
12	additional as I recall, they still had the anti-climb fencing around Lafayette Square up.
13	So Secret Service good to go from Secret Service's perspective.
14	FPS, from their force posture, they had a number of officers that were going to be
15	guarding federal facilities down there along the National Mall area. They also had a
16	mobile field force that was going to be, again, as the name entails, available, that if they
17	had to, you know, respond to a federal facility where they may not be adequately
18	manned or reinforce a currently, you know, guarded federal facility, they were going to
19	have the mobile field force available.
20	One of the things FPS also had done going back through the summer of 2020 is, at
21	their own headquarters, they have a number of, again, law enforcement, badge-carrying,
22	weapons-carrying officers just doing headquarters functions, that they had a number of
23	headquarters folks that were identified, not immediately available, but could get recalled
24	to constitute yet additional FPS reinforcements.
25	FPS also worked out with both CBP and ICE where over at the Ronald Reagan

Building and at ICE headquarters. FPS provides building securities at those two facilities
as well. FPS worked it out to, again, pull FPS officers away from those two headquarters
and allow ICE and CBP to provide their own building security to allow FPS greater
flexibility to safeguard other federal facilities in the area.

And then I think I would just end by saying both CBP and ICE were asked to 5 identify additional personnel should FPS require additional support. So FPS had 6 somewhere in the neighborhood of about 50 CBP-identified reinforcements that, again, in 7 order to support FPS, whether it's from ICE or CBP or another DHS law enforcement 8 9 component, they have to be cross-designated by FPS. So, again, in the lead-up to 10 January 6, it was making sure that those folks that were on recall from CBP and ICE to 11 augment FPS had been, you know, cross-designated, had all the required training and 12 were ready to go.

13 CBP and ICE don't have any organic authorities to operate and to safeguard 14 federal facilities. That's an FPS authorities that needs to be delegated and designated to 15 our other components. So it was making sure those other components had staff that 16 were ready to go with the appropriate gear, training and authorities.

Q Copy that, sir. Appreciate it.

17

Last question about the 3rd before we move on to the 4th. Were there any action items for DHS as a result of that call? Were there any specific actions that DHS ops took as a result of the conclusion of the January 3rd call, other than what you've already described?

A Yeah. No specific actions that I recall other than everyone was asked to update their -- you know, if they had any updates. So that was our only action was to verify the next day on Monday, the 4th, with our components to make sure, has anything changed? Do you still have the same posture? Are you doing anything new or different that we don't already know about? But other than that, there was no
 additional action items for us.

Q Copy that, sir. So with that said, let's move now over to the 4th and let's talk about, you know, who was on the call on the 4th, what was discussed, sort of the same thing we've done with the 3rd, but now with the 4th.

A Yeah. So on the 4th, it was the exact same members were invited on the call that were on the 3rd. Really -- and again, it was led off by the acting Secretary of Defense. And, again, he just prefaced that we don't need to make this a long call, just looking for any updates since we had talked at 1 o'clock on Sunday.

10 The things that stood out to me was, before Chairman Milley spoke, the acting 11 attorney general spoke as well as a few others. And so when Chairman Milley spoke, 12 Chairman Milley put out a statement -- and I'm paraphrasing. This is not a verbal 13 statement, but paraphrasing, that -- and I'm now General Milley, saying, hey, if I 14 understand correctly, DOJ is the lead federal agency on the 6th or words to that effect, 15 but that was the general sense of what General Milley said. And then he went on to say 16 a few other things.

But the thing that resonated with me from General Milley's statement was General Milley in no uncertain terms said I just heard that DOJ is the lead federal agency. And to me, that clearly gave an open window for the acting attorney general to push back to say, no, General, you heard wrong, we're not the lead federal agency. That did not occur. But neither did the acting attorney general say to the effect, yeah, you heard correctly, we are the lead federal agency.

23 So it was -- there was a lack of interest -- my opinion now. Let me just share 24 what I'm about to say is my opinion. There was a lack of interest on the Sunday call by 25 DOJ to actively take the lead federal agency call, which is why it was left as a hanging

1 issue, unresolved issue from that first call.

2 On the second call, by the time it got to General Milley and he said, from 3 everything I've heard, DOJ is the lead federal agency, and because the attorney general 4 didn't push back, to me, it was everyone recognized that, okay, DOJ, you got it. You're 5 the lead now for the 6th on behalf of the federal Executive Branch.

Q Copy that, sir. Appreciate it.

6

And so sort of having put that issue to bed and other than updates from the 1
o'clock call on the 3rd, anything else significant discussed at that January 4th call?

