

# BUDGET JUSTIFICATION

Fiscal Year 2014



**U.S. GOVERNMENT PRINTING OFFICE**

732 North Capitol Street, NW, Washington, DC 20401

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March 1, 2013

The Honorable Rodney Alexander  
Chairman  
Subcommittee on Legislative Branch Appropriations  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Jeanne Shaheen  
Chairwoman  
Subcommittee on Legislative Branch Appropriations  
Committee on Appropriations  
U.S. Senate  
Washington, DC 20510

Dear Chairman Alexander and Chairwoman Shaheen:

I have the honor to transmit herewith the appropriations request of the U.S. Government Printing Office (GPO) for FY 2014.

Our request includes the Congressional Printing and Binding Appropriation and the Salaries and Expenses Appropriation of the Superintendent of Documents, which fund GPO's provision of congressional information products and services as authorized by law and our provision of public access to congressional and other Government information products through statutorily-established programs. All other GPO functions and activities—including the production of U.S. passports for the State Department as well as secure credentials for congressional and agency use, the production and procurement of information products and services for Federal agencies, the sales of Government information products and services to the public, and related operations—are financed on a reimbursable basis through GPO's business-like Revolving Fund, which is authorized through the annual Legislative Branch Appropriations bill.

GPO is requesting a total of \$128.5 million for FY 2014, an increase of just 1.2% over current funding, which as you know is our continued appropriations from FY 2012 plus 0.612% as provided by P. L. 112-175. Our continuing program of cost-cutting activities has made this funding request possible. Reflecting congressional priorities for increased use of digital formats to supplant printed products, our request includes a proposed decrease of \$11.5 million in the Congressional Printing and Binding Appropriation, an increase limited to \$609,000 in the Salaries and Expenses of the Superintendent of Documents, and an increase of \$12.4 million in appropriations to the Revolving Fund, primarily for investment in digital technology products and services. There are also unexpended balances from prior year appropriations that we will request to be transferred forward to cover projected workload requirements, which will also reduce our need for new funds.



GPO's appropriations request for FY 2014 will enable us to:

- meet projected requirements for GPO's congressional printing and binding operations during FY 2014;
- fund the operation of GPO's statutory information dissemination programs and provide investment funds for necessary information dissemination projects; and
- continue the development of our Federal Digital System, which provides free public access to digital congressional and agency information; implement other improvements to our IT and facilities infrastructure; and invest in more efficient equipment supporting congressional work.

**Congressional Printing and Binding Appropriation** We are requesting \$79,736,000 for this account, representing a decrease of \$11,519,000 from the level of funding currently provided for FY 2013.

We estimate that total congressional printing and binding requirements for FY 2013 will be \$89,671,000, based on historical data. Last year, with the approval of the Appropriations Committees, we transferred \$9,935,000 in prior year unexpended balances of this account to the Revolving Fund, where it remains available to fund Congressional Printing and Binding requirements, reducing our requirement for new funding to our requested level of \$79,736,000. Any additional unobligated prior year balances will be requested for transfer to the Revolving Fund.

House Report 112-148, accompanying the Legislative Branch Appropriations bill for FY 2012, requires the presentation of budget requirements for FY 2014 from a zero base. However, GPO has no control over the workload requirements of the Congressional Printing and Binding Appropriation. These are determined by the legislative activities and requirements of the House of Representatives and the Senate as authorized by the applicable provisions of Title 44, U.S.C. GPO utilizes historical data incorporating other relevant factors to develop estimates of likely congressional printing and binding workload requirements. These requirements are used as the basis of the budget presentation for this account.

The estimated requirements for FY 2014 include a price level increase of \$1,503,000, based on an average 2% increase due to projected increases in printing costs. They also included a projected \$1,227,000 in volume increases, due principally to business and committee calendars, the *Congressional Record*, congressional bills, and other workload categories. Funding for the 2012 edition of the *U.S. Code* is not included in our requirements for FY 2014.

**Salaries and Expenses Appropriation of the Superintendent of Documents** We are requesting \$35,823,000 for this account, an increase of \$609,000 over the current FY 2013 level. The requested amount is based on the outcome of using zero-based budgeting to determine the proper levels of funding needed to perform program activities at minimum levels, as directed by House Report 112-148.



Our total requirements for FY 2014 are projected to be \$39,323,000. This includes \$3,500,000 in projects to upgrade and enhance the Integrated Library System (\$2,100,000), development of the National Bibliographic Records Inventory (\$700,000), and the second phase of an inventory and preservation process related to FDLP collections currently stored at GPO facilities (\$700,000). However, at this date there is approximately \$3.5 million in remaining unexpended balances from the Salaries and Expenses Appropriation from FY 2008. We expect to request the authority to transfer these funds to the Revolving Fund to cover these project costs.

Our requested funding covers mandatory pay and related cost increases of \$266,000. Merit and other pay increases are included for 114 FTE's, the same level as FY 2013. In addition, the requested funding covers projected price level increases of \$343,000, including ongoing systems maintenance and FDsys operating expenses.

**Revolving Fund** We are requesting \$12,919,000 for this account, to remain available until expended, to fund essential investments in information technology development, digital equipment, and facilities repair. Our request represents an increase of \$12,416,000 over the level of funding currently provided for this account for FY 2013.

The request includes \$7,449,000 for information technology development, including \$6,534,000 to continue developing FDsys, \$500,000 to modernize GPO's data center, and \$415,000 for Oracle business system improvements. These IT projects include components that will have a direct impact on the provision of digital information production and dissemination services for Congress. In addition, we are requesting \$4,000,000 to purchase and install a digital bindery line for congressional work, and \$1,470,000 to continue with elevator repairs and renovate a rooftop training room that is currently leaking water onto recently renovated elevators.

Chairman Alexander and Chairwoman Shaheen, we look forward to working with you and your Subcommittees in your consideration of our appropriations request for FY 2014.

Sincerely,

DAVITA VANCE-COOKS  
Acting Public Printer

Enclosure

cc: The Honorable Debbie Wasserman Schultz  
Ranking Member  
House Subcommittee on Legislative Branch Appropriations

The Honorable John Hoeven  
Ranking Member  
Senate Subcommittee on Legislative Branch Appropriations

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## Executive Summary and Results of FY 12 Operations

The Government Printing Office (GPO) is the **OFFICIAL, DIGITAL, SECURE** resource for producing, procuring, cataloging, indexing, authenticating, disseminating, and preserving the official information products of the Federal Government.

Under Title 44 of the *U.S. Code*, GPO is responsible for the production and distribution of information products for all three branches of the Government, including the official publications of Congress and the White House, U.S. passports for the Department of State, and the official publications of other Federal agencies and the courts. Once primarily a printing operation, we are now a publishing operation and we now carry out our mission using an expanding range of digital as well as conventional formats. Total GPO employment today is about 1,900.

Along with sales of publications in digital and tangible formats to the public, GPO supports openness and transparency in Government by providing permanent public access to Federal Government information at no charge through our Federal Digital System (FDsys, at [www.fdsys.gov](http://www.fdsys.gov)), which today makes more than 800,000 Federal titles available online from both GPO's servers and links to servers in other agencies, and sees more than 37 million documents downloaded every month. We also provide public access to Government information through partnerships with approximately 1,200 libraries nationwide participating in the Federal Depository Library Program.

In addition to GPO's Web site, **[www.gpo.gov](http://www.gpo.gov)**, we communicate with the public routinely via Twitter **[twitter.com/USGPO](https://twitter.com/USGPO)**, YouTube **<http://www.youtube.com/user/gpoprinter>**, Facebook **<http://www.facebook.com/USGPO>**, and most recently Pinterest **<http://pinterest.com/usgpo/>**.

**History** GPO first opened its doors for business on March 4, 1861, the same day Abraham Lincoln was inaugurated as the 16th President. Our mission can be traced to the requirement in Article I, section 5 of the Constitution that "each House shall keep a journal of its proceedings and from time to time publish the same." We have produced and distributed the official version of every great American state paper—and an uncounted number of other Government publications—since Lincoln's time, including the Emancipation Proclamation, the legislative publications and acts of Congress, Social Security cards, Medicare and Medicaid information, census forms, tax forms, citizenship forms, military histories ranging from the *Official Records of the War of the Rebellion* to the latest accounts of our forces in Afghanistan, the 9/11 Commission Report, Presidential inaugural addresses, and Supreme Court opinions.

**Technology Transformation** GPO has continually transformed itself throughout its history by adapting to changing technologies. In the ink-on-paper era, this meant moving from hand-set to machine typesetting, from slower to high-speed presses, and from hand to automated bookbinding. These changes were significant for their time. Yet they pale by comparison with the transformation that accompanied our incorporation of electronic information technologies, which began 50 years ago in 1962 when the Joint Committee on Printing directed the agency to develop a new system of computer-based composition. That order led to the development

of GPO's first electronic photocomposition system, which by the early 1980's had completely supplanted machine-based hot metal typesetting. Following the enactment of the GPO Electronic Information Access Enhancement Act in 1993, the databases generated by our composition system were uploaded to the Internet via GPO's first Web site, GPO Access, vastly expanding the agency's information dissemination capabilities. Those functions continue today with FDsys on a more complex and comprehensive scale.

As a result of these sweeping technology changes, GPO is now fundamentally different from what it was as recently as a generation ago. We are smaller, leaner, and equipped with digital production capabilities that are the bedrock of the information systems relied upon daily by Congress, Federal agencies, and the public to ensure open and transparent Government in the digital era. Our technology transformation is continuing with the development of new ways of delivering Government information, including apps and bulk data download files.

## **GPO and Congress**

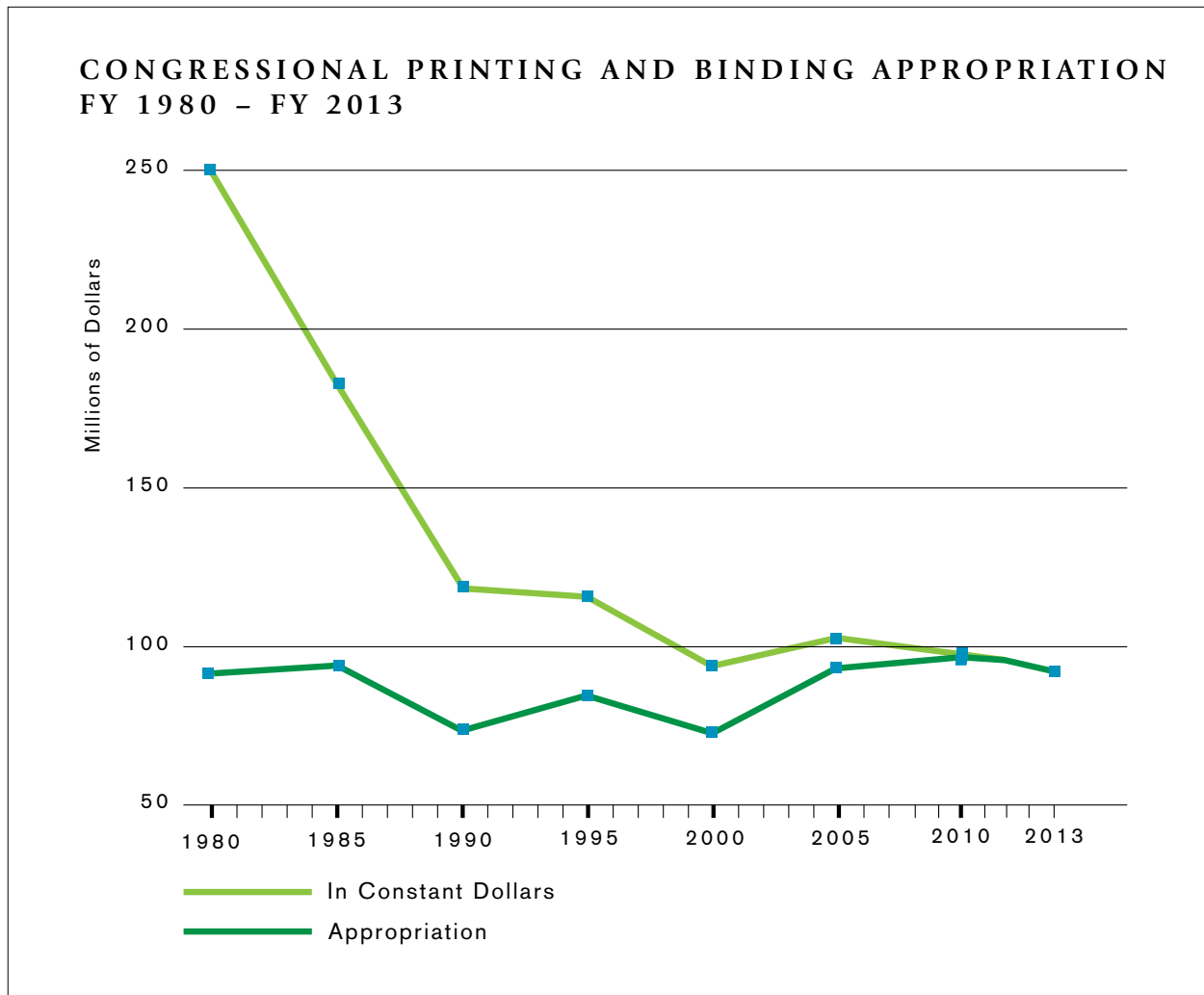
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For the Clerk of the House, the Secretary of the Senate, and the committees of the House and the Senate, GPO produces the documents and publications required by the legislative and oversight processes of Congress. This includes, but is not restricted to the daily *Congressional Record*, bills, reports, legislative calendars, hearings, committee prints, and documents, as well as stationery, franked envelopes, memorials and condolence books, programs and invitations, phone books, and the other products needed to conduct business of Congress. We also detail expert staff to support the information product requirements of House and Senate committees and congressional offices such as the House and Senate Offices of Legislative Counsel.

Today the activities associated with creating congressional information databases comprise the vast majority of the work funded by our annual Congressional Printing and Binding Appropriation. In addition to using these databases to produce printed products as required by Congress, GPO uploads them to the Internet via FDsys, and they are the source of the apps we build for congressional information. Our advanced digital authentication system, supported by public key infrastructure (PKI), is an essential component for assuring the digital security of congressional documents.

GPO's congressional information systems also form the building blocks of other information systems supporting Congress. Our congressional information databases are provided directly to the Library of Congress (LOC) to support its THOMAS system—and the new Congress.gov system—as well as the legislative information systems the Library makes available to House and Senate offices. We are also collaborating with the Library on the digitization of previously printed documents, such as the *Congressional Record*, to make them more broadly available to Congress and the public, and on the development of a new process for updating the digital edition of the *Constitution Annotated*.

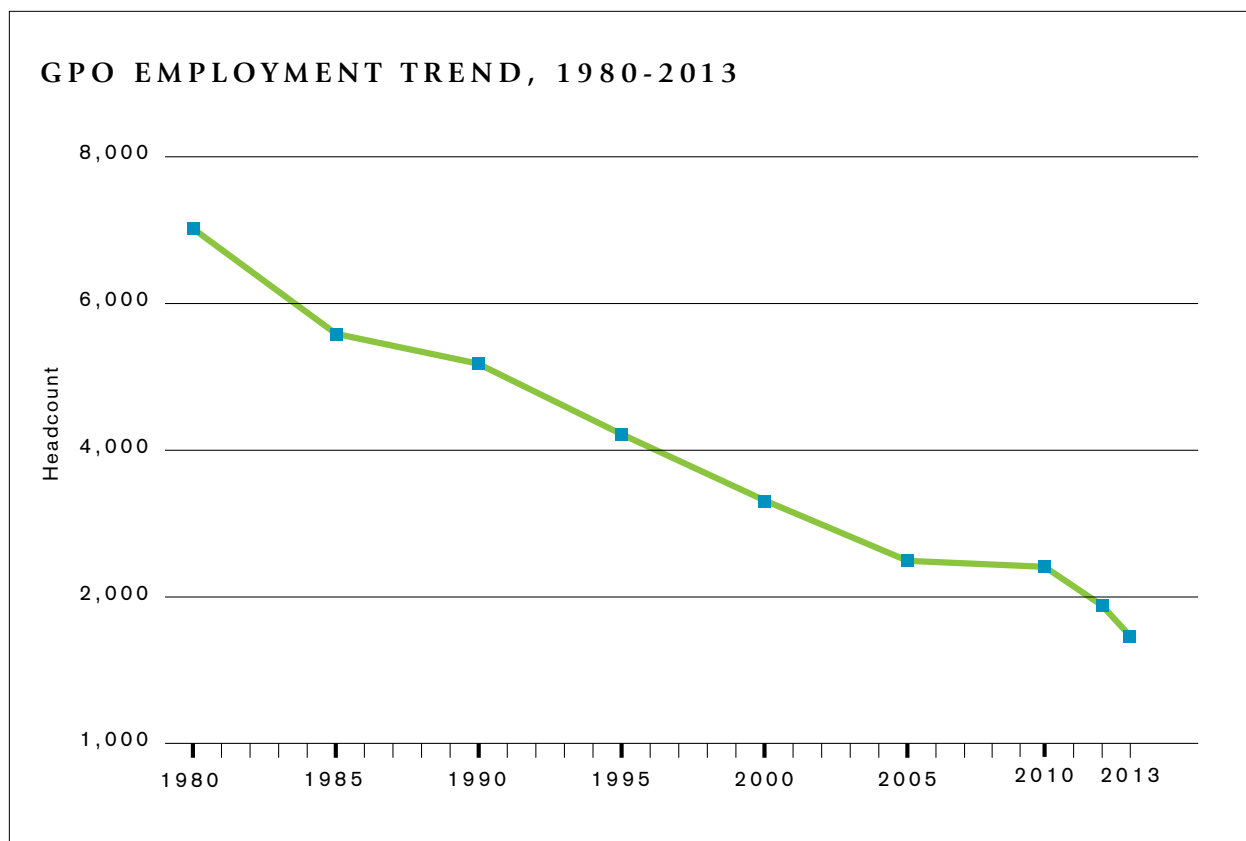
**GPO Cuts the Cost of Congressional Work** The use of electronic information technologies by GPO has been a principal contributor to lowering the cost, in real economic terms, of congressional information products. In FY 1980—as we began replacing hot metal typesetting with electronic photocomposition—the appropriation for our Congressional Printing and Binding Appropriation was \$91.6 million, the equivalent in today's dollars of \$255.9 million. By comparison, our approved funding for FY 2013 under the current continuing resolution is \$91.3 million, a reduction of nearly two-thirds in constant dollar terms.



