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**NIST CENTER FOR NEUTRON RESEARCH  
ACCOMPLISHMENTS AND OPPORTUNITIES**

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#### **ON THE COVER**

The inset shows an exploded view of welded aluminum components of the second-generation liquid hydrogen cold source to be installed during 2001. The cold source, which slows down thermal neutrons, is the round object located right-middle. Surrounding it is a vacuum jacket located just to the right and left of the cold source. The outer layer, exploded top and bottom and to the left, is the vacuum shroud that is also shown standing vertically on the front cover. Cold neutrons emerge from the cap shown at the left into 8 guides carrying them to beam instruments. (See layout on p. 2.)

# NCNR 2000

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## **NIST** **CENTER FOR** **NEUTRON** **RESEARCH** **ACCOMPLISHMENTS** **AND** **OPPORTUNITIES**

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## FOREWORD

Once again, it is a pleasure to be able to reflect on the accomplishments of the NIST Center for Neutron Research over the past year. The reactor shim control arms were replaced during a planned shutdown early in 2000. As a result, the reactor was scheduled to operate for 212 days during the reporting period, and did operate 198 days, or 93 % of the scheduled time, as a consequence of one unplanned maintenance shutdown. Construction was begun on the new cooling tower which will not only provide needed capability for the next 25 years, but will also reduce the plume visibility during cold weather. The cold source availability for the period was 98 %; i.e., the cold source held the reactor from operation 4 days during the year. The second-generation liquid hydrogen cold source passed all required pressure tests, and the final assembly is now being prepared for insertion into the reactor in 2001. Finally, steady progress has been made in preparing for a license renewal application to the Nuclear Regulatory Commission, in order to extend the period of operation beyond 2004.

Three high-resolution inelastic scattering instruments, the High Flux Backscattering Spectrometer, the Disk Chopper time-of-flight Spectrometer, and the Neutron Spin Echo spectrometer (highlighted in the 1999 report), are now being offered to users who can tolerate the quirks inherent in getting a new instrument on-line. USANS, the perfect crystal small angle scattering spectrometer (part of the NSF/NIST CHRNS), is installed at the reactor and available for proposals; the first phase of the high intensity Filter Analyzer Neutron Spectrometer is operating with high intensity and good backgrounds; and the design and manufacture of new thermal neutron spectrometers is underway. (USANS, DCS, and FANS are highlighted in this report.) This simultaneous development program has put severe strains on our resources, but we can now look forward to many years of benefit from the results. During the past year, a

proposal was made to the National Science Foundation (NSF) to allow joint NIST/NSF operation of the three high-resolution instruments, and to construct a new cold neutron triple axis spectrometer. The final disposition of this application is not yet available, but the external reviews are complete, a site visit has taken place, and the NSF is now considering the appropriate action. If this proposal is funded, then we will be able to operate these new inelastic scattering instruments properly in a full user mode.

Finally, as always, the results are seen in the output of the researchers who use the facility. As has become our practice, we are presenting highlights of this work in the following chapters of this report. I think that all can agree that the results truly speak for themselves.



*Mike Rowe*

# INTRODUCTION TO THE NIST CENTER FOR NEUTRON RESEARCH (NCNR)

**M**odern technological society is dependent upon increasingly sophisticated use of materials, many of whose attributes are dictated by their sub-microscopic structural and dynamical properties. A wide range of scientific techniques, of which the many types of scattering (for example, x-rays, light, electrons, neutrons) are arguably the most important, provide knowledge of these properties. Of these probes, neutrons are perhaps least familiar, but they provide important advantages for many types of measurements.

Neutrons, as prepared for use at modern sources, are moving at speeds comparable to those of atoms moving at room temperature, thus providing the ability to probe dynamical behavior. At the same time, neutrons are well matched to measurements at length scales ranging from the distances between atoms to the size of biological or polymer macromolecules. Neutrons are sensitive to the magnetic properties of atoms and molecules, allowing study of the underlying magnetic properties of materials. They also scatter quite differently from normal hydrogen atoms than they do from heavy hydrogen (deuterium), allowing selective study of individual regions of molecular systems. Finally, neutrons interact only weakly with materials, providing the opportunity to study samples in different environments more easily (at high pressures, in shear, in reaction vessels, etc.), and making them a non-destructive probe. These favorable properties are offset by the relative weakness of the best neutron sources compared to x-ray or electron sources, and by the relatively large facilities required to produce neutrons. As a result, major neutron sources are operated as national user facilities to which researchers come from all over the United States (and abroad) to perform small-scale science using the special measurement capabilities provided.

In addition to scattering measurements, neutrons can be used to probe the atomic composition of materials by means of capture and resultant radioactive decay. The characteristics of the decay act as “fingerprints” for particular atomic nuclei, allowing studies of environmental samples for pollutants (e.g., heavy metals), characterization of Standard Reference Materials, and many other essential measurements. While the scattering and capture users of neutrons are little concerned with understanding the inherent properties of the neutron, there are important areas in physics that can be explored by carefully measuring fundamental neutron behavior. Examples include the lifetime of the free neutron, an important quantity in the theory of astrophysics; the beta decay process of the neutron, the

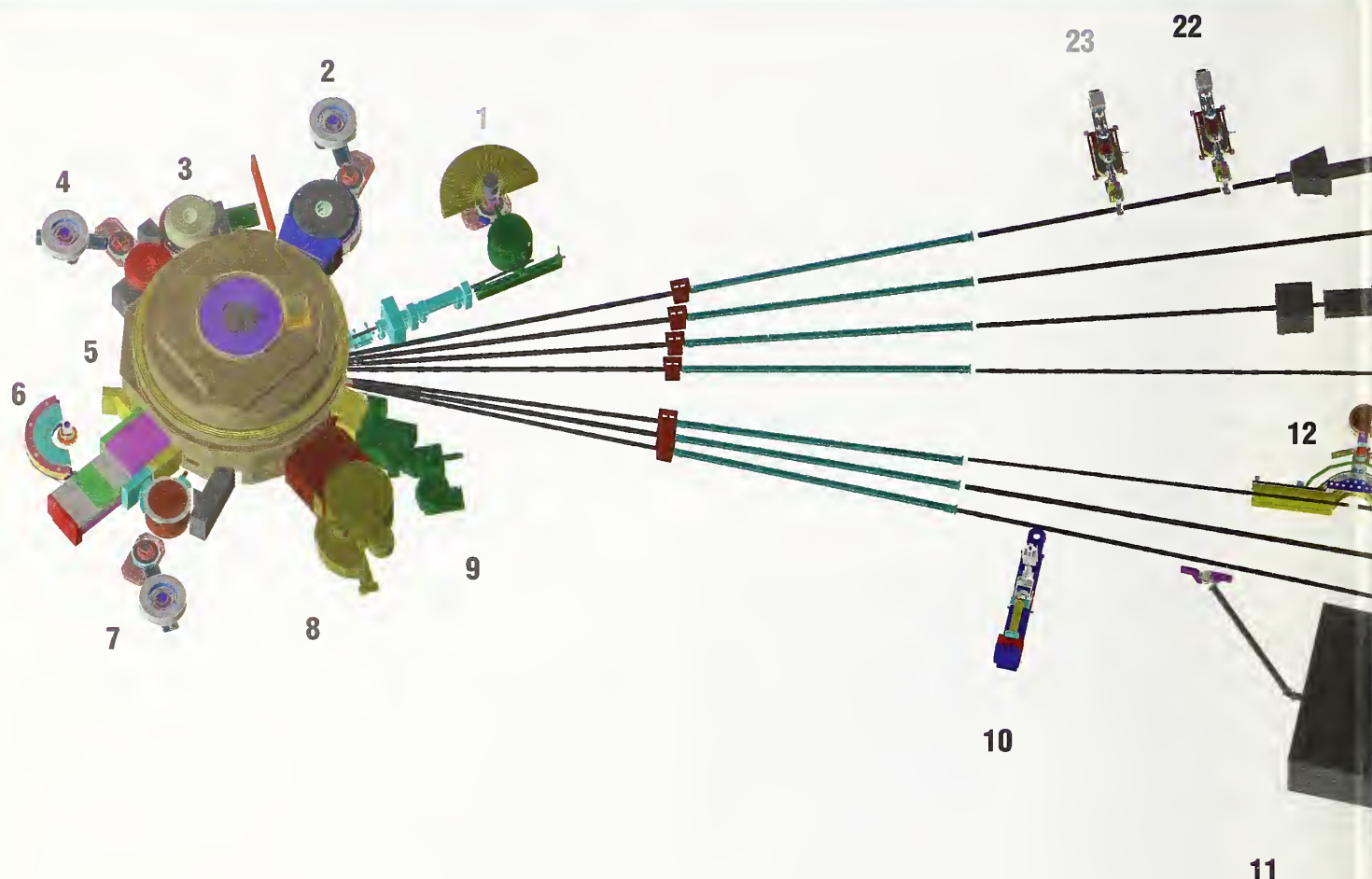
details of which are stringent tests of nuclear theory; and the effects of various external influences such as gravity or magnetic fields on neutrons.

The NCNR utilizes neutrons produced by the 20 MW NIST Research Reactor to provide facilities, including the Nation’s only internationally competitive cold neutron facility, for all of the above types of measurements to a national user community. There are approximately 35 stations in the reactor and its associated beams that can provide neutrons for experiments. At the present time 27 of these are in active use, of which 6 provide high neutron flux positions in the reactor for irradiation, and 21 are beam facilities. A schematic layout of the beam facilities and brief descriptions of available instrumentation are given below. More complete descriptions can be found at <http://www.ncnr.nist.gov>.

These facilities are operated both to serve NIST mission needs and as a national facility, with many different modes of access. Some instrumentation was built years ago, and is not suited to general user access; however, time is available for collaborative research. NIST has recently built new instrumentation (see the highlights in this report on FANS, DCS, and USANS), and reserves 1/3 of available time for mission needs with the balance available to general users. In other cases, instrumentation was built and is operated by Participating Research Teams (PRT); PRT members have access to 75 % of available time, with the balance available to general users. In a special case, NIST and the National Science Foundation established the Center for High Resolution Neutron Scattering at the NCNR, with a 30 m Small Angle Scattering (SANS) instrument, a cold neutron triple axis spectrometer, and the thermal neutron perfect crystal SANS commissioned this year. For these facilities, most time is available for general users. While most access is for research, whose results are freely available to the general public, proprietary research can be performed under full cost recovery. Each year, approximately 1600 researchers (persons who participated in experiments at the facility, but did not necessarily come here) from all areas of the country, from industry, academe, and government use the facility for measurements not otherwise possible. The research covers a broad spectrum of disciplines, including chemistry, physics, biology, materials science, and engineering.



# NIST CENTER FOR NEUTRON RESEARCH LAYOUT



**1 A Cold Neutron Depth Profiling** instrument (not shown) for quantitative profiling of sub-surface impurities currently at this site will be moved to another position. Shown is a proposed **Triple Axis Cold Neutron Crystal Spectrometer** with double focusing monochromator and multiple crystal analyzer/detectors that can be flexibly configured for several energies simultaneously or for high throughput at one energy.

**2 BT-7 Triple Axis Spectrometer** with fixed incident energy for measurements of excitations and structure.

**3 BT-8 Residual Stress Diffractometer** optimized for depth profiling of residual stress in large components.

**4 BT-9 Triple Axis Crystal Spectrometer** for measurements of excitations and structure.

**5 Thermal Column** A very well-thermalized beam of neutrons used for radiography, tomography, dosimetry and other experiments.

**6 BT-1 Powder Diffractometer** Powder diffractometer with 32 detectors; incident wavelengths of 0.208 nm, 0.154 nm, and 0.159 nm, with highest resolution of  $\delta d/d = 8 \times 10^{-4}$ .

**7 BT-2 Triple Axis Crystal Spectrometer** with polarized beam capability for measurement of magnetic dynamics and structure.

**8 BT-4 Filter Analyzer Spectrometer** with cooled Be/Graphite filter analyzer for chemical spectroscopy.

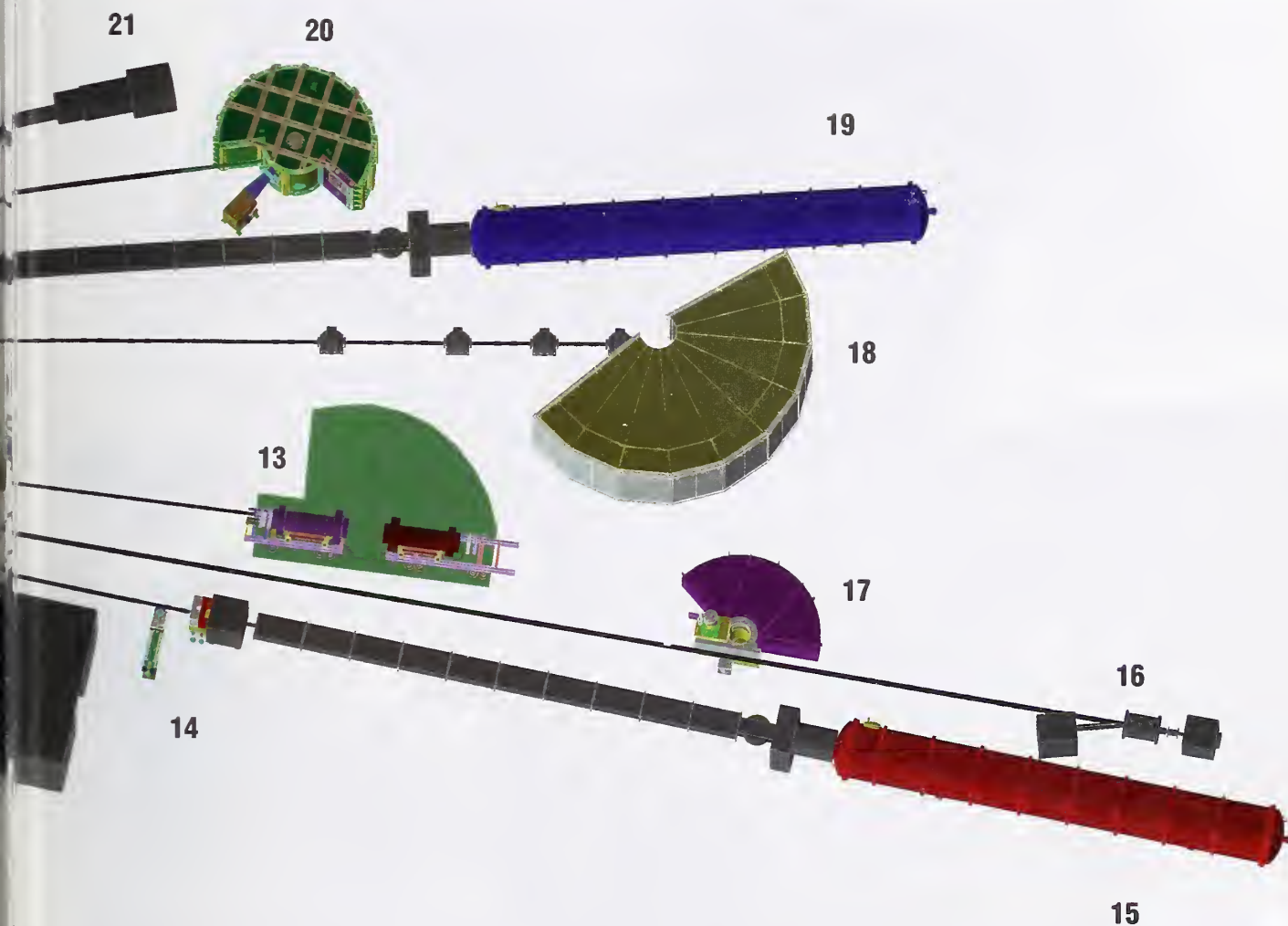
**9 BT-5 Perfect Crystal Diffractometer SANS** small angle neutron scattering instrument for microstructure on the  $10^4$  nm length scale sponsored by the National Science Foundation and NIST, part of the Center for High Resolution Neutron Scattering (CHRNS).

**10 NG-7 Horizontal Sample Reflectometer** allows reflectivity measurements of free surfaces, liquid vapor interfaces, as well as polymer coatings.

**11 Neutron Interferometry and Optics Station** with perfect silicon interferometer; vibration isolation system provides exceptional phase stability and fringe visibility.

**12 Spin Polarized Triple Axis Spectrometer (SPINS)** using cold neutrons with position sensitive detector capability for high resolution studies, part of CHRNS.





**13 Spin Echo Spectrometer** offering neV energy resolution, based upon Jülich design, sponsored by NIST, Jülich and ExxonMobil.

**14 Prompt Gamma Activation Analysis** cold neutron fluxes allow detection limit for H of  $1\ \mu\text{g}$  to  $10\ \mu\text{g}$ . Focused beams are available for profiling.

**15 NG-7 30 m SANS** for micro-structure measurements sponsored by NIST, ExxonMobil, and the University of Minnesota.

**16 Neutron Physics Station** a cold neutron beam  $150\ \text{mm} \times 60\ \text{mm}$ , available for fundamental neutron physics experiments.

**17 Fermi Chopper TOF Spectrometer** a hybrid time-of-flight spectrometer for inelastic scattering with incident wavelengths between  $0.23\ \text{nm}$  and  $0.61\ \text{nm}$  chosen by focusing pyrolytic graphite crystals. A simple Fermi chopper pulses the beam.

**18 Disk Chopper TOF Spectrometer** versatile time-of-flight spectrometer, with beam pulsing and monochromatization effected by 7 disk choppers. Used for studies of dynamics in condensed matter, including macromolecular systems.

**19 NG-3 30 m SANS** for micro-structure measurements sponsored by the National Science Foundation and NIST; part of CHRNS.

**20 Backscattering Spectrometer:** high intensity inelastic scattering instrument with energy resolution  $< 1\ \mu\text{eV}$ , for studies of motion in molecular and biological systems.

**21 8 m SANS** for polymer characterization, sponsored by NIST Polymers Division.

**22 Vertical Sample Reflectometers:** instruments for measuring reflectivities down to  $10^{-8}$  to determine sub-surface structure, with polarization analysis capability. No. 23 is a proposed instrument optimized for biological measurements.

**Right: NCNR, cross-country skier's view.**  
**Below: Sushil Satija (NCNR) emphasizes a point about reflectometry to participants in the 6th annual summer school on neutron scattering held June 5-9, 2000.**



Photography by L. A. Shuman



Photography by L. A. Shuman



**Above: Jeremy Cook (NCNR) at the control station of the Disk Chopper Spectrometer, which is highlighted in this issue. Left: Uta Diergsweiler and Judith Wölk (U. Köln) measuring scattering from aerosols at the NG-7 30 m SANS.**



**Right: Rob Dimeo (NCNR) examines the cryostat jacket inside the chamber of the backscattering spectrometer. Arrayed on Debye-Scherrer rings behind him are Si (111) analyzer crystals.**





Photography by L. A. Shuman



Above: John McCarthy (ORNL) watches a pattern emerge at the NG-3 30 m SANS.



Above: Barbara Reisner (James Madison U.) makes a salient point using a model of a zeolite. Below: Rolf Zeisler and Heather Chen-Mayer (NCNR) prepare for pulsed neutron beam PGAA measurements.



Photography by L. A. Shuman



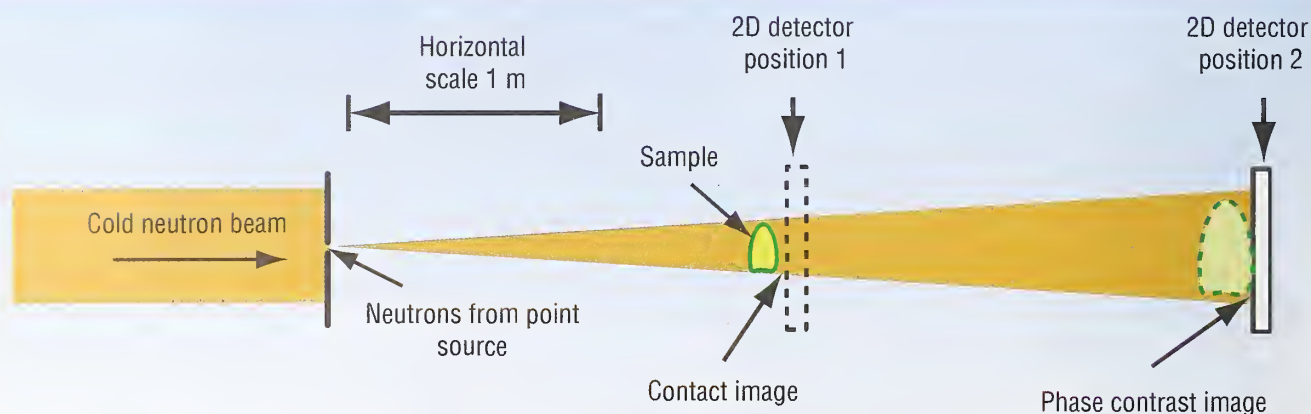
Above: R. St. John Manley (McGill U.) and NIST Polymer Division's David VanderHart and John Barnes discuss the significance of the diffraction pattern at the NG-1 8 m SANS. Right: Qingzhen Huang (U. Md.), Jeff Lynn (NCNR), and Eduardo da Silva-Granado (U. Md.) strike a pose while measuring a magnetic structure at the BT-2 triple axis spectrometer.



Photography by L. A. Shuman



# PHASE-CONTRAST IMAGING WITH NEUTRONS



**FIGURE 1.** Experimental layout.

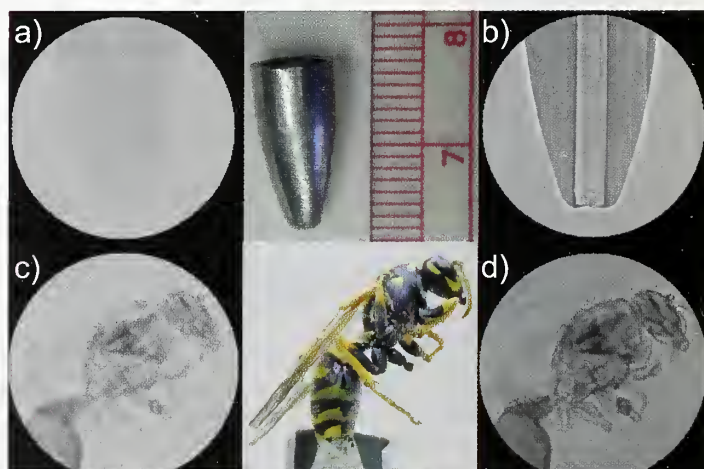
In-line phase-contrast images have been observed with many forms of radiation. Here we demonstrate that such images can be observed very simply with neutrons as well. Measurement of the phase of a neutron wave conventionally requires a delicate setup, carefully reducing interference-destroying vibrations of the parts through which the beam passes. Here we describe an alternative method that only requires measurements of intensity to extract phase information, and thus bypasses the need for the precise setup used in a conventional interferometric measurement.

A schematic of the setup used for the present experiment is shown in Fig. 1. To give them the required transverse coherence, monochromatic neutrons pass through a pinhole onto the sample. A 2-D CCD-type neutron camera then records the transmitted beam intensity through the sample at some distance from it (plane 2 in Fig. 1). This downstream image is called the “phase-contrast image” because it is enhanced by Fresnel diffraction, since interference due to phase differences corresponding to edge effects has had a chance to develop. The radiographic image recorded immediately after the sample (plane 1 in Fig. 1) is called the “contact image,” and essentially contains a shadow of the object.

The contact and phase-contrast images of the bullet-shaped lead sinker, aligned with its axis perpendicular to the beam, taken with a 0.433 nm beam at the NCNR interferometer beam line NG-7 are shown in Figs. 2a and 2b. These are direct images, corrected only for the empty beam profile. In the normal radiograph (Fig. 2a) the extent and internal details of the sample structure are not clearly visible because of very low scattering and absorption. However, in Fig. 2b interference has enhanced the image intensity contrast at the

edges of the sample and at boundaries within the imperfections at the tip, rendering them clearly visible. Contact and phase contrast images of a wasp are shown in Figs. 2c and 2d, respectively. Notice that in the phase-contrast image all the delicate and thin organs of the wasp, e.g., antennae, leg segments, and wings, become visible. Since this method is so simple, many applications investigating internal features not otherwise visible are now possible.

Turning attention now to extracting phase information, after the beam has passed through the sample, particularly for an object offering little absorption or scattering, refractive variations within the sample still cause the beam’s phase to be modulated. As a result, the radiation *intensity* transverse to the direction of propagation is redistributed. By measuring these intensity changes alone one can retrieve the phase density profile of the sample without using an interferometer. The mathematical basis for this phase retrieval



**FIGURE 2.** (a) contact and (b) phase contrast images of a lead sinker shown between them. (c) contact and (d) phase contrast images of a wasp.

technique is based on the quantum mechanical analogue of the so-called "Transport of Intensity Equation (TIE)" of a wave

$\sqrt{I(\vec{r}_\perp, z)}\exp(i\phi(\vec{r}_\perp, z))\exp(i2\pi z/\lambda)$ , with irradiance,  $I(\vec{r}_\perp, z)$  and phase  $\phi(\vec{r}_\perp, z)$  transverse to the beam [1, 2]:

$$\frac{2\pi}{\lambda} \frac{\partial I(\vec{r}_\perp, 0)}{\partial z} = -\nabla_\perp \cdot [I(\vec{r}_\perp, 0)\nabla_\perp \phi(\vec{r}_\perp, 0)] \quad (1).$$

The TIE allows one to make a *quantitative* determination of the phase because the intensity of the propagated wave in a given transverse plane downstream is dependent on the intensity and phase upstream [3]. This technique is well developed for x-rays and electrons. Very beautiful experiments have been performed using both of these radiation types [4,5,6].

We recently carried out a series of experiments [7], for the first time with neutrons, to measure the phase modulated intensity changes caused by a sample and to quantify the observed phase profile using this technique. The experiments were performed at NG-7 and also at NG-0. NG-0 is a curved neutron guide providing a polychromatic neutron beam with the Maxwellian peak centered around 0.432 nm. At the NG-7 guide a PG(002) crystal was used to extract a beam in the range of 0.235 nm to 0.475 nm.