9 А No. It was a much shorter call. The only thing, at the end of the call, vice 10 asking the group, the acting Secretary of Defense basically said, hey, from what I've 11 heard, I don't think there's a need for us to do another call on Tuesday the 5th, but if 12 anyone disagrees, let me know or if you feel we need to get a call, we can always 13 schedule a call later, but I feel -- and again, I'm paraphrasing now -- I feel comfortable as the Secretary of Defense. I don't think we need to do another call, you know, after this 14 15 call. And therefore, no one spoke up to say, oh, no, we need to continue these calls, you know, it was that second call and that was it. 16

Q Copy that, sir. I didn't mean to cut you off. I'm sorry if you weren't done.
A You're good.

Q Thank you, sir. Do you recall if, on the January 3rd call, if U.S. Capitol Police was a part of that call? I know they weren't on the 3rd. Do you recall if they were on -- whether or not they were on on the 4th?

A No. Neither Capitol Police nor Metro PD from the city were on either calls.
It was just all the federal partners from the Executive Branch.

Q Copy that. And again, sort of similar to my question about the 3rd, any action items or any actions that DHS ops took as a result of what came out of the January 1 4th call?

A No. I don't recall we took any actions, nor do I necessarily recall really anyone necessarily took many actions. It was really to share and pass information, not necessarily to take taskers or to take action. It was really just to inform others about what each one was doing from a departmental standpoint -- from each department standpoint. But, no, I don't recall any specific actions necessarily for anyone coming out of that call.

- 8 Q Copy that, sir.
- 9 A If I could --
- 10 Q Yes, sir.

A Sorry to cut you off. There was only one item that was discussed as a possible action. And on that second call, there was discussion about whether or not there should be a briefing to members of Congress on what's our general awareness of the events and how we are postured and, you know, whether it's a joint, you know, briefing from, you know, the various departments.

Folks generally thought that was a good idea. And so I don't recall necessarily 16 that anyone got specifically pinned with that to lead that briefing to Congress. Again, 17 it's my opinion that, as I sit here and remember it, the assumption was it would have 18 19 been the lead federal agency to schedule that. I recall coming back after that call and 20 alerting our own DHS Department of Leg Affairs that there may be an ask to give a 21 briefing to members of Congress so our leg affairs folks were not caught off guard. 22 But as I know it to this day, there was never any briefing that actually was a follow-up. 23 Q Copy that, sir. Appreciate it.

24 So before we segue into the 6th itself, like I've done previously, I'll pause and 25 ask if any of my colleagues have any questions for Director Tomney about what we just discussed in terms of the lead-up to January 6th and his participation as the director of
 DHS ops.

Okay. Looks like we're good to go, sir. So let's talk about the 6th. And probably the easiest way to do this is kind of like we've been doing. In a narrative form, just sort of tell me how your day began on the 6th, like from the time you woke up and we'll walk through the day and I'll probably ask you questions along the way about what you did and what was going on on January 6th itself.

A Yeah. No, certainly. And I'll try to pause as I tell the story so you don't
have to remember a laundry list of questions.

10 So again, as I recall both at the department level as well as within my own staff, 11 we maximized teleworking on that day because we knew there was going to be large 12 crowds in the city. We knew there could be the potential for violence. So we 13 encouraged folks to telework, not come into the city unless they needed to be in the 14 city.

15 The acting secretary of DHS was overseas on international travel in the Middle 16 East. He was eight time zones ahead. The acting deputy secretary was at his home 17 over in Was not in.

18 I came in that morning to -- and I remained in the city at St. Elizabeth the entire
19 day and didn't leave until, you know, well into the evening to return back home.

For the most part, I spent most of my time in my office with my deputy chief of staff for operations. I got a morning brief as I do every morning, or I should say every workday, from the NOC duty director about what's going on. I activated and logged in myself into the virtual sit room from my own desktop in my office and I recall making and receiving a number of calls that morning as the situation started to unfold in the city. 1 And I'll go all the way up until noontime and then I'll pause before I go more to see 2 if you have any questions. So as I recall that morning, that the sit room was actively 3 being utilized by the interagency. A number of departments and agencies were up into 4 the sit room.

I recall we were getting realtime crowd estimate sizes down there around the
Washington Monument and around The Ellipse. Generally speaking, prior to noon,
the crowd was generally peaceful. There had been a couple of arrests that morning.
I know at one federal facility, FPS stopped a vehicle and found a couple of handguns
and took a couple of individuals into custody. But generally speaking -- and I think on
a positive note, surprisingly, there was very little violence, that the crowd was
generally peaceful. A large crowd, but generally peaceful.

12 Knowing that there was going to be the event The Ellipse over at the White House, 13 by midmorning the crowd started to shift away from the Washington Monument to start 14 getting over towards The Ellipse for the White House function. And I recall that the 15 crowd size was being estimated at about 25,000. And I don't recall the crowd size 16 much -- estimates much deviating. So I think that was -- someone pins me down and 17 asked what was the crowd size, that's what I'd give is 25,000 because that's what I recall. 18 Generally speaking, that's what folks leveraged -- you know, kind of leveraged on.