Productivity increases resulting from technology have enabled us to make substantial reductions in staffing requirements while continuing to improve services for Congress. In 1980, GPO employment was approximately 6,450. Today, we have approximately 1,900 employees on board, representing a 31-year reduction of 4,550, or more than 70%. This is the smallest GPO workforce of any time in the past century.

**Highlights of FY 2012 Congressional Work** In 2012, we introduced our first app, the *Mobile Member Guide*, which provided the public with quick, easy access to information on Members of the 112th Congress. We also collaborated with LOC for the creation of an iPad app for the daily *Congressional Record*. Late in the year, we made *United States Policy and Supporting Positions*, or the *Plum Book* as it is popularly known, available for the first time as an app.

At the direction of the House Appropriations Committee, and in support of the task force on bulk data established by House report 112-511, we have begun making House bills available in XML bulk data format, beginning with the 113th Congress. Making information available in this format permits data to be reused and repurposed not only for print output but for conversion into ebooks, apps, and other forms of content delivery, including data mashups and other analytical



tools by third party providers, which contribute to openness and transparency in Government. For users for whom access to the authenticated versions of congressional and other Government publications is critically important, we make available digitally-signed files in PDF format that match the printed document. We are currently working with LOC to make additional information available in XML bulk data format.

About a year ago we started work on the requirements for the 2013 Presidential inauguration, under the direction of the Joint Congressional Committee on Inaugural Ceremonies. We designed and produced approximately 80 different products for the event, including invitations, tickets, signs, pins, and other items that supported the organization and conduct of the inaugural ceremonies. We also produced secure credentials for the event.

## **GPO and Federal Agencies**

Federal agencies are major generators of information content, and GPO produces their information products for official use and public access. Federal agencies and the public also rely on a growing variety of secure credentials produced by GPO, including travelers holding U.S. passports, frequent U.S. border crossers, Medicare beneficiaries in Puerto Rico, and other users. Our digital systems support key Federal agency publications, including the annual *Budget of the U.S. Government* and the *Federal Register* and associated products. As it does for congressional documents, our digital authentication system, supported by public key infrastructure (PKI), assures the digital security of agency documents.

**Highlights of FY 2012 Agency Operations** In 2012 we made the *Budget of the U.S. Government* available for the first time as a mobile app. The app had more than 53,000 visits in the first 24 hours. For this work, we received a Digital Government Achievement Award from the Center for Digital Government, which recognizes outstanding web sites and applications developed by Federal, state, and local Government agencies. With the Office of the Federal Register, we also developed an app for the *Public Papers of the President*. This app has search capabilities allowing users to access content about the President by searching by date, category, and subject, as well as a geolocation feature providing users with access to the most recent content near their location.

A major document that GPO produces is the U.S. passport for the Department of State, which we have been responsible for since 1926. At one time no more than a conventionally printed document, the U.S. passport since 2005 has incorporated a digital chip and antenna array capable of carrying biometric identification data. With other security printing features, this document—which we produce in Washington, DC, as well as a remote facility in Mississippi—is now the most secure identification credential obtainable. We also now offer a line of secure identification smart cards to support the credentialing requirements of Federal agencies. Our secure credential unit has been certified as the only government-to-government provider of credentials meeting the requirements of Homeland Security Presidential Directive 12 (HSPD-12).

In 2012, we passed the milestone of producing the 75 millionth electronic passport. We also received direction from the Department of State to proceed with the necessary investment in equipment and infrastructure to begin producing the next generation passport in 2015. During the year, we were approved by the Joint Committee on Printing to expand our card production operations to our facility in Mississippi. This new capability will be brought online in 2013.

**Partnership with Industry** Other than congressional and inherently governmental work such as the *Federal Register*, the *Budget*, and secure and intelligent documents, we produce virtually all other Federal agency information product requirements via contracts in partnership with the private sector printing and information product industry. This work currently amounts to nearly \$350 million annually. Approximately 16,000 individual firms are registered to do business with GPO, the vast majority of whom are small businesses averaging 20 employees per firm. Contracts are awarded on a purely competitive basis; there are no set-asides or preferences in contracting other than what is specified in law and regulation, including a requirement for Buy American. This partnership provides great economic opportunity for the private sector.

## **GPO and Open, Transparent Government**

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Producing and distributing the official publications and information products of the Government fulfills an informing role originally envisioned by the Founders, as James Madison once said:

A popular Government without popular information, or the means of acquiring it, is but a Prologue to a Farce or a Tragedy, or perhaps both. Knowledge will forever govern ignorance, and a people who mean to be their own Governors, must arm themselves with the power which knowledge gives.

GPO operates a variety of programs that provide the public with “the means of acquiring” Government information that Madison spoke of.

**Federal Depository Library Program** GPO administers the Federal Depository Library Program, whose legislative antecedents date back 200 years to 1813. Across those years, depository libraries have served as critical links between “We the People” and the information made available by Federal Government. GPO provides the libraries with information products in digital and, in some cases, tangible formats, and the libraries in turn make these available to the public at no charge while providing additional help and assistance to depository library users. The program today serves millions of Americans through a network of approximately 1,200 public, academic, law, and other libraries located across the Nation, averaging nearly 3 per congressional district. Once primarily involving the distribution of printed and microfiche products, the FDLP today is primarily digital, supported by FDsys and other digital resources.

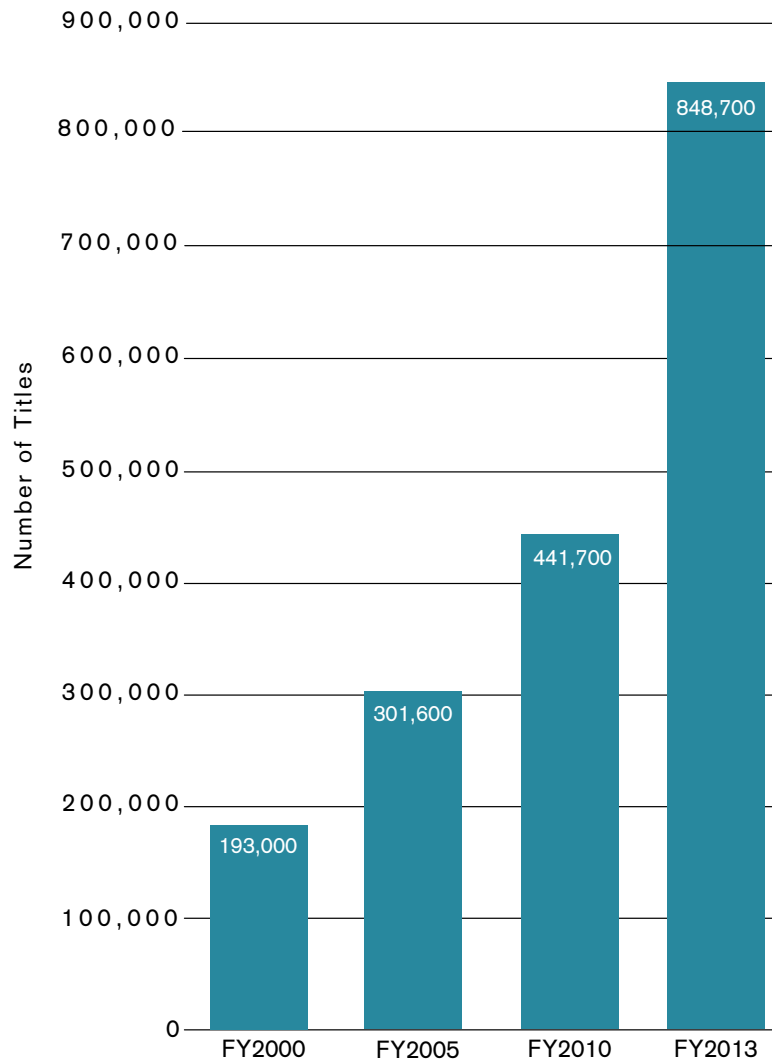
A major FDLP effort during the year was the State Forecasting Project, a collaborative research project between GPO and depository libraries, which surveyed all depository libraries to assess the current conditions of the program. Primary issues identified in the survey included budget constraints, use of physical space, staffing, and collection scope changes. GPO received responses from 775 depository libraries in 38 states. Results from this initiative will serve as a blueprint for developing a new national plan for the future of the FDLP.

**Federal Digital System** FDsys provides the majority of congressional and Federal agency content to the FDLP as well as other online users. This system has reduced the cost of providing public access to Government information significantly when compared with print, while expanding public access dramatically through the Internet. Public utilization of FDsys has grown to more than 400 million document retrievals by the end of FY 2012. Currently, the system provides access to over 800,000 individual titles from all three branches of the Government, the only system of its kind in operation today.

We are continually adding collections to FDsys to provide increased public access to Government information. In 2012, we had the opportunity to make audio content available for the first time on FDsys. The National Archives and Records Administration (NARA) asked us to host the audio tape recordings of communications between the White House and Air Force One following the assassination of President John F. Kennedy. As a result of hosting the audio recordings, there were a record number of visits to FDsys over a five-day period.

**GPO Achieves Savings in Information Dissemination** In FY 1995, the year that GPO’s provision of online access to Government documents via the Internet debuted, the cost of printing and distributing millions of copies of printed publications to Federal depository libraries nationwide was funded at \$17.6 million, the equivalent of \$25.98 million in constant dollars. For FY 2014, we are proposing to fund this function at \$8.6 million, a reduction of more than 67% in constant dollar terms. Along with occasional appropriations to GPO’s Revolving Fund, GPO has used the savings from reduced printing and distribution cost to pay for the establishment and operation of its online information dissemination operations, provided today through FDsys, achieving additional savings for the taxpayers and vastly expanding public access to Government information. When fully operational, FDsys will achieve further savings and even broader public access to Government information.

**NUMBER OF TITLES AVAILABLE ONLINE THROUGH GPO**  
(includes titles on GPO servers and titles linked from GPO)  
**FY 2000 – FY 2013**



**Publication and Information Sales Program** Along with the FDLP and FDSys, which are no-fee public access programs, we provide public access to official Federal information through e-commerce public sales featuring secure ordering through an online bookstore, a bookstore at GPO headquarters in Washington, DC, and partnerships with the private sector to offer Federal publications as ebooks. Our presence in the ebook market continues to grow. We entered into two important agreements in 2012 with Barnes & Noble and Apple to make popular Government titles such as the *Public Papers of the President-Barack Obama*, the *Financial Crisis Inquiry Report*, and *Ponzimonium: How Scam Artists are Ripping Off America* available as ebooks. We now have agreements with Apple, Google's eBookstore, Barnes & Noble, OverDrive, Ingram, Zinio, and other online vendors to sell Federal ebooks and magazines.

**Reimbursable Distribution Program** We operate distribution programs for the information products of other Federal agencies on a reimbursable basis, including General Services Administration (GSA) Consumer Information Center publications, from warehouses in Pueblo, CO, and Laurel, MD.

**GPO and Social Media** We use Facebook, Twitter, YouTube, and a book blog to share information about GPO news and events, and to promote specific publications and products. By the end of 2012, we had 2,000 likes on Facebook, 4,300 followers on Twitter, and 64,000 views across nearly 50 videos on YouTube. Our book blog, Government Book Talk, focuses on increasing the awareness of new and classic Federal publications through reviews and discussions. Recently, we started up a presence on Pinterest.

## GPO Finances

**Revolving Fund** All GPO activities are financed through a business-like Revolving Fund. The fund is used to pay all of GPO's costs in performing congressional and agency printing, printing procurement, and distribution activities. It is reimbursed from payments from customer agencies, sales to the public, and transfers from GPO's two annual appropriations: the Congressional Printing and Binding Appropriation and the Salaries and Expenses Appropriation of the Superintendent of Documents.

The Revolving Fund functions as GPO's checking account with the U.S. Treasury. GPO pays its expenses from this account either with a check or electronic transfer. The fund is reimbursed when the Treasury Department transfers money from agency appropriations accounts to the fund when agencies pay GPO invoices. This procedure also applies to the payment of transfers from the Congressional Printing and Binding and Salaries and Expenses Appropriations, and to deposits of funds collected from sales to the public.

GPO maintains a cash balance in the Revolving Fund that is used to pay all expenses. The cash balance fluctuates daily as payments are received from agency reimbursements, customer payments, and transfers from GPO appropriations.

**Retained Earnings** Under GPO's system of accrual accounting, annual earnings generated since the inception of the Revolving Fund have been accumulated as retained earnings. Retained earnings make it possible for GPO to fund a significant amount of technology modernization. However, appropriations for essential investments in technology and plant upgrades are also requested annually.

**Appropriated Funds** GPO's Congressional Printing and Binding Appropriation is used to reimburse the Revolving Fund for costs incurred in performing congressional work. The Salaries and Expenses Appropriation of the Superintendent of Documents is used to pay for costs associated with depository distribution, cataloging and indexing, statutory distribution, and international exchange distribution. The reimbursements from these appropriations are included in GPO's total revenue.

Unlike most appropriations to other Federal agencies, these appropriations are for work that GPO itself does not control. The Congressional Printing and Binding Appropriation in effect is an appropriation by Congress to cover the costs of its own printing. The appropriation is made to GPO to relieve Congress of the burden of maintaining detailed accounting records for all the

printing ordered from GPO both by law and by other congressional requisitions, as well as the responsibility for estimating the anticipated volume of congressional printing that is used as the basis for the appropriation.

Congress plays a major role in controlling the rate of spending of the Congressional Printing and Binding Appropriation. GPO can transfer funds from the appropriation to the Revolving Fund only when it performs congressional printing. The appropriation is not available for expenditure for any purposes other than congressional printing. While GPO does its best to estimate the volume of congressional printing in any given year, that volume can change due to circumstances beyond GPO's control. GPO can affect the rate of spending under this appropriation by ensuring the efficiency of its operations.

If congressional requisitions fall short of GPO's estimate, there will be a balance remaining in the Congressional Printing and Binding Appropriation at the end of the year. Under the language of GPO's appropriations legislation, such balances are eligible for transfer to GPO's Revolving Fund, where they can be used only for the purposes for which they were originally appropriated, with the approval of the House and Senate appropriations committees. If Congress's requirements exceed GPO's estimate, GPO will continue to fulfill them, and Congress will in effect spend more than it appropriated. As a result, there will be a shortfall in the appropriation for which GPO would need additional funding in a subsequent year. The shortfall would be paid out of available money—retained earnings—in GPO's Revolving Fund that otherwise would be available for investment in new plant and equipment. When shortfalls occur, Congress subsequently repays GPO for the excess cost of its printing to restore money to GPO's Revolving Fund.