Both contact and phase-contrast images were recorded in order to obtain the derivative on the left side of Equation (1). The phase-contrast image was taken with the CCD placed 1.5 m to 1.8 m from the sample. The images from the CCD are downloaded to a computer and the centroids of the neutron events are deter-

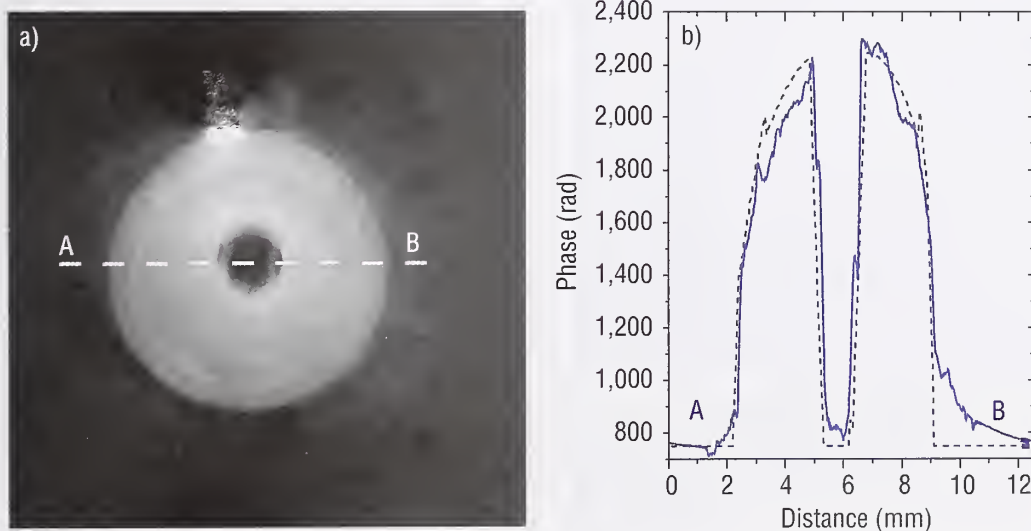
mined by hardware processing, achieving a best-case resolution of about 60  $\mu\text{m}$ .

Figure 3a shows an image constructed from such an analysis of the lead sinker (of Fig. 2) whose longitudinal axis is nearly aligned with the incident beam. In Fig. 3b is plotted a profile of the retrieved phase along AB (blue curve). This profile was obtained through Fourier processing of the data. The predicted phase profile (dotted curve) determined from the sample geometry and orientation is in excellent quantitative agreement with the experimental data.

This phase retrieval technique works with a polychromatic beam and is not constrained by low flux. We plan to extend both of these types of measurements using polarized and very cold neutrons to study magnetic domain structures, interface boundaries and density variations in multi-layer thin films. We also plan to carry out tomographic measurements in the near future.

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**FIGURE 3. a)** reconstructed image of lead sinker along beam direction; **b)** measured (blue) and computed (dotted) phase variations along line AB in a).



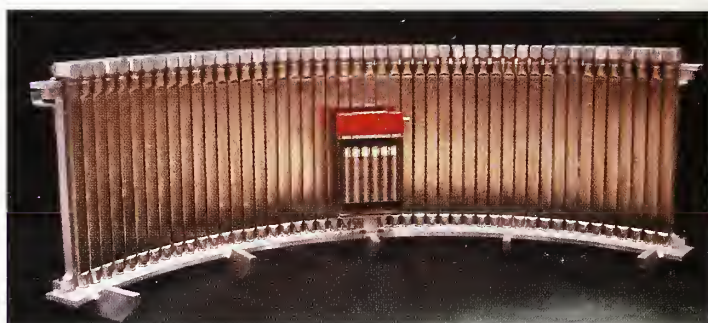
# FILTER-ANALYZER NEUTRON SPECTROMETER (FANS)

Neutron vibrational spectroscopy (NVS) is an invaluable technique for probing vibrational dynamics. In particular, the typical range of energies accessible with reactor-based neutrons spans the region of important lattice and molecular vibrations. Moreover, the unique nature of the neutron-nucleus interaction permits the observation of all vibrational modes in an NVS experiment, not just those that satisfy appropriate symmetry-based selection rules as in photon spectroscopies. NVS is particularly useful for characterizing hydrogenous materials since the incoherent scattering cross section for hydrogen is much larger than for virtually all the other elements.

Over the past twenty years, neutron vibrational spectra have been collected for a broad array of both hydrogenous and non-hydrogenous systems utilizing a first-generation Filter-Analyzer Neutron Spectrometer (FANS) located at BT-4. Although this instrument possessed an excellent signal/noise ratio, the measured scattering intensities were limited by a relatively small detector solid angle. Moreover, the BT-4 beam time was typically shared with a triple-axis instrument. A second-generation FANS is currently being constructed at BT-4 in two phases in order to obtain a dedicated

FANS spectrometer with an enhanced measurement sensitivity of about two orders of magnitude. This instrument will ultimately overcome the classic objections to NVS, namely the requirement for much larger samples and longer counting times than are typical of infrared and Raman spectroscopies. Phase I of this second-generation instrument (FANS-I) is now complete, yielding a twenty-fold increase in sensitivity with another factor of at least five anticipated upon completion of phase II.

Figure 1 illustrates the FANS layout. Using either a Cu(220) or pyrolytic graphite (PG) (002) monochromator covers an accessible



Photography by L. A. Shuman

FIGURE 2. Comparison of the larger phase-I and original FANS detector banks.

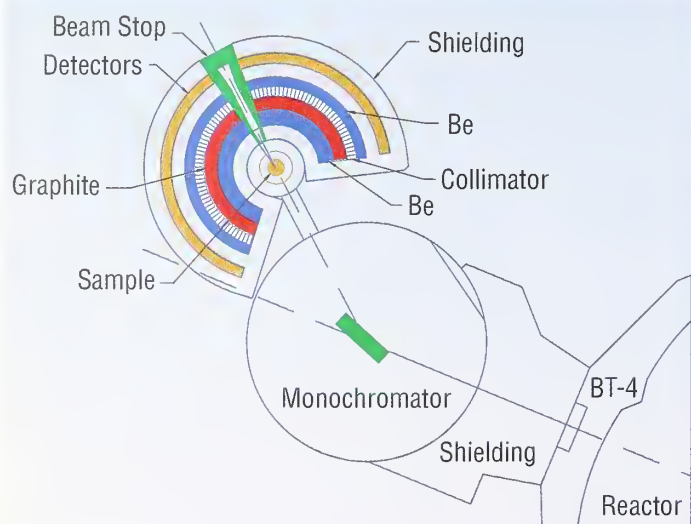


FIGURE 1. Schematic diagram of the final configuration of FANS. Presently installed phase I includes the filters to the right of the beam.

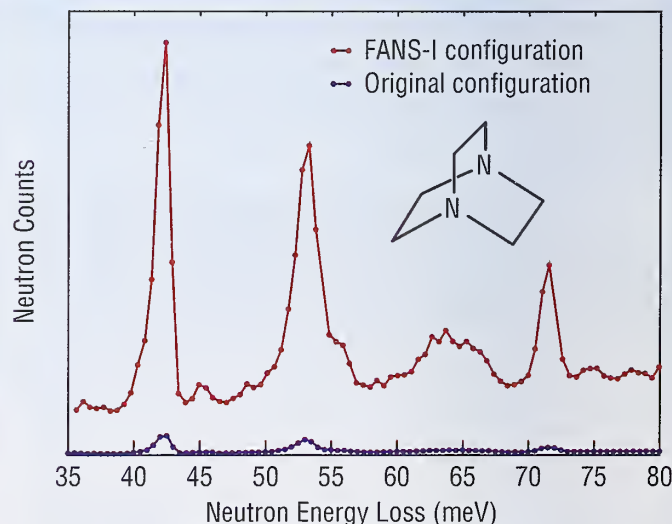


FIGURE 3. Comparison of the neutron vibrational spectrum for triethylene diamine (C<sub>2</sub>H<sub>4</sub>)<sub>3</sub>N<sub>2</sub> measured with FANS-I vs. that measured with the original configuration.



energy range of  $\approx 5$  meV to 250 meV with options for precollimations of 60', 40', or 20' and postcollimations of 40', 20', or 10'. The intensity improvement of the phase-I instrument is due to the twenty-fold increase in detector solid angle provided by a much larger detector bank (see Fig. 2). The accompanying analyzer filter consists of a Be-PG-Be layered arrangement cooled with liquid nitrogen to enhance transmission of the low energy neutrons. Such a low-bandpass filter provides a best resolution at the lowest energy transfers of  $\approx 1.1$  meV FWHM.

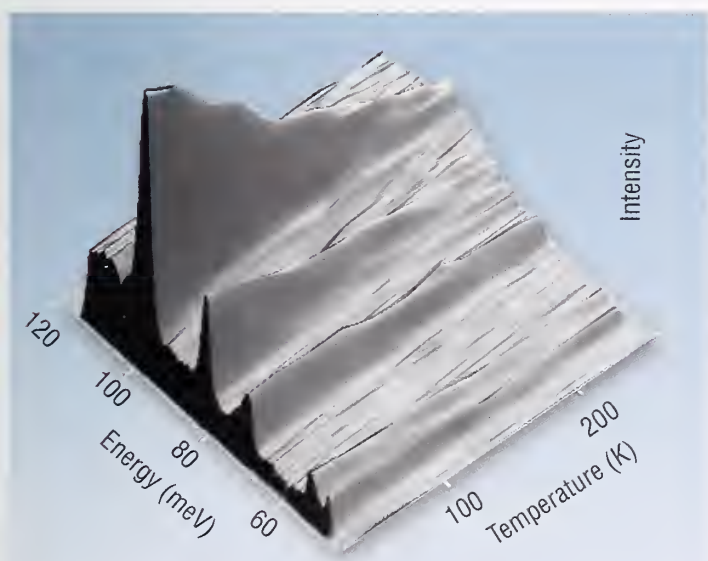
The first measurements during the spring of 2000 confirmed the magnitude of the expected gains. For example, Fig. 3 displays the low-temperature neutron vibrational spectrum for triethylene diamine measured using the new FANS-I configuration compared with that measured using the original configuration under identical beam collimations and measurement times. This gain in intensity is accompanied by a somewhat improved signal/noise ratio.

The enhanced capabilities of the FANS-I instrument have already been demonstrated for a variety of materials including protonic conductors, organic solids, metal hydrides, carbon nanotubes, and metal oxides. These experiments confirmed substantial reductions in required sample size and/or measurement time. For exam-

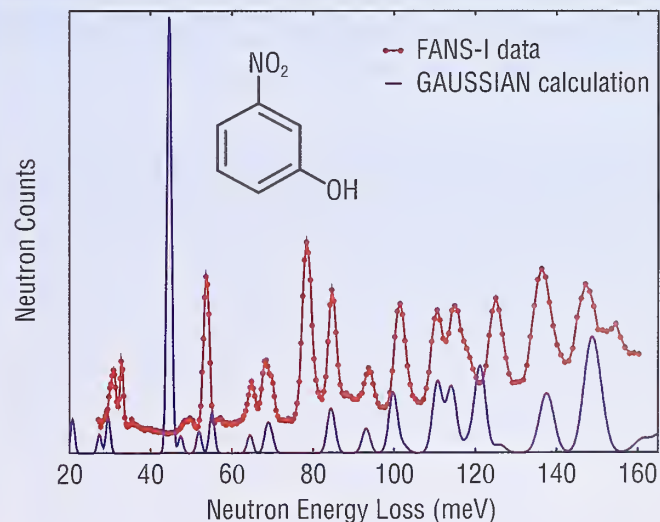
ple, Fig. 4 displays the temperature dependence of the NV spectrum for  $\text{RbH}(\text{SO}_4)_{0.81}(\text{SeO}_4)_{0.19}$ , a protonic conductor oxide with lattice protons that become mobile at temperatures less than 473 K.

Vibrational spectra were collected with FANS-I at nine different temperatures in less than one day, a feat not possible using the original instrument. It proved particularly interesting that the energy of the mode near 100 meV decreases while that of the mode near 83 meV increases with increases in temperature concomitant with rapid decreases in both peak intensities. Indeed, these proton-related features largely disappear at temperatures as low as 200 K, indicating that there is significant proton motion even at this low temperature.

Figure 5 shows the FANS-I spectrum for solid 3-nitrophenol  $[\text{HO}(\text{C}_6\text{H}_4)\text{NO}_2]$  compared with a GAUSSIAN calculation for the isolated molecule. This spectrum exemplifies the overall quality and high-resolution capabilities enabled by the marked improvement in sensitivity. There is fair agreement between calculation and experiment for many features in the vibrational density of states, although it is clear that significant intermolecular hydrogen bonding interactions in the solid cause strong perturbations in the OH wagging mode predicted near 45 meV for the isolated molecule.



**FIGURE 4.** Temperature dependence of the NV spectrum of  $\text{RbH}(\text{SO}_4)_{0.81}(\text{SeO}_4)_{0.19}$ . (Data collected by T. Yildirim, NCNR.)



**FIGURE 5.** NV spectrum for solid 3-nitrophenol  $[\text{HO}(\text{C}_6\text{H}_4)\text{NO}_2]$  at 10 K compared with a GAUSSIAN calculation (b3lyp/6-316\*) for the isolated molecule. (Data collected by T. Heilweil, NIST Physics Laboratory, and C. M. Brown, U. Md. and NCNR.)

# THE NCNR DISK CHOPPER TIME-OF-FLIGHT SPECTROMETER (DCS)

The recently commissioned Disk Chopper Spectrometer (DCS) is a versatile state-of-the-art instrument that is primarily intended for studies of diffusional processes and low energy excitations in materials. It has no equal in North America and is fully competitive with comparable instruments in Europe.

The spectrometer is shown schematically in Fig. 1. Following a tapered offset guide assembly ("neutron optical filter") that removes almost all of the  $\gamma$ -rays and high energy neutrons from the reactor beam [1], seven phased disk choppers supply monochromatic bursts of neutrons at the sample position. Three parallel banks of 6 atmosphere  $^3\text{He}$  detectors, of 400 mm active length, are placed 4010 mm from the sample position, and  $\approx 90\%$  of the space between the sample and the detectors is argon-filled. Each of the 913 rectangular cross section detectors subtends  $\approx 0.5^\circ$  in the scattering plane. The central bank provides continuous angular coverage from  $-30^\circ$  to  $-5^\circ$  and from  $5^\circ$  to  $140^\circ$ . The overall detector coverage is  $\approx 0.65$  sr, double that of the IN5 spectrometer at the Institut Laue Langevin, Grenoble. Presently fitted with a  $\approx 50$  mm long room temperature beryllium filter, the instrument operates at wavelengths greater than  $\approx 4.1$  Å. The beryllium will shortly be replaced with an assembly of cold oriented graphite,  $\approx 100$  mm in length, permitting measurements down to  $\approx 2.3$  Å. (Wavelengths near 3.33 Å and 6.67 Å will be unavailable.) The first two and last two choppers are fitted with three slots of different widths, enabling a choice among three "resolution modes" at a given wavelength and master chopper speed.

The neutron current density at the sample and the energy resolution width at the detectors are shown in Figs. 2 and 3 respectively. In designing and building the DCS, great care has been taken to ensure that distances and detector locations are accurately known. The stability of the chopper phasing results in a resolution lineshape with a sharp leading edge. The sample area is easily accessed at beam level and from above. The data acquisition system has been carefully designed and is extremely reliable. We plan to modify it so that crude pulse height spectra can be extracted. The software is user-friendly and will be improved as time permits. Planned improvements, apart from the crystal filter replacement, include removal of the two innermost reflecting plates within the guides after the first chopper; this will increase the flux with little reduction in versatility. With the new cold source and these optics improvements we anticipate a threefold improvement in flux within the next year.

The first officially approved experiment using the DCS was a comparative study [2] of native bovine  $\alpha$ -lactalbumin (BLA) and  $\alpha$ -lactalbumin in a "molten globular" state (MBLA); the latter state is partially folded and compact, with native-like secondary structure but lacking the side-chain packing that characterizes the native state. Molten globules play an important role in understanding protein folding mechanisms, and molten globules also participate in important cellular functions. The DCS measurements (Fig. 4) confirm and extend previous results [3, and see also the article by Z. Bu et al. on

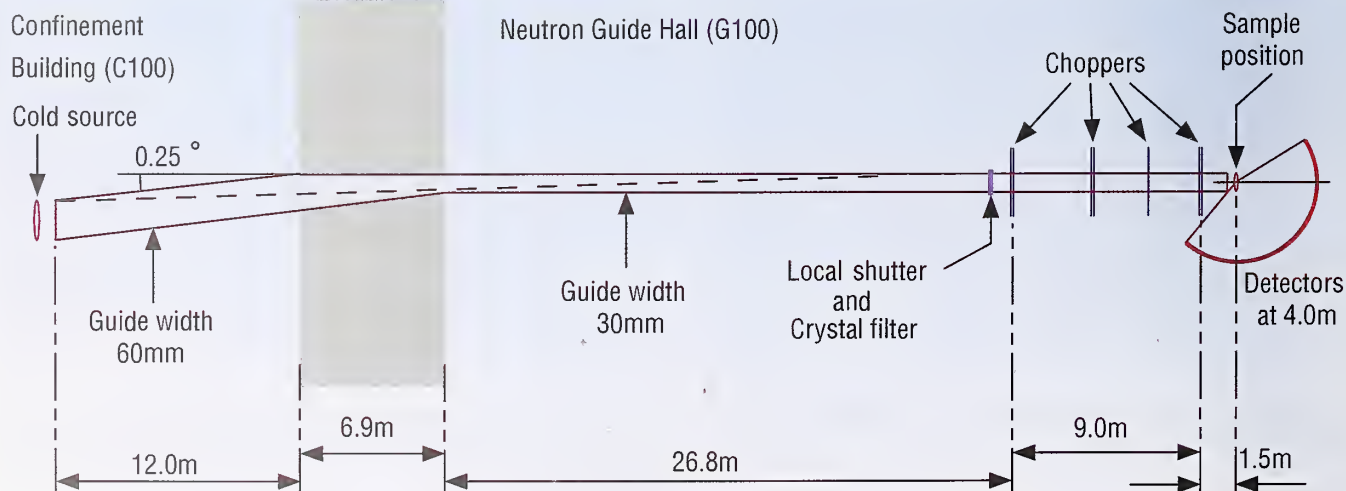


FIGURE 1. A schematic plan view of the DCS.



p. 20 of this report] obtained with the Fermi chopper spectrometer (FCS); the improved energy resolution of the DCS adds confidence to the earlier results, especially for BLA. The structural differences between BLA and MBLA are reflected in the dynamics on time scales of order 10 ps to 100 ps, whereas complementary measure-

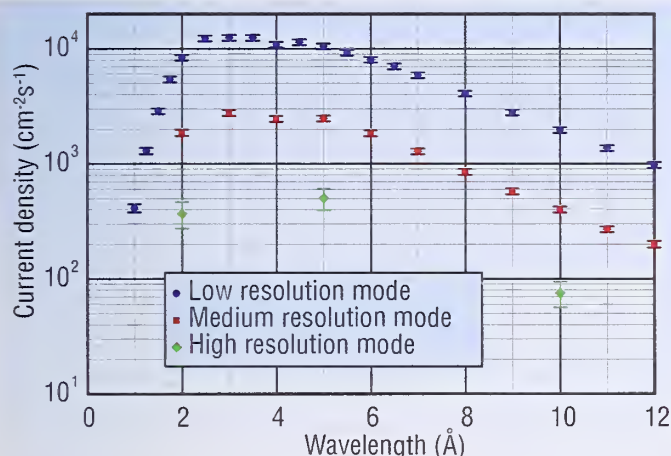
ments have shown that the high frequency dynamics are virtually unchanged.

The moniker “boson peak” has of late been associated with an excess feature that shows up in the vibrational density of states of many materials, generally in the Debye region, between 1 meV and 10 meV. While the molecular origin of the peak is unclear, its characteristic energy suggests that it is a collective excitation between the low energy acoustic modes and localized high energy optic modes (representing local bond vibrations, librations, bendings, etc.). In a recent experiment [4] the thermal softening of the boson peak was studied in detail in a polyester carbonate copolymer that had already been extensively studied using the FCS and the NCNR backscattering spectrometer. The DCS is particularly well suited for such a study because of the large solid angle of detectors and the large beam size. The analysis of the data is in progress.

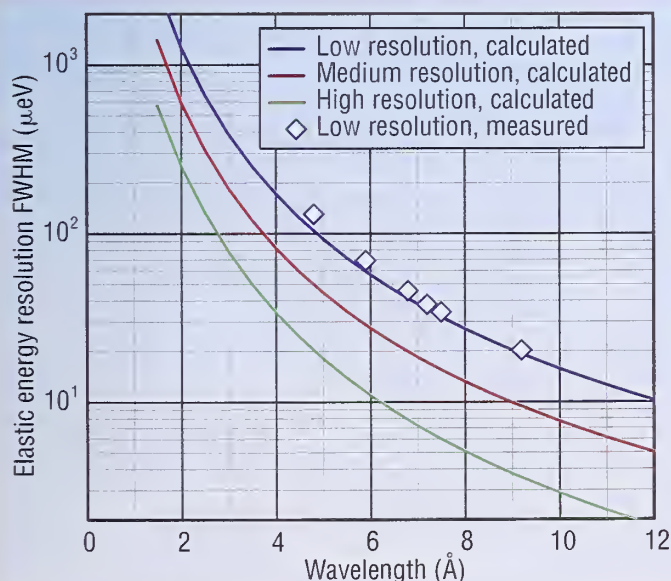
Other materials recently studied using the DCS include carbon nanotubes and superionic proton conductors.

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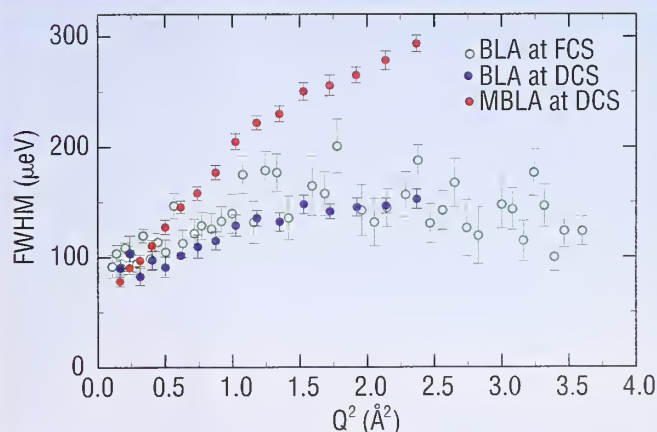
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**FIGURE 2.** The neutron current density at the sample position with all choppers spinning at 20 000 rpm. Measured values are shown for the three “resolution modes” of the instrument.



**FIGURE 3.** Calculated resolution widths (full widths at half maximum height) for the three “resolution modes” of the instrument. Some experimental widths are also shown.



**FIGURE 4.** The  $Q$  dependence of the full-width at half maximum height of the quasielastic Lorentzian peak for native bovine  $\alpha$ -lactalbumin (BLA) and for molten globular bovine  $\alpha$ -lactalbumin (MBLA) in 8M urea [2]. Results [3] for BLA from the Fermi chopper spectrometer (FCS) are shown for comparison.



# ULTRA-HIGH RESOLUTION SMALL-ANGLE NEUTRON SCATTERING (USANS)

A perfect crystal diffractometer (PCD), shown in Fig.1, for ultra-high resolution small-angle neutron scattering (USANS) measurements is now in operation at the thermal neutron beam port, BT-5. The PCD increases the maximum size of features accessible with the NCNR's 30 m long, pinhole collimation SANS instruments by nearly two orders of magnitude, from  $\approx 10^2$  nm to  $10^4$  nm.

The PCD is a Bonse-Hart type instrument with large triple-bounce, channel-cut Si (220) crystals as monochromator and analyzer. The perfect crystals provide high angular resolution while the multiple reflections suppress the "wings" of the beam profile, improving the signal-to-noise ratio to values comparable to that obtained with pinhole instruments. This technique, widely utilized for x-rays for many years, has only recently been successfully adapted for neutrons [1] as dynamical diffraction effects arising from the deep penetration of neutrons in thick perfect crystals have become better understood. Neutrons can, in effect, propagate through a thick crystal, and then reflect from the back-face of the crystal. The geometry of this second diffraction path allows part of the beam to bypass the second and third reflections. The design of the NCNR's PCD [2] successfully eliminates the single reflection path by adding shielding along the middle of the long face of each crystal between the first and third reflections (see inset in Fig. 2). The additional shielding reduces the wings in the rocking curve by two orders of magnitude, resulting in a signal-to-noise ratio of  $10^5$  at a minimum scattering vector  $Q = 0.0005$  nm<sup>-1</sup>. Figure 2 shows typical rocking curves with and without shielding

of the deleterious back-face reflection. The beam flux obtained for smaller samples is 3000 cm<sup>-2</sup>s<sup>-1</sup>, while the maximum intensity obtained is 15 000 s<sup>-1</sup> when using the maximum 3 × 5 cm<sup>2</sup> beam size. The mainly fast neutron flat background ( $\approx 0.15$  s<sup>-1</sup>) found at large angles is independent of beam size. The beam intensity will increase somewhat when the present perfect crystals are replaced by ones with a wider channel, and a gap in the middle of the long face, in order to increase the beam width to 4 cm with no contamination from single back-face reflections.

The measurement range of the PCD overlaps that of the NCNR's 30 m SANS instruments. Together they probe structure in materials over four orders of magnitude, from  $\approx 1$  nm to  $10^4$  nm. Combined measurements on these instruments will enable fuller characterization of hierarchical and highly anisotropic microstructures in materials, for example in fiber or clay impregnated nanocomposites. The PCD is part of the NIST/NSF Center for High Resolution Neutron Scattering (CHRNS) with up to two-thirds of the available beam time to be allocated by the NCNR's Program Advisory Committee to scientists and engineers who submit proposals for peer review.