Our DHS law enforcement folks were in their various positions, safeguarding the federal facilities, the Ronald Reagan Building, et cetera. And really it was -- other than a few minor issues, generally, we didn't see the large scale what we had anticipated of protests and counter-protest groups going at it with one another.

23 So I'll stop there to see if you have any questions up until that moment in the 24 timeline.

25 Q Copy that, yes, sir. I just have one question. Do you recall if you were

tracking or monitoring the media coverage of the event like on TV or on old school radio,
you know, up until 12 o'clock?

A Yes. So in my office, I have a large flat screen TV on the wall. I have a removable standup desk. So like I'm talking to you now, I had my desktop and then directly on the wall in front of me I had a TV. So, yes, I had the TV on and was monitoring open source as well.

7 Q Copy that, sir. All right. So now let's say from 12:00 to about 3:00, what's 8 going on?

9 A Okay. So shortly after 12:00, we are now getting reports of disturbances 10 up on Capitol Hill where the crowd is starting to get agitated. Again, I can see it with my 11 own eyes because I'm watching the national news coverage. I can see the Capitol Police 12 behind the bike racks with the crowds in front of them, much larger than what I saw as 13 the Capitol Police in the TV coverage I was watching.

I would see the outbursts within the crowds of either shaking bike racks. I 14 15 would see occasional objects being thrown at officers, et cetera. So at the same time 16 that was going on and I was observing that, I was monitoring the virtual chat that was 17 on my desktop and I was seeing, you know, reports where the event at The Ellipse that 18 the White House was performing was concluding and that law enforcement was seeing 19 the crowds migrate from The Ellipse up towards Capitol Hill. So where there was 20 already large crowds that I was seeing on TV, the reports we were getting is even more 21 folks were now leaving the White House function to head up to Capitol Hill as well.

At one point, I would have to look at the timeline, but it was somewhere in that time frame, we got the report of a couple of suspicious packages up there on the Capitol complex. At one point we had a report of a person with a weapon on a rooftop of a building that initially had the interest but was quickly dispelled and turned out to be

fellow law enforcement up on a rooftop, but they initially didn't know who it was that
was up there.

A lot of inquiries going back and forth both in virtual chat, emails, telephone
calls about what's going on. I know at some point, as the events are unfolding,
Secretary Wolf reached out to me. I know at one point his chief of staff and deputy
chief of staff, who was traveling with him, reached out to me as well via email wanting
to know what was going on.

8 I was answering questions for the deputy secretary. At one point the deputy
9 secretary asked me to pass the link because he wanted to get up into the virtual sit room.
10 His chief of staff wanted to get up in the virtual sit room as well as his senior counselor.

So I recall, again, helping them get connected in the virtual sit room as well.

12 I did not know it at the time, but during this time frame, Director Murray from the 13 Secret Service was trying to provide me an update of what the Secret Service was doing, 14 but for whatever reason, his messages never got through to me. I don't know why, but 15 he was trying to reach out to me. And this is all happening until -- again, then I'm 16 witnessing like everyone else the breach happening up there on Capitol Hill. So I'll stop 17 with the initial breach to see any questions on that thus far.

18 Q Copy that, sir. And I appreciate it.

So two questions. One, it sounds like when you started your day, you were in
your office. Did you stay in your office during this time frame or at some point did
you migrate to the NOC, for example?

A I can't say definitively, but I believe I walked to the NOC at least once in the morning before things really heated up. If you're unfamiliar with the layout of the St. Elizabeth's campus, it's literally about a 10-minute walk from my office to the NOC. And again, while I'm in the NOC, I don't have access to my cell phone, I don't

have access to my email, so I did not plant myself in the NOC. But I recall, yeah,
when I first got down there, to have the face-to-face discussions with the NOC duty
director, with the senior watch officer, so I could talk to our liaisons that were in on
that day, you know, take a look at the virtual sit room on the wide screens that we
have down there.

But after, you know, that initial visit, I was pretty much tied to my phone, my desk
phone, my cell phone, my emails up there in my office with my deputy chief of staff.
And then just dealing with the watch either in the virtual sit room, telephone or emails
just from my desk.

Q Copy that, sir. Appreciate it.

10

And my second question is, at this point in the story, are you -- or have you yet been providing any information to AS1, Acting Secretary Wolf or Acting -- AS2, Acting Deputy Secretary Cuccinelli about what was going on? Had that happened yet at this point in the story?

A I would have to refresh my memory on my timeline. I know I had engagements with both of them. I can't remember right now if it was before the breach or after the breach, but I know they both had interest. They were both watching the same news media that I was as well. So I can't -- I would have to look at the timeline to say at what point that occurred.

I know I had more engagements with the deputy secretary only because he was
here in the NCR, and I know it was late at night, but I did have some engagements with
the acting secretary. But I can't recall right now whether it was before or after the
breach.

24 Q Copy that, sir. I appreciate that.

25 So let's talk about what happened now after the breach. The Capitol is

1 breached. Sort of what happens next in your day? What do you do next?