Like the Congressional Printing and Binding Appropriation, the Salaries and Expenses Appropriation is available only for specific programs: depository distribution, cataloging and indexing, statutory distribution, and international exchange. The publishing activities of the Government determine the workload handled by these programs, not GPO. However, GPO can affect the level of funding by ensuring the efficiency of its information dissemination operations. Like the Congressional Printing and Binding Appropriation, any unobligated balances remaining in this account may be transferred to GPO's Revolving Fund, where they can be used only for the purposes for which they were originally appropriated, with the approval of the House and Senate appropriations committees.

**FY 2012 Financial Results** For FY 2012, revenue totaled \$713.8 million, and the total operating expenses charged against GPO's budget were \$708.6 million, excluding Other Operating Expenses. Other Operating Expense was a \$2.4 million adjustment to increase GPO's long-term workers' compensation liability. Funds appropriated directly by Congress provided \$114.5 million (including funds from the Congressional Printing and Binding and Salaries and Expenses appropriations, along with appropriations to the Revolving Fund), or about 16% of total revenue. All other GPO activities, including inplant printing (which includes the production of passports), procured printing, sales of publications, agency distribution services, and all administrative support functions, were financed through the Revolving Fund by revenues generated by payments from agencies and sales to the public. As a result, GPO realized net income of \$5.2 million for the year, before Other Operating Expense, and net income of \$2.9 million after.

The largest single component of GPO's annual expenses is procured printing. In FY 2012, the cost of procured printing totaled \$314.2 million, or about 44% of total expenses. The second largest component was personnel compensation and benefits. These totaled \$207.0 million in FY 2012, or about 29% of all expenses.

## FY 2014 Appropriations Request

GPO's total appropriations request of \$128.5 million for FY 2014 will enable us to:

- meet projected requirements for GPO's congressional printing and binding operations during FY 2014;
- fund the operation of GPO's statutory information dissemination programs and provide investment funds for necessary information dissemination projects; and
- continue the development of FDSys, implement other improvements to our IT and facilities infrastructure, and invest in more efficient equipment supporting congressional work.

Our request represents an increase of \$1.5 million, or 1.2%, over the level of funding provided for FY 2013 in the current continuing resolution (P.L. 112-175), which fixes GPO's funding at our FY 2012 level plus 0.612%. Significantly, our request proposes a \$11.5 million decrease in Congressional Printing and Binding, and an increase of \$12.4 million in investments in continued growth for our digital systems, transitioning our binding line to a digital system, and necessary IT and infrastructure repairs.

**Congressional Printing and Binding Appropriation** We are requesting \$79,736,000 for this account, representing a decrease of \$11,519,000 from the level of funding currently provided for FY 2013.

We estimate that total congressional printing and binding requirements for FY 2013 will be \$89,671,000, based on historical data. Last year, with the approval of the Appropriations Committees, we transferred \$9,935,000 in prior year unexpended balances of this account to the Revolving Fund, where it remains available to fund Congressional Printing and Binding requirements, reducing our requirement for new funding to our requested level of \$79,736,000. Any additional unobligated prior year balances will be requested for transfer to the Revolving Fund.

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The estimated requirements for FY 2014 include a price level increase of \$1,503,000, based on an average 2% increase due to projected increases in printing costs. They also included a projected \$1,227,000 in volume increases, due principally to business and committee calendars, the Congressional Record, congressional bills, and other workload categories. Funding for the 2012 edition of the U.S. Code is not included in our requirements for FY 2014.

**Salaries and Expenses Appropriation of the Superintendent of Documents** We are requesting \$35,823,000 for this account, an increase of \$609,000 over the current FY 2013 level. The requested amount is based on the outcome of using zero-based budgeting to determine the proper levels of funding needed to perform program activities at minimum levels, as directed by House Report 112-148.

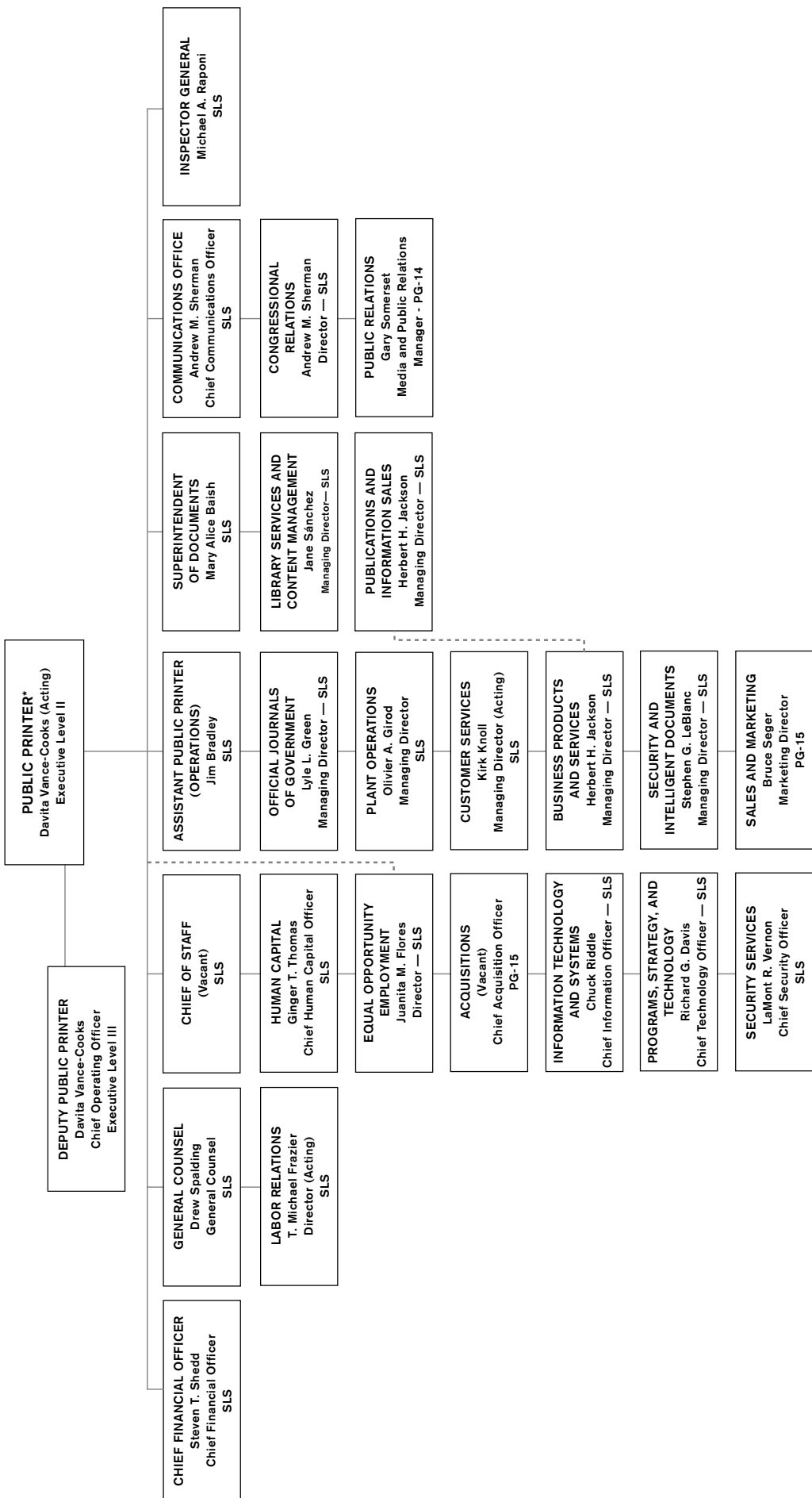
Our total requirements for FY 2014 are projected to be \$39,323,000. This includes \$3,500,000 in projects to upgrade and enhance the Integrated Library System (\$2,100,000), development of the National Bibliographic Records Inventory (\$700,000), and the second phase of an inventory and preservation process related to FDLP collections currently stored at GPO facilities (\$700,000). However, at this date there is approximately \$3.5 million in remaining unexpended balances from the Salaries and Expenses Appropriation from FY 2008. We expect to request the authority to transfer these funds to the Revolving Fund to cover these project costs.

Our requested funding covers mandatory pay and related cost increases of \$266,000. Merit and other pay increases are included for 114 FTE's, the same level as FY 2013. In addition, the requested funding covers projected price level increases of \$343,000, including ongoing systems maintenance and FDsys operating expenses.

**Revolving Fund** We are requesting \$12,919,000 for this account, to remain available until expended, to fund essential investments in information technology development, digital equipment, and facilities repair. Our request represents an increase of \$12,416,000 over the level of funding currently provided for this account for FY 2013.

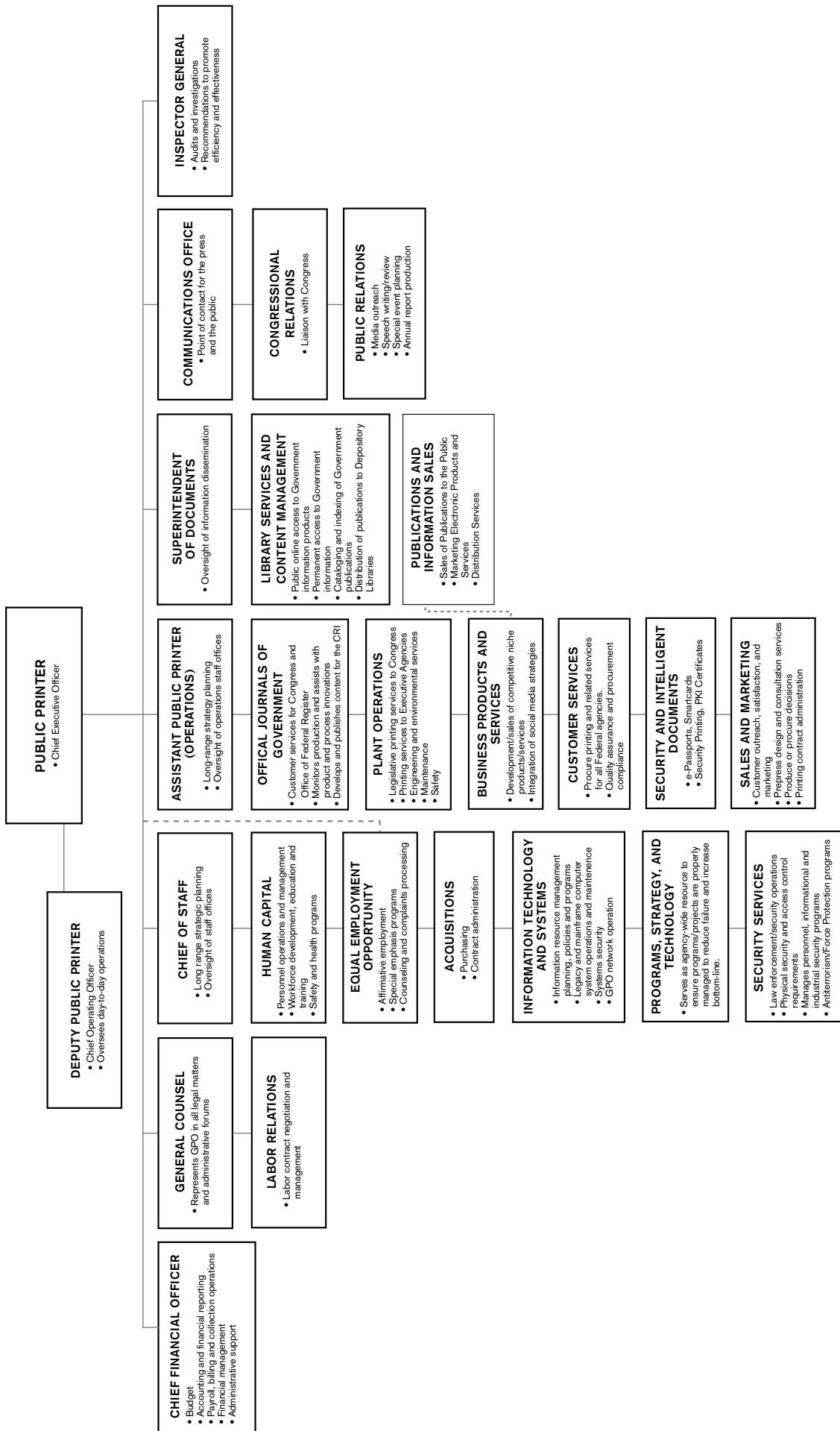
The request includes \$7,449,000 for information technology development, including \$6,534,000 to continue developing FDsys, \$500,000 to modernize GPO's data center, and \$415,000 for Oracle business system improvements. These IT projects include components that will have a direct impact on the provision of digital information production and dissemination services for Congress. In addition, we are requesting \$4,000,000 to purchase and install a digital bindery line for congressional work, and \$1,470,000 to continue with elevator repairs and renovate a rooftop training room that is currently leaking water onto recently renovated elevators.

# GPO ORGANIZATIONAL CHART



\* The Public Printer's annual rate of pay is Executive Level II.  
The Acting Public Printer is being paid at the Executive Level III annual rate, the level established by law for the Deputy Public Printer.

# GPO FUNCTIONS BY ORGANIZATION



## GPO STAFFING INFORMATION

As Of September 30, 2012

| <b>Business Unit</b>                    | <b>1-8</b> | <b>9-12</b> | <b>13-15</b> | <b>SLS</b> | <b>Executive</b> | <b>Wage Grade</b> | <b>Total</b> |
|-----------------------------------------|------------|-------------|--------------|------------|------------------|-------------------|--------------|
| Acquisitions                            | 6          | 9           | 7            | 0          | 0                | 0                 | 22           |
| Business Products and Services          | 31         | 41          | 14           | 1          | 0                | 12                | 99           |
| Chief of Staff                          | 0          | 1           | 0            | 0          | 0                | 0                 | 1            |
| Communications Office                   | 0          | 1           | 6            | 1          | 0                | 0                 | 8            |
| Customer Services                       | 30         | 89          | 39           | 0          | 0                | 10                | 168          |
| Equal Employment Opportunity            | 2          | 2           | 3            | 0          | 0                | 0                 | 7            |
| Chief Financial Officer                 | 25         | 46          | 47           | 2          | 0                | 2                 | 112          |
| General Counsel                         | 1          | 4           | 5            | 3          | 0                | 0                 | 13           |
| Human Capital                           | 13         | 22          | 20           | 1          | 0                | 0                 | 56           |
| Information Technology and Systems      | 3          | 24          | 73           | 2          | 0                | 0                 | 102          |
| Inspector General                       | 0          | 4           | 9            | 1          | 0                | 0                 | 14           |
| Library Services and Content Management | 9          | 43          | 27           | 2          | 0                | 7                 | 88           |
| Official Journals Of Government         | 4          | 13          | 13           | 1          | 0                | 51                | 82           |
| Operations Support                      | 6          | 2           | 7            | 0          | 0                | 173               | 188          |
| Plant Operations                        | 26         | 57          | 54           | 3          | 0                | 540               | 680          |
| Programs, Strategy, and Technology      | 0          | 4           | 18           | 1          | 0                | 0                 | 23           |
| Public Printer                          | 0          | 5           | 1            | 1          | 1                | 0                 | 8            |
| Sales and Marketing                     | 0          | 8           | 3            | 0          | 0                | 0                 | 11           |
| Security                                | 37         | 11          | 4            | 0          | 0                | 0                 | 52           |
| Security and Intelligent Documents      | 13         | 5           | 25           | 1          | 0                | 104               | 148          |
| <b>Grand Total</b>                      | <b>206</b> | <b>381</b>  | <b>375</b>   | <b>20</b>  | <b>1</b>         | <b>899</b>        | <b>1,882</b> |

Note: This information outlines the number of employees within the agency's organizational structure.

The breakout is by plan and grade range or salary equivalent of 1,882 employees, as of September 30, 2012.

GPO's Senior Level Service (SLS) is similar to the Senior Executive Service.