The PCD USANS instrument can accept any ancillary sam-

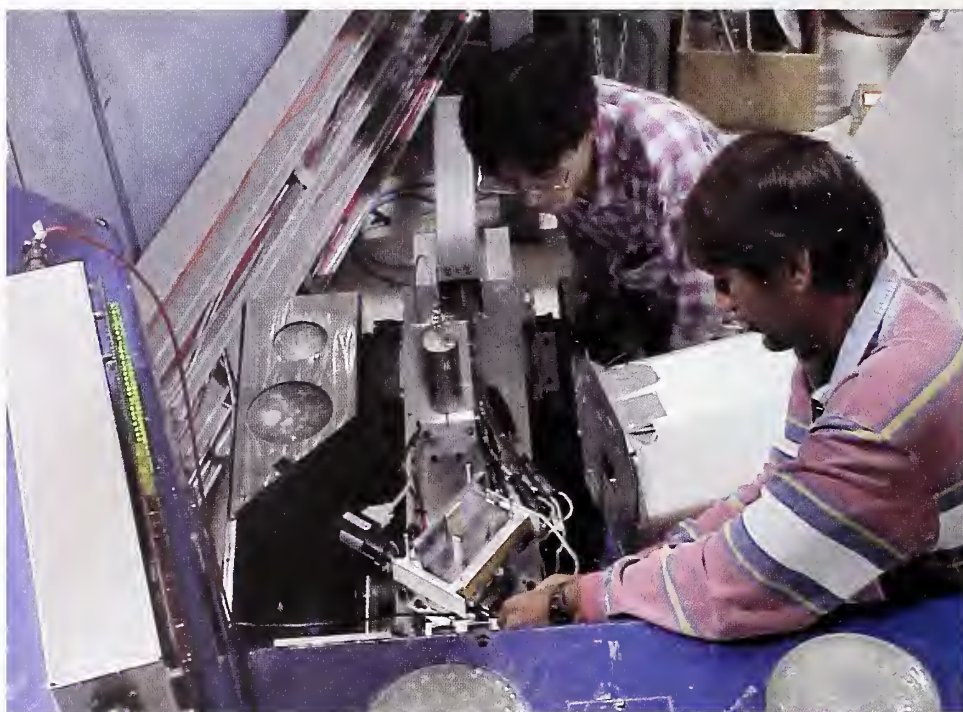


FIGURE 1. NCNR's Derek Ho (top) and John Barker load a sample at the PCD. The triple-bounce analyzer is visible in the center foreground of the picture.

Photography by L. A. Shuman

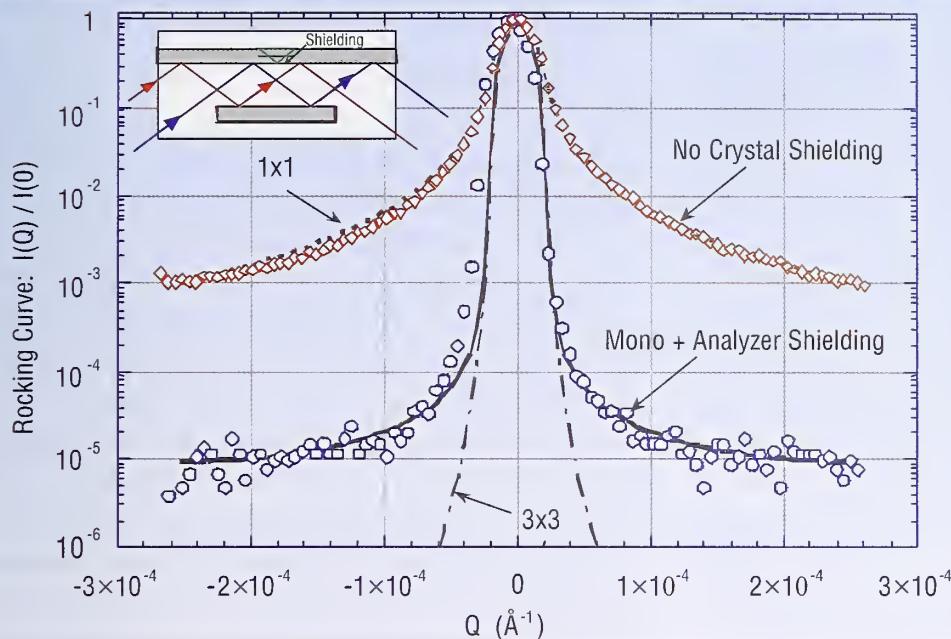


FIGURE 2. Rocking curves measured for the PCD USANS instrument. The diamond symbols are data taken before adding shielding to block back-face reflections from the triple-bounce, channel-cut monochromator and analyzer crystals. The circle symbols are data taken after adding such shielding. The dash-dot curve is the theoretical profile for a pair of triple-bounce perfect crystals. The solid line is the weighted sum of the theoretical profiles for 3x3 and 1x1 rocking curves, with weighting factors of 0.998 and 0.002, respectively. The inset shows a schematic diagram of a channel-cut crystal with the shielding needed to remove the single reflection path from the back-face of the crystal.

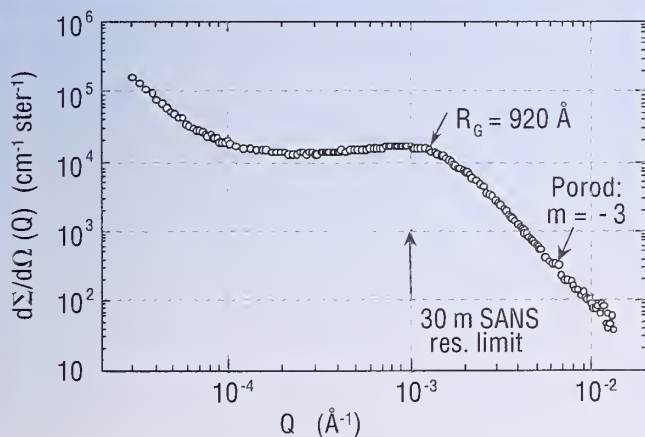


FIGURE 3. First USANS measurement obtained using new PCD instrument. The sample is a 2.5 cm thick commercially obtained poly(tetrafluoroethylene) plate. Scattering is predominately from residual sub-micron size pores ( $\approx 0.2\%$  by volume) that survive the extrusion processing of the material.

ple environment equipment that is used on the 30 m SANS instruments. Larger liquid sample cells and a dedicated two-position heating block (30 °C to 400 °C) are currently being designed to utilize the larger available beam size.

The first USANS measurement was made in May 2000 on a commercially obtained poly(tetrafluoroethylene) plate. The slit-smeared data are shown in Fig. 3. The data easily overlap the accessible  $Q$ -range the 30 m SANS instruments. Examples of material systems studied so far are: pigment aggregation in paint, clay aggregation structures in various solutions and polymer melts, pores in copper, hydrides in uranium, and large scale structures in controlled pore glasses.

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# LIGAND DYNAMICS IN $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{PYRAZINE}$

One of the major themes of solid state chemistry is the development of new molecular architectures with novel chemical and physical properties for applications such as chemical separation, catalysis, and magnetic devices. Compounds consisting of transition metal ions linked together by polydentate organic ligands are of particular interest because their properties can be tailored by judicious choice of the components. For example, changing the transition metal can alter both the bonding motif and magnetic properties of these systems. The properties of these materials can also be controlled by introducing ancillary  $\pi$ -conjugated ligands such as pyrazine (pyz), 4,4'-bipyridine and 2,2'-bipyridine. These molecular building blocks not only affect the spatial separation of the transition metal cations and the dimensionality of the crystal, but also modulate the superexchange interactions. For instance, of the many  $\text{Mn}[\text{N}(\text{CN})_2]_2 L$  materials examined to date, only  $L = \text{pyrazine}$  exhibits long-range magnetic order above 2 K.

The structure of  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  can be described as an inter-penetrating  $\text{ReO}_3$ -like network with axially elongated  $\text{Mn}^{2+}$  octahedral and edges made-up of  $\mu$ -bonded  $[\text{N}(\text{CN})_2]^-$  anions and

neutral pyrazine ligands (Fig. 1) [1]. Upon heating above  $\approx 200$  K, Rietveld refinements of neutron powder diffraction (NPD) data indicate a marked increase in the Debye-Waller factor for the mid-nitrogen in the cyanamide ligand and a concomitant appearance of thermal diffuse scattering. Further heating to  $\approx 400$  K results in a phase transition to an unknown structure.

While the structural and magnetic behaviors of these materials have been rather well characterized, very little information has been obtained concerning the interactions that underlie the interesting bonding motifs. Due to its unique sensitivity to hydrogen and the possibility of covering a wide range of timescales, neutron spectroscopy is particularly well suited to probe ligand dynamics that directly reflect the bonding interactions. Quasielastic neutron scattering (QENS) provides information on the geometry and timescale of diffusive motions. For "localized" motions, the geometry is embodied in the elastic incoherent structure factor (EISF); the ratio of the elastic scattering to the total scattered intensity.

For all temperatures studied, a quasielastic signal due to the paramagnetic  $\text{Mn}^{2+}$  ions and the dynamics of the cyanamide ligand

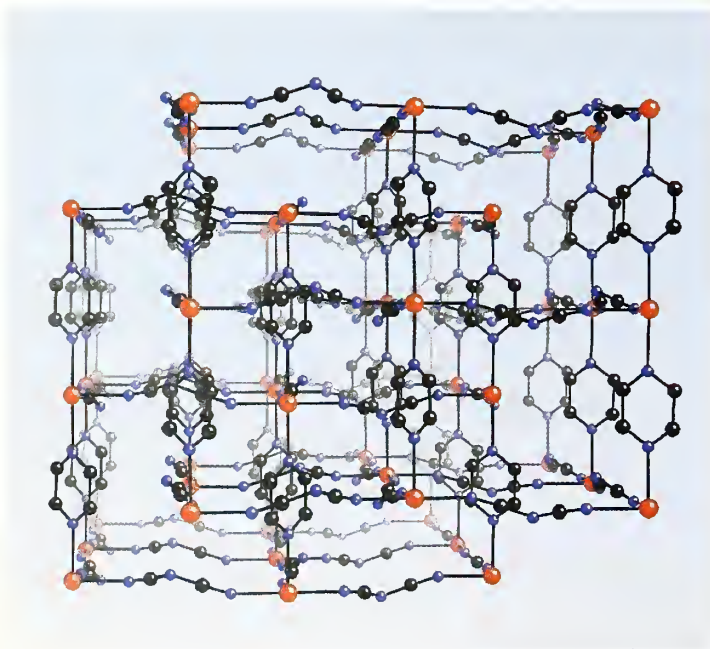


FIGURE 1. Crystal structure of  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  showing the two independent, interpenetrating  $\text{ReO}_3$ -like lattices. The hydrogen atoms of the pyrazine ring have been omitted, while the Mn, C, and N atoms are depicted as red, black, and blue spheres, respectively.

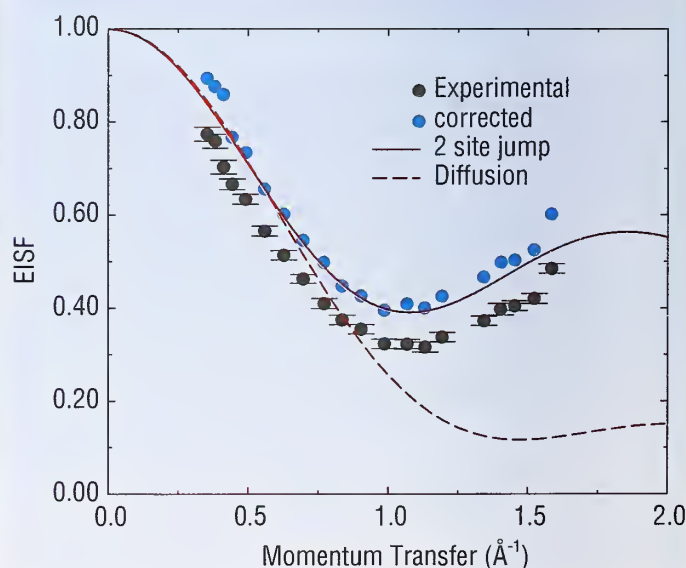


FIGURE 2. The experimental EISF (black circles) for  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  at 425 K, has been corrected (blue circles) for coherent and paramagnetic quasielastic scattering. The solid red line is a fit to the corrected data with a 2-fold jump model that results in a proton jump distance of  $4.17(1)$  Å. For comparison, the expected EISF for proton diffusion on a circle is also shown (red dashed line).



was observed. However, above  $\approx 425$  K, the quasielastic signal in the pyrazine compound is significantly larger. Figure 2 shows the  $Q$ -dependence of the EISF for the protonated compound and the same data after subtracting the measured quasielastic scattering from a deuterated material. These data are very well described by a two-fold proton jump model where the only variable parameter, the jump distance, is found to be  $4.17(1)$  Å, consistent with the analysis of the NPD data which gives the D-D distance across the pyrazine ring as  $\approx 4.16(2)$  Å. Further, the width of the quasielastic peak is constant over the entire  $Q$ -range, as expected for a simple, localized jump motion (the correlation time is  $\approx 70$  ps at 425 K). Thus, the pyrazine ligands must be performing  $\pi$ -jumps about the axis defined by the coordinating nitrogens.

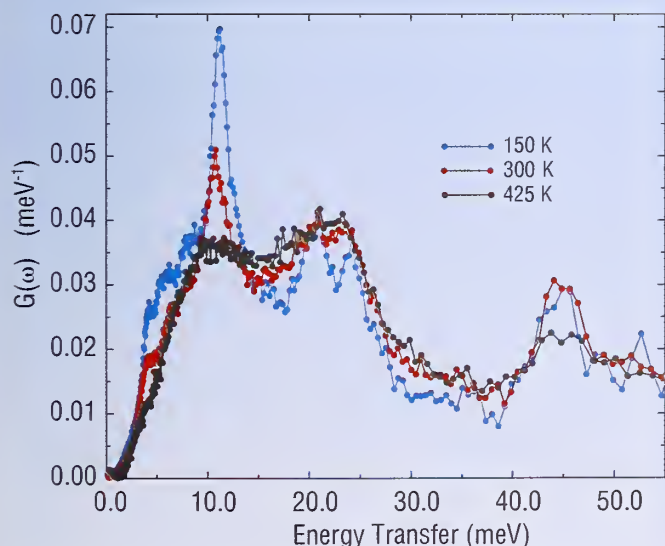
The phonon density of states for  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  at selected temperatures covering all structural phases is shown in Fig. 3. In addition to the general broadening and softening of the spectral features with temperature, the intense peak assigned to the libration of the pyrazine ring at  $\approx 11.2$  meV is strongly attenuated and, assuming a two-fold cosine potential, suggests an activation energy

of  $\approx 1.2$  eV. Because this activation energy is much larger than  $k_B T$  at the temperature where one observes fully dynamic pyrazines (425 K) and the quasielastic scattering appears abruptly at the structural transition, the transition must result in a greatly reduced rotational barrier. Unfortunately, the activation energy for this process was not measurable due the proximity of the material's decomposition temperature.

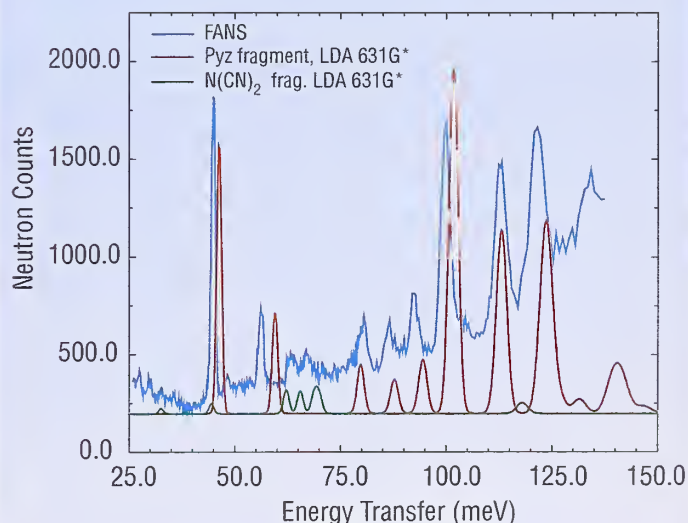
Vibrational spectra at higher energy transfers were recorded on the FANS spectrometer (Fig. 4). Our calculations agree well with observation and show that the spectrum is dominated by the normal modes of the hydrogen containing pyrazine. Current investigations are aimed at understanding how the transition metal affects the dynamics of the ligands.

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**FIGURE 3.** Temperature dependence of the vibrational density of states of  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  measured on the FCS spectrometer at a wavelength of 4.8 Å.



**FIGURE 4.** The vibrational spectrum (blue) of  $\text{Mn}[\text{N}(\text{CN})_2]_2\cdot\text{pyz}$  (10 K) measured on the upgraded FANS spectrometer. Shown in red and green are the calculated contributions from chemically simplified, isolated fragments of  $[\text{Ca-pyz-Ca}]^{4+}$  and  $[\text{Ca-NCNCN-Ca}]^{3+}$ , respectively.

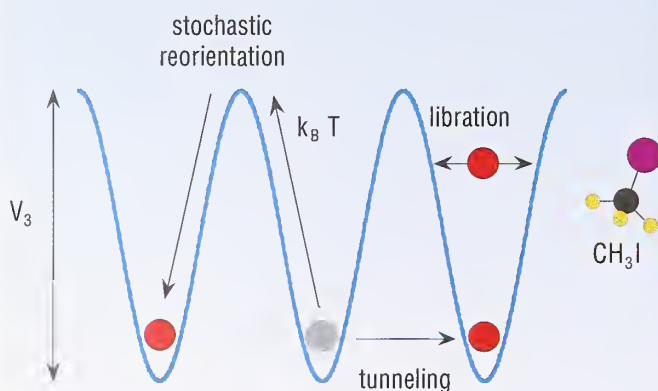
# PROBING DISORDER IN CONFINED METHYL IODIDE

The presence of structural disorder in many materials can have a dramatic effect on macroscopic properties. Typically, structural disorder is described in terms of molecular spatial distributions determined from diffraction measurements. A complementary view is to quantify disorder by determining the distribution of potential energies experienced by the molecules. For a highly ordered system the distribution is sharp while it is broad for a disordered system. To explore this approach, high-resolution neutron inelastic scattering has been used to examine the low-energy rotational dynamics of simple symmetric top molecules confined to extremely tiny pores. In effect, the porous substrate furnishes a static impurity distributed throughout the molecular solid that can be thought of as disorder quenched into the molecular matrix. The modification to the rotational spectrum of the confined molecules measured using neutron scattering can then be related to the distribution of potential energies. This measurement technique, known as rotational tunneling spectroscopy [1], is extremely sensitive to the environment experienced by the molecules. By modeling the observed spectra one can quantitatively extract the potential energy distribution.

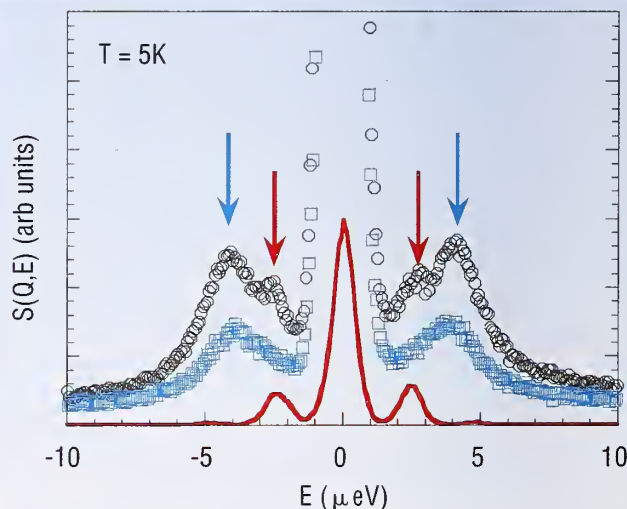
Rotational tunneling spectroscopy is rooted firmly in quantum mechanics. In a simple picture the potential barrier to reorientation

that, to a good approximation, has three minima determines the motion of a methyl group (a pyramid with a base of three hydrogen atoms having a carbon atom at its apex seen in Fig. 1). In the limit of a small barrier to reorientation, the methyl group can undergo nearly free rotation about the C-I axis. In the limit of a very high barrier, the methyl group can oscillate (librate) within minima. When the temperature is high enough to provide sufficient kinetic energy, the molecule can reorient stochastically by jumping over the barrier, a process known as rotational diffusion. Some molecules such as methyl iodide ( $\text{CH}_3\text{I}$ ) possess finite barriers in which the rules of quantum mechanics allow the methyl group to reorient via tunneling *through* the barrier. A schematic illustration of these processes in terms of the potential energy is shown in Fig. 1.

Measurements of the rotational tunneling of methyl iodide ( $\text{CH}_3\text{I}$ ) confined to a porous glass with a very narrow pore size distribution (diam  $\approx 58$  Å) were performed using the NIST backscattering spectrometer very well suited to such measurements due to its excellent energy resolution:  $\delta E$  (FWHM)  $< 1$   $\mu\text{eV}$ . Measurements were carried out for the bulk solid, partially filled pores, and completely filled pores. Spectra taken at 5 K are shown in Fig. 2.

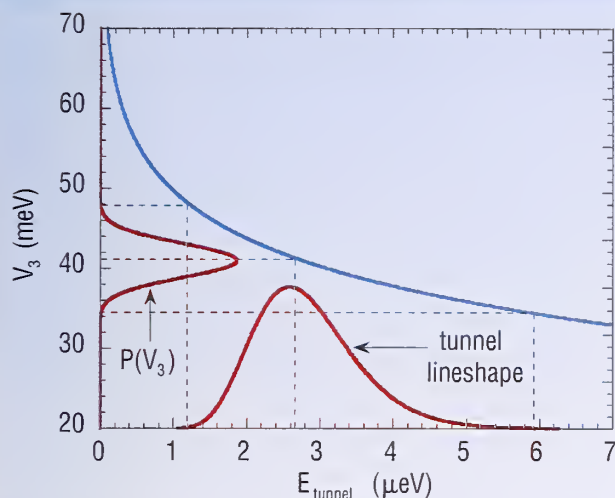


**FIGURE 1.** Potential energy model for a  $\text{CH}_3$  rotor showing the three types of low energy motions observable with neutrons. Note that each circle located in a potential minimum represents one of three particular orientations of the  $\text{CH}_3$  methyl group of the  $\text{CH}_3\text{I}$  molecule depicted on the right.



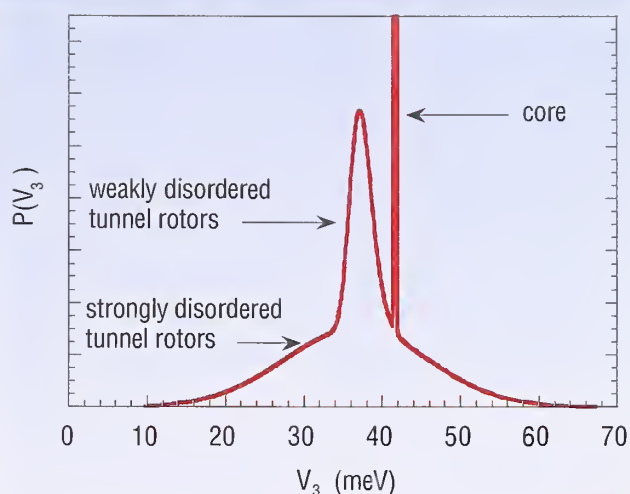
**FIGURE 2.** Tunneling spectra for the bulk  $\text{CH}_3\text{I}$  (solid red line), partially filled pores (blue squares), and completely filled pores (black circles). Bulk-like peaks (red arrows) indicate strong order while the broad peaks (blue arrows) indicate that disorder is present.





**FIGURE 3.** Origin of the asymmetric lineshape observed in confined  $\text{CH}_3\text{I}$ . A symmetric distribution of potential barriers yields an asymmetric tunneling lineshape.

The bulk  $\text{CH}_3\text{I}$  spectrum shows two sharp side peaks whose positions are related to the frequency at which the methyl group ( $\text{CH}_3$ ) tunnels between three equivalent orientations. The location of these peaks is directly related to the potential barrier height hindering reorientation. These peaks at  $\pm 2.5 \mu\text{eV}$  correspond to a potential barrier of 42 meV. When the pores are partially filled (50 %) a broad set of peaks appear at  $\pm 4 \mu\text{eV}$ . In addition to these broad peaks, a broad featureless scattering intensity appears underneath the well-defined peaks over the entire dynamic range. When the pores are completely filled the broad peaks at  $\pm 4 \mu\text{eV}$  increase in intensity and a new set of peaks at  $\pm 2.5 \mu\text{eV}$  appear. We interpret these different peaks as due to the presence of varying amounts of order in the molecular structure of the confined  $\text{CH}_3\text{I}$ . The narrow peaks that occur in the bulk and the full-pore spectra point to the similarity of the potentials experienced by the molecules as expected when there is structural order. The broad peaks at  $\pm 4 \mu\text{eV}$  correspond to methyl groups under the influence of a distribution of potential barriers. The very broad scattering feature underlying both the full-pore and partially-filled samples is attributed to very strongly disordered methyl groups. Based on the filling dependence of the two broad scattering components, these are attributed to molecules near the



**FIGURE 4.** The barrier height probability density extracted from full pore tunneling spectra at 5 K.

glass surface while the narrow peaks at  $\pm 2.5 \mu\text{eV}$  are due to molecules located near the center of the pore.

To quantify the disorder, we performed numerical calculations of the effects of a distribution of potential barriers on the tunneling lineshape. In Fig. 3 we plot the variation of the potential barrier as a function of tunneling energy. For a broad but symmetric distribution of barrier heights, the tunneling lineshape is clearly asymmetric. Finally, using a relationship between the tunneling energy and barrier height we may extract the probability density for a particular barrier height,  $P(V_3)$ . The result for the full pore spectra corrected for instrumental resolution is shown in Fig. 4.

Thus neutron inelastic scattering measurements of the rotational tunneling spectrum offer a means of quantifying the disorder of the energy landscape in this system of molecules in a confined geometry. A further challenge is to correlate the energy and structural descriptions of disorder.