A So, again, as I mentioned a while ago, we have a -- or had a U.S. Capitol Police liaison officer assigned to the NOC who was actually up on Capitol Hill that day who was providing realtime information back to our watch in the NOC.

5 Once we got word that the Capitol had been breached, several things 6 happened almost probably simultaneously at various places. We were getting wind 7 and word from FPS that they were asked to send FPS officers up to the Capitol Hill to 8 augment the Capitol Police. And so, therefore, FPS was taking 16 officers, as I recall, 9 as a team and surged them up to the Capitol.

10 I didn't know it at the time, but I learned later that at the same time FPS was 11 asked for reinforcements, that Secret Service sent reinforcements as well. I do not know -- and this would be something you would all have to get from Secret 12 Service -- whether or not that was a specific ask of the Secret Service or if the Secret 13 Service did it, you know, at their own -- on their own. But they did send initially 100 14 15 officers, both uniformed and plainclothes, up to assist at the Capitol. And later on sent 16 an additional 100. So I think at the height, as I recall, there was about 200 Secret 17 Service, give or take, up there on Capitol Hill.

About this same time as the breach occurred, because the deputy secretary is now in the virtual chat room, he made a posting in the chat that he authorized appropriate DHS law enforcement personnel to assist the Capitol Police. And as this was all occurring, I asked my deputy chief of staff to let's convene as soon as possible an immediate snap OPSDEPs to find out what do we have that we can send up there to assist the Capitol Police.

24 Mindful of where we were at in the story, not having the luxury of history now, 25 we didn't know if this was a start of something more serious and whether or not there was going to be other events and other widespread violence happening in other places
in the city. So the purpose behind the OPSDEPs was, one, find out what do we send
up there, at the same time figure out how are we postured to continue to secure other
federal buildings, our own headquarters, at CBP, ICE, et cetera.

So what could we do to assist CB -- or the Capitol Police, while at the same time
let's make sure we need to be able to respond to other incidents if and when they would
occur.

8 Simultaneously to all this happening, the director of the Coast Guard Criminal 9 Investigative Service, Mr. Michael Berkow, who is someone I had worked with for a 10 number of years and I would consider a friend, reached out to me to let me know that he 11 had about a dozen or so special agents of the Criminal Investigative Service available to 12 assist. And I told him at the time that we were getting ready to convene at 3:30 a snap 13 OPSDEPs and that he was invited to join us on that call.

He was able to also tell me on that initial call that, if we weren't aware, that the Capitol Police had set up a -- what I would term a rallying point up on Capitol Hill where responding agencies were asked to report to so they could get their assignment of where they could be employed up there on Capitol Hill. I said, no, wasn't aware of that, Michael. If you could pass me a point of contact; I'll have the watch reach out, so that would assist us as the department to figure out so we're not just sending stuff up piecemeal.

So later on, he did send me a phone number and a name. I asked our watch to contact this individual up there in the Capitol Police to find out where and what could they -- what did they want from DHS beyond FPS and Secret Service that they already had.

25 So that was all occurring within the 3:00 p.m., 3:30, 4 o'clock, you know, within

1 that one-hour time frame.

We kicked off the snap OPSDEPs at 3:30, basically figured out what do we have at our disposal. We also talked about and asked the DHS components to go back to figure out -- again, not knowing if this was just the tip of the iceberg -- where else could you get reinforcements and how many hours would it take you to get additional folks into the city if it was required.

So -- and that was a homework assignment from that snap OPSDEPs is what else
could you bring that -- we know what we have at the table right now. What more is
available out there that you could pull from other cities and how quickly could you get
them here. Something we learned from June of 2020 in the civil unrest scenarios.

So we're doing the snap OPSDEPs. NOC duty director reached out to the Capitol Police. By the time they reached out to the Capitol Police, Capitol Police told our watch that they had more than enough reinforcements showing up and at that point, they, one, thanked us as a department and, two, asked us just to remain at our current locations to -- you know, to stand by if additional help was needed at that So we passed that.

Post-snap OPSDEPs call, because I don't think we got the word until after the call was over that, hey, just continue to consolidate your resources, but don't deploy up to Capitol Hill until we get word from the Capitol Police that they need even additional officers beyond what had already responded by that point.

I think the last thing I would say is I recall reaching out to, at that point, to
Secretary Wolf via email, let him know here's our posture, on a call letting deputy
secretary know here's our posture. I know at one point, the deputy secretary asked me
in an email whether or not the secretary had any concerns about the deputy's direction to
provide needed support to the Capitol Police. And I remember responding back to the

deputy saying, no, I talked to the secretary and he's fine and basically, you know, in no
 uncertain terms, you know, kind of impressed the same thing is let's provide all necessary
 support that the Capitol Police may need going forward.

So I'll stop there. That may elicit some follow-up questions for you
Q Copy that, sir. And I appreciate it.