US GOVERNMENT PRINTING OFFICE  
**Summary of Appropriation Estimates**  
(Dollars in Thousands)

| <u>Appropriation Title</u>                           | <u>FY 2012<br/>Actual</u> | <u>FY 2013<br/>P.L. 112-175</u> | <u>FY 2014<br/>Request</u> | <u>FY 2013/2014<br/>Net Change</u> |
|------------------------------------------------------|---------------------------|---------------------------------|----------------------------|------------------------------------|
| Congressional Printing<br>and Binding                | \$ 90,700                 | \$ 91,255                       | \$ 79,736                  | \$ (11,519)                        |
| Superintendent of Documents<br>Salaries and Expenses |                           |                                 |                            |                                    |
| By Law Distribution                                  | 350                       | 352                             | 358                        | 6                                  |
| Cataloging and Indexing                              | 10,500                    | 10,564                          | 10,747                     | 183                                |
| Federal Depository Library                           | 23,100                    | 23,242                          | 23,643                     | 401                                |
| International Exchange                               | <u>1,050</u>              | <u>1,056</u>                    | <u>1,075</u>               | <u>19</u>                          |
| Total Appropriation                                  | <u>35,000</u>             | <u>35,214</u>                   | <u>35,823</u>              | <u>609</u>                         |
| Revolving Fund                                       | <u>500</u>                | <u>503</u>                      | <u>12,919</u>              | <u>12,416</u>                      |
| Total Appropriations                                 | <u>\$ 126,200</u>         | <u>\$ 126,972</u>               | <u>\$ 128,478</u>          | <u>\$ 1,506</u>                    |

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FY 2013 based on P.L. 112-175, Continuing Appropriations Act

US GOVERNMENT PRINTING OFFICE  
**Staffing Summary — FTE's**

| <u>Appropriation Title</u>                           | <u>FY 2012<br/>Actual</u> | <u>FY 2013<br/>Approved</u> | <u>FY 2014<br/>Request</u> | <u>2013/2014<br/>Change</u> |
|------------------------------------------------------|---------------------------|-----------------------------|----------------------------|-----------------------------|
| Congressional Printing<br>and Binding                | -                         | -                           | -                          | -                           |
| Superintendent of Documents<br>Salaries and Expenses |                           |                             |                            |                             |
| By Law Distribution                                  | 1                         | 1                           | 1                          | -                           |
| Cataloging and Indexing                              | 28                        | 28                          | 28                         | -                           |
| Federal Depository Library                           | 63                        | 80                          | 80                         | -                           |
| International Exchange                               | 3                         | 5                           | 5                          | -                           |
| Total Appropriation                                  | 95                        | 114                         | 114                        | -                           |
| Revolving Fund                                       | 1,825                     | 1,796                       | 1,796                      | -                           |
| Total Agency                                         | 1,920                     | 1,910                       | 1,910                      | -                           |

# GPO MISSION, GOALS, OBJECTIVES

## Mission Statement

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*Keeping America Informed* by producing, protecting, preserving, and distributing the official publications and information products of the Federal Government.

## Vision

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Continue to Transform Ourselves into a Digital Information Platform and Provider of Secure Credentials.

## About GPO

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Established in 1861, GPO's mission can be traced to the requirement in Article I of the Constitution that Congress keep a journal of its proceedings and from time to time publish the same. GPO's inplant production and printing procurement operations produce the official publications of Congress, the White House, and Federal agencies. GPO's information dissemination programs provide public access to the official publications and information of the Government in both digital and printed formats through an official Web site ([www.fdsys.gov](http://www.fdsys.gov)), a partnership with Federal depository libraries nationwide, and both online and bookstore sales. Total GPO employment today is approximately 1,900.

Congressional printing and information services are the primary function of GPO's inplant facility in Washington, DC. In addition to the Congressional Record containing the daily proceedings of Congress, GPO produces bills, hearings, reports, and other legislative documents, in digital and print formats, as required by the Senate and House of Representatives and their committees. GPO's inplant facility also produces the daily Federal Register and Code of Federal Regulations, and the annual Budget of the U.S. Government, as well as U.S. passports and other secure Federal credentials. GPO has an additional facility in Mississippi for passport production.

GPO provides centralized operations for the procurement of information products for the entire Government, purchasing approximately \$400 million annually from private sector vendors nationwide for Federal agency customers. About 75% of all the products ordered annually from GPO (other than passports and secure credentials) are procured from the private sector, including Social Security cards, census and tax forms, and Medicaid and Medicare materials. GPO's procurement program provides great economic opportunity for the private sector. The majority of the firms GPO deals with are small businesses of 20 employees or less. The total number of contractors registered to do business with GPO is approximately 16,000.

GPO's primary information dissemination program involves a partnership with 1,200 Federal depository libraries nationwide, or about three (3) per congressional district. Today, the partnership is predominantly electronic, but tangible formats are distributed where online equivalents are not available. GPO's Federal Digital System (FDSys) Web site provides access to approximately 680,000 documents online,

with more than 13 million documents downloaded every month. GPO also provides for public sale of Government publications via its traditional and online bookstores, and offers eBooks through partnerships with multiple vendors, and has recently introduced a variety of mobile apps of key Federal documents.

GPO operates on a revolving fund basis, like a business. Only 16% of GPO's funding comes from direct appropriation to cover the cost of congressional work and the depository library program and supporting distribution programs. All other revenues to GPO are reimbursements from agencies for work performed or sales of publications to the public.

For more information, please visit [www.gpo.gov](http://www.gpo.gov). Follow GPO on Twitter <http://twitter.com/USGPO>, YouTube <http://www.youtube.com/user/gpoprinter>, and Facebook <http://www.facebook.com/pages/US-Government-Printing-Office/162592897126454?v=wall>.

## Core Values

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GPO's core values define our character. These values transcend product and market cycles, management trends, technological change, and individual leaders. Over the years, GPO has developed new product lines, employed new strategies, reengineered processes, and significantly restructured the organization yet the core values have remained intact.

### Commitment

GPO has had the privilege of *Keeping America Informed* for over 150 years. It continues that long tradition by providing an uncompromised dedication to authentic, fast, and reliable service.

### Customer Service

GPO has a customer-centric approach and has agency-wide procedures, policies, and activities in place to ensure we are meeting customers' needs and exceeding their expectations.

### Dependability

GPO is a trusted source of Federal information and works to deliver quality goods and services on time.

### Diversity

GPO is dedicated to diversity in every aspect of the business. Our commitment to diversity helps serve customers better and provides a positive work environment for employees. GPO is committed to promoting and supporting an inclusive environment that provides to all employees the chance to work to their full potential.

### Integrity

GPO's employees believe that honesty and the highest ethics form the cornerstone of the organization and create an environment of trust.

### Teamwork

GPO employees treat one another with dignity and respect and communicate openly. GPO's environment fosters collaboration and innovation while maintaining individual accountability. The agency partners with the Government and the private sector to provide the best value to customers.

## Situational Analysis

GPO continues to transform itself into a digital information platform and provider of secure credentials in an environment of constantly changing technology and fiscal constraints. GPO's customers are involved in the digital world and understand technological change. It is important that GPO fosters an environment that embraces change and innovation which leads to new products and services, new methods, and new ways of thinking.

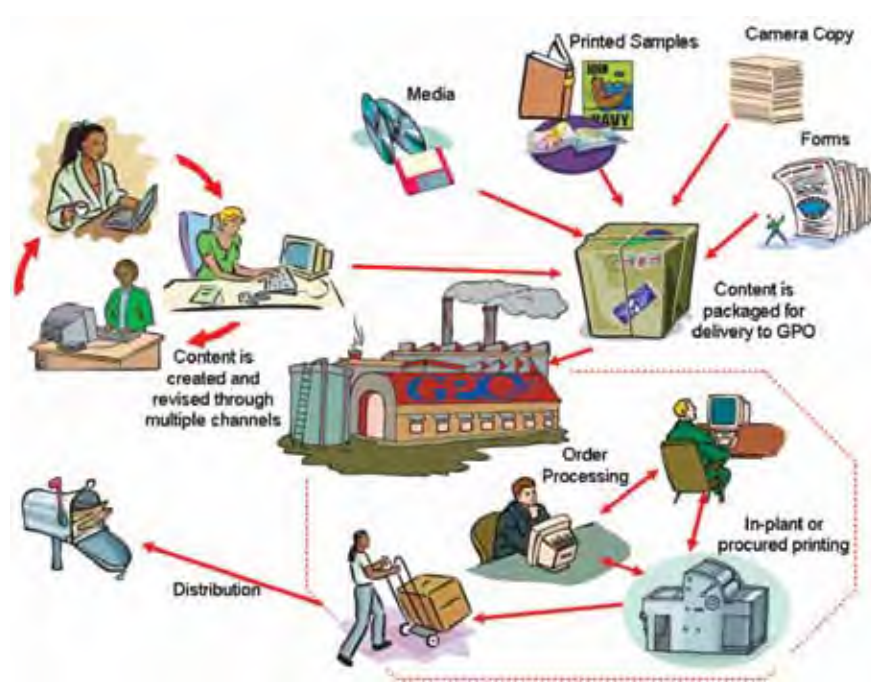
For much of GPO's history, the mission of *Keeping America Informed* was accomplished through the production of conventional ink-on-paper. GPO would receive content in multiple formats from multiple channels, print or procure the work, and deliver it to customers in a timely and cost-effective manner. This process continues today, but the demand for printed publications has declined while there has been exponential growth in digital requirements. GPO has changed to anticipate and accommodate those requirements.

Today, we estimate that approximately 97% of all Federal Government documents are born digital. Many of these documents are published to the Web and not printed.

As a result, the content received from Congress and Federal agencies needs to be managed through a life cycle process that supports the primary requirement to make the digital version of publications permanently available online, and to print only when required or otherwise necessary.

Today, GPO is transforming its business model to a content-centric model, focusing on managing content for customer and public use today and tomorrow. GPO uses its extensive experience and expertise with digital systems to provide both permanent public access to Government information in a variety of formats and the most efficient and effective means for printing when required, all within a secure setting that is responsive to its customers' needs.

## Print-Centric Model



## Content-Centric Model



## I. Satisfying Our Stakeholders

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### A. It's all About the Customer

#### Objective

**Develop internal processes and procedures that develop an internal organizational culture in which exceptional service, delivery and customer satisfaction are encouraged and rewarded.**

- GPO will work to understand, anticipate, and meet the needs of customers. GPO will provide world-class customer service together with product innovation through a wide range of print and technology vehicles.
  - GPO is dedicated to providing products that deliver results and will recognize employees who exceed customers' expectations.
  - GPO will continue to utilize our expertise in printing and information technologies to educate our customers so that they can take advantage of GPO Products and Services.
- 

#### 2013 Efforts

##### 1. GPO-Express Customer Satisfaction Survey —

Sales and Marketing will conduct the second, annual, comprehensive GPO-Express Customer Satisfaction Survey and provide benchmark data to Customer Services.

##### 2. Federal Depository Library Program (FDLP) National Plan —

Library Services and Content Management (LSCM) will complete the intermediate analysis of state action plans as well as individual library and state forecasts, and will begin building the foundation of a new FDLP National Plan collaboratively with Federal depository librarians.

##### 3. Customer Services Satisfaction Survey —

Customer Services will enhance services provided to customers based on best practices and customer feedback from the FY11 Customer Services Satisfaction Survey.

##### 4. Enhanced Outreach and Communication with Customers —

GPO will expand communication capabilities for all stakeholders. Key initiatives include but are not limited to:

- **GPO Web Site** — Business Products and Services will redesign GPO's external website.
- **Marketing Collateral** — To reflect the current and evolving positioning of GPO's products and services, a suite of new marketing collateral materials will be created. These materials will emphasize how GPO is continuing to serve the emerging digital requirements of our customer community.
- **GPO Open House** — Business Products and Services will sponsor a GPO Open House to inform Agency Customers of GPO products and services. The Open House will have representation from all GPO Business Units and will include various workshop sessions.
- **GPO Road Shows** — Sales and Marketing will enhance the FY13 Road Show content and presentation experience

to highlight GPO's continuing transformation into a provider of digital publishing alternatives.

#### ■ Increased Understanding of Customer Requirements

— Security and Intelligent Documents (SID) will develop coordinated outreach strategies to better understand customer requirements and to educate current and potential customers on SID's product and service offerings.

### 5. Customer Education Initiatives — Customers will be educated on the vast array of GPO products and services. Key initiatives include but are not limited to:

■ **Customer Training and Remote Learning** — Sales and Marketing will develop a series of remote learning courses to meet the needs of Regional Agency Customers on topics related to GPO's services in support of digital publishing alternatives.

■ **Expanded Course Offerings** — Sales and Marketing will increase the breadth of course offerings that incorporate hands-on, application specific and practical skills that allow the customer to see an immediate return on their investment.

■ **FDsys Training Initiative** — Library Services and Content Management will continue its vigorous FDsys Training Initiative, launching several new FDsys educational video modules ranging from advanced searching to tracking legislation. Library Services and Content Management will expand its e-Learning platform to:

- Create new educational offerings and curriculum development beyond FDsys;
- Offer collaborative training developed by partner agencies within the FDLP community, facilitated by GPO; and,
- Extend the use of our e-Learning platform to the FDLP community for cross-community training and sharing best practices.

■ **Smart Card and Credential Customers** — Security and Intelligent Documents will provide training, education, and tours for current and potential card and secure credential customers to help them better understand our business offerings.

### B. Open and Transparent

#### Objective

**Build on GPO's ongoing commitment to an open and transparent government.**

- GPO will continue to provide authentic information to the American public through a variety of print and technology vehicles efficiently and securely.
- GPO will become a key innovator and leader in the Presidential mandate of transparency (White House Open Government Initiative). Realizing that participation, and collaboration form the cornerstone of an open government, GPO will utilize all available technology to assist Federal agencies in disseminating information about their operations in a fast, secure, and permanent manner.

## 2013 Efforts

1. **Mobile Applications** — Programs Strategy and Technology will develop new ways for users to interact with FDsys content by providing mobile-optimized access to FDsys and enabling direct interfacing with FDsys through Application Programming Interfaces (APIs).
2. **Library Tools** — Library Services and Content Management will redesign the FDL Desktop and Ben's Guide to U.S. Government Web sites to provide a more integrated, streamlined, user-centric tool for the FDLs and the general public.

## C. Enhance Strategic Partnerships

### Objective

**Enhance strategic partnerships to gain flexibility, build effective networks, and manage processes to meet customer demands and expectations.**

- Develop the agency-wide synergies and flexibilities to continuously strive for quality, availability, and efficiency in the delivery of products and services. GPO will also work to improve its internal culture and business process to ensure mutual support and growth to customers and employees.
- GPO will continuously work on strengthening purposeful collaboration with internal and external customers that will create more efficient and effective programs and processes.

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## 2013 Efforts

1. **New Strategic Partners** — Library Services and Content Management will continue to work with strategic partners, including the Department of Treasury, the Library of Congress, and the Administrative Office of the U.S. Courts to fully implement FDsys ingest/collection processing and increase public access to digitized collections of high value to the American public.
2. **Library Community** — Library Services and Content Management will host the annual Depository Library Council in October 2012 and an Interagency meeting in August 2013.
3. **State Department** —
  - Security and Intelligent Documents will work as part of the Next Generation Passport Committee system to develop a future US passport.
  - Security and Intelligent Documents will continue to work as a team member with Department of State (DOS) on the Engineering Change Committee that addresses any changes to processes, procedures, or products.
  - Security and Intelligent Documents will participate in the annual training of the DOS Consular Affairs Office of Fraud Prevention Officers.
4. **Smart Card and Credential Customers** — Security and Intelligent Documents will work closely with Federal Agency Customers to provide a wide range of smart card credential products and services in the areas of design, printing, manufacturing, personalization and fulfillment.

5. **On-Line Paper Store** — Through a strategic partnership with a private-sector company, Plant Operations will maintain an on-line tool for any Government Customer to procure paper products of good quality and fair price.

6. **Leasing Agreements** — Plant Operations will continue supporting strategic partnerships with Federal partners to establish leasing agreements for consolidated GPO space.

7. **Interagency Council** — GPO hosts and participates in the Interagency Council on Printing and Publications Services (ICPPS) meetings which are held throughout the year.

8. **Reimbursable Distribution Program** — Business Products and Services will work closely with Agency Customers to provide storage and distribution services. Business Products and Services will also identify new customers requiring assistance with storage and distribution.

9. **Digitization of Documents** — Customer Services will work with Federal Customers to assist them in complying with the President's mandate to digitize all of their documents to the highest degree possible.

## II. Strengthening Our Organizational Foundation

### A. Right Tools

#### Objective

**Anticipate, plan and equip GPO to provide products and services to customers.**

- In order to provide quality service to customers, GPO must invest in employees and technology. GPO will focus on the creation of first-rate, system-wide solutions that meet customer requirements and exceed customer expectations. GPO will improve processes and technology to ensure that solutions are scalable, available, cost-effective, and secure.
- Technology investments will be in direct relationship with GPO business goals, resulting in excellent customer service, strong partnerships, secure infrastructures, and cost-effective performance.