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# PRESSURE-INDUCED INTERLINKING OF CARBON NANOTUBES: COMPUTATIONS

Carbon nanotubes, originally discovered as byproducts of fullerene synthesis, are now considered to be the building blocks of future nanoscale electronic and mechanical devices. It is therefore desirable to have a good understanding of their electronic and mechanical properties and the interrelations between them. In particular, single wall carbon nanotubes (SWNT) provide a system where the electronic properties can be controlled by the structure of the nanotubes and by various deformations of their geometries [1,2]. The physical properties can also be altered by intertube interactions between nanotubes packed in hexagonal lattices, as so-called “nanoropes.”

The intertube interactions in nanoropes can be probed by applying external pressure to vary the intertube distance. For fullerenes, such high pressure studies have yielded many interesting results including new compounds such as the pressure-induced polymeric phases of  $C_{60}$ . It is, therefore, of interest to inquire if similar covalent-bonding can occur between the nanotubes in a rope. This could have important consequences for nanoscale device applications and composite materials that require strong mechanical properties since nanoropes consisting of inter-linked SWNT will be significantly stronger than nanoropes composed of van der Waals (vdW) packed nanotubes.

We investigated possible new pressure-induced ground state structures for (n,0) nanotube ropes from first-principles total energy

calculations using the pseudopotential method within the generalized gradient approximation (GGA) [1]. For simplicity, we model the nanoropes as a hexagonal lattice of nanotubes with one nanotube per unit cell. The pressure dependence of the lattices of nanotubes was determined by calculating the total energy as a function of nanotube separation (i.e.,  $a$  and  $b$ ) while the other parameters, including atom positions,  $c$ , and  $\gamma$  are optimized. We observe that (7,0) nanotubes become elliptically distorted with applied pressure (i.e., decreasing nanotube-nanotube distance). At a critical pressure, we observe a structural phase transformation from the vdW nanotube lattice (as shown in Fig. 1a) to a new lattice in which the nanotubes are interlinked along the [110] direction, where the strain of the nanotube is largest (Fig. 1b). The covalent bonding between nanotubes is therefore the result of curvature-induced re-hybridization of the carbon orbitals. The same structural transformation was observed for the other (n,0) nanoropes.

To quantitatively study the bonding mechanism, we calculated the total energies of the different phases as a function of the lattice constant (i.e., applied pressure). The result for (7,0) nanotubes is summarized in Fig. 2. The energies of the vdW and the one-dimensional interlinked phases cross each other at about  $a = 9.0 \text{ \AA}$  with an energy barrier of only 46 meV/unitcell (552 K). The pressure required to attain this lattice constant is only about 0.3 GPa for the vdW phase, indicating that polymerization of vdW (7,0) nano-

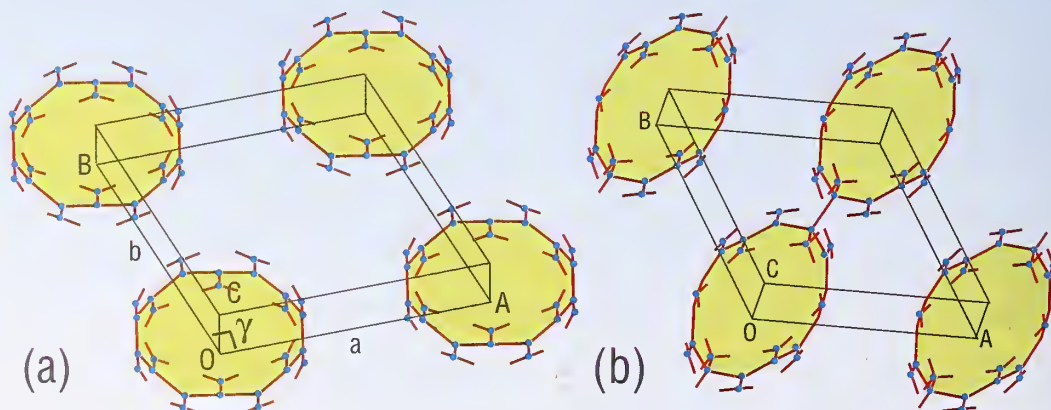
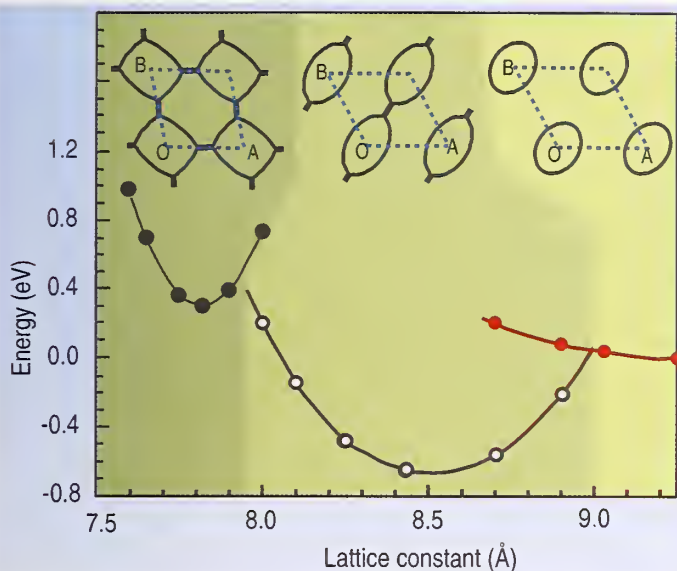


FIGURE 1. Optimized structures of the vdW (7,0) (a), and one-dimensional interlinked (7,0) (b) nanotube lattices. The interlinked structure shown in (b) has lower energy than vdW packed (7,0) nanotubes shown in (a).

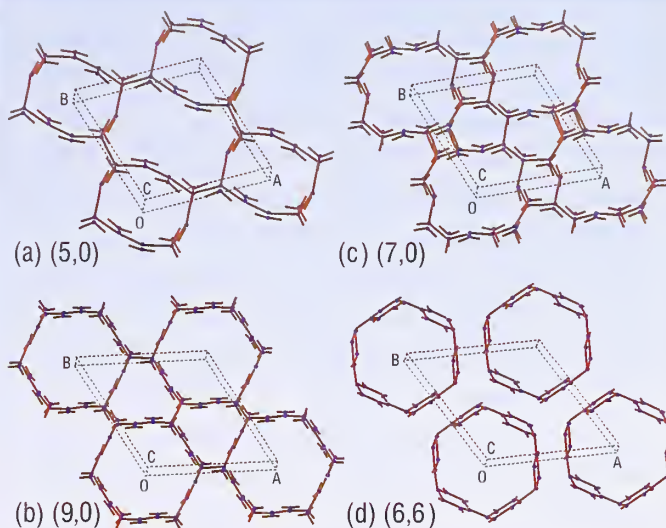


**FIGURE 2.** Planer lattice constant variation of the total energy of (7,0) nanotube ropes in three different phases. Inset shows the view of the structures along c-axis. The zero of energy was taken to be the energy of vdW packing of the nanotubes.

ropes could occur at modest pressures and temperatures. Once the interlinked phase is reached, the energy barrier required to break the bonds and obtain free nanotubes is about 0.7 eV (25 meV/atom), which is comparable to that of 1D polymerized  $C_{60}$  molecules (20 meV/atom).

Figure 2 also shows that another interlinked phase of (7,0) nanotubes becomes the ground state for lattice parameter smaller than 8.0 Å. In this new phase the nanotubes are interlinked along both a- and b-axes (see Fig. 3a). This 2-D interlinked structure is about four times stiffer than the 1-D interlinked phase and sixteen times stiffer than the vdW nanoropes.

We observe that applying even higher pressures yields more complicated and denser phases for many of the nanoropes studied here (see Fig. 3). For (9,0) nanoropes, we find that the nanotubes are interlinked along three directions forming a hexagonal network. The length of the intertube bond,  $d_{CC} = 1.644$  Å, is significantly elongated for a  $sp^3$  C-C bond. The two dimensional interlinked phase of (7,0) nanotubes is further transformed to a denser structure at 30 GPa with a band gap of 2 eV (Fig. 3c). By comparison, (6,6) nanotubes do not form an interlinked structure up to a pressure of 60 GPa. Rather the nanotubes are hexagonally distorted such that the local structure of the nanotube faces is similar to that in



**FIGURE 3.** Various high density phases of carbon nanotubes. (a) Two-dimensional interlinked structure of (5,0) nanotubes, consisting of rectangularly distorted nanotubes interlinked on a 2-D network. (b) A hexagonal network of (9,0) nanotubes, (c) A very dense structure of (7,0) nanotubes obtained under 30 GPa pressure. (d) The optimized structure of (6,6) nanotubes under  $P = 53$  GPa.

graphite sheets (Fig. 3d). Furthermore, releasing the pressure yields the original structure, indicating that the distortion is purely elastic. The structural changes clearly have strong effects on the electronic properties [2] and therefore should be detected in the pressure dependence of various transport properties of nanoropes.

The new pressure-induced, high density phases [1] reported here may provide a way of synthesizing novel carbon base materials with interesting physical properties. For example interlinking of the nanotubes may improve the mechanical performance of composites based on these materials. The change in the band gap of a SWNT with applied pressure can be exploited to realize various quantum devices on a single nanotube with variable and reversible electronic properties [2]. It will be an experimental challenge to confirm the structures predicted here. A difference-INS spectrum of two identical samples, one treated with pressure and the other not, may give some evidence for the new phases.

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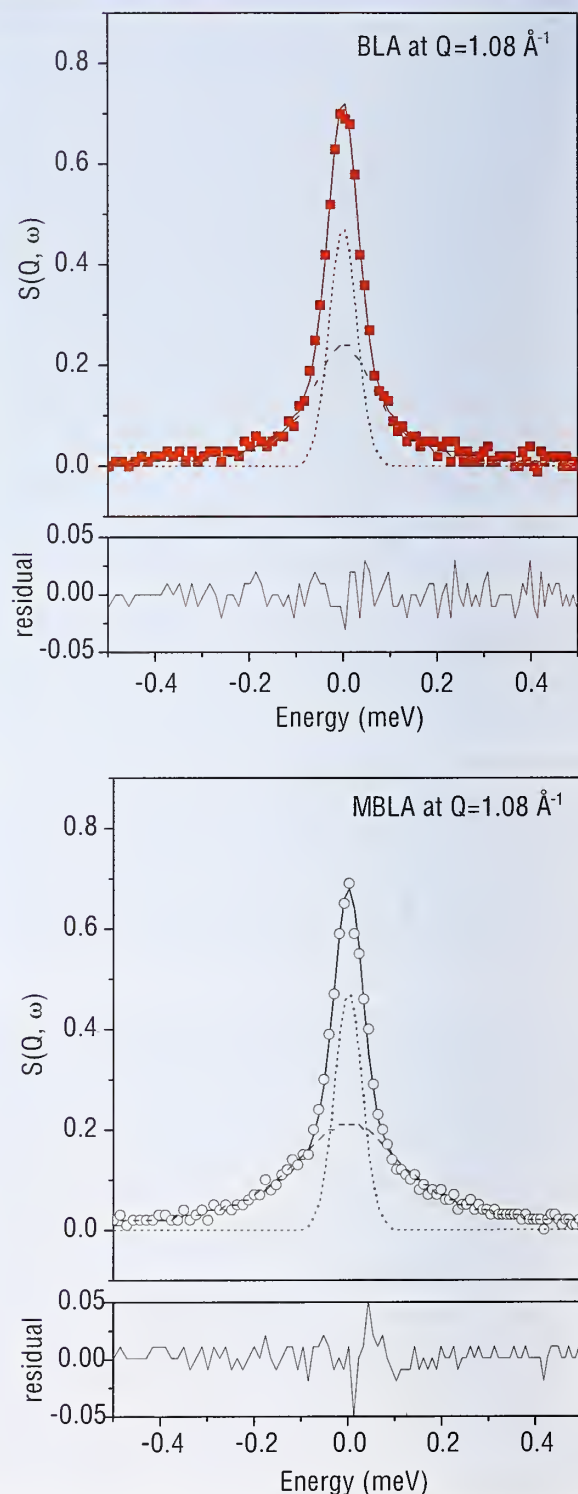
# DYNAMICS CHANGES IN THE MOLTEN GLOBULE-NATIVE FOLDING STEP

**T**he function of a protein depends critically on its ability to adopt a specific structure. Remarkably, a protein can fold efficiently to this native state from the many unfolded states on physiological time scales. Understanding how this process occurs is one of the great challenges in biology. Proteins can also form partially folded, collapsed states under both equilibrium and non-equilibrium conditions [1,2]. Such partially folded proteins resemble the intermediate states along the protein folding pathway, and thus play an important role in understanding the mechanisms of protein folding. Moreover these partially folded proteins have also been shown to participate in important cell functions.

A molten globule is a compact, partially folded protein that has native-like secondary structure and backbone folding topology, but lacks the extensive, specific side-chain packing interactions of the native structure [3]. Structural studies have shown that the side-chains in a molten globule can adopt a greater variety of conformations than in a native protein. The ability of the side chains to form the tight and specific interactions typical of a native protein, is the essential final step in the protein folding pathway. This packing process is considered to be energetically more difficult than forming the collapsed, disordered folding intermediates. However, little is known about the dynamics of this mostly folded state.

In order to understand the changes in protein dynamics that occur in the final stages of folding, we have used incoherent quasielastic neutron scattering (IQNS) to probe the differences in the dynamics between the native state and the almost completely folded, molten globule state of the protein, bovine  $\alpha$ -lactalbumin in solution [4]. Because hydrogen scatters neutrons much more strongly than deuterium, the exchangeable protons were deuterated and  $D_2O$  was used as the solvent. The scattering from the protein is then dominated by the non-exchangeable protons in the side-chains. Figure 1 shows the measured scattering function,  $S(Q, \omega)$ , of bovine  $\alpha$ -lactalbumin (BLA) and its molten globules (MBLA), as a function of the energy transfer  $\hbar\omega$  at a momentum transfer  $Q = 1.08 \text{ \AA}^{-1}$ . The broader quasielastic peak of MBLA indicates that the side-chain protons within the molten globules move significantly faster than those in the native protein, which reflects the lack of the specific side chain interactions in MBLA compared to BLA.

In addition to the time scale, IQNS yields information on the geometry of the observed motion through the  $Q$ -dependence of  $S(Q, \omega)$ . We have analyzed the  $Q$ -dependence for BLA and MBLA



**FIGURE 1.** The quasielastic neutron scattering spectra,  $S(Q, \omega)$  of BLA and MBLA (in arbitrary units), measured as a function of the energy transfer  $\hbar\omega$  at  $Q = 1.08 \text{ \AA}^{-1}$ . The solid lines are fits to the data while the dashed lines show the two components described in the text.



using a model where some of the protons diffuse within a spherical cavity, while others are fixed on the  $\approx 70$  ps time scale of these measurements. This is intended to capture the physical picture of side chain motion within a constrained volume imposed by the backbone topology of the protein. Within this model, the scattering consists of two components, a  $\delta$ -function and a Lorentzian, each broadened with the experimental resolution  $\approx 60$   $\mu$ eV. Typical fits for individual spectra are shown in Fig. 1.

Figure 2 shows the half-width at half-maximum  $\Gamma$  of the Lorentzian component of the scattering as a function of  $Q^2$ . The initial linear region indicates that on longer length scales (small  $Q$ ), the protons undergo spatially-restricted diffusive motions, while the crossover to a constant width at higher  $Q$  reflects the granularity of the motion at these shorter, atomic length scales. The elastic incoherent structure factor, which gives the time-averaged spatial distribution of the protons, is formed by dividing the intensity of the elastic ( $\delta$ -function) component by the total integrated intensity measured at each  $Q$ . The EISF is shown as a function of  $Q$  in Fig. 3. The solid lines show fits to the EISF expected for diffusion within a sphere, showing that the length scale of the motion increases by about 25 % as the side chains become disordered. This is in contrast with the usual situation where slower motions tend to cover larger

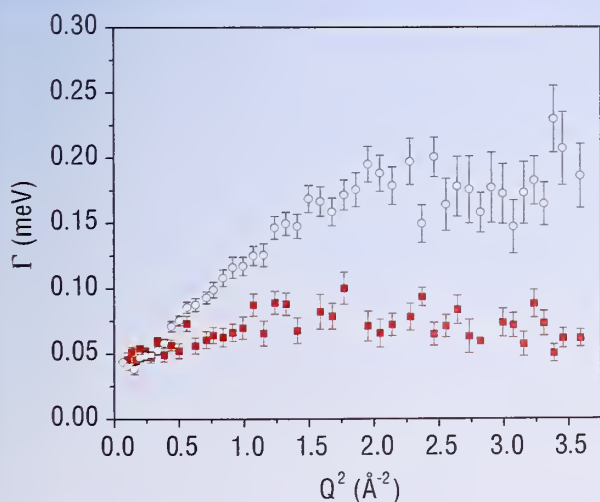
length scales. The fact that the EISF plateaus at a higher value for BLA than MBLA indicates that more of the side chain protons are immobilized in the protein's native state.

The mean square amplitude  $\langle u^2 \rangle$  of the high-frequency vibrational modes can be obtained from the  $Q$ -dependence of the total scattering intensity through the Debye-Waller factor. The values of  $\langle u^2 \rangle$  extracted in this way are indistinguishable for BLA and MBLA, which suggests that chemical bond vibrational motions do not change significantly in the final stage of protein folding.

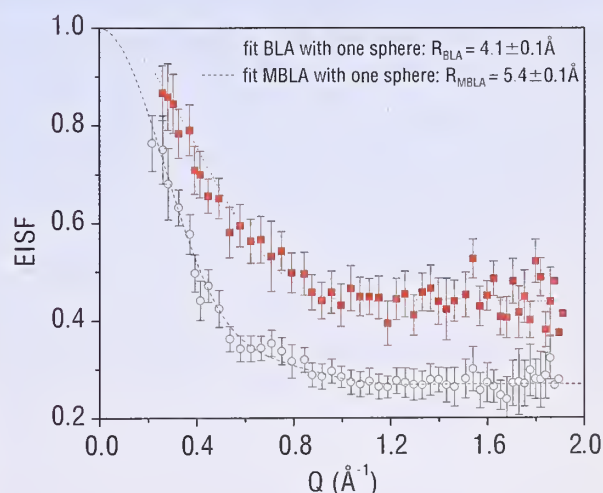
Overall, these results demonstrate that the side chains in molten globules are significantly more mobile than those in the native protein, and explore a larger length scale in a shorter time. This indicates that the specific side chain interactions responsible for the final step in protein folding both localize and slow the motions of the side chains.

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**FIGURE 2.** The half-width at half-maximum  $\Gamma$  of the quasielastic Lorentzian peak as a function of the momentum transfer  $Q^2$ ; (■) is for BLA and (○) is for MBLA. The  $\Gamma$  vs.  $Q$  plot reflects the length dependence of the decay rate of the self-correlation function and is a measure of the mobility of the protons within the protein.



**FIGURE 3.** The elastic incoherent structure factor (EISF) of (■) BLA and (○) MBLA. The lines are fits to the EISF expected for diffusion within a sphere. The fits show that the length scale of the motion in MBLA is about 25 % larger than in BLA.

# SOFT PHONON ANOMALIES IN RELAXOR FERROELECTRICS

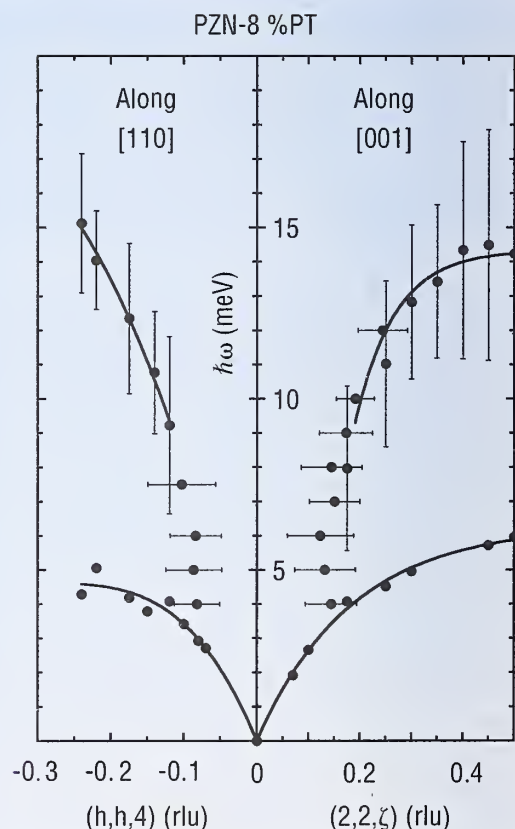
Our current phonon studies of ferroelectrics at the NCNR are part of a systematic investigation of  $ABO_3$  perovskite oxides that exhibit exceptionally high piezoelectric responses. Two solid solutions,  $(Pb[Zn_{1/3}Nb_{2/3}]O_3)_{1-x}(PbTiO_3)_x$  (PZN- $x$ PT) and  $(PbZrO_3)_{1-x}(PbTiO_3)_x$  (PZT) have been extensively investigated in recent years. A common feature of these two systems is the morphotropic phase boundary (MPB), which separates the tetragonal and rhombohedral regions of the  $T$ - $x$  phase diagram. The maximum piezoelectric activity is located on the rhombohedral side of the MPB for both systems. PZN- $x$ PT, however, can sustain ultrahigh strain levels, with  $< 1\%$  hysteretic loss, fully one order of magnitude larger than those attainable with conventional PZT-based piezoelectric ceramics. These two remarkable properties suggest that PZN- $x$ PT holds great promise for the next generation of solid-state transducers.

The compositions of the perovskite B-sites of PZN- $x$ PT and PZT differ in a key respect. Whereas an isovalent mixture of  $Zr^{4+}$  and  $Ti^{4+}$  ions occupies the PZT B-site, a more disparate group of heterovalent  $Zn^{2+}$ ,  $Ti^{4+}$ , and  $Nb^{5+}$  ions shares the PZN- $x$ PT B-site. This creates intense quenched random electric fields that are thought to produce the so-called relaxor phase, which is characterized by a diffuse phase transition and a broad and strongly dispersive peak in the dielectric susceptibility. Despite years of research, the physics of this diffuse phase transition is still not well understood. In prototypical ferroelectric systems such as  $PbTiO_3$ , it is well known that the softening of a zone-center transverse optic (TO) phonon drives the transition from a cubic paraelectric phase to a tetragonal ferroelectric phase. In relaxor compounds such as pure PZN, however, the mixed-valence character of the B-site sharply breaks the translational symmetry, resulting in much more complex lattice dynamics. In fact, no definitive evidence for a soft mode has been found in these relaxor systems.

Motivated by these results, we have examined the lattice dynamics of the polar TO phonon mode in a high quality single crystal of PZN-8 %PT, for which the measured value of the piezoelectric coefficient  $d_{33}$  is a maximum. Figure 1 shows neutron scattering data taken on PZN-8 %PT in its cubic phase at 500 K ( $\approx 50$  K above  $T_c$ ) [1]. The maximum scattered neutron intensity has been plotted as a function of energy transfer  $\hbar\omega$  and momentum

transfer  $q$  along the symmetry directions  $[110]$  and  $[001]$ . The lowest-energy data points trace out a normal TA phonon branch along both  $[110]$  and  $[001]$ . What is striking, however, is that Fig. 1 shows no evidence of a zone center TO mode at all. Instead, the data suggest a precipitous drop of the TO branch into the TA branch, somewhat resembling a waterfall. This anomalous feature is highlighted by the shaded regions in Fig. 1, and stands in marked contrast to the behavior of  $PbTiO_3$  where the same TO phonon branch intercepts the  $\hbar\omega$ -axis at a finite energy.

To clarify the nature of this unusual observation, we show a constant- $E$  scan at  $\hbar\omega = 6$  meV in Fig. 2 along with a constant- $Q$  scan in the insert [1]. Both scans were taken at 500 K near the (220) Bragg peak, and along the  $[001]$  direction. The small horizontal



**FIGURE 1.** Solid dots represent positions of peak scattered neutron intensity taken from constant- $Q$  and constant- $E$  scans at 500 K. Vertical (horizontal) bars represent phonon FWHM linewidths. Solid lines indicate TA and TO phonon dispersions.



bar shown under the left peak of the constant- $E$  scan represents the instrumental FWHM  $q$ -resolution. We see immediately that the constant- $Q$  scan shows no evidence of any well-defined phonon peak, most likely because the phonons near the zone center are overdamped. However, the constant- $E$  scan indicates the presence of a ridge of scattering intensity at  $\zeta = 0.13$  r.l.u., or about  $0.2 \text{ \AA}^{-1}$ . Thus, the sharp drop in TO branch that appears to take place in Fig. 1 does not correspond to a real dispersion. Rather, it simply indicates a region of  $(\hbar\omega, q)$ -space in which the phonon scattering cross section is enhanced. The question remains why does this happen?

In 1983 Burns and Dacol proposed a seminal model for the disorder intrinsic to relaxor ferroelectrics [3]. Using measurements

of the optic index of refraction on a variety of samples, including single crystals of PZN, they demonstrated that a randomly oriented local polarization  $P_d$  develops at a well-defined temperature  $T_d$  several hundred degrees above the transition temperature  $T_c$ . The origin of this local polarization, not present in normal ferroelectrics above  $T_c$ , was conjectured to arise from the formation of polarized micro-regions (PMR) of the crystal that are richer in  $\text{Nb}^{5+}$  than the average chemical formula.