Going back just a little bit, but tying it to the 6th itself, but beginning in sort
of -- when you identified when this first started percolating in mid to late December up
to and including the 6th itself, had you gotten -- did DHS INA provide you with any
information about the 6th or the lead-up to the 6th either in December in prep for
your January 3rd call, January 4th call and/or on the 6th itself, and if so, what kind of
information did they give you?

A Yes. So there was a number -- not just INA products, but I recall a number of various products talking about the 6th. You know, I recall getting -- you know, one of the routine products we would get is a list of daily protest permits throughout the city and the number of expected participants for the various protests that would come out on a daily basis.

So, yes, INA did put out a number of products, but I recall reading products
from FPS, reading products from the Secret Service as well. So it wasn't just
exclusively INA.

20 Q Copy that, sir. What time did you finally get to go home on the 6th? 21 What time did your day wrap up?

A I recall wrapping up -- it was well after business hours. I want to say it was 8 o'clock. Could have been a little bit later than that. My last task I was trying to do was, based upon direction I was given from the acting secretary, he wanted me to reach out directly to Rich Donoghue, who I believe at the time was serving the role as the acting deputy attorney general, and he wanted me to reach out to see if DOJ needed anything
 additional from DHS.

I recall trying to reach Rich via email with no luck. I recall reaching out to
David Burns from DOJ as well as Dave Bowdich, the deputy of the FBI. Dave Bowdich
was not reachable either via email or I had his personal cell phone and he wasn't
picking up his cell phone.

7 David Burns finally -- I did get ahold of him and I told him why I was trying to reach Rich. He told me he would reach out to Rich Donahue and have Rich get ahold of me. 8 9 As I recall, we didn't connect until sometime 8 o'clock or later. And at that point, Rich 10 was actually in the Capitol and I told him basically what we had done up until that point, 11 that we had reached out to the Capitol Police and as of 4:00 p.m., they had no specific 12 additional ask for us and asked us to just stand by. And I recall Rich said, yeah, that seems prudent -- basically paraphrasing now -- I'm up here right now and, yeah, there's 13 more than enough law enforcement and National Guard up here now. Don't see a need 14 15 for additional DHS up here on Capitol Hill. I said Roger, I'll just get that back to the secretary, just want to make sure that you all had anything you need from a DOJ 16 17 standpoint.

So that was my last task. And then I recall sending up an email to the secretary and deputy secretary letting them know I talked to Rich. And I think after I sent that e-mail was when I departed for the day.

Q Copy that, sir. And one last question about the 6th that just occurred to me that I didn't ask you during our informal, so I apologize. But do you recall -- well, let me ask it this way.

Our understanding is that acting AS2 Ken Cuccinelli actually went to the Capitol on the 6th. Were you aware of that and was that coordinated with you at all or your

1 office?

2 А I'm not aware of that now. So, no, it would not have been coordinated 3 with us. It may have been -- and again, assuming if he did go up there, it would have been, I would assume, after the fact in the evening sometime. But no. 4 5 All I recall ending the evening with with the deputy secretary is two things. One, he had a number of media hits the next morning, so I know he wanted to know 6 7 what he could and couldn't talk about as far as with the media the next morning. Because, again, I told him as far as DHS numbers, how many officers we sent from 8 9 which agencies and he wanted to know what. So I advised him to stay clear of 10 specific numbers, just keep it broad and general. 11 And I recall also he had asked for a formal brief on everything first thing when he got in on Thursday morning the 7th. So I recall working with the Secret Service, working 12 with my own team to make sure we had a good executive brief ready to go first thing in 13 the morning when the deputy showed up to work. 14 15 Q Copy that, sir. Thank you. 16 Once again, I'll pause here before we move on to -- I promise you, sir, we're coming to the end of the tunnel. But before we move on to the summer of 2020, do 17 any of my colleagues have any questions for Director Tomney about what we've 18 19 discussed regarding January 6th itself? 20 Okay, sir. So let's -- we'll come back to January 6th and the form of 21 recommendations towards the end, but let's talk about the summer of 2020 and sort of 22 what was DHS ops' role in addressing and dealing with the civil unrest, not just locally 23 here in the national Capitol region, but also in other parts of the country as it related to a 24 number of social injustice issues to include the death of George Floyd. Right. So I and my staff became involved, again, as I recall, it was Memorial 25 А

Day weekend in May where I took a phone call from the acting secretary who indicated
 that he had just gotten off the phone with Attorney General Barr, and the AG and the
 acting secretary talked.

And the secretary told me that he gave the attorney general my name and asked me to act as the DHS point attorney. So if the attorney general needed anything from DHS, to please rely on Chris Tomney and that I should expect a call from, you know, someone from the attorney general's office who was going to be my counterpart. And that ultimately turned out to be David Burns that I've mentioned already.

10 That was right before the week of 2 June, Monday, 2 June, with all the incidents 11 that occurred that week in the city, to include the issues at Lafayette Park, the issues with 12 the church, et cetera.