## 2013 Efforts

1. **Automated Procurement System** — Acquisition Operations will acquire an Automated Procurement System.
2. **Acquisition Plans** — Acquisition Operations will enhance relationships and communication with Business Units and develop acquisition plans.
3. **Modernization of Legacy Applications** — Information Technology and Systems will continue to work with Business Units to modernize legacy applications to ensure application support and improve system capabilities. This effort includes, but is not limited to the Automated Procurement System, the Depository Selections Information Management System (DSIMS), Acquisitions Classification and Shipment Information Systems (ACSIS) and various sales systems.
4. **Full Deployment of XML Publication** — Plant Operations will pursue the implementation of a state-of-the-art

composition tool to replace MicroComp, a 30-year old locator-based system that does not support today's Extended Markup Language (XML) data standard.

**5. Secure Document Materials Testing (SDMT) Laboratory**

— Plant Operations will continue the positioning of the SDMT Laboratory in the growing market of digital printed product testing and evaluation for secure documents.

**6. Advanced Manufacturing** — Within the limits of available funding, Plant Operations will continue relying on a balanced approach when investigating next-generation technologies for its production operations.

**7. Vehicle Fleet Tracking** — Consistent with industry leaders, Plant Operations' fleet vehicles will be upgraded with GPS tracking devices to study and optimize routes, and to provide real-time utilization.

**8. Infrastructure Management** — Plant Operations will support GPO's transition to a digital information factory with an emphasis on the following:

- The Computerized Maintenance Management System (CMMS) will be upgraded to track more effectively all infrastructure and equipment maintenance/installation project work (i.e., labor and material costs, estimated and actual costs).
- Facilities modifications and upgrades will be put into place to support the installation of digital manufacturing technologies such as new e-Passport production lines, relocation of the Digital Print Center and support the Agency's vision for new tenants.
- Digital physical security system upgrades will be installed to include a comprehensive state-of-the-art fire alarm and emergency alert system, DVR-enabled camera systems, biometric access and motion sensors systems to secure production areas, and foster both product and employee safety.

**9. Industry Certifications** — Plant Operations will maintain IDEAlliance's *High Quality Government Printer* designation through annual G7 Master Printer certification for GRACoL Proof and Sheet-fed Offset Printing. Plant Operations will maintain yearly compliance with the Graphic Arts Industry Color Viewing Standard ISO3664:2009; and secure re-certification as Institutional Member of the Library Binding Institute demonstrating compliance with ANSI/NISO/LBI Library Binding Standard Z39.78-2000. The business unit will obtain additional certifications as appropriate.

**10. Performance Metrics** — Plant Operations will rely on and enhance quantitative operational metrics to measure organizational performance. These metrics are well established and cover volume of work, rework, safety, machine utilization, labor utilization, and environmental impact.

**11. Lean Manufacturing** — Plant Operations will continue implementation of lean manufacturing techniques to streamline and simplify operations throughout the business unit, especially 5S.

**12. Program Management Support** — Programs Strategy and Technology will continue to provide management support, business process reengineering and management of key agency strategic programs which improves organization's business performance.

**13. Security Services** — Security Services will conduct security assessments and implement strategies to mitigate potential risks to ensure a safe and secure environment for GPO employees, tenants, and visitors.

**14. GPO Data Center Consolidation and Modernization** —

Information Technology and Systems will begin a modernization project to update the GPO data center environment to meet current and future technology requirements.

**B. Maintain Fiscal Responsibility**

**Objective**

**Utilize a cost effective and collaborative approach in managing GPO's Business processes to help the Agency achieve its strategic initiatives and ensure continued financial stability.**

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**2013 Efforts**

**1. Sequestration** — Manage the fiscal impact of sequestration (if it occurs) to ensure the continued provision of mission-critical products and services for Congress, Federal agencies, and the public.

**2. Five Year Capital Plan** — GPO will maintain a rolling, five-year capital plan which addresses GPO's mission and strategic objectives.

**3. Expense Management** — GPO will continue to effectively manage operating expenses.

**4. Financial Management** — GPO will effectively and efficiently manage GPO's financial resources to maximize the Agency's cash flow.

**5. Performance Ratios** — Plant Operations will continue benchmarking its financial performance against applicable National Association of Printing Leadership (NAPL) Management Plus Ratios.

**C. Environmental Stewardship**

**Objective**

Continue to integrate the application of environmental values into GPO processes and support environmental stewardship through effective implementation of "green initiatives."

- GPO is committed to working toward a more sustainable future and providing a safer, healthier environment to future generations. GPO will continue to introduce programs that include recycling, reducing energy consumption, reducing GPO's carbon footprint, and provide Federal customers with environmentally-friendly printing alternatives.

- GPO will remain committed to creating a sustainable environment that prioritizes agency actions based on return on investment. GPO will continue with initiatives regarding paper consumption, petroleum products utilization, energy expenditures, emissions, and other areas that have reduced its footprint on the environment. GPO is developing additional plans to incorporate this direction well into the future.

## 2013 Efforts

- 1. Environmental Compliance —** Human Capital will develop an improved process for ensuring environmental compliance and will develop programs and processes that emphasize safety, health and fitness.
- 2. Recycled Paper —** Acquisition Operations will continue to procure recycled paper that contains postconsumer fiber that meets or exceeds the Environmental Protection Agency (EPA) regulations, Joint Committee on Printing (JCP) specifications, and the President's Executive Order on Recycled Paper. We will also ensure that materials and supplies procured are environmentally friendly and minimize energy consumption.
- 3. Green Initiatives —** Plant Operations will continue to integrate the application of environmental values into processes and support environmental stewardship through fiscally responsible and effective implementation of green initiatives.

## D. COOP Operations

### Objective

Develop appropriate plans to provide for the continuation of GPO's essential functions and operations during a wide range of all-hazards emergencies.

At GPO, COOP enables continuation of essential functions of government printing and passport production operations for Congress, government agencies and the State Department in the event of a national security or isolated emergency affecting GPO facilities in Washington, DC. GPO's business continuity plan assures the ability for GPO to publish Congressional Documents, the *Congressional Record*, the *Federal Register*, and production of U.S. Passports and Smart Cards.

## 2013 Efforts

- 1. COOP Exercises —** Official Journals of Government will conduct COOP exercises with the House/Senate and Office of the Federal Register, as well as internal GPO COOP exercises and training. Plant Operations will continue to actively exercise COOP capabilities through staged events in which the business unit will utilize an offsite location to test organizational and IT capabilities.
- 2. COOP Support —** Plant Operations will maintain enhanced strategic partnerships with entities within all three branches of government to support their COOP needs and in some cases to serve as an emergency response area.
- 3. FDsys —** Programs Strategy and Technology will continue to upgrade and enhance FDsys COOP to keep hardware and software synchronous with the primary FDsys instance, ensuring a quick failover process with minimal impact to stakeholders.
- 4. Card Personalization —** Security and Intelligent Documents will establish a Card Personalization COOP in Stennis.

## III. Offering Products and Services

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### A. Statutory Foundation - Title 44 U.S.C.

#### Objective

The mission of the GPO is rooted in legislation codified in Title 44 U.S.C. We will continue to use technology and best practices to ensure the most efficient and effective provision of mission-critical products and services for Congress, Federal agencies, and the public.

- Determine and pursue eligible work not being handled by GPO.
- Aggressively market GPO goods and services.

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## 2013 Efforts

- 1. Expertise in Information Dissemination —** GPO will market our expertise and leadership with new contracting vehicles in data conversion and media development, promoting GPO as a preferred provider to help Federal agencies meet Presidential initiatives related to information dissemination.
- 2. FDsys Services Model —** GPO will market FDsys service capabilities to other Federal agencies.
- 3. eBooks —** GPO will market and promote its eBook services to other Federal agencies. Symposiums on eBook trends and conversion training will also be offered.
- 4. Technology —** GPO will make strategic investments that will result in more efficient and effective operation of GPO's production, procurement, and information dissemination programs.

## CONGRESSIONAL PRINTING AND BINDING

Including Transfer of Funds  
Fiscal Year 2013

### Proposed Appropriations Language:

For authorized printing and binding for the Congress and the distribution of Congressional information in any format; printing and binding for the Architect of the Capitol; expenses necessary for preparing the semimonthly and session index to the Congressional Record, as authorized by law (section 902 of title 44, United States Code); printing and binding of Government publications authorized by law to be distributed to Members of Congress; and printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipient, [\$83,632,000] \$79,736,000: *Provided*, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: *Provided further*, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: *Provided further*, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: *Provided further*, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate: *Provided further*, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis. *Note.--A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112-175).*

### Base Budget Review:

#### Description of Program

The estimates for Congressional Printing and Binding are to provide funds to pay for the cost of printing and binding required for the use of Congress, and for printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipient, as authorized by the provisions of chapters 7 and 9 of Title 44, U.S.C., and related statutes.

### Explanations of Changes

The appropriation requested for FY 2014 is \$79,736,000. A total of \$89,671,000 is required to cover congressional printing and binding requirements for FY 2014. Of this amount, prior-year transfers to the GPO revolving fund of \$9,935,000 are available for the purposes of this account. The requirements for the Congressional Printing and Binding Appropriation for FY 2014 are described below by category.

A. Congressional Record Program. The proceedings of the Senate and House of Representatives are printed in the *Congressional Record*. Approximately 2,350 copies are printed daily. About 2,034 copies are charged to the Congressional Printing and Binding Appropriation, including about 728 copies distributed without charge to recipients designated by Senators. The copies that are not charged to the Congressional Printing and Binding Appropriation are delivered and charged to Government departments on requisitions and to the Superintendent of Documents for sale to subscribers. On-line access to an electronic *Congressional Record* database was initiated in 1994 pursuant to the authorization in chapter 41 of Title 44, U.S.C. After the close of each session, the daily proceedings are consolidated, indexed, and about 266 sets are printed as the permanent bound edition of the *Record*. About 104 of these sets are for congressional use and charged to the Congressional Printing and Binding Appropriation. The remaining 162 sets are for public sale, charged to departments on requisition, or distributed to regional Federal depository libraries. An estimated 25,900 pages will be required in FY 2014 and the cost will be approximately \$25,105,000.

B. Miscellaneous Publications. This item includes printed matter such as the *Congressional Directory*, Senate and House Journals, memorial addresses of Members, serial sets, and publications not carrying a document or report number, such as laws, treaties, and similar publications. An estimated \$6,240,000 will be required in FY 2014 for approximately 31,400 pages.

C. United States Code. The 2012 edition of The United States Code and supplements is a set of case bound books containing the general and permanent laws of the United States. It is prepared and published every six years under authority of Title 2, U.S. Code, Section 285b by the Office of the Law Revision Counsel of the House of Representatives. The United States Code and supplements 2012 edition will be prepared and published in FY 2013.

D. Miscellaneous Printing and Services. This item includes letterheads, envelopes, blank paper, inaugural products, miscellaneous services, blank forms, content management, and binding for both Houses of Congress. The estimate for FY 2014 is \$16,948,000 for about 101.9 million units.

E. Details to Congress. This item includes the cost for GPO employees detailed to Congress. The estimated cost for FY 2014 is \$4,099,000 for 75,900 hours.

F. Document Envelopes and Document Franks. Document envelopes are furnished to Senators and Representatives for the mailing of speeches and documents. Franks are printed individually or in sheets with perforations and are furnished to Members of Congress for mailing documents. An estimated \$1,520,000 will be needed in FY 2014 for approximately 10,300 million envelopes, at a cost of \$1,049,000 and 4,900 million franks at a cost of \$471,000.

G. Business and Committee Calendars. This heading covers the printing of all House and Senate business and committee calendars, which list the actions on pending and completed legislation. An estimated \$4,303,000 will be required in FY 2014 for approximately 36,400 pages.

H. Bills, Resolutions, and Amendments. This heading covers the printing of bills, resolutions, and amendments in all forms, including the prints as introduced, referred, reported, and finally passed. The estimate for FY 2014 is \$6,264,000 for approximately 194,000 pages.

I. Committee Reports. This item covers printed reports of congressional committees on pending legislation which carry a congressional number. An estimated \$2,172,000 will be needed for about 47,100 pages in FY 2014.

J. Documents. This heading includes all classes of Senate and House documents ordered printed by Congress which carry a congressional number, such as annual reports, engineers' reports, special reports made by Government departments in response to resolutions, supplemental and deficiency estimates of appropriations, etc. The estimate for FY 2014 is \$1,684,000 for about 55,700 pages.

K. Hearings. This item covers all hearings before House and Senate committees. The estimate for FY 2014 is \$20,411,000 for approximately 285,400 pages.

L. Committee Prints. This item includes publications printed for the internal use of committees on pending legislation. The estimate for FY 2014 is \$925,000 for 26,300 pages.

**CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**

Base Budget Review (Detail by Activity)

(Dollars in Thousands)

| Category                                     | 2012<br>Actual  | 2013<br>Estimate | 2014<br>Estimate | Change            |
|----------------------------------------------|-----------------|------------------|------------------|-------------------|
| A. Congressional Record Publications:        |                 |                  |                  |                   |
| Daily Record:                                |                 |                  |                  |                   |
| Data Preparation.....                        | \$12,331        | \$13,278         | \$14,387         | \$1,109           |
| Printing.....                                | 6,073           | 6,540            | 7,086            | 546               |
| Subtotal.....                                | 18,404          | 19,818           | 21,473           | 1,655             |
| Record Index.....                            | 1,748           | 2,198            | 2,047            | (151)             |
| Record Indexers.....                         | 1,525           | 1,545            | 1,585            | 40                |
| Subtotal.....                                | 21,677          | 23,561           | 25,105           | 1,544             |
| B. Miscellaneous Publications.....           | 5,562           | 5,938            | 6,240            | 302               |
| C. U.S. Code.....                            |                 | 3,542            |                  | (3,542)           |
| D. Miscellaneous Printing and Services ..... | 19,326          | 16,950           | 16,948           | (2)               |
| E. Details to Congress.....                  | 4,077           | 4,126            | 4,099            | (27)              |
| F. Document Env. and Franks.....             | 1,496           | 1,009            | 1,520            | 511               |
| G. Calendars.....                            | 3,240           | 2,085            | 4,303            | 2,218             |
| H. Bills, Resolutions, & Amdts.....          | 5,731           | 5,301            | 6,264            | 963               |
| I. Committee Reports.....                    | 2,239           | 1,916            | 2,172            | 256               |
| J. Documents.....                            | 1,506           | 1,623            | 1,684            | 61                |
| K. Hearings.....                             | 20,789          | 19,819           | 20,411           | 592               |
| L. Committee Prints.....                     | 937             | 1,071            | 925              | (146)             |
| Total Obligations.....                       | 86,580          | 86,941           | 89,671           | 2,730             |
| Surplus/(Shortfall).....                     | 4,120           | 4,314            | (9,935)          | (14,249)          |
| Appropriation.....                           | <u>\$90,700</u> | <u>\$91,255</u>  | <u>\$79,736</u>  | <u>(\$11,519)</u> |

Note: Prior-year transfers to the GPO revolving fund of \$9,935,000 are available for the purposes of this account.