The presence of such randomly oriented PMR above  $T_c$  in PZN-8 %PT should effectively impede the propagation of polar phonon modes whose wavelength exceeds the size of the PMR. The observation that the phonon scattering cross section is dramatically affected  $\approx 0.2 \text{ \AA}^{-1}$  from the zone center gives a measure of the dynamic size of these polarized domains. If the length scale associated with the anomalous “waterfall” is of order  $2\pi/q$ , this would correspond to  $31 \text{ \AA}$ , or about 7 to 8 unit cells, a size that is consistent with Burns and Dacol’s conjecture. We have recently been able to model this behavior quite well for PZN using a simple coupled-mode that assumes a highly  $q$ -dependent linewidth  $\Gamma(q)$  that increases sharply for  $q < 0.2 \text{ \AA}^{-1}$  [2]. Hence we speculate that the striking anomalies in the TO phonon branch shown in Fig. 1 (the same branch that goes soft at the zone center at  $T_c$  in  $\text{PbTiO}_3$ ) for  $q < 0.2 \text{ \AA}^{-1}$  are directly caused by these PMR which serve to dampen the zone center TO phonon modes. If true, then this unusual behavior should be observed in other related relaxor systems. Direct evidence for this has already been observed at room temperature in neutron scattering measurements on PMN ( $M = \text{Mg}$ ) [4].

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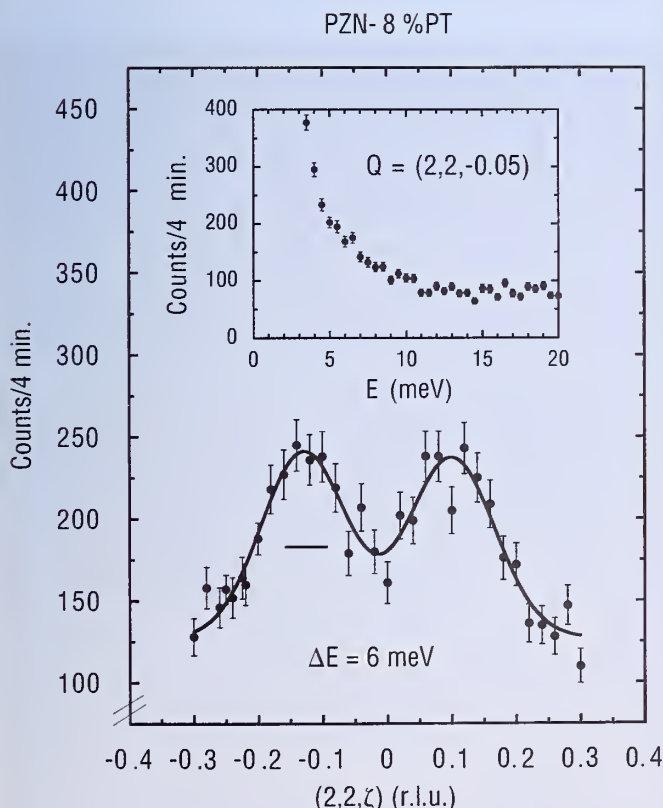


FIGURE 2. Constant- $E$  scan measured along [001] at 6 meV at 500 K near the (220) Bragg peak. Solid line is a fit to a double Gaussian function of  $\zeta$ . No peak is discernible in the constant- $Q$  scan shown in the insert.

# LITHOSILICATES: A NEW FAMILY OF MICROPOROUS MATERIALS

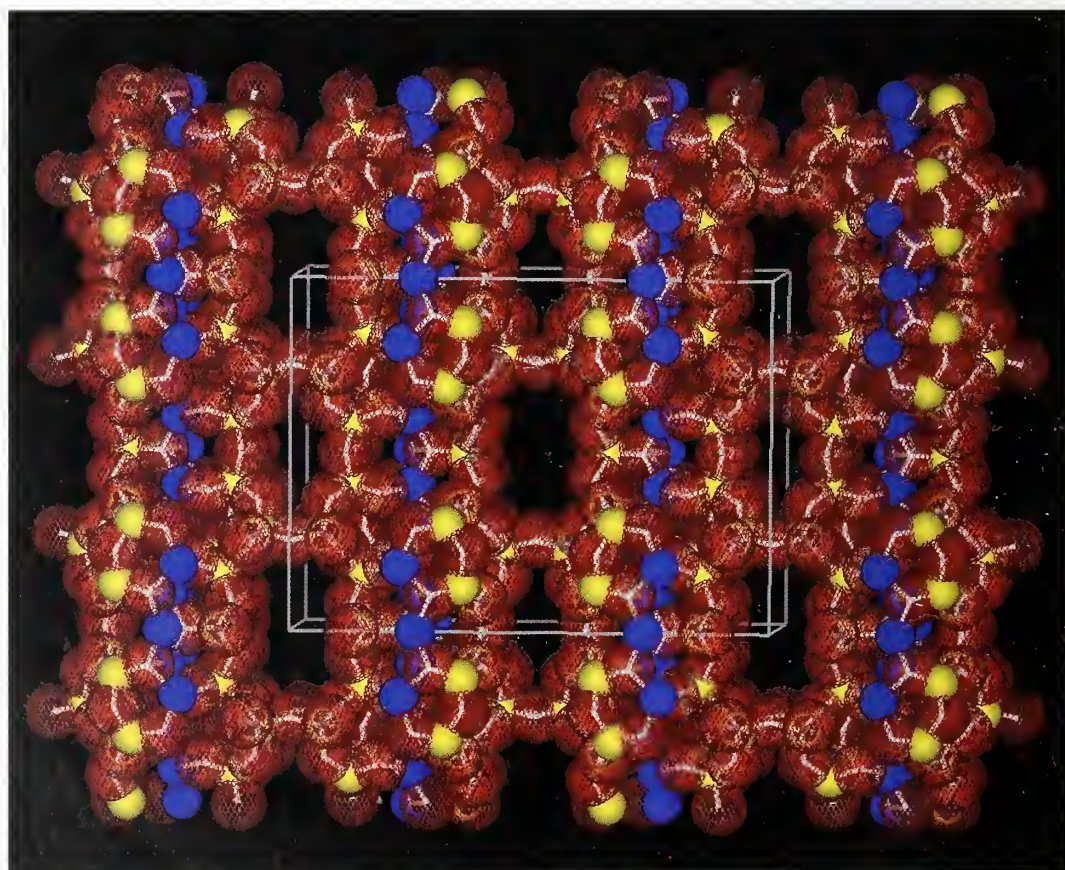
**“W**henever you have a novel structure you usually get novel properties.” Edith M. Flanigen [1] (First winner of the International Zeolite Association lifetime contribution and achievement award).

Zeolites and related microporous materials contain regularly spaced molecule-sized pores and are fascinating examples of molecular engineering. More than just aesthetically inspiring, as can be seen in Fig. 1, these materials represent billions of dollars in commerce, as they have widespread industrial applications: Materials of this type are used as catalysts to produce gasoline and pharmaceuticals. For medical and industrial purposes, they are employed to separate  $N_2$ ,  $O_2$ , and other gases. Formulated in household detergents, they remove the calcium ions that make water “hard,” replacing environmentally unfriendly phosphates. Zeolites and related microporous materials are also employed to sequester radioactive ions for bioremediation. Many new applications are being investigated in areas such as selective membranes, batteries, and fuel cells.

Properly used, the term zeolite should be restricted to naturally occurring aluminosilicates. However, related microporous materials (herein called zeolitic) have been synthesized, where elements such as B, Be, Cr, Fe, Ga, Ge, Mn, P, Ti, and Zn substitute for tetrahedrally bonded Si or Al. These atoms have roughly the same bonding configurations, so they tend to adopt the same framework connectivities as aluminosilicates and thus have the same pore structures. Further, since each tetrahedral (*T*) atom is linked by four oxygen atoms, the frame-

work must have a generalized chemical formula  $[TO_2]_{1-x}^m [T'O_2]_x^n$  where the charge on each tetrahedral unit (*m* or *n*) is typically zero or -1. Additional extra-framework cations, such as  $H^+$ ,  $Na^+$ ,  $Li^+$ , or  $Ca^{2+}$ , are then needed to balance charges. The number and nature of these cations is significant as cations are often the chemically active site for interactions with guest species, or their presence may affect the framework properties. Thus, they may dictate the catalytic or sorptive properties of the material. Empirically, the most negatively charged zeolitic frameworks that have been found, e.g., those that have the largest number of extra-framework cations, are materials with formula  $M^+ [SiO_2] [AlO_2]^{-1}$ . Pauling’s rules, restated in the zeolite field as Lowenstein’s rule, dictate that the ratio of Al:Si cannot exceed unity, limiting the cation fraction to 1/7 the total number of atoms.

A new class of materials that have Li incorporated as a *tetrahedral framework species* has recently been discovered [2,3].



**FIGURE 1.** The RUB-29 framework. Tetrahedral Si and Li atoms are shown as solid yellow and blue spheres, respectively at their approximate covalent radius (1 Å). Framework O atoms are shown as transparent red spheres at their approximate Van der Waals radius (1.4 Å). Extra-framework species are omitted for clarity.



These materials, called microporous lithosilicates, are novel for two reasons. One is that  $[\text{LiO}_4]$  tetrahedra appear more flexible than other  $[\text{TO}_4]$  tetrahedra. This means that lithosilicates can bond in configurations that are too strained to exist for other silicates. Thus, lithosilicates offer the promise of new families of pore structures. Second, the general formula for these materials is  $M_{3x}^+[\text{SiO}_2]_{1-x}[\text{LiO}_2]_x^{3-}$ , so that lithosilicates have the potential to be more negatively charged than aluminosilicates, if the Li:Si ratio exceeds 1:4.

A team of NCNR scientists and collaborators has recently completed the first complete structural characterization of a microporous lithosilicate, RUB-29. To determine the framework geometry, synchrotron diffraction measurements were performed at the NSLS using an extraordinarily small single crystal — with dimensions  $10\text{ }\mu\text{m} \times 10\text{ }\mu\text{m} \times 2\text{ }\mu\text{m}$ . (For comparison, human hair is typically  $50\text{ }\mu\text{m}$  to  $100\text{ }\mu\text{m}$  thick.) With 35 symmetry-unique atoms comprising the framework, RUB-29 is one of the most complex zeolitic structures. Powder neutron diffraction at NIST was then used to better determine the siting of the framework Li atoms, as well as four additional extra-framework Li atoms and seven other extra-framework species. The RUB-29 framework is shown in Fig. 1.

The structural studies of RUB-29 demonstrate two novel structural building blocks, a Li,Si-spiro-3,5 and a Li,Si-spiro-5 unit (see Fig. 2). It should be noted that both these building units contain

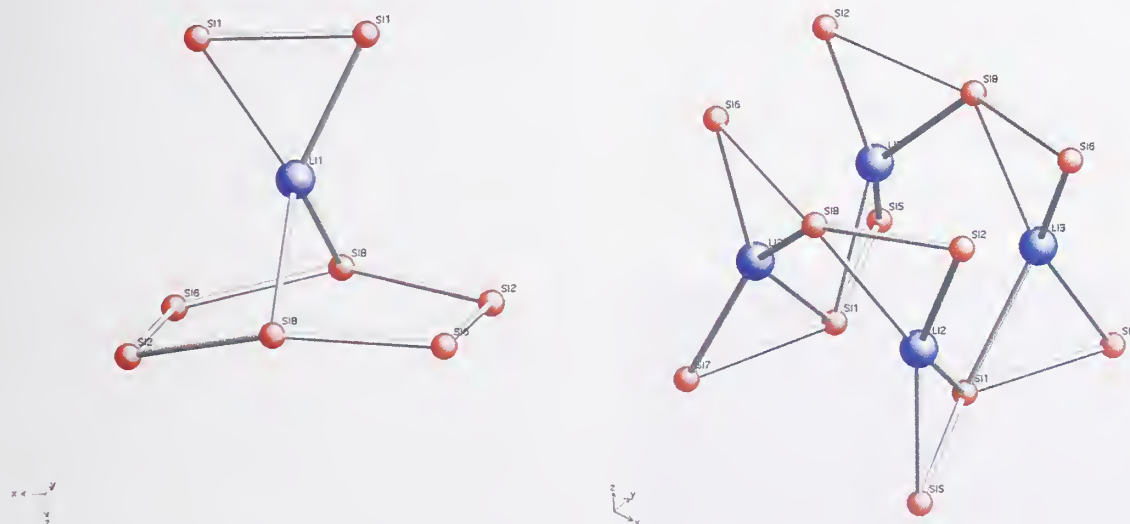
“three-rings” where three *T* atoms, in this case one Li and two Si atoms, are bonded in a cyclic structure. This three-ring structural entity is highly strained in silicates; only one silicate example has ever been found.

In RUB-29, only 1 in 5 *T* atoms are Li, so the total framework charge is comparable to 1:1 aluminosilicates. However, RUB-29 appears to be stable under conditions where these high-aluminum zeolites tend to degrade. Further, there is promise that new lithosilicate materials can be synthesized with even higher Li:Si ratios.

Another interesting property exhibited by RUB-29 is that the Li atoms, both in framework and non-framework sites, appear to move on an NMR timescale at temperatures as low as  $250\text{ }^\circ\text{C}$ . Much more work is needed to learn about conduction in this material, but it may hold promise for ionic conduction applications, such as in batteries.

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**FIGURE 2.** Li,Si-spiro-3,5 (left) and Li,Si-spiro-5 (right). Small red circles indicate the centers of  $[\text{SiO}_4]$ -tetrahedra, and big blue circles are those of  $[\text{LiO}_4]$ -tetrahedra. The O atoms that bridge each pair of Si and Li atoms have been omitted to improve clarity.

# QUANTIFICATION OF PHASE FRACTION AND AMORPHOUS CONTENT

While many methods can be used to determine the elemental composition of a material, diffraction is one of the few techniques that is also sensitive to the physical arrangement of atoms and molecules in the solid state. Even phases with the same chemical composition, such as graphite and diamond, have different diffraction patterns, dictated by the particular structure of each substance. The diffraction pattern from a mixture is the weighted sum of the patterns from each phase that is present, making it possible for quantitative phase composition to be determined. Prior to the application of Rietveld refinement techniques to the problem of quantitative phase analysis, these measurements required difficult calibrations and were often imprecise. However, Rietveld analysis involves the fitting of the entire diffraction pattern of each component phase based upon a structural model of the material and no standards or prior calibration is required. It should be noted though that even Rietveld analysis could not be used directly to quantify materials with unknown structures or amorphous phases, since such materials cannot be modeled crystallographically. An example where quantitative phase analysis explains the inevitable failure of ceramic thermal barrier coatings was presented in the 1998 NCNR Annual Report.

NIST participation in a round robin on determination of quantitative phase abundance, sponsored by the International Union of Crystallography Commission on Powder Diffraction, provided an excellent opportunity to demonstrate the high quality of data obtained using the 32-detector NCNR high-resolution powder diffractometer at BT-1. The NCNR implementation of the Rietveld technique for phase quantification was found to give excellent agreement with the nominal compositions. In addition, a new method for determining the amorphous phase content of a mixed-phase sample without sample adulteration was validated.

Data were collected using a Cu(311) monochromator ( $\lambda = 1.5402 \text{ \AA}$ ) and  $15^\circ$  incident collimation, and were then processed in the usual procedure to obtain a pseudo-single detector data set. The phase fractions were determined using standard Rietveld refinement techniques, including full refinement of crystallographic and instrumental parameters, as implemented by the GSAS suite of programs. Results for a sample consisting of nearly equal mass fractions of  $\text{Al}_2\text{O}_3$ ,  $\text{CaF}_2$ , and  $\text{ZnO}$ , along with values reported by the other round-robin participants, are given in Table 1; the results obtained at NIST for all other samples are reported in Table 2 along with the nominal phase content. It can be seen from Table

**Table 1. Mass fractions (%) of three-phase mixture reported by round robin participants. The ranges are reported for 111 x-ray determinations, 7 neutron determinations, and 4 synchrotron determinations. Standard uncertainties are reported in parentheses for the XRF (x-ray fluorescence, 3 determinations as reported by the organizers) and NIST results (determined from the least-squares refinement).**

Phase	Weighed	XRF	x-ray	Neutron	Synchrotron	NIST
$\text{Al}_2\text{O}_3$	31.4	31.7(1)	22-49	29-32	31-35	31.7(1)
$\text{CaF}_2$	34.4	33.9(1)	19-42	32-39	34-35	33.9(1)
$\text{ZnO}$	34.2	34.0(1)	25-42	32-35	29-35	34.4(1)

1 that neutron data give significantly more accurate results than synchrotron or laboratory x-ray data, and that the results obtained at NIST are exceedingly good. The high accuracy of these results can be attributed to the intrinsic Gaussian line shape of the reactor neutron source, as well as to the lack of microabsorption and preferred orientation effects that frequently plague x-ray data but are normally negligible with neutron data. The data presented in Table 2 further confirm this conclusion, in that the results for sample 2 (preferred orientation), sample 3 (amorphous content) and sample 4 (microabsorption) all agree well with the nominal phase content.

The determination of amorphous content in a crystalline sample has traditionally involved integration of the area under the broad amorphous hump, giving the relative intensity compared with that of the Bragg scattering. However, in multiphase samples this technique is impractical. An alternative approach is to add a known quantity of a material as an internal intensity standard.

The unique properties of neutron diffraction suggested an alternative approach. Since absorption is negligible for most elements, and since the entire sample is irradiated in the neutron beam, a strategy based on absolute scattering intensities using an external standard was devised.

In the Rietveld technique, the mass of each crystalline phase,  $w_i$ , is proportional to the product of the scale factor for that phase,  $S_i$ , and the molecular weight of the unit cell contents ( $Z_i M_i$ ) where  $Z_i$  is the number of formula units per unit cell and  $M_i$  is the molecular weight. Thus for a crystalline multiphase sample of mass  $w_c$  ( $w_c = \sum w_i$ ) the relation  $w_c \propto \sum S_i Z_i M_i$  is true. The proportionality constant can be determined using mass  $w_{\text{std}}$  of a completely crystalline known standard under identical data collection conditions, so the relation  $w_c/w_{\text{std}} = (\sum S_i Z_i M_i)/(S_{\text{std}} Z_{\text{std}} M_{\text{std}})$  can be used. If the sample mass,  $w_s$ ,



**Table 2. Comparison of nominal phase composition (%) with that obtained at NIST. The weighed and XRF values were provided by the round robin organizers. Standard uncertainties for the NIST results are given in parentheses.**

Sample	Component	Mass fraction weighed	Mass fraction XRF	Mass fraction NIST
1a	Al <sub>2</sub> O <sub>3</sub>	1.2	1.2	1.3(1)
	CaF <sub>2</sub>	94.8	94.1	94.8(3)
	ZnO	4.0	4.1	3.9(1)
1b	Al <sub>2</sub> O <sub>3</sub>	94.3	94.7	94.4(3)
	CaF <sub>2</sub>	4.3	4.3	4.2(1)
	ZnO	1.4	1.4	1.4(1)
1c	Al <sub>2</sub> O <sub>3</sub>	5.0	5.1	4.9(1)
	CaF <sub>2</sub>	1.4	1.3	1.4(1)
	ZnO	93.6	93.2	93.8(3)
1d	Al <sub>2</sub> O <sub>3</sub>	13.5	13.8	13.7(1)
	CaF <sub>2</sub>	53.6	53.0	53.0(2)
	ZnO	32.9	33.0	33.3(1)
1e	Al <sub>2</sub> O <sub>3</sub>	55.1	55.8	55.4(2)
	CaF <sub>2</sub>	29.6	29.4	29.2(1)
	ZnO	15.3	15.3	15.4(1)
1f	Al <sub>2</sub> O <sub>3</sub>	27.1	27.3	26.7(1)
	CaF <sub>2</sub>	17.7	17.4	17.5(1)
	ZnO	55.2	54.9	55.8(2)
2	Al <sub>2</sub> O <sub>3</sub>	21.3	20.9	21.9(4)
	CaF <sub>2</sub>	22.5	24.1	22.0(4)
	ZnO	19.9	19.5	19.1(6)
	Mg(OH) <sub>2</sub>	36.3	36.1	37.0(3)
3	Amorphous	29.5	27.1	32.3(6)
	Al <sub>2</sub> O <sub>3</sub>	30.8	31.1	30.7(6)
	CaF <sub>2</sub>	20.1	19.9	18.7(3)
	ZnO	19.7	19.6	18.3(3)
4	Al <sub>2</sub> O <sub>3</sub>	50.5	50.4	50.5(3)
	Fe <sub>3</sub> O <sub>4</sub>	19.6	19.6	20.3(2)
	ZrSiO <sub>4</sub>	29.9	29.5	29.3(4)

also contains an amorphous component,  $w_A$ , then since  $w_A = w_S - w_C$  and the amorphous fraction  $f_A = w_A / w_S$ , then

$$f_A = 1 - \frac{\sum S_i Z_i M_i}{S_{std} Z_{std} M_{std}} \cdot \frac{w_{std}}{w_S}$$

Using the GSAS suite of programs, the values for  $S_i$  may be obtained from the product of the overall scale factor and the "phase fraction," or by fixing the overall scale factor to 1.0 so that the refined "phase fractions" are in fact the Rietveld scale factors for each phase.

In order to make sure that data on the unknown and standard materials were collected under identical conditions the automated sample changer was used, the sample volume was reduced to ensure

complete sample irradiation, and identical data collection parameters were employed. In this way, data on any number of unknowns could be compared to a single standard sample since no changes were made to the experimental conditions. An additional benefit was that no adulteration or mixing of the samples was necessary. This technique was used with several single-phase samples in order to compare the crystallinity of potential standards as well as of the unknown samples. In fact, the round robin organizers subsequently sent samples of each of the unmixed phases to NIST for analysis. The results obtained for the round robin sample with amorphous content gave excellent agreement with the mass fractions determined by weighing (see Table 2); the slightly higher amorphous content obtained using the neutron Rietveld technique is explained by the presence of a small amount (1 % to 2 %) of amorphous material in the component crystalline phases.

This external standard technique to determine amorphous content could also be used to determine the mass fraction of a crystalline phase with an unknown structure. For both applications, however, obtaining the best results depends upon obtaining the best diffraction data. The unique capabilities of the NCNR high-resolution diffractometer at BT-1 make this possible; these are summarized below.

## FEATURES OF THE BT-1 DIFFRACTOMETER

Automatic six-carousel locking sample changer (vanadium sample cans), ideal sample size: 10 cm<sup>3</sup>.

**Maximum beam size:** 15 mm width by 50 mm height.

**Collimations:** 15' or 7' before monochromator, 20' before sample [30' for Si(531)], 7' before detectors.

**Detectors:** 32 <sup>3</sup>He-filled detectors at 5° intervals; 13° scan range covering 2θ from 0° to 167°.

### Monochromators:

Monochromator crystal and Bragg plane	In-pile Collimation angle (°)	Monochromator 2 θ (°)	Relative Bragg Intensities	Flux (neutrons s <sup>-1</sup> cm <sup>-2</sup> )	Wavelength (Å)	Typical run times (h)
Ge(311)	15	75	3.34	700 000	2.0784	0.5-5
Ge(311)	7	75	1.84	400 000	2.0795	
Cu(311)	15	90	1.00	400 000	1.5401	3-12
Cu(311)	7	90	0.59	200 000	1.5405	
Si(531)	15	120	0.47	200 000	1.5903	6-24
Si(531)	7	120	0.33	100 000	1.5904	

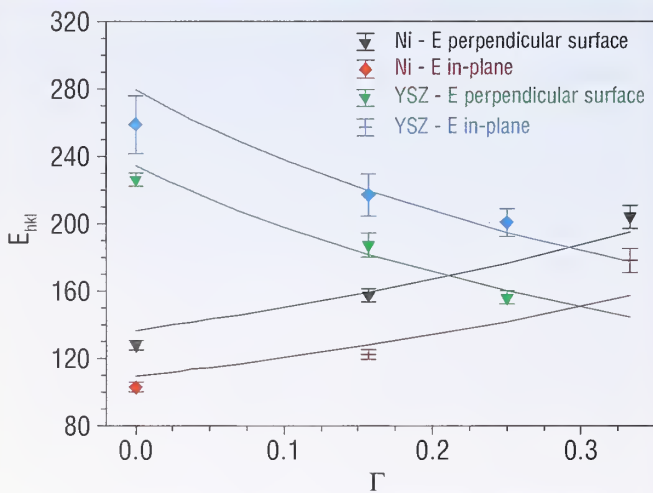
# DIFFRACTION ELASTIC CONSTANTS FOR ARBITRARY SPECIMEN AND CRYSTAL SYMMETRIES

**A**ccurate determination of residual stresses by means of diffraction relies on the knowledge of the elastic constants that translate lattice strain into macro-stress. Because of the difference between the elastic behavior of the aggregate and that of a single crystallite, the straightforward relationship between strain and stress as mediated by single crystal or polycrystal elastic constants no longer holds. Instead, the relationship between lattice strain and macro-stress is mediated by diffraction elastic constants (DEC).

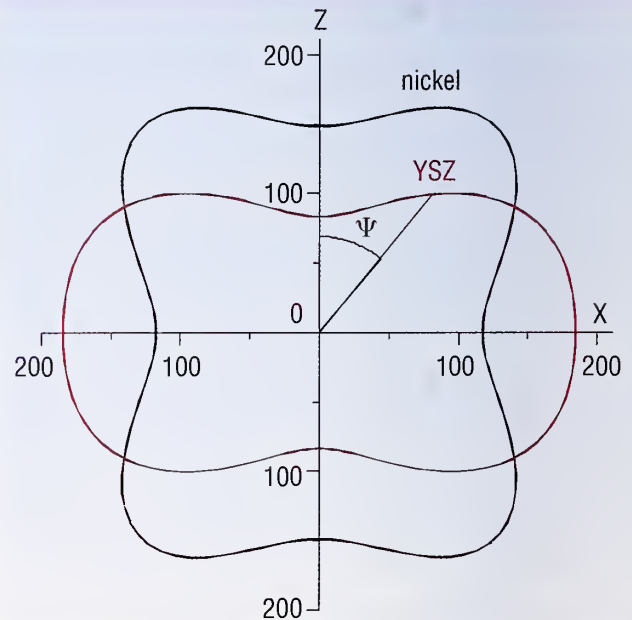
Very recently, we proposed a theory that allows a transparent calculation of DEC. This theory applies for almost the entire range of polycrystalline elasticity, including that for aggregates of arbitrary phase composition and arbitrary symmetry, both of the aggregate

and of the constituents [1]. Results show that for a particular crystallographic plane ( $hkl$ ) and an arbitrary anisotropic material there are usually six independent DEC. These DEC depend on the orientation of the scattering vector, on the grain shape, and on the elastic constants both of the crystallites and of the aggregate. Figure 1 shows Young's modulus vs. the orientation parameter for different crystallographic planes ( $hkl$ ) of two plasma-sprayed coatings with different types of anisotropy. Calculated DEC for comparison to measurement were not previously available.