13 So as the summer progressed, what we would do within my staff is we would do a daily synopsis each morning. It was a separate report that listed any significant 14 15 civil unrest issues from around the country that we would compile into a single report on a daily basis and only on days when there was unrest. I mean, if there was 16 17 nothing to report, we would not produce one, so there was not certainly one every 18 day. There was one every day at the lead-off of the summer, but, again, as we 19 approached the fall and winter and things started to settle down, they became less 20 and less frequently until we no longer did them.

But what we would do is not report on the actual First Amendment protected protests, but we would be reporting on the criminal activities. We would be reporting on the arrests. We would be reporting on the issues that affected Homeland Security. As we grilled our staff, folks can, you know, protest and march, et cetera, all they want, but it's when they cross that line into criminal matters is when we need to

be doing the reporting. And again, because we're in the business providing that
 situational awareness.

So those daily reports, when they would be compiled by the watch, they would go up to the secretary and all our DHS senior leaderships and then, through my relationship with DOJ, I would share that directly with both David Burns and David Bowdich of the FBI just so, again, we would be able to have the same shared understanding of level of violence, level of criminal activities in the various cities from the night before.

8 In many of these cities, we also coordinated law enforcement responses. So 9 take, for example, Portland, Oregon where it was safeguarding and providing the 10 physical security to the Hatfield Courthouse that became the center of gravity for a 11 number of the violent protests. But that courthouse was jointly guarded and 12 protected by both DOJ as well as DHS. You had U.S. marshals in the courthouse, you 13 had Federal Protective Service individuals there. So, again, it was to share that 14 baseline awareness between the two departments.

Additionally over the summer, my role was, again, as the title is of my office, operations coordinations, we helped to coordinate and provide resources to other components when they needed additional support.

So in the context of civil unrest, FPS, they, as an agency, did not have nearly enough law enforcement officers to safeguard all the facilities in all the cities where we had criminal unrest. So FPS would leverage my staff routinely to help find additional law enforcement LEOs so FPS could do their primary mission of protecting federal property and federal facilities.

So the way we would do that is FPS would try to leverage their own resources
first, both within their regions and -- again, they divide up the country into FPS
regions -- so they would try to get additional FPS officers from a neighboring city within

their own specific region, if they need them at a certain location. But if the threat and
level of violence exceeds what the region can provide, it then goes up to FPS
headquarters here in Washington to say let's divert resources from another region to help

4 in a specific FPS region.

5 But if it exceeds what FPS can do across their entire agency, then it would come to 6 me and then we would act as, again, that facilitator to call snap OPSDEPs to say, okay, 7 FPS, ICE, you know, Secret Service, et cetera, what do you have available? Can you 8 provide any additional resources? And we were to, again, connect FPS to our DHS 9 components.

Interagency, we would do the same thing, not nearly on the same volume. But I recall, again, it was during July -- during the 4th of July when we had the threats to the national monuments, again also over Columbus Day in October when we had threats to Christopher Columbus statues in various cities around the country, that DOI, Department of the Interior and specifically the National Park Service and the Park Police, would ask us as a department, DHS, could you provide us, DOI, with some additional LEOs to help safeguard national parks, national monuments, statues, et cetera.

17 One of the things we did, for example, is we sent some law enforcement officers 18 from DHS up to Gettysburg to help the National Park Police, you know, safeguard a 19 number of the monuments up there at Gettysburg.

20 But I'll stop there, see if that elicits other questions.

Q Yes, sir. I appreciate it.

21

Just one question in addition to what was a very good explanation about the

23 role of DHS ops during that time period. We've come to learn during our

24 investigation that -- and this is also, I believe, a part of public reporting, open source

25 reporting at the time -- that DHS INA had sort of a fundamentally different role in 2020

1 than it did on January 6th in that they were sort of gathering information about

2 people, about protestors, about reporters.

Was INA sharing any of that information with you or your office in that capacity while you were carrying out your normal functions in response to what you've already described? What interaction, if any -- may be a better way to ask the question -- did you all have with DHS INA at that time.

A Yes. So DHS INA is a part of the OPSDEPs forum. And in the context of civil unrest, whenever we would do these snaps OPSDEPs calls, which we would do them fairly regularly, especially during the summer of 2020, INA would be on those calls as well. Because, again, as we're responding to a specific threat in a specific city, we would always ask and INA would always be there to say what do we know? What's the latest? You know, if we got an operational response, we're spending FPS or other LEOs, what's the latest threat that we're aware of.

14 So INA was a part of all those snap OPSDEPs calls and, yes, would be providing 15 us whatever they knew at the time.

16 Q Copy that, sir. | appreciate it.

Again, I'll pause here before we move on to our last question to see if anyone
on the call has any questions about the summer of 2020 for Director Tomney.