Table 2  
**CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**  
Detailed Analysis of Change

| CALCULATION OF BASE                    |                   |
|----------------------------------------|-------------------|
| Staff                                  | Amount<br>(\$000) |
| Base, 2013                             | -                 |
| I. Adjustments To Base                 | \$91,255          |
| 2014 REQUEST                           |                   |
| A. Price Level Changes                 | -                 |
| 1. Daily Congressional Record:         |                   |
| 1a. Data Preparation                   | -                 |
| 1b. Printing                           | 293               |
| Subtotal                               | <u>144</u>        |
| 2. Congressional Record Index          | -                 |
| 3. Congressional Record Indexers       | 42                |
| 4. Miscellaneous Publications          | -                 |
| 5. Miscellaneous Printing and Services | 40                |
| 6. Document Envelopes and Franks       | -                 |
| 7. Business & Committee Calendars      | 127               |
| 8. Bills, Resolutions, and Amendments  | -                 |
| 9. Committee Reports                   | 97                |
| 10. Documents                          | -                 |
| 11. Hearings                           | 31                |
| 12. Committee Prints                   | -                 |
|                                        | 88                |
|                                        | 128               |
|                                        | 44                |
|                                        | 34                |
|                                        | 416               |
|                                        | 19                |

Table 2  
**CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**  
Detailed Analysis of Change (Cont.)

| CALCULATION OF BASE                          |                   |
|----------------------------------------------|-------------------|
| Staff                                        | Amount<br>(\$000) |
| B. Program Type Changes                      | - (\$13,022)      |
| 1. Activity                                  | 1,227             |
| a. Daily Congressional Record:               |                   |
| 1. Data Preparation                          | - 816             |
| 2. Printing                                  | - 402             |
| Subtotal                                     | - 1,218           |
| 3. Record Index                              | - (193)           |
| b. Miscellaneous Publications                | - 175             |
| c. U.S. Code                                 | - (3,542)         |
| d. Misc. Printing & Services                 | - (99)            |
| e. Details to Congress                       | - (27)            |
| f. Document Env. & Franks                    | - 480             |
| g. Calendars                                 | - 2,131           |
| h. Bills, Resolutions, & Amdts.              | - 835             |
| i. Committee Reports                         | - 212             |
| j. Documents                                 | - 27              |
| k. Hearings                                  | - 175             |
| l. Committee Prints                          | - (165)           |
| 2. Estimated Change - in Surplus/(Shortfall) | - (14,249)        |
| II. Net Change                               | - (11,519)        |
| III. Appropriation 2014                      | - \$79,736        |
|                                              |                   |

## CONGRESSIONAL PRINTING AND BINDING

### Explanation of Changes Shown on Table 2

|                                                                                                           | Staff | Amount<br>(\$000) |
|-----------------------------------------------------------------------------------------------------------|-------|-------------------|
| A. PRICE LEVEL CHANGES                                                                                    |       |                   |
| The average 2 percent increase is due to increases in printing costs.                                     | -     | \$1,503           |
| B. PROGRAM TYPE CHANGES                                                                                   |       |                   |
| 1. Activity (Volume)                                                                                      | -     | 1,227             |
| a. Congressional Record Publications:                                                                     |       |                   |
| 1. Daily Record<br>This 6.1 percent increase is computed based on historical data.                        | -     | 1,218             |
| 2. Record Index<br>This 8.8 percent decrease is computed based on historical data.                        | -     | (193)             |
| b. Miscellaneous Publications<br>This 3.0 percent increase is computed based on historical data.          | -     | 175               |
| c. U. S. Code<br>2012 edition, produced every 6 years                                                     | -     | (3,542)           |
| d. Miscellaneous Printing and Services<br>This 0.6 percent decrease is computed based on historical data. | -     | (99)              |
| e. Details to Congress<br>This 0.7 percent decrease is computed based on historical data.                 | -     | (27)              |

## CONGRESSIONAL PRINTING AND BINDING

### Explanation of Changes Shown on Table 2

| C. PROGRAM TYPE CHANGES                                                                                  | Staff | Amount<br>(\$000) |
|----------------------------------------------------------------------------------------------------------|-------|-------------------|
| 1. Activity (Volume)                                                                                     |       |                   |
| f. Documents Envelopes and Franks<br>This 47.6 percent increase is computed based on historical data.    | -     | 480               |
| g. Business and Committee Calendars<br>This 102.2 percent increase is computed based on historical data. | -     | 2,131             |
| h. Bills, Resolutions, & Amendments<br>This 15.8 percent increase is computed based on historical data.  | -     | 835               |
| i. Committee Reports<br>This 11.1 percent increase is computed based on historical data .                | -     | 212               |
| j. Documents<br>This 1.6 percent increase is computed based on historical data.                          | -     | 27                |
| k. Hearings<br>This 0.9 percent increase is computed based on historical data.                           | -     | 175               |
| l. Committee Prints<br>This 15.4 percent decrease is computed based on historical data.                  | -     | (165)             |
| 2. Estimated Change in Shortfall Requirement                                                             | -     | (14,249)          |
| Total Changes                                                                                            | -     | (\$11,519)        |

**CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**

By Object Class  
(In Thousands)

| Object Class                  | 2012<br>Actual | 2013<br>Estimate | 2014<br>Estimate | Change     |
|-------------------------------|----------------|------------------|------------------|------------|
| 24 Printing &<br>Reproduction | \$90,700       | \$91,255         | \$79,736         | (\$11,519) |

**CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**

Analysis of Change to Budget Base  
(In Thousands)

| Object Class                  | Price<br>Level<br>Changes | Program<br>Type<br>Changes | Total<br>Changes |
|-------------------------------|---------------------------|----------------------------|------------------|
| 24 Printing &<br>Reproduction | \$1,503                   | (\$13,022)                 | (\$11,519)       |

# CONGRESSIONAL PRINTING AND BINDING APPROPRIATION

Estimated Cost Per Unit by Category

| Category                                    | Unit*          | 2012       | 2013       | 2014       |
|---------------------------------------------|----------------|------------|------------|------------|
| <hr/>                                       |                |            |            |            |
| A. Congressional Record Program:            |                |            |            |            |
| Daily Record:                               |                |            |            |            |
| Data Preparation.....                       | Page.....      | 536        | 544        | 555        |
| Printing.....                               | Page.....      | <u>264</u> | <u>268</u> | <u>274</u> |
| Subtotal.....                               | Page.....      | 800        | 812        | 829        |
| Record Index.....                           | Page.....      | 380        | 386        | 394        |
| Record Indexers.....                        | Hours.....     | 85         | 86         | 88         |
| B. Miscellaneous Publications.....          | Page.....      | 192        | 195        | 199        |
| C. U.S. Code.....                           | Page.....      |            | 69         |            |
| D. Miscellaneous Printing and Services .... | 1,000 items... | 161        | 165        | 166        |
| E. Details to Congress.....                 | Hours.....     | 54         | 54         | 54         |
| F. Document Env. and Franks.....            | 1,000 items... | 97         | 98         | 100        |
| G. Calendars.....                           | Page.....      | 114        | 116        | 118        |
| H. Bills, Resolutions, & Amdts.....         | Page.....      | 31         | 32         | 32         |
| I. Committee Reports.....                   | Page.....      | 45         | 45         | 46         |
| J. Documents.....                           | Page.....      | 29         | 30         | 30         |
| K. Hearings.....                            | Page.....      | 69         | 70         | 72         |
| L. Committee Prints.....                    | Page.....      | 34         | 34         | 35         |

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\* The unit cost per page is the number of original pages and the cost includes all Congressional copies of each category of work. The per page cost for data preparation for the Daily Congressional Record includes all composition (including file up-date and maintenance for the permanent edition) and prepress costs per original page. The cost for printing includes binding and mailing all of the copies charged to Congress. Based on an average press run of 2,350 copies, the total production cost is 17 cents per cotype, including copies ordered by agencies, depository libraries, and for sale to the public. The rider rate for printing additional copies is 1.3 cents per cotype.

# **CONGRESSIONAL PRINTING AND BINDING APPROPRIATION**

Volume Increase or Decrease

| Category                                     | Unit             | 2012    |  | Increase/(Decrease) |         | 2013    |  | Increase/(Decrease) |         | 2014    |  |
|----------------------------------------------|------------------|---------|--|---------------------|---------|---------|--|---------------------|---------|---------|--|
|                                              |                  | Total   |  | Quantity            | Percent | Total   |  | Quantity            | Percent | Total   |  |
| A. Congressional Record Program:             |                  |         |  |                     |         |         |  |                     |         |         |  |
| Daily Record:                                |                  |         |  |                     |         |         |  |                     |         |         |  |
| Data Preparation.....                        | Page.....        | 23,000  |  | 1,400               | 6.1     | 24,400  |  | 1,500               | 6.1     | 25,900  |  |
| Printing.....                                | Page.....        | 23,000  |  | 1,400               | 6.1     | 24,400  |  | 1,500               | 6.1     | 25,900  |  |
| Record Index.....                            | Page.....        | 4,600   |  | 1,100               | 23.9    | 5,700   |  | (500)               | (8.8)   | 5,200   |  |
| Record Indexers.....                         | Hours.....       | 18,000  |  | 0                   | 0.0     | 18,000  |  | 0                   | 0.0     | 18,000  |  |
| Miscellaneous Publications.....              | Page.....        | 29,000  |  | 1,500               | 5.2     | 30,500  |  | 900                 | 3.0     | 31,400  |  |
| C. U.S. Code.....                            | Page.....        |         |  |                     |         | 51,500  |  | (51,500)            | (100.0) |         |  |
| D. Miscellaneous Printing and Services ..... | 1,000 items..... | 120,400 |  | (17,900)            | (14.9)  | 102,500 |  | (600)               | (0.6)   | 101,900 |  |
| E. Details to Congress.....                  | Hours.....       | 75,500  |  | 900                 | 1.2     | 76,400  |  | (500)               | (0.7)   | 75,900  |  |
| F. Document Env. and Franks.....             | 1,000 items..... | 15,500  |  | (5,200)             | (33.5)  | 10,300  |  | 4,900               | 47.6    | 15,200  |  |
| G. Calendars.....                            | Page.....        | 28,400  |  | (10,400)            | (36.6)  | 18,000  |  | 18,400              | 102.2   | 36,400  |  |
| H. Bills, Resolutions, & Amdts.....          | Page.....        | 183,900 |  | (16,300)            | (8.9)   | 167,600 |  | 26,400              | 15.8    | 194,000 |  |
| I. Committee Reports.....                    | Page.....        | 50,300  |  | (7,900)             | (15.7)  | 42,400  |  | 4,700               | 11.1    | 47,100  |  |
| J. Documents.....                            | Page.....        | 51,600  |  | 3,200               | 6.2     | 54,800  |  | 900                 | 1.6     | 55,700  |  |
| K. Hearings.....                             | Page.....        | 301,175 |  | (18,275)            | (6.1)   | 282,900 |  | 2,500               | 0.9     | 285,400 |  |
| L. Committee Prints.....                     | Page.....        | 27,600  |  | 3,500               | 12.7    | 31,100  |  | (4,800)             | (15.4)  | 26,300  |  |

**CONGRESSIONAL BILLINGS FOR COMMITTEES BY CATEGORY**  
FY 2012, as of September 30, 2012

| House<br>Committees                  | Daily<br>Cong. Rec. | Record<br>Index | Record<br>Indexers | Misc.<br>Pubs. | Misc.<br>P&S | Details   | Doc.<br>Env. | Doc.<br>Franks | Calendars | Bills | Reports | Documents | Hearings   | Committee<br>Prints | TOTALS     |
|--------------------------------------|---------------------|-----------------|--------------------|----------------|--------------|-----------|--------------|----------------|-----------|-------|---------|-----------|------------|---------------------|------------|
| U. S. House of Representatives       | 9,620,025           | 1,034,539       |                    | 27,827         | 1,824,470    | 6,858     | 320,975      |                |           |       |         |           | 8,450      |                     | 12,843,144 |
| Clerk of The House                   |                     |                 |                    | 209,758        | 2,245,284    | 69,174    | 270,300      | 341,842        | 2,100,319 |       | 44,339  | 369       |            | 2,623               | 5,285,232  |
| House Members                        |                     |                 |                    | 1,139          | 709          |           | 86,521       |                |           |       |         |           | 10,647     |                     | 99,017     |
| H. Agriculture                       |                     |                 |                    |                | 61,939       | 86,130    |              |                | 8,793     |       |         |           | 139,923    | 851                 | 297,636    |
| H. Appropriations                    |                     |                 |                    | 45,132         | 325,499      |           |              |                |           |       |         |           | 3,653,770  | 246,630             | 4,271,030  |
| H. Armed Services                    |                     |                 |                    | 23,764         | 73,592       | 189,702   |              |                |           |       |         |           | 495,146    | 61,377              | 843,581    |
| H. Banking and Financial Services    |                     |                 |                    |                | 13,300       |           |              |                | 14,776    |       |         |           | 704,683    | 12,727              | 745,487    |
| H. Education and the Workforce       |                     |                 |                    |                | 39,180       |           |              |                |           |       |         |           | 169,661    | 2,089               | 210,929    |
| H. International Relations           |                     |                 |                    | 1,875          | 115,928      |           |              |                |           |       |         |           | 449,034    | 38,987              | 605,825    |
| H. Government Reform                 |                     |                 |                    |                | 199,752      | 96,066    |              |                |           |       |         |           | 881,995    |                     | 1,177,813  |
| H. Administration                    |                     |                 |                    |                | 70,796       |           |              |                |           |       |         |           | 37,887     | 1,531               | 110,214    |
| H. Resources                         |                     |                 |                    |                | 15,537       |           |              |                | 19,251    |       |         |           | 158,890    | 3,464               | 197,142    |
| H. Commerce                          |                     |                 |                    |                | 220,489      | 188,676   |              |                | 24,368    |       |         |           | 1,218,450  |                     | 1,651,983  |
| H. Judiciary                         |                     |                 |                    |                | 103,973      |           |              |                |           |       |         |           | 532,408    | 27,377              | 663,759    |
| H. Transportation and Infrastructure |                     |                 |                    |                | 62,561       | 98,010    |              |                |           |       |         |           | 562,396    | 689                 | 723,665    |
| H. Rules                             |                     |                 |                    | 273            | 591          |           |              |                | 15,115    |       |         |           | 6,094      | 57,755              | 79,829     |
| H. Science                           |                     |                 |                    |                | 52,595       | 73,602    |              |                |           |       |         |           | 254,944    | 7,253               | 388,395    |
| H. Veterans Affairs                  |                     |                 |                    | 1,435          | 236,927      |           |              |                | 15,402    |       | 378     |           | 90,480     | 1,533               | 346,155    |
| H. Ways and Means                    |                     |                 |                    | 13,015         | 100,773      |           |              |                |           |       | 12,508  | 300       | 335,725    | 62,145              | 524,465    |
| H. Small Business                    |                     |                 |                    |                | 8,024        |           |              |                |           |       |         |           | 159,065    |                     | 167,089    |
| H. Security and Coop. in Europe      |                     |                 |                    |                | 4,875        | 81,972    |              |                |           |       |         |           | 38,545     |                     | 125,392    |
| H. Standards of Official Conduct     |                     |                 |                    | 28,944         | 44,991       |           |              |                |           |       |         |           |            | 15,467              | 89,402     |
| H. Office of Chief Admin. Offices    |                     |                 |                    | 80,989         | 80,051       |           |              |                |           |       |         | 209,827   | 21,946     |                     | 392,813    |
| H. Budget                            |                     |                 |                    | 298            | 5,655        |           |              |                |           |       | 504     |           | 41,300     | 34,002              | 81,759     |
| H. Legislative Counsel               |                     |                 |                    |                | 37           | 331,182   |              |                |           |       |         |           |            |                     | 331,219    |
| H. Homeland Security                 |                     |                 |                    | 5,254          | 40,105       | 85,374    |              |                |           |       |         |           | 214,633    | 6,248               | 363,966    |
| H. Intelligence                      |                     |                 |                    |                | 6,405        |           |              |                |           |       |         |           | 3,722      |                     | 10,127     |
| H. U. S. China Econ. Sec. Rev.       |                     |                 |                    | 68,693         | 12,138       |           |              |                |           |       |         |           |            | 58,997              | 139,828    |
| Total House                          | 9,620,025           | 1,034,539       | 0                  | 508,398        | 5,966,176    | 1,306,746 | 677,796      | 341,842        | 2,210,367 | 0     | 57,729  | 210,497   | 10,191,017 | 641,746             | 32,766,877 |