Figure 1 also illustrates the difference between the anisotropy of the aggregate and that of the crystallites that comprise the aggregate. The slope of  $E_{hkl}$  vs.  $\Gamma$  depends mostly on the ratio



**FIGURE 1.** Young's modulus vs. orientation parameter for a metallic nickel coating and a ceramic YSZ ( $\text{ZrO}_2 + 8\% \text{Y}_2\text{O}_3$ ) coating deposited by plasma spraying. Solid lines indicate calculated values, points are measured values.



**FIGURE 2.** Central section of the representation surface for Young's modulus in a nickel coating and a yttria stabilized zirconia coating. The length of the radius vector for a certain tilt angle  $\Psi$  is equal to Young's modulus in units of GPa. These values were calculated from  $hkl$ -dependent elastic constants measured by neutron diffraction. The  $z$ -direction is perpendicular to the surface of the coating. All in-plane directions are equivalent due to the transversal-isotropic elastic symmetry of sprayed coatings.



$2C_{44}/(C_{11}-C_{12})$  which is  $> 1$  for nickel and  $< 1$  for YSZ. The aggregates exhibit generally transversal isotropic elastic symmetry but again with a different anisotropy in the metallic coating ( $C_{11}/C_{33} < 1$ ) and in the ceramic coating ( $C_{11}/C_{33} > 1$ ). This anisotropy causes a substantial difference between  $E_{hkl}$  normal and perpendicular to the coating surface.

While the data in Fig. 1 still depend on the crystallographic plane ( $hkl$ ) they can also be used to estimate the overall elastic constants of the aggregates that, in turn, yield the directional dependence of the mechanical value of Young's modulus. This distribution forms a surface with rotational symmetry around the coating surface normal vector as illustrated by a central section shown in Fig. 2.

The elastic anisotropy of sprayed coatings has its roots in their microstructure. In the complete absence of preferred crystallite orientation, the responsible factor is the porosity and alignment of elongated voids and cracks resulting from the spray process. These voids can be treated as another phase with very low elastic moduli. This way it is possible estimate the overall elastic constants if the distribution of pore shapes and volume fractions are known.

In the case that the aggregate constants are already known, the reverse approach of estimating a pore distribution can offer some insight into the properties of different coatings. Figs. 3a and b show where the best agreement was found for plasma sprayed metallic and ceramic coatings. The main difference is that the pore structure of the ceramic coating is dominated by interlamellar (horizontal) voids, while the concentrations of horizontal voids and vertical cracks are more balanced in the metallic coating.

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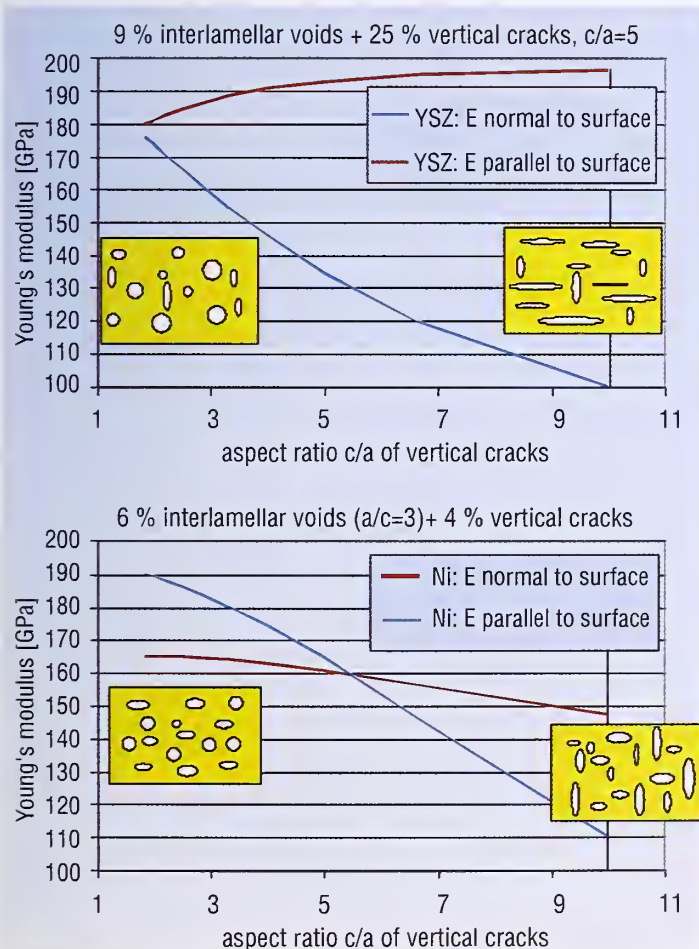


FIGURE 3. Young's modulus for the YSZ coating (a) and for the nickel coating (b) vs. the pore aspect ratio. The vertical lines at aspect ratio of 10 indicate where the best agreement is found with experimental results.

# DIRECT OBSERVATION OF SUPERHEATING AND SUPERCOOLING OF VORTEX MATTER

A current question of fundamental interest concerns whether a vortex solid-liquid transition exists in type-II superconductors [1]. In addition to providing a possible model system for melting and freezing, vortex matter offers unprecedented opportunities to study the effects of quenched disorder on phase transitions. The peak effect, where the critical current exhibits a peak rather than decreasing monotonically with increasing temperature, has been found to occur at the same temperature as a magnetization jump, which suggests a melting of the vortex lattice. However, there has been no direct structural evidence indicating whether there is indeed an underlying phase transition, and if so, whether it is solid-to-solid, solid-to-liquid, or even liquid-to-liquid in origin. Moreover, since quenched disorder is known to have important consequences for phase transitions, whether a solid-liquid transition can occur when random pinning is effective has broad implications in condensed matter physics.

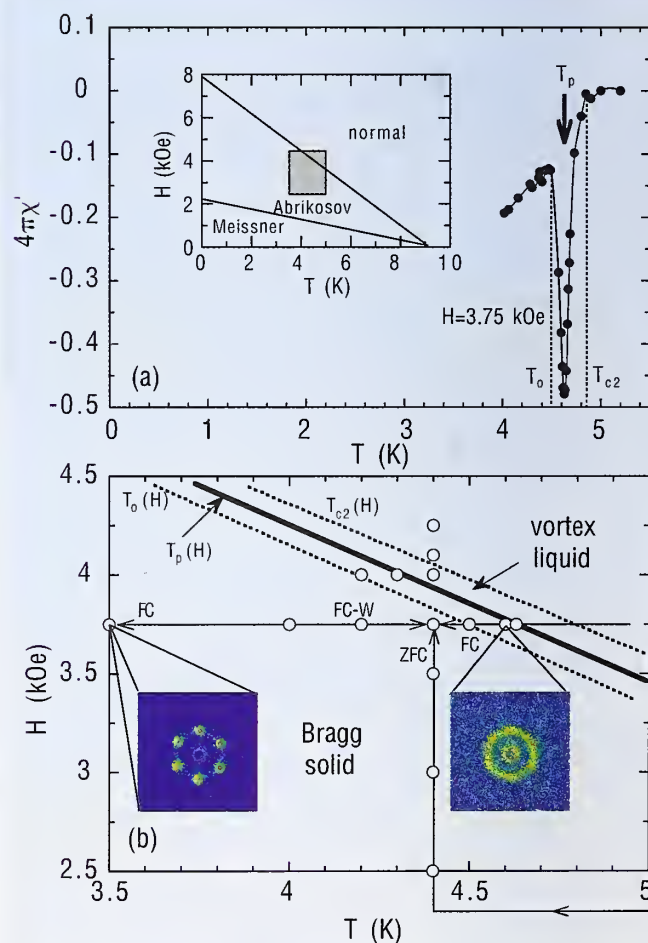
Here we report the first observation of a striking history dependence of the structure function of vortex matter in the peak effect regime in a Nb single crystal, using SANS combined with simultaneous magnetic susceptibility measurements [2]. Metastable supercooled vortex liquid and superheated vortex solid phases have been observed, providing direct structural evidence for a first-order vortex solid-liquid transition associated with the peak effect.

Measurements were performed on a Nb single crystal, with the incident neutron beam nominally along the cylindrical axis which coincides with the three-fold symmetric  $\langle 111 \rangle$  crystallographic direction. A superconducting magnet applies a dc magnetic field along the same direction. The peak-effect regime is determined *in situ* by measuring the characteristic dip in the temperature dependence of the real-part of the ac magnetic susceptibility  $\chi'$ , as shown in Fig. 1(a) for  $H = 3.75$  kOe [2]. The pronounced diamagnetic dip in  $\chi'(T)$  of the *ac* susceptibility corresponds to a strong peak effect in the critical current. The onset, the peak, and the end of the peak effect are denoted by  $T_0(H)$ ,  $T_p(H)$ , and  $T_{c2}(H)$ , respectively. Figure 1(b) shows the window of the experiment.

For each  $(T, H)$ , we measure the SANS patterns for different thermal paths. At sufficiently low temperatures the SANS images show sharp Bragg peaks with six-fold symmetry, independently of the thermal history. An example is shown in the inset of Fig. 1(b) for  $H = 3.75$  kOe and  $T = 3.50$  K. However, the vortex pattern starts to show striking history dependence as the peak-effect regime is approached. We define the field-cooled (FC) state as when the

sample is cooled to the target temperature in a magnetic field, while the zero-field-cooled (ZFC) state is reached by cooling the sample in zero field to the target temperature and then increasing the magnetic field to the desired value. For a field-cooled-warming (FC-W) state, the system is cooled in-field to a low temperature ( $\approx 2$  K), then warmed back to the final temperature.

For the FC path, the vortex patterns show nearly isotropic rings for  $T_p < T < T_{c2}$  and broad Bragg spots for  $T < T_p$ . In contrast, for the ZFC and the FC-W paths, sharp Bragg spots are observed for all temperatures up to  $T_{c2}$ . Shown in the top panel of Fig. 2 are



**FIGURE 1. Peak effect and  $(H-T)$  phase diagram of Nb. a) ac magnetic susceptibility for  $H_{ac} = 3.75$  kOe (field-cooled).  $H_{ac} = 3.3$  Oe and 1 kHz. Inset: global  $H$ - $T$  phase diagram for the Nb crystal used in this study. (b) Expanded view of the  $H$ - $T$  phase diagram (shaded box in a). Two observed SANS images of the field-cooled vortex states are shown.**



the ZFC and FC images at (3.75 kOe, 4.40 K), which is just below  $T_o(3.75 \text{ kOe}) = 4.50 \text{ K}$ . The images in the mid panel are for (4.00 kOe, 4.40 K), which is 0.10 K above  $T_p(4.0 \text{ kOe}) = 4.30 \text{ K}$ . The intensities at the radial maximum for the mid panel SANS data are plotted in the lower panel. The sharp Bragg spots for the ZFC state indicate a vortex lattice with long-range-order (LRO), while the very broad spots for the FC state signify a disordered phase with short-range-order.

The observed hysteresis suggests a first-order vortex solid-liquid (or glass) transition. A controversial issue is the location

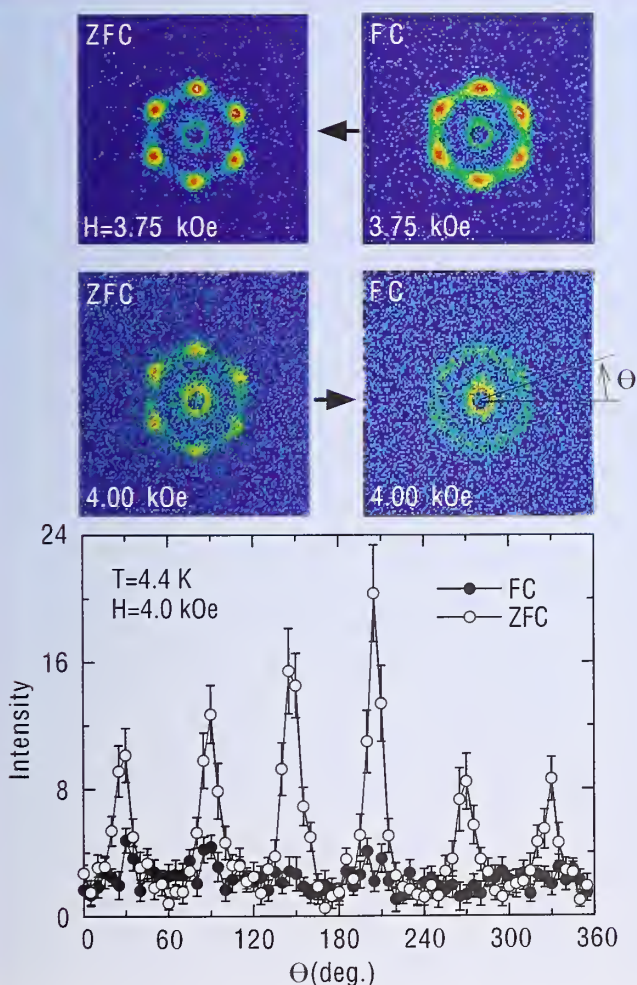
of the underlying equilibrium phase transition to the position of the peak effect. One interpretation places the conjectured vortex solid-liquid transition  $T_m$  at  $T_p$ , consistent with the recent experiments in YBCO. Another widely held view is based on the classical Lindemann criterion which would place  $T_m$  at  $T_{c2}(H)$  for Nb, provided the vortex-lattice elastic moduli remain well-behaved. In this scenario, the FC disordered phase seen here (as well as in [3,4]) is a supercooled liquid and the thermodynamic ground state is an ordered solid across the entire peak-effect regime. The third scenario places  $T_m$  at or below the onset of the peak effect.

To experimentally determine the ground state and approximate value of  $T_m$ , the susceptibility coil was used to shake the vortex assembly, using SANS to observe how the vortex structure evolves. The data show that above  $T_p$  the Bragg peaks start to disappear within the first  $10^3$  sec of the shaking experiment, demonstrating that the equilibrium state is disordered. Similarly, the FC disordered states for  $T < T_p$  are metastable and the ordered ZFC state is the ground state, opposite to that for  $T > T_p$ . In the  $T < T_p$  regime, though, the metastability is obviously stronger since a much larger ac field is needed to change the metastable state.

We conclude that for  $T > T_p$  the ordered ZFC vortex lattice is a superheated state and the ground state of the vortex system is a disordered vortex liquid, while for  $T < T_p$  the ground state is a vortex Bragg solid and the disordered FC state is a supercooled vortex liquid. A thermodynamic phase transition must therefore have taken place, with  $T_m \approx T_p$ . These results also imply the absence of superheating in conventional transport experiments with a large drive current, which solves a longstanding puzzle in which the history dependence of the nonlinear resistance always vanishes at  $T_p(H)$ ; only with extremely low drive currents may one then observe the subtle effects of superheating in transport.

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**FIGURE 2.** History-dependent SANS patterns at 4.40 K. The SANS images of the ZFC and FC vortex states for  $H = 3.75 \text{ kOe}$  (top panel: below the onset of the peak effect) and  $H = 4.00 \text{ kOe}$  (mid panel: near the upper end of the peak-effect regime). The thick arrows indicate how the SANS images evolve after applying a small ac magnetic field. The lower panel shows the intensity data at the radial maximum as a function of the azimuthal angle for the ZFC and FC SANS data ( $H = 4 \text{ kOe}$ ).

# SANS MEASUREMENTS OF NANOSCALE LITHOGRAPHIC FEATURES

**T**he continued growth of the semiconductor industry depends on advances in lithographic processes and materials to enable the economical production of smaller device features. Precise measurement of the size and quality of lithographically prepared features is critical as their sizes continue to decrease, with dimensions approaching 100 nm. Current microscopy-based techniques such as scanning electron microscopy (SEM) and atomic force microscopy (AFM) often require special modifications to enable the measurement of either the critical dimensions or feature resolution parameters. More importantly, these techniques become extremely challenging as feature sizes continue to decrease.

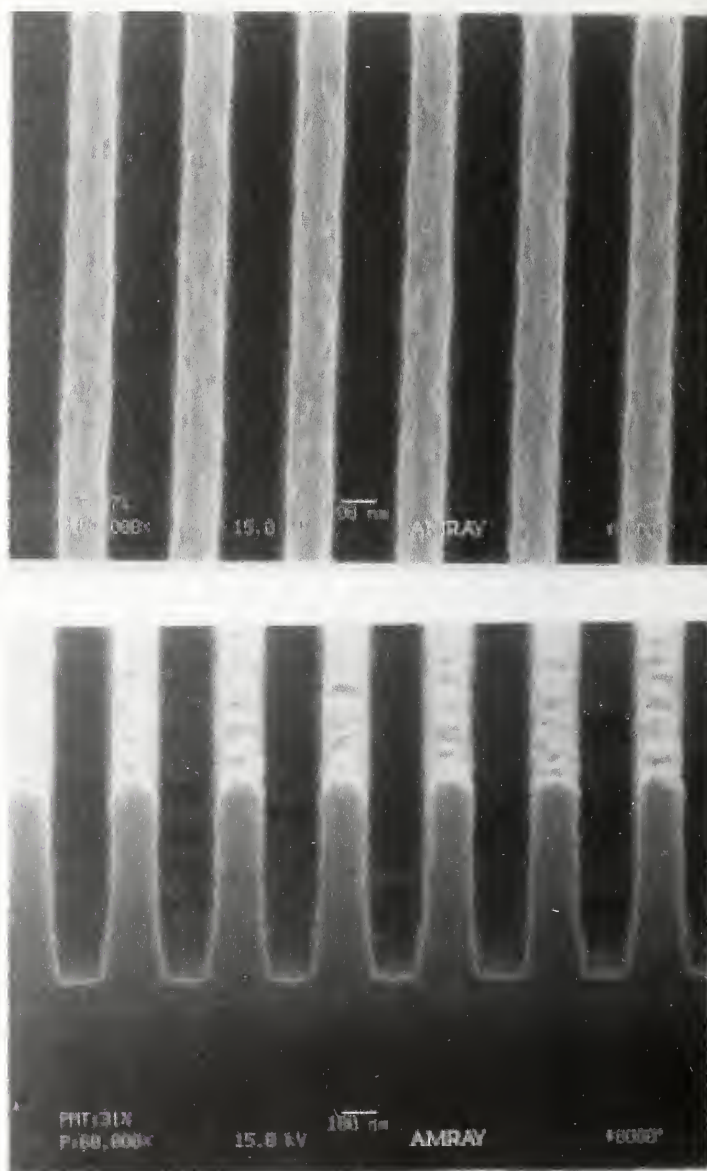
In this highlight, we demonstrate the powerful use of small-angle neutron scattering (SANS) to quickly, non-destructively, and quantitatively characterize both the size and profile of lithographically prepared structures as prepared on a silicon wafer substrate [1]. Until recently, SANS instruments were unable to measure lithographic feature sizes (sizes greater than 300 nm) and neutron beam fluxes were insufficient to measure scattering from thin film structures. Today, with new focusing optics, the high intensity NCNR instruments allow routine SANS measurements of smaller lithographic features [2]. Other important advantages for the use of SANS to measure lithographic structures include a) the measurement of structures on silicon, because single crystal silicon wafers are generally transparent to neutrons, b) a measurement metric statistically averaged over an area of several square centimeters, and c) less stringent SANS instrument requirements as lithographic structures decrease in size.

As an example, periodic, equally spaced, parallel line patterns with a nominal size of 150 nm were prepared on a silicon single crystal wafer using standard 248 nm optical lithography, and placed directly in and normal to the neutron beam. Quantitative measurements of the size and average profile of these lines are extracted from the scattering data. SEM micrographs of these structures are shown in Fig. 1.

The SANS measurements were performed on the NG-7 30 m SANS line under ambient atmospheric conditions at the NCNR.

Newly developed neutron focusing optics consisting of 28 biconcave  $\text{MgF}_2$  lenses were used to access small enough angles to resolve feature sizes up to 300 nm, a previously inaccessible length scale for SANS. In this configuration, the SANS data provide quantitative information about the line repeat distance and the quality of the line structure.

The 2-D scattering data from the structures displayed in Fig. 1 are shown in Fig. 2. The line structures are aligned with the vertical



**FIGURE 1.** Top-down and side view scanning electron micrographs of the lithographically prepared lines used in the SANS measurement. The lines are nominally 150 nm wide and 0.62  $\mu\text{m}$  in height.



axis of the detector. Six orders of diffraction peaks are immediately observed in the horizontal axis of the detector because of the highly periodic pattern of the fabricated lines. By linearly fitting the peak position plotted as a function of the diffraction order index, the feature repeat distance for the structure in Fig. 1 is determined to be  $(3031 \pm 9) \text{ \AA}$ .

A more detailed analysis provides a quantitative determination of the average profile of the line structures, including a measure of the line-edge roughness (LER). We model the periodic line pattern as a convolution of a periodic delta function with the average cross-section of a line. In Fig. 3, the scattering intensity of a given diffraction peak is plotted as a function of the position of the peak. The solid line is the best theoretical fit to the experimental data and corresponds to a measure of the LER of  $(213 \pm 13) \text{ \AA}$ . Also in Fig. 3, the second and fourth order diffraction peaks are visible and less intense than the first and third diffraction peaks. The measurable intensity of the even order diffraction peaks indicates that the line feature size is slightly less than one half the overall repeat distance. The model fit results in a line feature size of  $(1350 \pm 60) \text{ \AA}$ .

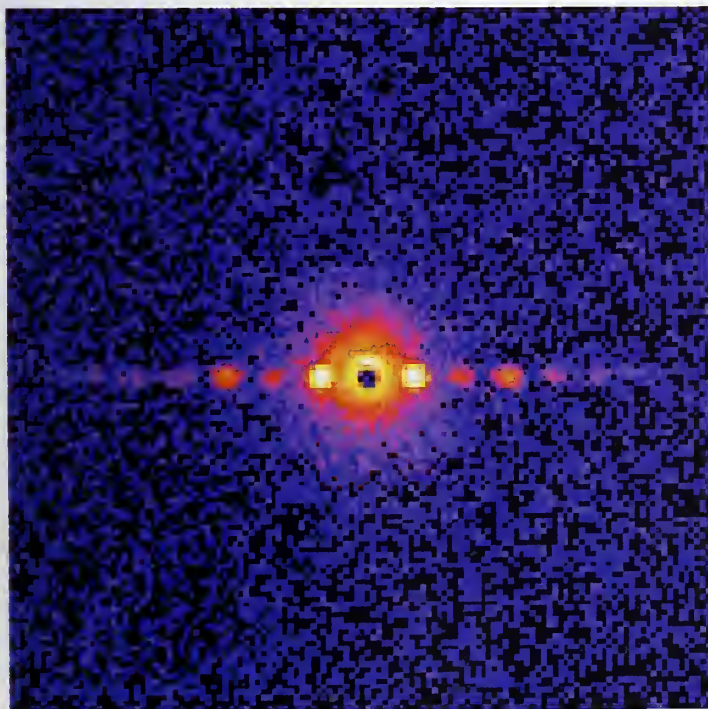


FIGURE 2. Two-dimensional SANS pattern from the sample shown in Fig. 1. Six orders of diffraction are observed due to the high resolution of the lithographically prepared pattern.

The average line structural size and cross-section were determined in a configuration where the sample was placed perpendicular to the incident neutron beam. More three-dimensional information about the average line structure can be obtained by tilting the line pattern with respect to the incident beam. Varying projections of the line profile onto the detector plane provide an elegant method to deduce more specific structural information. In addition, the formalism to extend the SANS theoretical framework to arbitrary shapes is well established and will be applied in the future. With these advances, SANS may be used to identify resolution limits in new nanofabrication processes and materials and to serve as an important metrology tool in understanding the physical processes that control the resolution of these methods.

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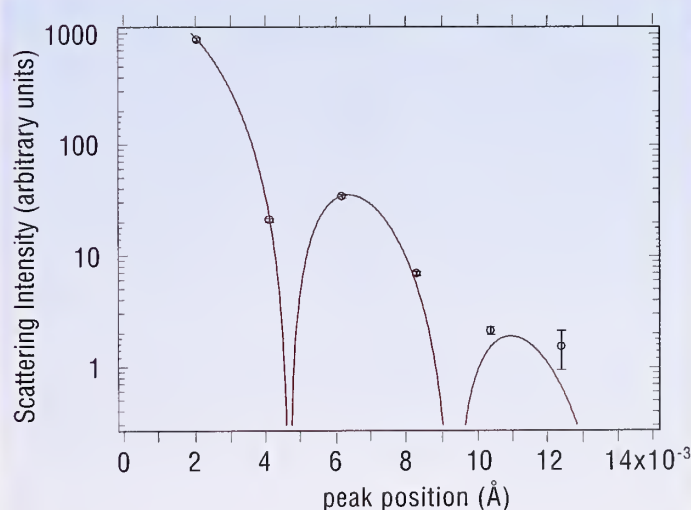


FIGURE 3. Scattering Intensity of each diffraction peak as a function of the peak position. The solid line represents the best theoretical fit to the data.