All right, sir. As promised, last, but certainly not least, we ask all of the folks who give us their time, of which we are very appreciative of your time both before and now,

21 but for their own -- to the extent you have -- understanding that they're personal

recommendations or thoughts -- about what we -- again, defined as the collective federal

23 government we, to include our federal and state, local, tribal partners -- what can we do?

24 What are some lessons learned from your perspective, not just based on what you

experienced on the 6th itself, but your vast experience in the Coast Guard at JIATF South.

What are some things that we might do differently or better to prevent something like
 this from happening again both within the context of DHS itself and, again, outside of DHS
 to the greater sort of federal government.

A Yeah. No, I appreciate the question because I've given this a lot of thought
over the months as I know many folks have as well.

You know, I'll start off my answer by saying -- and it may not be a very popular
answer, an answer that's shared by many, but I don't view January 6 necessarily as an
intelligence failure. I think we had enough intelligence. I think we had enough
awareness that there was going to be large crowds. I think we had enough
awareness -- we knew there was going to be the strong likelihood of violence. I think
we had the general awareness that there was going to be the strong likelihood that
people were going to be armed and bringing weapons, et cetera.

13 So to me, again, there was enough knowledge out there, maybe not knowledge 14 that specifically the Capitol was going to be stormed, but, you know, we knew that the 15 Capitol was going to be the center of gravity. We knew about the crowd size. We knew about the potential for violence. We knew as an interagency that generally the 16 17 focus in the morning was going to be at the function on The Ellipse, and we knew that the focus was going to be up on Capitol Hill in the afternoon. So none of that is a surprise, 18 19 and I think the intelligence gave us good, strategic warning about what to expect on the 20 day.

21 Where I think we collectively all came up short on that day was how do we 22 communicate -- I mean, it's the same lessons from 9/11, that we were all in various levels 23 of silos and therefore the adversary was able to take advantage of the seams and the 24 gaps between the various agencies and the jurisdictions, et cetera, and because everyone 25 was in charge, no one was in charge.

And so what we could do differently is, one, we need to have better planning going into major events like this. It's all well and good to have discussions and baseline awareness and knowledge, but we're -- you know, from my Coast Guard experience and spending five years in two joint commands working with DoD, where's the campaign plan? Where is the branch and sequel plannings, meaning if this happens, then that? If this, you know, occurs, then we will do that.

To me, we had ample law enforcement in the city on January 6th. I don't think it
was a lack that law enforcement didn't have enough trained law enforcement officers.

9 We had plenty of law enforcement officers. What we did not have was we did not have

a contingency plan that if a federal -- or if any location was suddenly, you know,

overtaken or became the focus point of an angry mob, how are we going to all respond in
a coherent, integrated fashion? Whether it was the U.S. Capitol, whether it was the
White House, whether it's Lafayette -- you know, it doesn't matter. If the crowd
suddenly went into one location, how are all the various overlapping jurisdictions going
to, you know, respond to it.

16 So I think that's lesson number one is we did a very poor job of planning. We 17 didn't have any contingency plans at all in place or, if we did, we didn't leverage those 18 contingency plans.

Another recommendation is I think from the National Incident Management System, NIMS, I don't think we leveraged all the same lessons that we learned and that we utilize in other major incidents. There was never, that I'm aware of anyways, there was never a national incident command that was established for this. There was a number of command centers. There was a number of operations centers. FBI had their SIOC. FBI had their Washington Field Office. Metro PD had their joint operations center. You know, Capitol Police, you know -- so everyone had their own thing, but You know, if you all think back, for example, you know, I'll pick one, like the
Boston Marathon bombing. You know, after that bombing occurred, you know,
everyone rallied around. There was -- you know, from the district attorney to the chief
of police to the FBI SAC up in Boston, they were speaking as a single interagency body.
They were working in a coherent fashion to find the bombers.

where are all the top leaders all coming together to speak as a single integrated voice?

1

You know, on January 6th, we didn't have a coherent, integrated, national incident
command structure in place. So that would be, you know, another lesson is how do we
put that in place or how do we have it on paper? How do we exercise it? And then
how do we implement it when we have a major incident like that, you know, like January
6th. God forbid we have something like that, but any major incident where you need to
bring the agencies together.

Third, which may be a long pole in the tent because it goes back to our U.S.
Constitution, is the separation of powers. But the fact is that, you know, the Capitol
grounds are, you know, a different branch of government than the Executive Branch.

So, you know, I think most, if not all of you, are lawyers and I'm not a lawyer, but from my understanding is our federal law enforcement agencies do not have independent jurisdictions to enforce federal laws on the U.S. Capitol grounds because that's under the sole authority of the Legislative Branch. Just like we don't have any sort of independent authorities to enforce federal laws on the grounds of the Supreme Court because that's the Judiciary Branch.

So I don't know if there's a way to get around that or have overlapping or joint jurisdictions. But it was my understanding on January 6th, just like it's my understanding today -- and I could be wrong -- but we could not unilaterally send any DHS LEOs up to Capitol Hill without the express authority being delegated by the Capitol Police 1 to us in DHS because we're a different branch of government.