# **CONGRESSIONAL BILLINGS FOR COMMITTEES BY CATEGORY** FY 2012, as of September 30, 2012

| <b>Senate</b>                    | <b>Daily</b>      | <b>Record</b>  | <b>Record</b>   | <b>Misc.</b>   | <b>Misc.</b>     | <b>Doc.</b>      | <b>Doc.</b>    | <b>Calendars</b> | <b>Bills</b>     | <b>Reports</b> | <b>Documents</b> | <b>Hearings</b>  | <b>Committee</b> | <b>TOTALS</b>     |
|----------------------------------|-------------------|----------------|-----------------|----------------|------------------|------------------|----------------|------------------|------------------|----------------|------------------|------------------|------------------|-------------------|
| <b>Committees</b>                | <b>Cong. Rec.</b> | <b>Index</b>   | <b>Indexers</b> | <b>Pubs.</b>   | <b>P&amp;S</b>   | <b>Details</b>   | <b>Env.</b>    | <b>Franks</b>    |                  |                |                  |                  | <b>Prints</b>    |                   |
| U. S. Senate                     | 7,123,850         | 755,642        |                 | 4,454          | 384,808          | 376,688          |                |                  | 196,045          | 92,840         |                  |                  |                  | 9,984,298         |
| Secretary of Senate              |                   |                |                 | 34,566         | 1,381,823        |                  |                |                  |                  | 34,676         | 95,129           | 2,139            |                  | 1,925,001         |
| Senate Members                   |                   |                |                 | 3,081          | 2,713,649        |                  | 364,364        |                  |                  |                |                  | 3,114            |                  | 3,084,209         |
| S. Secretary - Sergeant at Arms  |                   |                |                 |                | 859,449          |                  |                |                  |                  |                |                  |                  |                  | 859,449           |
| S. Agr., Nutrition & Forestry    |                   |                |                 |                |                  | 90,720           |                |                  |                  |                |                  | 150,326          |                  | 241,046           |
| S. Appropriations                |                   |                |                 | 29,402         | 26,556           | 366,263          |                |                  | 710              |                |                  | 339,383          | 35,559           | 797,873           |
| S. Armed Services                |                   |                |                 |                | 79,229           |                  |                |                  |                  |                |                  | 332,371          | 5,036            | 416,636           |
| S. Banking, Housing & Urban Aff. |                   |                |                 |                | 3,503            | 155,763          |                |                  |                  |                |                  | 352,597          |                  | 511,863           |
| S. Com., Sci. & Transportation   |                   |                |                 | 8,580          | 86,782           | 107,172          |                |                  |                  |                |                  | 592,784          |                  | 795,318           |
| S. Finance                       |                   |                |                 | 2,861          | 34,457           | 178,146          |                |                  |                  |                | 180              | 362,910          | 24,917           | 619,826           |
| S. Foreign Relations             |                   |                |                 |                | 62,436           | 166,266          |                |                  |                  | 780            |                  | 167,687          | 189,228          | 593,196           |
| S. Homeland Sec. & Gov. Aff.     |                   |                |                 | 2,416          | 99,804           | 92,286           |                |                  |                  |                |                  | 649,078          | 4,083            | 856,980           |
| S. Energy & Natural Resources    |                   |                |                 | 3,510          | 26,086           | 192,024          |                |                  |                  |                |                  | 163,374          | 74,721           | 475,058           |
| S. Judiciary                     |                   |                |                 |                | 54,882           | 92,826           |                |                  |                  |                |                  | 671,943          |                  | 819,651           |
| S. Health, Edu., Lb. & Pensions  |                   |                |                 | 1,863          | 6,490            | 65,682           |                |                  |                  | 3,152          |                  | 99,593           | 136,418          | 313,198           |
| S. Environ. & Public Works       |                   |                |                 |                | 9,509            | 123,228          |                |                  |                  |                |                  | 158,378          | 3,704            | 294,819           |
| S. Rules and Administration      |                   |                |                 |                | 3,359            |                  |                |                  |                  |                | 240,391          | 89,271           | 58,329           | 391,349           |
| S. Democratic Policy             |                   |                |                 |                | 1,689            |                  |                |                  |                  |                |                  |                  |                  | 1,689             |
| S. Republican Policy             |                   |                |                 | 1,700          | 2,974            |                  |                |                  |                  |                |                  |                  |                  | 4,673             |
| S. Small Business & Entrepr.     |                   |                |                 |                | 10,931           |                  |                |                  |                  |                |                  | 56,624           |                  | 67,555            |
| S. Aging                         |                   |                |                 |                | 23,769           |                  |                |                  |                  |                |                  | 104,396          |                  | 128,165           |
| S. Ethics                        |                   |                |                 |                | 10,819           |                  |                |                  |                  |                |                  |                  |                  | 10,819            |
| S. Veterans Affairs              |                   |                |                 |                | 28,946           | 96,984           |                |                  |                  |                |                  | 86,022           |                  | 217,019           |
| S. Legislative Counsel           |                   |                |                 |                | 9,443            | 342,612          |                |                  |                  |                |                  |                  |                  | 352,055           |
| S. Sergeant at Arms              |                   |                |                 | 285,640        | 129,899          |                  |                |                  | 4,492            |                |                  |                  |                  | 420,031           |
| S. Budget                        |                   |                |                 |                | 13,732           | 64,368           |                |                  |                  |                |                  | 21,975           | 40,622           | 140,697           |
| S. Legal Counsel                 |                   |                |                 |                | 2,846            |                  |                |                  |                  |                |                  |                  |                  | 2,846             |
| S. Intelligence                  |                   |                |                 | 599            | 1,393            |                  |                |                  | 2,058            |                |                  | 48,658           | 3,256            | 55,964            |
| S. Indian Affairs                |                   |                |                 |                | 23,885           | 88,128           |                |                  | 6,499            |                |                  | 90,815           |                  | 209,327           |
| <b>Total Senate</b>              | <b>7,123,850</b>  | <b>755,642</b> | <b>0</b>        | <b>378,671</b> | <b>6,093,148</b> | <b>2,599,136</b> | <b>364,364</b> | <b>0</b>         | <b>1,492,588</b> | <b>131,448</b> | <b>335,700</b>   | <b>4,543,437</b> | <b>575,873</b>   | <b>24,590,612</b> |

**CONGRESSIONAL BILLINGS FOR COMMITTEES BY CATEGORY**  
FY 2012, as of September 30, 2012

| Joint Committees                    | Daily Cong. Rec.  | Record Index     | Record Indexers  | Misc. Pubs.      | Misc. P&S         | Details          | Doc. Env.        | Doc. Franks    | Calendars        | Bills            | Reports          | Documents        | Hearings          | Committee Prints | TOTALS            |
|-------------------------------------|-------------------|------------------|------------------|------------------|-------------------|------------------|------------------|----------------|------------------|------------------|------------------|------------------|-------------------|------------------|-------------------|
| Joint Committee On Printing         |                   |                  |                  | 91,345           |                   |                  |                  |                |                  |                  |                  |                  | 5,370             |                  | 96,715            |
| Joint Economic Committee            |                   |                  |                  |                  | 7,069             |                  |                  |                |                  |                  |                  | 240              | 83,945            |                  | 91,254            |
| Joint Committee on Taxation         |                   |                  |                  |                  | 28,411            |                  |                  |                |                  |                  |                  | 180              |                   | 145,123          | 173,714           |
| Joint Select Com. on Deficit Red.   |                   |                  |                  |                  | 122               |                  |                  |                |                  |                  |                  |                  | 33,410            |                  | 33,532            |
| Joint Com. on Inaug. Cerem.         |                   |                  |                  |                  | 11,216            |                  |                  |                |                  |                  |                  |                  |                   |                  | 11,216            |
| Total Joint Committees              | 0                 | 0                | 0                | 91,345           | 46,818            | 0                | 0                | 0              | 0                | 0                | 0                | 420              | 122,725           | 145,123          | 406,432           |
| <b>Miscellaneous</b>                |                   |                  |                  |                  |                   |                  |                  |                |                  |                  |                  |                  |                   |                  |                   |
| Bylaw                               | 1,337,512         |                  | 1,522,532        | 2,370,019        | 6,354,480         | 141,210          |                  |                |                  | 5,291,076        | 1,947,617        | 1,714,100        |                   | 34,900           | 20,713,447        |
| Architect of the Capitol            | 0                 | 0                | 0                | 212,168          | 31,689            |                  |                  |                | 21,217           |                  |                  | 0                | 10,808            |                  | 275,882           |
| Cong.-Exec Com. on China            |                   |                  |                  |                  | 981               | 17,820           |                  |                |                  |                  |                  |                  | 51,870            | 25,053           | 95,724            |
| S. Caucus on Int'l Narcotics        |                   |                  |                  | 11,380           | 4,384             |                  |                  |                |                  |                  |                  |                  |                   |                  | 15,764            |
| Total Miscellaneous                 | 1,337,512         | 0                | 1,522,532        | 2,593,568        | 6,391,534         | 159,030          | 0                | 0              | 21,217           | 5,291,076        | 1,947,617        | 1,714,100        | 62,678            | 59,953           | 21,100,817        |
| <b>TOTALS</b>                       | <b>18,081,387</b> | <b>1,790,181</b> | <b>1,522,532</b> | <b>3,571,982</b> | <b>18,497,677</b> | <b>4,064,912</b> | <b>1,042,160</b> | <b>341,842</b> | <b>3,724,172</b> | <b>5,487,831</b> | <b>2,136,794</b> | <b>2,260,717</b> | <b>14,919,857</b> | <b>1,422,695</b> | <b>78,864,738</b> |
| Note: Includes billing adjustments. |                   |                  |                  |                  |                   |                  |                  |                |                  |                  |                  |                  |                   |                  |                   |

## DETAILS TO CONGRESS AS OF SEPTEMBER 30, 2011 AND 2012

|  | 2011 | 2012 |
|--|------|------|
|--|------|------|

### SENATE COMMITTEES

|                                               |           |           |
|-----------------------------------------------|-----------|-----------|
| Agriculture, Nutrition, & Forestry.....       | 1         | 1         |
| Appropriations.....                           | 4         | 2         |
| Banking, Housing, & Urban Affairs.....        | 2         | 2         |
| Budget.....                                   | 1         | 1         |
| Commerce, Science, & Transportation.....      | 1         | 1         |
| Energy & Natural Resources.....               | 2         | 2         |
| Finance.....                                  | 2         | 2         |
| Foreign Relations.....                        | 2         | 2         |
| Homeland Security & Governmental Affairs..... | 1         | 1         |
| Health, Education, Labor, & Pensions.....     | 1         | 1         |
| Indian Affairs.....                           | 1         | 1         |
| Judiciary.....                                | 1         | 1         |
| Veterans' Affairs .....                       | 1         | 1         |
| Environment & Public Works.....               | <u>2</u>  | <u>2</u>  |
| Total Senate Committees.....                  | <u>22</u> | <u>20</u> |

### HOUSE COMMITTEES

|                                    |          |          |
|------------------------------------|----------|----------|
| Oversight & Government Reform..... | 1        | 1        |
| Homeland Security.....             | 1        | 1        |
| Armed Services.....                | 2        | 2        |
| Energy & Commerce.....             | 2        | 2        |
| Agriculture.....                   | <u>1</u> | <u>1</u> |
| Total House Committees.....        | <u>7</u> | <u>7</u> |

### MISCELLANEOUS

|                                                     |           |           |
|-----------------------------------------------------|-----------|-----------|
| House Legislative Counsel.....                      | 4         | 4         |
| Congressional Research Service.....                 | 1         | 1         |
| Senate Enrolling Clerk.....                         | 1         | 1         |
| Senate Legislative Counsel.....                     | 4         | 3         |
| Senate Official Reporters.....                      | 1         | 1         |
| Senate Service Department.....                      | 1         | 1         |
| House Enrolling Clerk.....                          | 1         | 1         |
| Senate Docutech Room.....                           | 1         | 1         |
| Commission on Security & Cooperation in Europe..... | 1         | 2         |
| Total Miscellaneous.....                            | <u>14</u> | <u>13</u> |

### SUMMARY

|                        |           |           |
|------------------------|-----------|-----------|
| Senate Committees..... | 22        | 20        |
| House Committees.....  | 7         | 7         |
| Miscellaneous.....     | <u>14</u> | <u>13</u> |
|                        | <u>43</u> | <u>40</u> |

**OFFICE OF SUPERINTENDENT OF DOCUMENTS**  
**SALARIES AND EXPENSES**  
Including Transfer of Funds  
Fiscal Year 2014

**Proposed Appropriation Language**

For expenses of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, [\$34,728,000] \$35,823,000: *Provided*, That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating congressional serial sets and other related publications for fiscal years [2011 and] 2012 *and* 2013 to depository and other designated libraries: *Provided further*, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate. *Note.--A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112-175).*

**Base Budget Review:**

GPO is responsible for the current and future access to published U.S. Government information, and partially operates under an appropriation which provides funds for: (1) the Federal Depository Library Program (FDLP); (2) cataloging and indexing Government publications; (3) distribution of Government publications to the International Exchange Service (IES); and (4) distribution of certain Government publications to Members of Congress and other Government agencies, as mandated by law.

A total of \$35,823,000 is requested for Fiscal Year 2014. This is an increase of \$609,000 from the FY 2013 continuing resolution amount. The agency received approval in FY 2013 to transfer \$3,732,289 from prior-year appropriations to fund activities under their account. The agency is seeking \$3,500,000 in transfer funds for FY 2014 based on the availability of prior-year funds.

The FY 2014 request includes funding for 114 full-time equivalents (FTEs). This is the same FTE level as FY 2013. This appropriation request was developed using a flat-line budget approach with the addition of inflation and adjustments made for mandatory personnel costs. A description of the budget methodology used as well as descriptions of

## Methodology:

Budgeted amounts were derived from using the FY 2013 funding CR levels with inflation for all non-personnel compensation. Personnel compensation was based on expected personnel needs plus a factor for mandatory pay and related costs. Additional projects were presented that had been identified as important to the library program and community including the creation of a National Bibliographic Records Inventory, update and enhancements to the Integrated Library System (ILS) and to inventory materials stored at various GPO warehouse facilities to determine the suitability of the items for inclusion in the FDLP. The agency is seeking transfer funds to cover the additional program expenses.

### Federal Depository Library Program (FDLP)

As authorized by chapter 19 of Title 44, U.S.C., the mission of the FDLP is to disseminate information products from all three branches of the Federal Government to approximately 1,200 libraries nationwide designated as Federal depositories, directly by law or as depositories by their Representatives and Senators. Federal depository libraries maintain and provide free public access to these information products. A part of this program is the provision of free online access to Government publications provided under the authority of chapter 41 of Title 44, U.S.C., via GPO's Federal Digital System (FDsys) ([www.fdsys.gov](http://www.fdsys.gov)).

In FY 2012, approximately 6,000 tangible titles were distributed to Federal depository libraries, totaling almost 1.5 million copies distributed. In keeping with the direction of the Legislative Appropriations Act for FY 1996, GPO has made significant progress toward the FDLP becoming a predominantly electronic information dissemination program. The total number of Federal Government information collections available to the public online via FDsys is approximately 50, with almost 8 million searchable documents. In addition, in FY 2012, GPO harvested and linked to over 50,000 titles and information resources housed on GPO servers and on agency web sites through the online Catalog of U.S. Government. As GPO adjusts its workflows and operations to accommodate a primarily electronic FDLP, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to electronic Government information, which involves recurring costs.

The majority of FY 2014 funding requested for this program is related to the distribution of material to the public and Federal Depository Libraries. In addition to the cost of printing and distributing tangible copies, a significant amount of program funds will be allocated to the maintenance of public-facing online systems which assist GPO in continuing to transition the FDLP to a predominantly electronic program. Such systems are FDsys, GPO's Integrated Library System, and the FDLP Desktop.

Requested funding for Federal Depository activities based on current needs is \$23,643,000.

In addition to the above activities for FY 2014, \$700,000 is being requested in transfer funds to conduct a Tangible Documents Inventory at GPO's Laurel Warehouse and Main Building to assist in a project to increase the availability of legacy publications to the public. The inventory of legacy GPO documents will provide a mechanism for decision making about the materials and how to make them accessible to the public moving forward. The inventory will gather detailed information about the publications, which will allow for decisions on the best use of these materials regarding their potential digitization. This initiative will expand access to historic Government documents through Federal depository libraries and to the public.

#### Cataloging and Indexing Program (C&I)

Under the requirements of Title 44, U.S.C., §1710-1711, GPO is charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not confidential in character. The principal tool is the Web-based Catalog of U.S. Government Publications ([catalog.gpo.gov](http://catalog.gpo.gov)). GPO's goal is to expand this catalog to a more comprehensive title listing of public documents, both historic and electronic, to increase the accessibility and use of Government information products.

Activities in support of this goal include work on the creation of MARC21 (Machine Readable Cataloging) records for current and historic materials, projects to increase the born-digital harvesting effort for newly created U.S. Government information products and expanding cataloging record services to FDLR libraries. A request of \$10,747,000 is being submitted that is based on an analysis of work that remains to be completed on these projects.

In addition to the on-going work listed above, it has been identified that the need exists to upgrade and enhance GPO's Integrated Library System (ILS) into a next generation system. The ILS, and its public face, the Catalog of U.S. Government Publications, provide access for depository libraries and public users to bibliographic information and metadata about Federal publications, including links to available electronic versions. The ILS strives to be a "comprehensive index of public documents," supporting the Cataloging and Indexing Program, derived from the statutory requirements for cataloging and indexing codified in Title 44 U.S.C. §1710-1711 and Title 44 U.S.C. §4101(a). The upgrade will also serve to augment GPO's Cataloging Record Distribution Program, thus enhancing services to all the Federal depository libraries, who particularly value the metadata and bibliographic records available from the ILS. The request aligns with the last option year of the current ILS contract, and covers replacement and hosting of the system as well as software support for one year and four option years. A next generation ILS will increase the level of services and functionality provided to all users including GPO staff, the American public and depository libraries while increasing the value of the money spent on system support and maintenance.

A request of \$2,100,000 in transfer money is being sought to accomplish the conversion of the ILS into a next generation system with greater capabilities to serve the library community and the public.

