

**II. FINANCIAL DATA AND CONFLICT OF INTEREST (PUBLIC)**

1. List sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, client, or customers. Please describe the arrangements you have made to be compensated in the future for any financial or business interest.

I have no anticipated future receipts, except that as a full-time officer of instruction at Columbia University until June 1980, I was covered under the following retirement plan:

TIAA/CREF Annuity Plan for officers (membership was automatic, contributions were made annually by the University) and TIAA/CREF Supplemental Retirement Annuity (voluntary contributions made pursuant to a salary reduction agreement).

The accumulated balance in my TIAA/CREF account is shown on Schedule D to the attached financial net worth statement.

I have no arrangements to be compensated in the future for any financial or business interest.

2. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern. Identify the categories of litigation and financial arrangements that are likely to present potential conflicts of interest during your initial service in the position to which you have been nominated.

If confirmed, I would disqualify myself in any proceeding in which my impartiality might reasonably be questioned. I would decline to hear or participate in any case with which I have served or participated, whether as lawyer, judge, or in any other capacity. Similarly, I would decline to hear or participate in any case with which another lawyer in my family is serving or participating, or has served or participated, whether as lawyer, judge, or in any other capacity.

Overall, I would seek to follow the letter and spirit of the Code of Conduct for United States Judges (although it is not formally binding on members of the United States Supreme Court), the Ethics Reform Act of 1989, 28

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U.S.C. §455, and all other relevant prescriptions. These standards, of course, do not compel disqualification on the basis of a jurist's views on legal principles or expressions concerning the law itself as distinguished from application of the law to a particular matter. They do indicate, however, the obligations of a judge to exercise self-discipline, to reason dispassionately and to decide cases within the framework of the relevant legal rules. I would attempt diligently in all cases in which I may participate to meet these obligations.

I am not aware of any category of litigation or any financial arrangement that is likely to present a potential conflict of interest during my service in the position to which I have been nominated.

3. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the Court? If so, explain.

I have no plans, commitments or agreements to pursue outside employment, with or without compensation, during my service with the Court. If it is fully consistent with all ethical standards for members of the federal judiciary, I may occasionally accept writing and lecture invitations from bar and community groups, universities, and similar institutions. I would do so only when there is no conflict with my duties and allegiances as an Associate Justice of the United States Supreme Court. I have undertaken one commitment of this character for a future year: to deliver the Tyrrell Williams Lecture in Law in 1995 at Washington University School of Law in St. Louis, Missouri.

4. List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including any salaries, fees, dividends, interest, gifts, rents, royalties, patents, honoraria, and other items exceeding \$500 or more. (If you prefer, copies of the financial disclosure report required by the Ethics in Government Act of 1978 may be substituted here.)

Copies of the financial disclosure report required by the Ethics in Government Act of 1978, filed by me (1) on May 1, 1993 for the calendar year 1992, and (2) on June 21, 1993, covering the period January 1, 1993 through June 1, 1993, are attached as, respectively, Appendix II-1 and Appendix II-2.

5. Please complete the attached financial net worth statement in detail (add schedules as called for).

The completed statement is attached.

6. Have you ever held a position or played a role in a political campaign? If so, please identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

Please supply one copy of any memoranda analyzing issues of law or public policy that you wrote on behalf of or in connection with a presidential transition team.

I have never held a position or played a role in a political campaign. I have never assisted in or prepared any memoranda for or in connection with a presidential transition team.

**Ruth Bader Ginsburg and Spouse (S)**  
**FINANCIAL STATEMENT**  
**Net Worth as of June 1, 1993**

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings), all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	\$40,470	Notes payable to banks - secured	-0-
U.S. Government securities - see Schedule A	100,000	Notes payable to banks - unsecured	-0-
Listed securities	-0-	Notes payable to relatives	-0-
Unlisted securities - see Schedule B	2,580,300	Notes payable to others	-0-
Accounts and notes receivable:	-0-	Accounts and bills due	-0-
Due from relatives and friends	-0-	Unpaid income tax	-0-
Due from others	-0-	Other unpaid tax and interest	-0-
Doubtful	-0-	Real estate mortgages payable -- see Schedule E	\$60,000
Real estate owned - see Schedule C	1,300,000	Chattel mortgages and other liens payable	-0-
Real estate mortgages receivable	-0-	Other debts - itemize:	-0-
Autos and other personal property	100,000		
Cash value - life insurance	-0-		
Other assets - itemize			
see Schedule D	2,075,000		
		Total liabilities	\$60,000
		Net worth	6,135,770
Total Assets	\$6,195,770	Total liabilities and net worth	6,195,770

CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, comaker or guarantor	-0-	Are any assets pledged? (add schedule)	NO
On leases or contracts	-0-	Are you defendant in any suits or legal actions?	NO
Legal Claims	-0-	Have you ever taken bankruptcy?	NO
Provision for Federal Income Tax (handled through salary withholding)	-0-		
Other special debt	-0-		

**Ruth Bader Ginsburg:**  
**Schedule A**  
**U.S. Government Securities**

U.S. Treasury Notes, 9.375% 7 years, due 4/15/96

\$100,000

**Ruth Bader Ginsburg:****Schedule B**  
**Unlisted Securities**

Excluding funded retirement accounts  
which are listed on Schedule D

**Code:** J is Joint Ownership, S is owned by Spouse

1.	(J) District of Columbia 10% General Obligation Bonds Prefunded to 12/1/95	\$260,000
2.	(J) The Pierpont Tax Exempt Bond Fund	424,900
3.	(J) The Pierpont Fund	947,200
4.	(J) The Pierpont Tax Exempt Money Market Fund	11,800
5.	(S) Twenty-four Puerto Rico Urban Renewal and Housing Corp. 5% New Housing Authority Bonds	120,000
6.	(S) Dreyfus Liquid Assets, Inc. (Money Market Fund)	10,400
7.	(S) 20 shares of common stock and 4 shares of preferred stock of The Racquet Club of Easthampton, Inc.	150,000*
8.	(S) 1.5 Class A shares and 4.5 Class B shares in AVI Holding Corp.	5,000*
9.	(S) 10% general partner interest in Westgoma Associates, which holds a limited partnership interest (8% current yield plus 5% residuary interest) in M. Westport Associates, which in turn is a 50% general partner in Westport Office Co., a partnership organized to construct an office building in Westport, Ct.	25,000*

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\* No market; value is estimated.

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| 10. | (S) 7.5472% general partner interest in Wegomo 1974 Associates which holds a 1.08116% limited partnership interest in Starrett City Associates and a 9.89009% limited partnership interest in Manhattan Plaza Associates; these limited partnerships constructed and operate housing projects in New York City | \$75,000* |
| 11. | (S) 17.5% general partnership interest in Wegomo 1975, which holds a 16.660% limited partnership interest in Regency III Associates which constructed and operates an apartment project in Richardson, Texas   | 1,000*    |
| 12. | (S) Martin D. Ginsburg, P.C., a professional corporation (legal services) which is counsel to Fried, Frank, Harris, Shriver & Jacobson (value is equity value of P.C. plus estimated present value of unfunded retirement accounts as of June 1, 1993)   | 550,000*  |

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\* No market; value is estimated.

**Ruth Bader Ginsburg:**  
**Schedule C**  
**Real Estate Owned**

Cooperative apartment (personal residence), Apt. 108, 700 New Hampshire Avenue, N.W., Washington, D.C. 20037, together with three underground garage parking spaces in the building (value is estimated in light of original cost, improvements, and recent sales information)

\$1,300,000



**Ruth Bader Ginsburg:**  
**Schedule D**  
**Funded and Federal Retirement Accounts**

**Code: S is owned by spouse; accounts not so marked are owned by nominee**

1.	Dreyfus Liquid Assets, Inc. (I.R.A.)	\$18,000
2.	H.R. 10 (Keogh) Account maintained with Dreyfus Liquid Assets, Inc. (contributions were made from publication royalties, etc.)	30,000
3.	TIAA/CREF Retirement Accounts (including SRA) (contributions were made while law school professor)	551,000
4.	Federal retirement	31,000
5.	(S) Dreyfus Liquid Assets, Inc. (I.R.A.)	18,000
6.	(S) Merrill Lynch Custody Account (rollover I.R.A.), initially funded 6/29/89	318,000
7.	(S) TIAA/CREF Retirement Accounts	509,000
8.	(S) Fried, Frank, Harris, Shriver & Jacobson (law firm); value is funded retirement accounts at 6/1/93	600,000

**Ruth Bader Ginsburg:**  
**Schedule E**  
**Real Estate Mortgages Payable**

Share of mortgage on apartment building (700 New Hampshire Avenue, N.W., Washington, D.C. 20037) that is allocable to co-op apartment #108, in which we live	\$60,000
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