2 So I don't know how you could, you know, fix that or if it is even fixable or it's just 3 one of the bumps in -- you know, necessary bumps in having a free and open society is the separation of branches. But again, you can mitigate it. If you can't fix the 4 5 jurisdictional piece, you can mitigate it by having plans, by exercising plans, by having joint structures where you have a rep from the Legislative Branch, a rep from the 6 7 Judiciary Branch and a rep from the Executive Branch speaking as, no kidding, they are the leaders for this event speaking on behalf of all three of the branches of government. 8 9 I think we failed short as an Executive Branch because there was, I think, a 10 reluctance acknowledgment of who was the lead federal agency, but that was never 11 formally disseminated, that was never actively discussed. It was kind of -- it was very 12 loose, that designation. And there was no firm direction/guidance given to the 13 interagency because the lead federal agency only at the last second, the last days, really acknowledged their role of that going forward. 14 15 I think from a DHS perspective, one of the things I think that we, I think, need to do better as a department is figure out what is our departmental's roles and missions for 16 acts of civil unrest, civil disobedience, you know, et cetera. 17 18 Because I think, if I were to poll contemporaries and peers here in the 19 department, I think I would get different points of view. I think some view us as a 20 national police force, which I don't believe that was the intention of Congress, my personal belief, when DHS was created, that we're not a national police force, a 21 22 national police agency to be called upon. 23 But I think some believe that and I think others agree with me that they don't 24 think that should be our role. And one of the things from January 6th all the way

through the previous summer of 2020 that the various unrest in the city showed us is we

have a very high demand, low density number of trained, qualified and equipped law
enforcement officers who could actually be able to respond to an issue of violence and
civil unrest. Meaning we don't have a large number of folks that are trained in riot
control techniques, that have the appropriate shields and helmets and nonlethals, et
cetera. We just don't have.

So whereas on paper we maybe have, you know, thousands -- tens of
thousands of law enforcement officers, only a very small percentage that actually get
put into what we saw on January 6th on the front lines and would be able to be
effective because they are trained and equipped to do so. We don't have a large
number.

11 So I don't know what the right number is. I think we have too few. I don't 12 know what the target should be, but I think that should be something that ought to be 13 looked upon going forward.

But I'll stop there, Bryan. I think those are some of the major, you know,
takeaways from my experience leading up to January 6.

Q Appreciate that, sir. And, yes, your understanding of the separation between church and -- separation of powers is correct, you're right, you know. The Executive Branch can't just show up on USCP's door -- United States Capitol Police's door and start doing stuff without their permission.

And I think it's interesting that you point that out because a lot of folks have talked about that issue just within the Executive Branch. Like, you know, with -- for example, in D.C., you have like -- you know, the Park Police has got this piece of it and the Secret Service has got this piece of it. But I think you're the first person to sort of articulate that as it applies to the different branches of government, so I think that's a very useful recommendation. 1 Another thing that you brought up that I thought was very interesting is this idea 2 of some sort of regular high-level meeting between the executive, judiciary and the 3 legislative on, you know, these operational issues. You come from an ops and planning background, you know, and maybe there's some value in, you know, having some kind of 4 regular meet where folks get together and say, hey, if something happens and it touches 5 6 all three of us, this is our plan, this is our contingency, this is how we're going to respond. 7 So I really appreciate all of your recommendations, but those two particularly resonated 8 with me.

9 I'll pause and ask one last time. Anything from my team, any last questions for
10 Director Tomney before we let him go? Hearing none, I'll ask if there's anything from
11 Hilary or Victoria, anything that you'd like to put on the record before we adjourn.

Ms. <u>Rapoport.</u> I don't have anything. Thank you.

Mr. Okay. Great.

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Q Well, Director Tomney, I want to thank you again for your time. Thank you for your service. Thank you for making your time during both in the informal and during this transcribed interview. Both were very, very helpful to the committee's work and I thank you for that.

19 Do you have any final questions for me, sir, before we call it a day?

A No, I don't, I appreciate it. I'm happy to help you and the committee out. As I said after the first time, if you have any follow-up questions you think of after the fact, more than happy. I think it's very important work what the committee, what you all are doing up there. So happy to, again, answer any additional questions as they may come up in the future.

25 Q Appreciate that, sir. And I haven't forgotten your open invitation for us to

- 1 come visit the NOC as well.
- 2 A Absolutely.
- 3 Q So I'll probably be taking you up on that.
- Mr With that said, this transcribed interview stands adjourned.
 Have a very happy weekend, sir, happy Easter and thank you all for your participation.
 Mr. Tomney. All right. Thank you as well. Thanks, everyone.
 (Whereupon, at 11:42 a.m., the taking of the instant interview concluded.)

1	Certificate of Deponent/Interviewee.
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4	I have read the foregoing pages, which contain the correct transcript of
5	the answers made by me to the questions therein recorded.
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