Additionally, a request of \$700,000 is being made to enhance access to publications of the U.S. Government by continued development of the National Bibliographic Records Inventory of Federal FDLP and C&I program publications. This initiative of the C&I program was developed from recommendations from stakeholders in the FDLP community. GPO projects currently in process directly contribute to this effort to provide bibliographic access to current and historic documents published by all three branches of Government. Projects include: GPO's historic shelf list transcription and digitization projects, cooperative cataloging projects, and identification of bibliographic information for known sets of fugitive publications. The continuation of this initiative will enhance and improve the ease of access to documents in FDLP libraries and on agency websites through the Catalog of U.S. Government Publications (CGP) ([catalog.gpo.gov](http://catalog.gpo.gov)).

#### International Exchange Program (IES)

As authorized by Section 1719 of Title 44, U.S.C., and pursuant to international treaty establishing the exchange of official publications, GPO distributes tangible U.S. Government publications to foreign governments that agree to send to the United States similar publications of their governments for deposit at the Library of Congress (LOC). LOC designates the publications to be distributed abroad and GPO performs the actual distribution.

FY 2014 requested funding to be allocated for this is \$1,075,000. These funds will be utilized for the physical distribution of materials to foreign governments, personnel expenses to carry out the distribution and coordination with Library of Congress, and printing costs. This request is an \$19,000 increase from last year's request due to inflation.

#### By-Law Distribution Program

Under various provisions of Title 44, U.S.C., GPO distributes certain tangible publications to recipients designated by law. For example, two or more copies of every publication printed are provided to Library of Congress (LOC), regardless of whether the publication is distributed to Federal Depository Libraries. The National Archives and Records Administration (NARA) is entitled to receive three copies of every publication printed. GPO distributes copies of publications to foreign governments. GPO also maintains mailing lists for by-law distribution of specific publications.

The FY 2014 requested funding for this program is \$358,000. The funds will be utilized for the physical distribution of materials, personnel expenses to carry out the distribution and maintain mailing lists, and printing costs. The funding request is \$6,000 over last year's request due to inflation.

**US GOVERNMENT PRINTING OFFICE  
SUPERINTENDENT OF DOCUMENTS  
SALARIES AND EXPENSES**

Analysis of Change 2013 to 2014  
(Dollars in Thousands)

| 2014<br>Agency Request                                       |               |
|--------------------------------------------------------------|---------------|
| FTE                                                          | Amount        |
| FY 2013*                                                     | 114 \$ 35,214 |
| FY 2014                                                      |               |
| Mandatory Pay and Related Costs                              |               |
| Annualization of pay raise for FY 2014                       | 168           |
| Within-grade increases for FY 2014                           | <u>98</u>     |
| Total Mandatory Pay and Related Costs                        | <u>266</u>    |
| Total Price Level Changes                                    | <u>343</u>    |
| Program/Project/Activity Increases for FY 2014               |               |
| Upgrade and enhance Integrated Library System (ILS)          | 2,100         |
| Development of National Bibliographic Records Inventory      | 700           |
| Inventory/Preservation Process of FDL P Collection (Phase 2) | <u>700</u>    |
| Subtotal Program/Project/Activity Increases for FY 2014      | 3,500         |
| Transfer Request for FY 2014 - FY 2008 Balance**             | (\$3,500)     |
| Total Net Change                                             | - 609         |
| Total 2014 Appropriation                                     | 114 \$ 35,823 |

\* Based on Continuing Appropriations Act, 2013 P.L. 112-175

\*\* Request for unobligated or unexpended balances from prior year appropriations be transferred to GPO's revolving fund upon approval of the Committees on Appropriations of the House of Representatives and Senate

**US GOVERNMENT PRINTING OFFICE  
SUPERINTENDENT OF DOCUMENTS  
SALARIES AND EXPENSES**

Summary by Object Class  
(Dollars in Thousands)

| Object Class                          | FY 2012<br>Actual (1) | FY 2013<br>CR (P.L. 112-175) (2) | FY 2014<br>Requested (3) | FY 2013/2014<br>Net Change |        |
|---------------------------------------|-----------------------|----------------------------------|--------------------------|----------------------------|--------|
| <u>Breakdown by Program</u>           |                       |                                  |                          |                            |        |
| By-Law Distribution                   | \$ 350                | \$ 352                           | \$ 358                   | \$ 6                       | (4)    |
| Cataloging and Indexing               | 10,500                | 10,564                           | 10,747                   | 183                        | (4)    |
| Federal Depository Library            | 23,100                | 23,242                           | 23,643                   | 401                        | (4)    |
| International Exchange                | 1,050                 | 1,056                            | 1,075                    | 19                         | (4)    |
| Total Appropriation                   | \$ 35,000             | \$ 35,214                        | \$ 35,823                | \$ 609                     |        |
| <u>Breakdown by Object Class</u>      |                       |                                  |                          |                            |        |
| 11 Personnel Compensation             | \$ 8,031              | \$ 9,528                         | \$ 9,776                 | \$ 248                     | (5)    |
| 12 Personnel Benefits                 | 2,273                 | 2,817                            | 2,835                    | 18                         | (6)    |
| 21 Travel                             | 71                    | 97                               | 98                       | 1                          | (7)    |
| 22 Transportation of Things           | 778                   | 988                              | 1,003                    | 15                         | (7)    |
| 23 Rents Communications and Utilities | 85                    | 111                              | 113                      | 2                          | (7)    |
| 24 Printing and Reproduction          | 8,360                 | 8,500                            | 8,628                    | 128                        | (7)    |
| 25 Other Services                     | 15,200                | 12,546                           | 12,734                   | 188                        | (7)(8) |
| 26 Supplies and Materials             | 177                   | 613                              | 622                      | 9                          | (7)    |
| 31 Equipment                          | 25                    | 14                               | 14                       | 0                          | (7)    |
| Total Appropriation                   | \$ 35,000             | \$ 35,214                        | \$ 35,823                | \$ 609                     |        |

- (1) Actual and obligated expenditures against the 2012 S&E Appropriation through 09/30/12. In addition to appropriated fund, \$2.7M in transferred funds (Legislative Branch Appropriation Act, 2012, P.L.112-74) were made available to cover program printing shortfalls and to fund FDLP's Migration and Modernization of FDLP Legacy Systems that were not covered by FY 2012 funds.
- (2) In addition to appropriated fund (Continuing Appropriation Act 2013, P.L.112-175), \$3.73M in transferred funds were requested to cover printing U.S. Code edition, automation of the manual depository process and FDSys permanent storage that were not covered by FY 2013 funds.
- (3) Requested amount is based on FY 2013 CR levels with inflation for all non-salary categories. Personnel compensation was calculated based on staffing needs, inflation and appropriate work days for the year. The agency is expecting to request \$3.5M in transfer funds to cover additional program expenses including upgrading and enhancing the Integrated Library System (ILS) to a next generation system (\$2.1M), development of a National Bibliography Records Inventory (\$700k) and performing the 2nd phase of an inventory and preservation process related to FDLP collections currently stored at GPO facilities (\$700k).
- (4) Reflects salary and price level increases.
- (5) Amount reflects the funding of merit and pay increases for 114 FTEs for FY 2014.
- (6) Reflects anticipated benefit expenditures based on Personnel Compensation figure.
- (7) Reflects price level increase.
- (8) Includes price level increases for Workers Compensation, Shared Services, and on-going systems maintenance including FDSys operating expenses.

**US GOVERNMENT PRINTING OFFICE**  
**REVOLVING FUND**  
Fiscal Year 2014

**Proposed Appropriations Language:**

For payment to the Government Printing Office Revolving Fund, [\$7,840,000,] *\$12,919,000*, to remain available until expended, for information technology development, *digital equipment*, and facilities repair: *Provided*, That the Government Printing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Printing Office revolving fund: *Provided further*, That not more than \$7,500 may be expended on the certification of the Public Printer in connection with official representation and reception expenses: *Provided further*, That the revolving fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: *Provided further*, That expenditures in connection with travel expenses of the advisory councils to the Public Printer shall be deemed necessary to carry out the provisions of title 44, United States Code: *Provided further*, That the revolving fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: *Provided further*, That activities financed through the revolving fund may provide information in any format: *Provided further*, That the revolving fund and the funds provided under the headings "Office of Superintendent of Documents" and "Salaries and Expenses" may not be used for contracted security services at GPO's passport facility in the District of Columbia. *Note.--A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112-175).*

**Base Budget Review:**

By law, GPO's revolving fund is used to finance GPO's printing, print procurement, and sales of Government publications operations. Apart from passport production, over 70 percent of GPO's printing revenue is from agencies for work procured by the GPO to the private sector printing industry through the Print Procurement Program. These printing needs are effectively satisfied through this procurement activity because the highly competitive process provides access to the vast resources, expertise, and specialization within the commercial sector. The Program competitively acquires products and services from more than 2,000 private sector firms in all 50 states every year through various types of procurement vehicles tailored to the specific needs of customers. It is one of the Government's most successful procurement programs, assuring the most cost-effective use of the taxpayers' printing dollar. For our customers in Federal agencies, we will continue to provide printed products and services through private sector vendors using GPO's experience and buying power to create the best value for taxpayers, and offering them more flexibility in choosing and working directly with vendors.

GPO's in-plant facility provides electronic and tangible print products in support of the information and day-to-day business needs of Congress and Federal agencies. GPO produces the

*Congressional Record* overnight when Congress is in session, and bills, hearings, documents, reports and committee prints in time to support Congress' legislative needs. Also produced are the *Federal Register*, the *Code of Federal Regulations*, passports (both in Washington, DC, and at our alternate production facility at the Stennis Space Center, MS), smart cards and other key Government documents, such as the annual *U.S. Budget*.

With a few exceptions, the paper used to produce the printed documents by GPO and its contractors meets or exceeds Federal recycled paper requirements and all GPO printing inks are manufactured from vegetable oil in accordance with the Vegetable Ink Printing Act of 1994.

By law, GPO offers Government publications for sale to the public. Thousands of titles are available for sale at any given time, including books, CD-ROMs, and other electronic formats. Some titles are also available in e-book format. GPO's publications sales program provides the public with a wide variety of low cost consumer-oriented publications as well as congressional documents and executive and judicial publications. Documents can also be ordered through GPO's secure Online Bookstore site at ([bookstore.gpo.gov](http://bookstore.gpo.gov)). Free and low-cost publications are distributed through the Federal Citizen Information Center in Pueblo, CO. GPO also provides publications distribution services for Federal agencies on a reimbursable basis.

## **REVOLVING FUND PROJECT REQUESTS**

For FY 2014, GPO is requesting a total of \$12,919,000 for the Revolving Fund to remain available until expended, for the following projects:

|                                                     |                     |
|-----------------------------------------------------|---------------------|
| <u>Information Technology Projects</u>              |                     |
| Data Center Modernization                           | \$ 500,000          |
| FDsys Development                                   | 3,900,000           |
| FDsys Support                                       | 1,922,000           |
| FDsys Infrastructure                                | 400,000             |
| FDsys System Integration Testing Support            | 312,000             |
| GBIS Program Support                                | 415,000             |
| Information Technology Total                        | <u>7,449,000</u>    |
| <u>Facilities Projects</u>                          |                     |
| Replace Elevators 55 and 56                         | 850,000             |
| Repair Rooftop Training Room                        | 620,000             |
| Facilities Total                                    | <u>1,470,000</u>    |
| <u>Digital Equipment</u>                            |                     |
| Install Digital Bindery Line for Congressional Work | <u>4,000,000</u>    |
|                                                     | <u>\$12,919,000</u> |

## **Information Technology Projects**

**Data Center Modernization (\$500,000)** This is to continue the modernization that we plan to start with already approved FY 2013 funds. Overall, it will help GPO to reduce infrastructure costs by avoiding the high costs of building infrastructure upgrades needed to sustain a datacenter at the main GPO building. It will also reduce our overall IT risks by putting our IT infrastructure in a more secure, stable hosting environment to ensure that GPO applications which directly support our customers (including Congress) continue to be highly available. Modernizing will also allow GPO to align with overall Federal data center consolidation initiatives that are mandated by OPM for Executive Branch agencies.

**FDsys Development (\$3,900,000)** Development funding is necessary for Web App, Repository, and Search components in order to maintain a consistent delivery of new features and functionality for FDsys and key stakeholders, including Congress, federal agencies, and the public.

**FDsys Support (\$1,922,000)** Support funding is necessary for Web Applications, Repository, and Search components in order to ensure the FDsys is properly maintained and fully operational.

**FDsys Infrastructure (\$400,000)** Infrastructure funding is necessary to ensure the hardware, storage and infrastructure components are in place to manage system performance as FDsys content and usage continues to grow.

**FDsys System Integration Testing Support (\$312,000)** Testing support funding is necessary to ensure FDsys development releases fully meet customer expectations and will fully integrate with and can be pushed to the production environment.

**GBIS Program Support (\$415,000)** Program support funding is needed in order to continue the gathering, analyzing, and documenting of functional requirements as well as recommend and propose solutions to support GBIS system enhancements and reports at GPO.

## **Facilities Projects**

**Replace Elevators 55 and 56 (\$850,000)** Elevators 55 and 56 are original to Building D and have been operating since 1938. These elevators, used to move production materials between floors, have reached the end of their life cycle. Modernizing Elevators 55 and 56 will also support GPO's efforts to attract new tenants as per Congressional and NAPA recommendations.

**Repair Rooftop Training Room (\$620,000)** This is one of several on-going roofing projects; this particular project is critical because of water leakage that could damage the newly installed elevators.

## **Digital Equipment**

**Install Digital Bindery Line for Congressional Work (\$4,000,000)** This installation will replace a 30-year old adhesive binder and will be instrumental in maximizing efficiency for the production of Congressional and Office of Federal Register products.

**US GOVERNMENT PRINTING OFFICE  
REVOLVING FUND**

Analysis of Change 2013 to 2014  
(Dollars in Thousands)

| FY 2014<br>Agency Request                                  |                  |
|------------------------------------------------------------|------------------|
| FTE                                                        | Amount           |
| Obligational Authority, FY 2013                            | 1,796 \$ 699,299 |
| Non-recurring Costs - Equipment to be obligated in FY 2013 | - (41,530)       |
| Mandatory Pay and Related Costs                            |                  |
| Annualization of pay raise for FY 2014                     | - 3,044          |
| Within-grade increases FY 2014                             | - 1,787          |
| Total Mandatory Pay and Related Costs                      | - 4,831          |
| Total Price Level Changes                                  | - 6,968          |
| Program/Project/Activity Increases for FY 2014             |                  |
| Capital expenditures equipment, systems, and facilities    | - 15,381         |
| Capital Expenditures to be funded by an Appropriation      |                  |
| Data Center Modernization                                  | - 500            |
| FDsys Development                                          | - 3,900          |
| FDsys support                                              | - 1,922          |
| FDsys infrastructure                                       | - 400            |
| FDsys System Integration Testing Support                   | - 312            |
| GBIS Program Support                                       | - 415            |
| Replace Elevators 55 and 56                                | - 850            |
| Repair rooftop training room                               | - 620            |
| Install Digital Bindery Line for Congressional Work        | - 4,000          |
| Total Expenditures to be funded by an Appropriation        | - 12,919         |
| Net change requested                                       | - \$ (1,431)     |
| Total Budget FY 2014                                       | 1,796 697,868    |
| Total Offsetting Collections                               | - 684,949        |
| Total 2014 Appropriation                                   | - \$ 12,919      |

**US GOVERNMENT PRINTING OFFICE  
REVOLVING FUND**

Analysis of Change (Cont.)  
(Dollars in Thousands)

| Object Class                           | FY 2012<br>Actual | FY 2013<br>Enacted | FY 2014<br>Request | FY 2013/2014<br>Net Change |
|----------------------------------------|-------------------|--------------------|--------------------|----------------------------|
| 11 Personnel Compensation              | \$ 139,209        | \$ 144,672         | \$ 148,289         | \$ 3,617                   |
| 12 Personnel Benefits                  | 48,105            | 48,546             | 49,759             | 1,213                      |
| 21 Travel                              | 673               | 960                | 974                | 14                         |
| 22 Transportation of Things            | 7,906             | 8,659              | 8,789              | 130                        |
| 23 Rents, Communications and Utilities | 15,372            | 17,517             | 17,780             | 263                        |
| 24 Printing and Reproduction           | 328,466           | 276,590            | 280,739            | 4,149                      |
| 25 Other Services                      | 31,850            | 28,818             | 29,251             | 433                        |
| 26 Supplies and Materials              | 103,591           | 132,007            | 133,987            | 1,980                      |
| 31 Equipment                           | 18,400            | 41,530             | 28,300             | (13,230)                   |
| Total Budget                           | \$ 693,572        | \$ 699,299         | \$ 697,868         | \$ (1,431)                 |