# ASYMMETRIC MAGNETIZATION REVERSAL IN EXCHANGE-BIASED HETEROSTRUCTURES

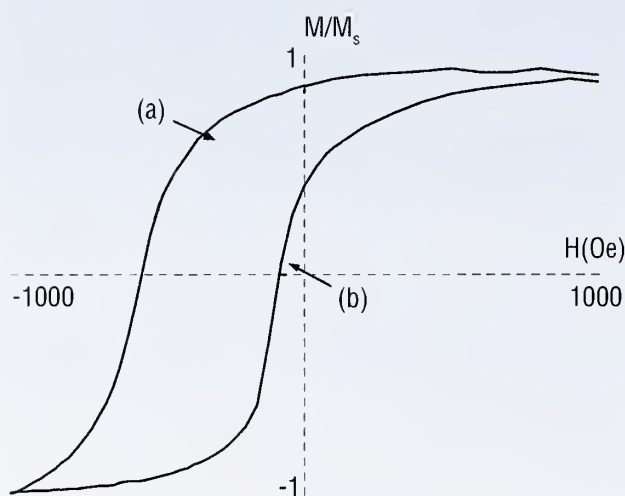
**E**xchange bias refers to a shift of the ferromagnetic hysteresis loop along the field axis, by an amount  $H_e$  (see Fig. 1 for an example.) The bias is a consequence of an exchange interaction across the interface between dissimilarly ordered magnetic materials, e.g. a ferromagnet and an antiferromagnet (AF). This exchange interaction induces a unidirectional anisotropy as the AF material is cooled through its Néel temperature,  $T_N$  [1,2]. Exchange bias is an example of a bulk property whose fundamental origin is attributed to physical processes occurring at the nanometer length-scale. This phenomenon is not simply a scientific curiosity; it underpins present-day magnetic recording technology.

Read-write heads used with magnetically stored data are based on giant magnetoresistance (GMR) sensors. These sensors consist of layers of ferromagnetic thin films separated by non-ferromagnetic ones. When the magnetizations in the ferromagnetic layers are all oriented the same way, conduction electrons pass through them relatively easily, but when the electrons must cross from films having one orientation to another they encounter more resistance through magnetic scattering. GMR arises when an external field can change the relative orientations of the magnetization in the films easily. To keep the layers from all reorienting together in the presence of an external field, some of them must be pinned. One way to accomplish pinning is exchange biasing.

Despite its technological importance, theoretical models are unable to convincingly explain observations of exchange bias (e.g. positive exchange bias), and phenomena associated with it. Even in the simplest experimental systems such as Fe on  $TiF_2$  where  $T_M = Mn$  or Fe, the asymmetric reversal of magnetization and the unusual temperature dependence of coercivity are not well understood.

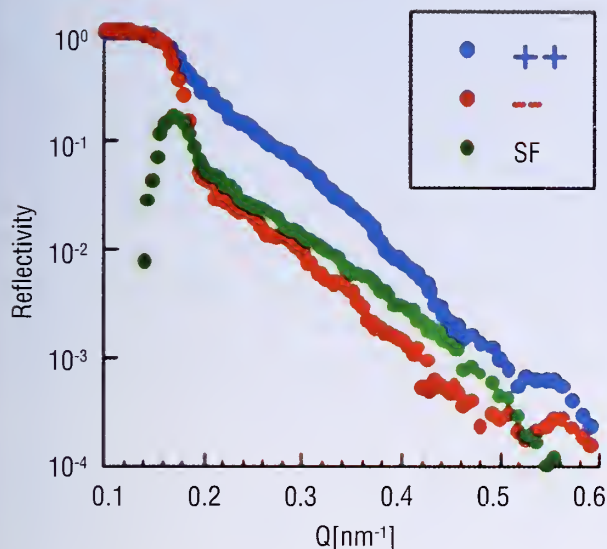
Using polarized neutron reflectometry, we recently examined the magnetization reversal processes of a ferromagnetic Fe film exchange-coupled to twinned AF ( $TiF_2$ ) films as a function of magnetic field [3]. Neutron scattering measurements typical of those from a sample exhibiting large exchange bias are shown in the Figs. 2 and 3 for fields at coercivity on either side of the loop. Spin-flip (SF) scattering observed on the left hand side of the loop indicates magnetization reversal via magnetization rotation. Lack of SF scattering on the right hand side is consistent with domain nucleation (with opposite magnetization) and growth. These two fundamentally different (asymmetric) reversal processes have distinct neutron scattering signatures. The ability to discern so easily between these processes sets neutron scattering apart from magnetometry.

Comparisons of measurements like those in the Figs. 2 and 3 taken from many samples, including single crystalline and polycrystalline AF films, lead to the following picture: In the case of samples with twinned AF's, which exhibit large exchange bias, "45° exchange coupling" is energetically favorable as each AF domain independently tends to perpendicular coupling but is frustrated due to

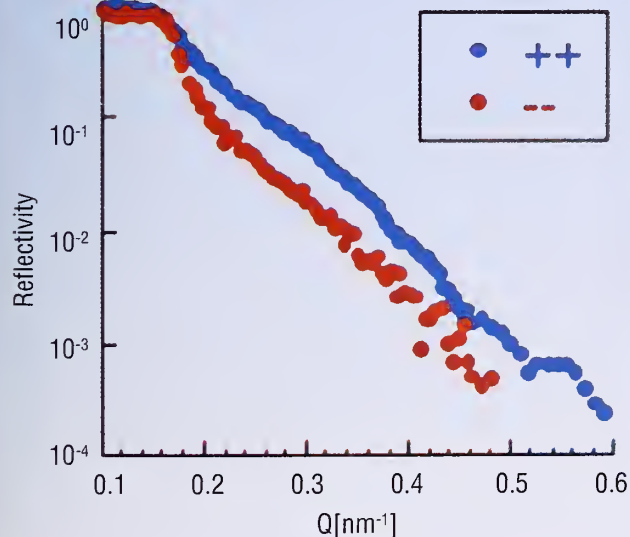


**FIGURE 1.** Magnetization versus applied magnetic field. Exchange bias is the shift of the ferromagnetic hysteresis loop (in this case to negative  $H$ ) from being centered at  $H = 0$ . Measurements displayed in Figs. 2 and 3 were taken, respectively, near the coercive fields at (a) and (b). Large exchange bias is correlated with asymmetry in the magnetization reversal processes, as noted in those figure captions.





**FIGURE 2.** Reflectivity of scattered neutrons experiencing no flip in spin (++) and --) and for which the spin is flipped (SF) versus  $Q$ . These data were taken at the coercive field on the (a) side of the loop in Fig. 1. The spin-flip scattering observed in this region indicates magnetization reversal through rotation.



**FIGURE 3.** Reflectivity of scattered neutrons experiencing no flip in spin (++) and --) versus  $Q$ . These data were taken at the coercive field on the (b) side of the loop in Fig. 1. No spin-flip scattering was observed, indicating magnetization reversal via domain nucleation and growth.

the twinned microstructure. Furthermore, field cooling provides an additional unidirectional asymmetry. Therefore, field reduction from positive saturation results in magnetization rotation rather than domain nucleation. This is due to the intrinsic unidirectionality that hinders formation of domains with magnetization anti-parallel to the cooling field direction. As the field is reduced from negative saturation, formation of domains with magnetization parallel to the initial cooling direction is favored. Hence reversal occurs by domain nucleation and propagation.

For the case of samples with single crystalline (untwinned) AF's, frustration is lacking; consequently, there is no anisotropy axis parallel to the cooling field with which unidirectional anisotropy can be established. In this case, magnetization rotation is always favored (as evidenced by SF scattering on both sides of the ferromagnetic hysteresis loop). We note the exchange bias for the single crystal sample is always small. A clear correlation was observed: samples with an asymmetric magnetization reversal process exhibit large exchange bias, while those with symmetric magnetization reversal process exhibit small exchange bias.

By identifying the mechanisms involved in the asymmetry favoring large exchange biasing in this system, these and related neutron reflectivity studies point out a direction for the design of next generation GMR sensors having substantial improvements in magnetic field sensitivity.

This work was supported by the U.S. Department of Energy, BES-DMS under Contract No. W-7405-Eng-36, grant DE-FG03-87ER-45332, and funds from the University of California Collaborative University and Laboratory Assisted Research.

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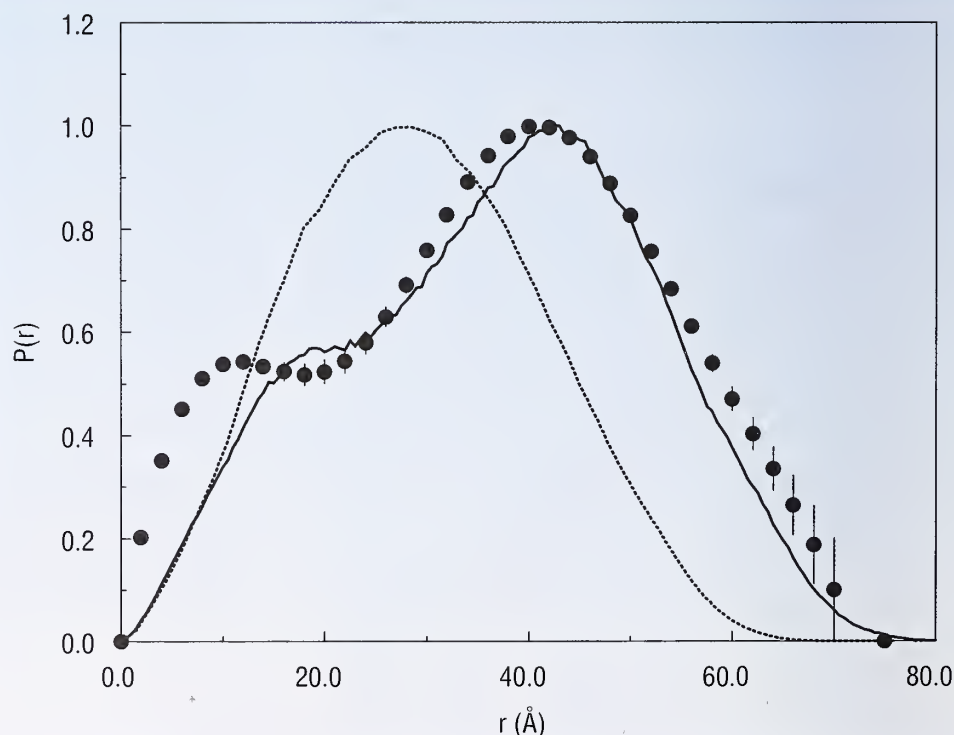
# THE ROLE OF THE CRP/CAMP PROTEIN COMPLEX IN DNA TRANSCRIPTION

**D**NA molecules direct the synthesis of specific RNA and protein molecules. In the early stages of protein synthesis, specific regions of the DNA (genes) are copied into short strands of RNA that retain all of the genetic information of the DNA sequence from which they were copied. The process by which RNA molecules are synthesized from the coding regions of DNA is known as DNA transcription. The RNA polymerase enzyme, whose function is to make a RNA copy of a DNA sequence, catalyzes the synthesis of these RNA molecules. The amount of RNA made from a particular region of DNA is controlled by gene regulatory proteins that bind to specific sites on DNA close to the coding sequences of a gene. In this highlight we describe experiments addressing how a particular gene regulatory protein controls RNA transcription from DNA.

One useful model of such a protein is the cyclic AMP receptor protein (CRP) of *E. coli*. Upon binding cyclic adenosine monophosphate (cAMP), CRP undergoes a conformational change that, in turn, promotes binding to specific DNA sequences. The CRP/cAMP complex, upon binding DNA, produces a bend in the DNA that causes it to wrap around the RNA polymerase to promote DNA transcription.

A method well suited to directly study the structure of proteins and DNA in solution, where transcription takes place, is small-angle neutron scattering (SANS). The radius of gyration,  $R_g$ , which can be used to measure conformational changes, and the structure of the molecule in solution can be determined from an analysis of the scattered neutron intensity versus  $Q$ .

Particularly powerful is the contrast variation technique [1] in which isotopic substitution of D for H in the solvent is routinely used to change the scattering from a macromolecule without affecting its biochemistry. In the case of a two-component complex such as CRP/cAMP/DNA (cAMP is considered to be part of the CRP component), the neutron scattering length density of CRP is quite different from that of DNA. In this case, the scattered intensity at each  $Q$  value is expressed as the sum of three terms, each of which is the product of an unknown component intensity and a known contrast term. (The contrast is the difference between the scattering length density of a component and that of the solvent.) Thus, the scattering from the complex in solution can be separated into component intensities by measuring the scattered intensity of the complex,  $I(Q)$ , at a minimum of three contrasts obtained from different  $D_2O/H_2O$  buffer mixtures.



**FIGURE 1.** The distance distribution functions,  $P(r)$ , of the CRP component of the CRP/cAMP/DNA complex obtained from the SANS data (●), the energy-minimized x-ray crystal structure (...) [5,6] and the energy-minimized model structure (—).



Recent SANS measurements of CRP/DNA complexes [2] confirmed, in solution, the bending of the bound DNA that was observed in an early x-ray crystal structure of the complex [3]. However, the  $R_g$  value for the complex was larger than that predicted from the same crystal structure. SANS confirmed experimentally that this value does not change with concentration. Thus, the increase in  $R_g$  is not due to aggregation, but it could result from an increase in the  $R_g$  of the CRP component upon DNA binding. Such a conformational change would be apparent in the SANS solution measurements: it was not evident in the crystal structure [3].

To obtain the scattered neutron intensity of the CRP component, a contrast variation series of SANS measurements was per-

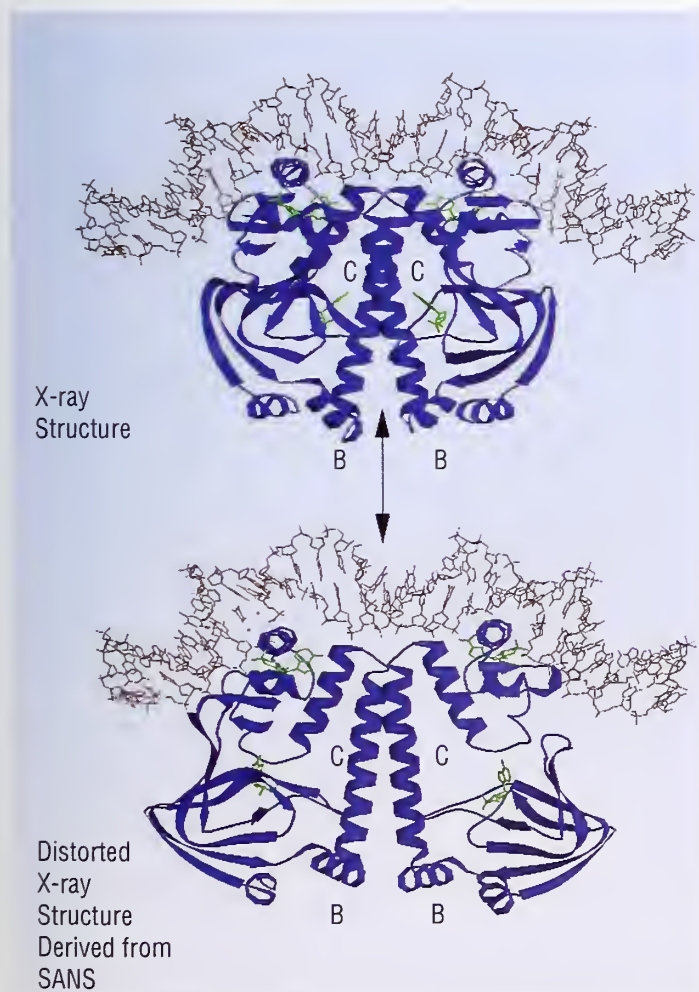
formed on CRP/DNA complexes in 0 %, 15 %, and 70 %  $D_2O/H_2O$  buffer solutions. The  $R_g$  values were found to be the same, (28 Å to 30 Å), for all three cases. This clearly indicates that the CRP component is the main reason that  $R_{gCRP}$  was larger than originally expected. It was found from the  $Q$  behavior of the CRP component intensity that  $R_{gCRP} = 28.5 \pm 0.3$  Å, which is  $\approx 6$  Å larger than the  $21.6 \pm 0.2$  Å value observed in solution for CRP alone [4]. It is also  $\approx 6$  Å larger than the 22.6 Å value predicted for the CRP component from an energy-minimized x-ray crystal structure of the complex by Parkinson *et al.* [5], with cAMP incorporated as in Passner and Steitz [6].

To model the solution structure of the CRP/DNA complex, the energy-minimized x-ray structure [5,6] was distorted in the regions thought most likely responsible for the conformational change in CRP upon DNA binding [7]. The distance distribution function,  $P(r)$ , was calculated [4] from the energy-minimized distorted conformation and compared to that obtained from the SANS data. As shown in Fig. 1, the  $P(r)$  function calculated from the model structure clearly fits the experimental data better than that from the x-ray crystal structure [5,6]. A molecular representation of the energy-minimized x-ray crystal structure [5,6] is shown in Fig. 2, along with the model structure that fits the SANS data.

The experimentally observed conformational change in CRP upon DNA binding may play a role in the enhancement of transcription of DNA by CRP. Perhaps this occurs through its contacts with RNA polymerase that is bound on the DNA at a site adjacent to the CRP binding site. This is the subject of further ongoing SANS studies.

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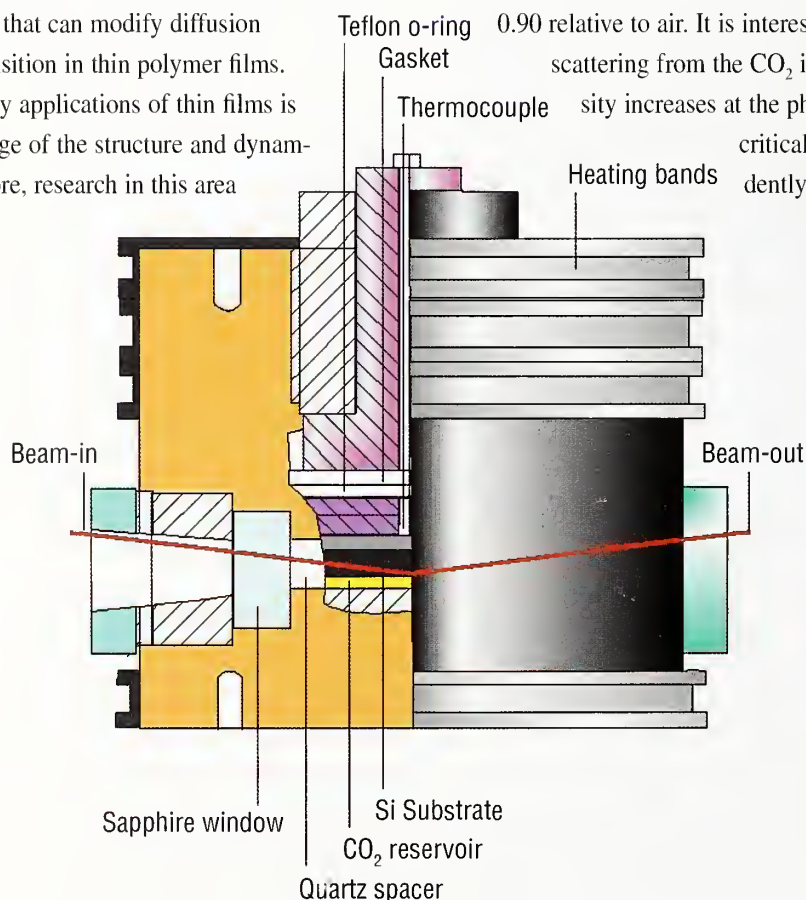
**FIGURE 2.** The top molecule is the energy-minimized x-ray crystal structure [5,6] of the CRP(blue)/cAMP(green)/DNA(brown) complex. The bottom molecule is the energy-minimized model structure which fits the SANS data.

In recent years supercritical fluids (SCFs), materials at temperatures and pressures above their critical values, are being used in both traditional industry and new advanced technical areas. The major advantage of SCFs is that their physical properties such as dielectric constant, density, and solubility parameters, can be tuned simply by adjusting the temperature and pressure. Especially, SCFs have also been shown to be effective plasticizers as well as solvents for numerous polymers. In particular, much attention has been focused on CO<sub>2</sub> since it becomes supercritical at a moderate critical temperature and pressure,  $T_c = 31.3\text{ }^{\circ}\text{C}$  at  $P_c = 73.8\text{ bar}$ , and it is environmentally benign [1].

In spite of its practical importance and numerous studies of the CO<sub>2</sub>-induced swelling in bulk polymers, fundamental questions still remain. It is important to understand the interaction of supercritical CO<sub>2</sub> (scCO<sub>2</sub>) that can modify diffusion coefficient and the glass transition in thin polymer films. The performance of the many applications of thin films is often dependent on knowledge of the structure and dynamics of the interfaces. Therefore, research in this area

will advance understanding of the fundamental physics and applications of polymer thin films.

Neutron reflectivity (NR) is used for quantitative determination of the thicknesses, compositions, and interfacial structures of polymer thin films on a nanometer scale. To achieve this under *in situ* conditions, we have developed a temperature and pressure controlled chamber specifically for neutron reflectivity (Fig. 1). The cell is equipped with two cylindrical sapphire windows. CO<sub>2</sub> is loaded into the cell by means of a manually operated pressure generator. Pressurizing and depressurizing cycles up to 1400 bar are possible. Temperature and pressure stability of the chamber of  $\pm 0.1\text{ }^{\circ}\text{C}$  and  $\pm 0.2\%$ , respectively can be achieved. Due to the high absorption of neutrons in CO<sub>2</sub>, the incident and reflected beams passed through the Si substrate with a transmission of 0.90 relative to air. It is interesting to note that the background scattering from the CO<sub>2</sub> increases dramatically as the density increases at the phase boundary. Hence the supercritical transition point can be independently monitored with high accuracy.



**FIGURE 1.** Cross-sectional view of the supercritical CO<sub>2</sub> chamber for neutron reflectivity measurements.



In this study we have successfully performed NR measurements of deuterated polystyrene (d-PS) thin films in  $\text{CO}_2$  at  $P < 700$  bar (Fig. 2). The results show an anomalously large swelling maximum ( $\Delta V/V \gg 25\%$ ) which occurs only at the supercritical fluid/gas phase boundary. Atomic force microscopy confirmed the swelling is uniform throughout the films and does not produce large voids. Such a swelling maximum at the supercritical fluid/gas phase boundary has never been seen in bulk PS- $\text{CO}_2$  mixtures. In addition, an isobaric experiment at 79 bar showed that the same swelling behavior did not appear at the phase boundary between the liquid and supercritical fluid  $\text{CO}_2$  phases.

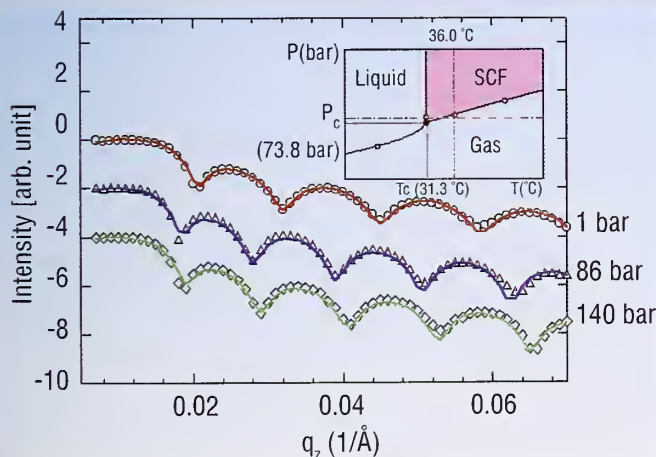
At this point we can only speculate that the anomalous swelling behavior may be related to the higher order nature of the gas/supercritical fluid transition that is accompanied by larger density fluctuations than the first-order liquid/supercritical fluid transition. Experimentally these fluctuations are clearly seen by the sharp increase in background scattering from the  $\text{CO}_2$  at the transition boundary. Adsorption of  $\text{CO}_2$  into the viscous polymer film may suppress these fluctuations thereby lowering the energy of the sys-

tem. This effect was further investigated by observing the swelling of the d-PS film as a function of temperature at  $P = 79$  bar (Fig. 3.)

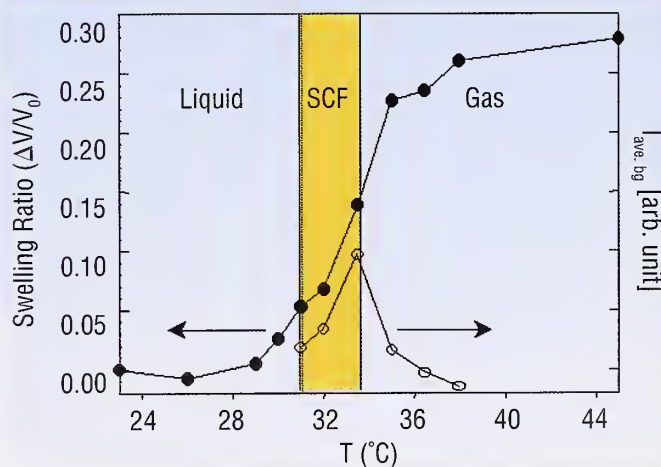
Recently we have expanded our research by measuring chain mobility in bilayer polymer films of d-PS and hydrogenated PS (h-PS). We have used secondary ion mass spectrometry and NR to measure the diffusion coefficient as a function of pressure, temperature and molecular weight. This work established that swelling at the phase boundary is accompanied by an increase in interdiffusion between the polymer layers and a large decrease in the PS glass transition temperature. These results show that  $\text{scCO}_2$  can potentially be used to process multi-component thin polymer films that may otherwise not be miscible. Potential applications are in the areas of graded index of refraction waveguides and lower dielectric constant films for use in microelectronic devices.

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**FIGURE 2.** Representative reflectivity data for the first pressurization process at  $T = 36^\circ\text{C}$ . The lines are the best-fit results of the model to the observed density profiles. Swelling is evident in the change of the period of the fringes. Inset: a schematic phase diagram of  $\text{CO}_2$  near critical point. The vertical line at  $36^\circ\text{C}$  in the inset is the isotherm along which data were taken at pressures of 1, 86, and 140 bar.

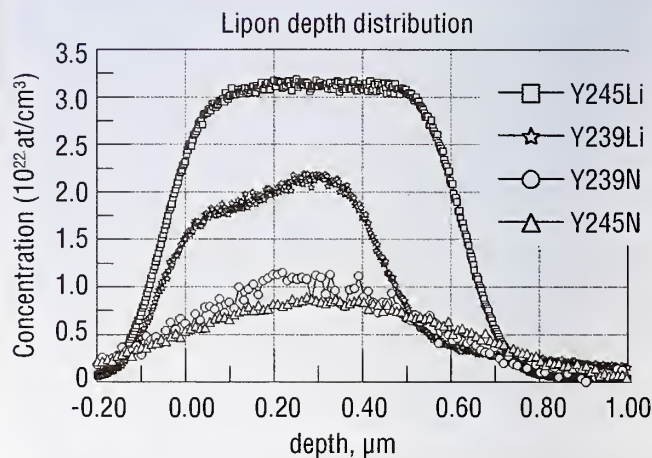


**FIGURE 3.** Temperature dependence of the swelling of polystyrene at  $P = 79$  bar (filled circles). Open circles show the averaged background intensity as a function of temperature.

# COLD NEUTRON DEPTH PROFILING OF LITHIUM BATTERY MATERIALS

**A**s part of a continuing collaboration between NIST and Tufts University, we have employed cold neutron depth profiling (NDP) to measure elemental concentrations versus depth for several lithium ion battery materials. One goal is to rationally design the thermo-mechanical properties of amorphous lithium phosphorous oxynitride (lipon, a solid ionic conductor), particularly the thermal stress (thermal expansion coefficient). A part of this study is to relate the resulting thermal stress to starting composition and temperature of evaporant, and of the composition and pressure of the background gas during deposition. We are also studying  $\text{LiCoO}_2$  films (a material that can be used as an electrode in batteries) to determine if the ion beam assisted deposition process used has the capability of controlling not only the degree of crystallinity and orientation of crystallites, but also of the Li/Co ratio.

The NIST cold neutron depth profiling instrument and technique have been described previously [1]. The technique of neutron depth profiling (NDP) permits the determination of depth profiles in thin films up to a few micrometers for several light elements. The most readily analyzed elements are lithium, nitrogen, and boron. We have previously reported measurement of lithium mobility in electrochromic devices [2]. The lithium depth profiles are based on the measurement of the energy of alpha particles and/or tritons from the  ${}^6\text{Li}(n,\alpha){}^3\text{H}$  reaction. Nitrogen depth profiles are based on the measurement of the energy of protons from the  ${}^{14}\text{N}(n,p){}^{14}\text{C}$  reaction. Samples are placed in a beam of cold neutrons, and the emerging particles are intercepted by surface barrier detectors that measure their number and energy. Comparing the emission intensity with that of a known standard leads to quantitative determination of the lithium and nitrogen concentrations. Moreover, the emitted charged particles lose energy as they exit the film; this energy loss provides a direct measurement of the depth of the originating lithium nucleus. A great advantage of the NDP technique is that it is non-destructive, which allows repeated observations of the concentrations under different conditions. When combined with other techniques, e.g., activation analysis, ratios to other constituents can be determined.



**FIGURE 1.** Depth profiles of lithium and nitrogen for two different lipon samples.

**Table I**

Sample	Li/N Ratio	Thickness, $\mu\text{m}$
Y243	4.46	0.7
Y232	4.39	1.5
Y239	1.63	0.4
Y245	3.1	0.6

Figure 1 gives an example of profiling results using the NDP technique. Shown here are profiles for two lipon samples manufactured under different conditions. The elemental concentrations in atoms/cm<sup>3</sup> are presented as a function of depth. Because the alpha particle loses energy at a greater rate than the proton, the resolution for the lithium profile is better than that for nitrogen. One observes that the sample Y245 has a much more uniform distribution of lithium than sample Y239. By integrating the areas under the curves, one obtains the total concentration of lithium and nitrogen, respectively, and therefore the ratio of the two in the sample. Table I gives measured lithium/nitrogen ratios thus obtained and the corresponding thicknesses of four lipon samples.



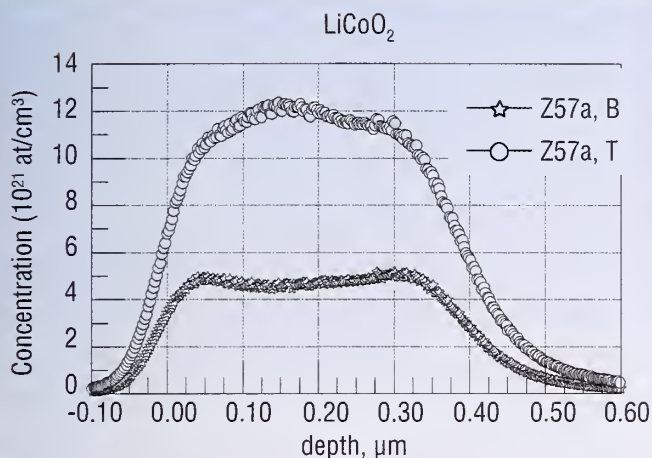


FIGURE 2. Typical lithium depth profiles of two  $\text{LiCoO}_2$  samples.

Table II

Sample	Lithium (at/cm <sup>2</sup> )	Cobalt (at/cm <sup>2</sup> )	Li/Co Ratio	Ratio Uncertainty (%)(1 $\sigma$ )
Z57a,T	$4.9 \times 10^{17}$	$7.0 \times 10^{17}$	.70	3.5
Z57a,B	$2.2 \times 10^{17}$	$7.0 \times 10^{17}$	.31	3.5
Z57b,T	$4.8 \times 10^{17}$	$7.0 \times 10^{17}$	.68	3.5
Z57b,B	$1.9 \times 10^{17}$	$7.0 \times 10^{17}$	.27	3.5
Z59	$9.4 \times 10^{17}$	$7.6 \times 10^{17}$	1.25	3.5

To obtain information on other isotopes that are not measurable by NDP, a combination of techniques is employed. In the following example the ratio of lithium to cobalt in two thin film  $\text{LiCoO}_2$  samples is determined. The lithium concentration is determined by NDP, as described above; and the cobalt concentration is determined by instrumental neutron activation analysis (INAA). Figure 2 gives lithium depth distributions from NDP measurement for two thin films of  $\text{LiCoO}_2$ . The integral under the curves gives the total amount of lithium in the film. After the depth distributions

were obtained, the samples were encapsulated in polyethylene "rabbits" for irradiation in the core of the NIST reactor. The total cobalt concentration was then determined by INAA in which the  $^{60}\text{Co}$  gamma decay intensity was measured and compared with a standard. Table II gives the lithium and cobalt atom area density obtained from NDP and INAA respectively, as well as the lithium/cobalt atom ratios for these and other samples. The INAA technique does not provide any depth information, so that the ratio values listed in the table are for the average over the entire depth.

To summarize, depth profiles of two different lithium ion battery materials have been measured. For the lipon sample, profiles were obtained for lithium and nitrogen as well as the total quantity of each of these elements in the film. To date, an insufficient number of samples have been measured to obtain a good correlation with the physical properties of the films. We are also investigating the possibilities of measuring Li/P ratios of both starting materials and resulting films by combining NDP with RNAA for phosphorous.

For the lithium cobalt oxide sample, the NDP technique was combined with INAA to determine the ratio of lithium to cobalt in the samples. Although further work is needed to better quantify the relative evaporation rates of lithium and cobalt, it has been demonstrated that the measured Li/Co ratio varies in direct proportion to the relative evaporation rates of lithium and cobalt, as anticipated. Furthermore, the results indicate that the Li/Co ratio can be controllably varied from being less than one to greater than one.

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# SERVING THE SCIENTIFIC AND TECHNOLOGICAL COMMUNITIES

**T**he role of the NCNR as a national user facility has expanded significantly over the past year, as the final few instruments envisioned in the original cold neutron project have become operational. The Disk-Chopper Spectrometer, the Filter-Analyzer Neutron Spectrometer, the High Flux Backscattering Spectrometer, and the Neutron Spin-Echo spectrometer now permit U.S. scientists to carry out neutron spectroscopy with greatly enhanced resolution and sensitivity. In addition the new thermal perfect-crystal diffractometer small angle neutron scattering instrument (USANS) has been commissioned this year, expanding the length scale available by this technique to  $10^4$  nm. User experiments show a steadily increasing diversification in subject area and technique, enabled by the new instruments. We anticipate that the trend will continue over the next few proposal cycles. (See the highlights on USANS, FANS, and DCS in this issue. The 1999 NCNR report featured a highlight on the NSE.)

User participation over the past 14 years shows continuing growth (see Fig. 1). The NCNR currently accommodates more than half of all neutron users in the United States. It has assumed greater importance to the neutron user community this year with

the announcement of the permanent shutdown of the High Flux Beam Reactor at Brookhaven National Laboratory. As the Spallation Neutron Source is being built at Oak Ridge, the NCNR continues to be the Nation's premier facility for providing neutrons to the U.S. research community.

## THE NCNR USER PROGRAM

Researchers may obtain use of NCNR neutron beam instruments in several ways, the most direct being through the formal proposal system. Approximately twice a year, a Call for Proposals is issued. After a thorough review process by external referees and by the NCNR Program Advisory Committee (PAC), approved proposals are allocated beam time. The PAC is a panel of distinguished scientists with expertise across a broad range of neutron methods and scientific disciplines. It is the body primarily responsible for proposal review and recommending user policies for the NCNR, working closely with the Center's Director and staff. Its current membership includes Sanat Kumar (Penn State University, chair), Robert M. Briber (University of Maryland), Michael K. Crawford (DuPont), Dieter K. Schneider (Brookhaven National Laboratory), Thomas P. Russell (University of Massachusetts), Sunil K. Sinha (Argonne National Laboratory), Laurence Passell (Brookhaven National Laboratory), and Gabrielle G. Long (NIST).

At the recent PAC meeting in May 2000, the PAC considered 71 proposals for SANS and reflectometry, in addition to 43 for inelastic neutron scattering. Although we expect that both categories will see increased user demand in future proposal rounds, the latter area is likely to see more growth, since the new inelastic scattering spectrometers will offer capabilities that in aggregate have not been available previously at U.S. neutron facilities.

## THE CENTER FOR HIGH RESOLUTION NEUTRON SCATTERING

Several NCNR instruments are supported by the National Science Foundation (NSF) through the Center for High Resolution Neutron Scattering (CHRNS), a very important component of the user program. The instruments include a 30 m SANS machine, the SPINS triple-axis spectrometer, and USANS. Approximately 40 % of the instrument time allocated by the PAC goes to experiments carried out on CHRNS instruments. In the near future, another SANS diffractometer, the 8 m machine on neutron guide NG-1, which is presently used primarily for NIST programmatic research, will be

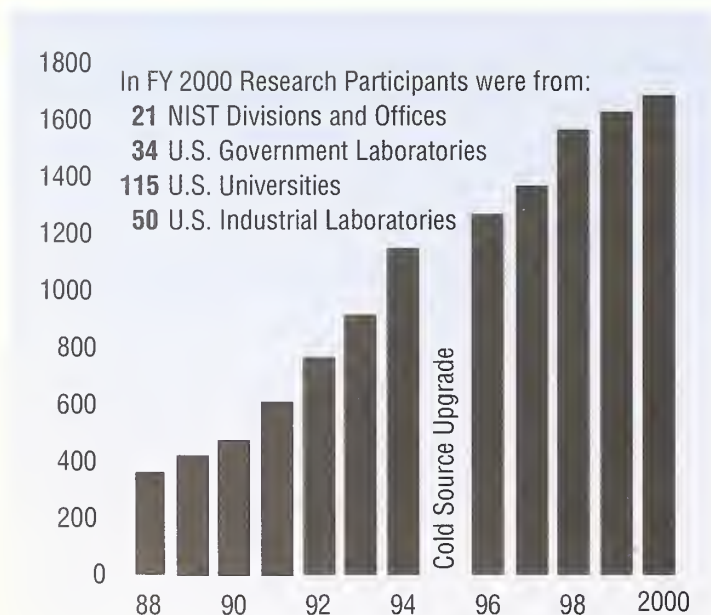


FIGURE 1: Research Participants at the NCNR.



upgraded to a more powerful 9 m instrument with a new detector, and made available to users. The NSF is currently reviewing a proposal to further expand the scope of CHRNS, so that it will encompass several of the newer cold neutron spectrometers. Including these instruments in the CHRNS project will provide maximal technical support and accessibility to the user community.

## SIXTH ANNUAL SUMMER SCHOOL

The NCNR held its annual Summer School on Neutron Scattering on June 5-9, 2000. The course this year focused on the complementary techniques of SANS and neutron reflectometry (NR) and was attended by a group of 32 graduate students and postdoctoral fellows, predominantly from university chemical engineering and materials science departments. By devoting an entire week to just two techniques, it was possible to cover both theoretical and practical aspects, as well as applications, in some depth. Sixteen NCNR staff members led the participants through lectures, demonstrations and hands-on experiments at the NCNR's two 30 m SANS instruments and two reflectometers. Included for the first time in this year's course were demonstrations of newly developed computational tools for planning and simulating SANS and NR experiments now accessible through the NCNR Web site.

The final day of the course consisted of parallel lecture sessions in the morning on applications of the two techniques drawn from recent research in polymer science, complex fluids, magnetism, and structural biology. The course closed with a session in which representatives from each team presented their experimental results to the whole class and staff, which prompted several lively discussions. Comments received throughout the week and on the course evaluation forms indicated that the course was successful in enabling the attendees to assess the applicability of neutron scattering to their own research interests. As in the past, this summer school was jointly sponsored with the National Science Foundation, which provided financial assistance to many of the university participants.



Photography by L. A. Shuman

**FIGURE 2: Participants gain hands-on experience in SANS measurements at the 6th annual summer school on neutron scattering, June 5-9, 2000**

## COLLABORATIONS

Direct collaborations on specific experiments remain a common way for users to pursue their ideas using NCNR facilities, accounting for approximately half of the number of instrument-days. The thermal-neutron triple-axis spectrometers are mainly scheduled in this way. Most of the time reserved for NIST on these and all other NCNR instruments is devoted to experiments that are collaborations with non-NIST users. Collaborative research involving external users and NIST scientists often produces results that could be not obtained otherwise.

Another mode of access to the NCNR is through Participating Research Teams (PRTs). In this case, groups of researchers from various institutions join forces to build and operate an instrument. Typically, 50 % to 75 % of the time on the instrument is then reserved for the PRT, and the remaining time is allocated to general user proposals. For example, a PRT involving ExxonMobil, the University of Minnesota, and NIST cooperates on the NG-7 30 m SANS instrument. Similar arrangements involving other PRTs apply for the horizontal-sample reflectometer, the high-resolution powder diffractometer, the filter-analyzer spectrometer, and the neutron spin-echo spectrometer.

## INDEPENDENT PROGRAMS

There are a number of programs of long standing located at the NCNR that involve other parts of NIST, universities, industrial laboratories, or other government agencies.

The **Polymers Division** of the Materials Science and Engineering Laboratory has two major program elements at the NCNR. In the first, the purpose is to help the U.S. microelectronics industry in addressing their most pressing materials measurement and standards issues. In today's ICs and packages the feature size on a chip is ever shrinking, approaching 250 nm, while the size of a polymer molecule is typically 5 nm to 10 nm. As feature size shrinks, the structure and properties of interfaces play an increasingly important role in controlling the properties of the polymer layers used in interconnects and packages. NIST scientists use both neutron reflectivity and other neutron scattering methods to characterize polymer/metal interfaces with regard to local chain mobility, moisture absorption, glass transition temperature, and crystalline structure.

In the second program element, the objective is to understand underlying principles of phase behavior and phase separation kinetics of polymer blends, both in the bulk and on surfaces, in order to help control morphology and structure during processing. SANS and reflectivity measurements in equilibrium, in transient conditions, and under external fields, provide essential information for general understanding as well as for specific application of polymer blend/alloy systems. Customers include material producers and users, ranging from chemical, rubber, tire, and automotive companies, to small molding and compounding companies. The focus of research on polymeric materials includes commodity, engineering and specialty plastic resins, elastomers, coatings, adhesives, films, foams, and fibers.

The **ExxonMobil Research and Engineering Company** is a member of the Participating Research Team (PRT) that operates, maintains, and conducts research at the NG-7 30 m SANS instrument and the recently commissioned NG-5 Neutron Spin Echo Spectrometer. The mission is to use those instruments, as well as other neutron scattering techniques, in activities that complement research at ExxonMobil's main laboratories as well as at its affiliates' laboratories around the world. The aim of these activities is to deepen understanding of the nature of ExxonMobil's products and processes, so as to improve customer service and to improve



**FIGURE 3:** PAC substitute Bill Hamilton (ORNL), members Larry Passell (BNL) and Dieter Schneider (BNL), and PAC Chair, Sanat Kumar (Penn State U.) share a lighter moment while considering proposals for beam time at the NCNR.

the return on shareholders' investment. Accordingly, and taking full advantage of the unique properties of neutrons, most of the experiments use SANS or other neutron techniques to study the structure and dynamics of hydrocarbon materials, especially in the fields of polymers, complex fluids, and petroleum mixtures. ExxonMobil regards its participation in the NCNR and collaborations with NIST and other PRT members not only as an excellent investment for the company, but also as a good way to contribute to the scientific health of the Nation.

The **Nuclear Methods Group** (Analytical Chemistry Division, Chemical Science and Technology Laboratory) has as its principal goals the development and application of nuclear analytical techniques for the determination of elemental compositions with greater accuracy, higher sensitivity and better selectivity. A high level of competence has been developed in both instrumental and radiochemical neutron activation analysis (INAA and RNAA). In addition, the group has pioneered the use of cold neutron beams as analytical probes with both prompt gamma activation analysis (PGAA) and neutron depth profiling (NDP). PGAA measures the total amount of a particular analyte present throughout a sample by the analysis of the prompt gamma-rays emitted during neutron capture. NDP, on the other hand, determines concentrations of several important elements (isotopes) as a function of depth within the



first few micrometers of a surface by energy analysis of the prompt charged-particles emitted during neutron bombardment. These techniques (INAA, RNAA, PGAA, and NDP) provide a powerful combination of complementary tools to address a wide variety of analytical problems of great importance in science and technology, and are used to help certify a large number of NIST Standard Reference Materials.

During the past several years, a large part of the Group's efforts has been directed towards the exploitation of the analytical applications of the guided cold-neutron beams available at the NIST Center for Neutron Research. The Group's involvement has been to design and construct state-of-the-art cold neutron instruments for both PGAA and NDP and provide facilities and measurements for outside users, while retaining and utilizing our existing expertise in INAA and RNAA.

The **Center for Food Safety and Applied Nutrition**, U.S. Food and Drug Administration (FDA), directs and maintains a neutron activation analysis (NAA) facility at the NCNR. This facility provides agency-wide analytical support for special investigations and applications research, complementing other analytical techniques used at FDA with instrumental, neutron-capture prompt-gamma, and radiochemical NAA procedures, radioisotope x-ray fluorescence spectrometry (RXRFS), and low-level gamma-ray detection. This combination of analytical techniques enables diverse multi-element and radiological information to be obtained for foods and related materials. The NAA facility supports agency quality assurance programs by developing in-house reference materials, by characterizing food-related reference materials with NIST and other agencies, and by verifying analyses for FDA's Total Diet Study Program. Other studies include the development of RXRFS methods for screening foodware for the presence of Pb, Cd, and other potentially toxic elements, use of instrumental NAA to investigate bromate residues in bread products, and use of prompt-gamma NAA to investigate boron nutrition and its relation to bone strength.

The **Neutron Interactions and Dosimetry Group (Physics Laboratory)** provides measurement services, standards, and fundamental research in support of NIST's mission as it relates to neutron technology and neutron physics. The national and industrial interests served include scientific instrument calibration, electric power production, radiation protection, defense nuclear energy systems, radiation therapy, neutron radiography, and magnetic resonance imaging.

The Group's activities may be represented as three major activities. The first is Fundamental Neutron Physics including magnetic trapping of ultracold neutrons, operation of a neutron interferometry and optics facility, development of neutron spin filters based on laser polarization of  $^3\text{He}$ , measurement of the beta decay lifetime of the neutron, and investigations of other coupling constants and symmetries of the weak interaction. This project involves a large number of collaborators from universities and national laboratories.

The second is Standard Neutron Fields and Applications utilizing both thermal and fast neutron fields for materials dosimetry in nuclear reactor applications and for personnel dosimetry in radiation protection. These neutron fields include thermal neutron beams, "white" and monochromatic cold neutron beams, a thermal-neutron-induced  $^{235}\text{U}$  fission neutron field, and  $^{252}\text{Cf}$  fission neutron fields, both moderated and unmoderated.

The third is Neutron Cross Section Standards including experimental advancement of the accuracy of neutron cross section standards, as well as evaluation, compilation, and dissemination of these standards.

Several universities have also established long term programs at the NCNR. The **University of Maryland** is heavily involved in the use of the NCNR, and maintains several researchers at the facility. **Johns Hopkins University** participates in research programs in solid-state physics and in instrument development at the NCNR. The **University of Pennsylvania** is working to help develop biological applications of neutron scattering. It is also participating in the second stage construction of the filter analyzer neutron spectrometer, along with the University of California at Santa Barbara, DuPont, Hughes, and Allied Signal. The **University of Minnesota** participates in two PRTs, the NG-7 30 m SANS and the NG-7 reflectometer. The **University of Massachusetts** also participates in the latter PRT.

# REACTOR OPERATION AND ENGINEERING

**T**he reactor operated for 198 full power (20 MW) days during the past year or 54 % of real time that is equivalent to 77 % of the maximum available operating time. Routinely, the reactor is scheduled to operate on a seven week cycle, seven times a year. Each operating cycle includes 38 days on-line and 11 days shut-down for refueling, routine maintenance, and surveillance tests. This year, several major tasks became due and required additional shut-down time. Included among these are two shipments of spent fuel; replacement of the shim arm assemblies which required removal of the entire core and took only one month, half of that previously; and major modification to the refueling system projected to take two months which was completed in five weeks. In addition, corrective maintenance of the thermal shield and the thermal column cooling systems, refurbishing of the existing cooling tower to assure uninterrupted operation for at least two more years, and finally the biennial retraining, re-examination and requalification of all licensed operations personnel were conducted.

The major engineering effort the past year was the design and specification of a completely new plume-abatement cooling tower to be installed adjacent to the existing one. (Figure 1 compares examples of abated and non-abated cooling towers.) As well as being a larger capacity, more effective and more efficient system, the new tower eliminates vapor plumes down to -12 °C ambient. Construction of the new tower basin began in late September 2000 and should be completed before the end of the year. Fabrication of the tower is underway and scheduled for completion in the spring of 2001 to be followed by on-site installation, expected to take approximately four months. No reactor shutdown will be required during this period. The reactor will have to be shut down only for final hookup of electrical, controls, and piping connections and for acceptance and performance testing.



**FIGURE 1. No discernible cloud is emitted by the plume-abated cooling tower shown on the left compared to the plumes emerging from the non-abated towers on the right.**

Preparations for reactor re-licensing in 2004 for an additional 20 years are proceeding. They include preparation of an updated safety analysis report including seismic evaluation, an environmental report and impact statement, technical specifications and bases, operator requalification program and emergency and security plans. In-service inspections of reactor internals and ultrasonic testing of the primary cooling system plus upgrade of older systems and components will be needed in support of the application for license renewal. Many of the upgrades have already been completed or are in progress. Among the major upgrades planned over the next few years are complete replacement of the nuclear instrumentation panel and associated safety and control systems, complete replacement of the electrical power systems and associated switch gear and replacement and upgrade of the reactor emergency power supply systems.



# INSTRUMENTATION DEVELOPMENTS

## A LOW BACKGROUND DOUBLE FOCUSING NEUTRON MONOCHROMATOR

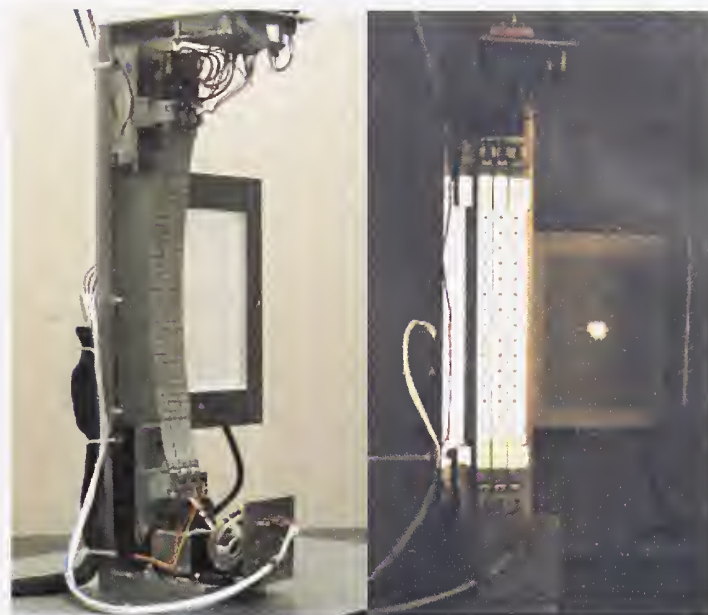
Work continues on the development of a low background double focusing monochromator which was described in the 1999 NCNR report. The actively controlled double focusing monochromator consists of an array of 315 pyrolytic graphite crystals mounted on 21 thin aluminum blades (see Fig. 1). When buckled, each variable thickness blade conforms in shape to an arc of constant radius providing active vertical focus control. Horizontal focus is accomplished by independently controlling the rotation of each blade.

The design and choice of materials for the system reduces scattering from the supporting structure, a problem common to traditional lead-screw and lever controlled monochromators. Structural material in the beam is limited to the 21 blades and three thin walled aluminum posts. The 315 crystals are accurately suspended with only 630 g of structural material in the beam's direct line of sight.

An engineering mock-up of the focusing system was constructed (see Fig. 2a). This three-blade version of the full-scale 21-blade unit was used to study blade performance, develop control software, quantify horizontal and vertical focus performance, and test mechanical and electrical system components. Figure 2b shows an optical test of vertical focus performance using the mock-up. The



**FIGURE 1.** Rendered image of the low background doubly focusing monochromator.



**FIGURE 2.** Three blade mockup of the double focusing monochromator focusing system. (a) Blades are shown buckled to an arc of a 1 m radius circle. (b) Vertical focusing is optically verified by focusing a white point source onto a screen.

three blades are covered with reflective mirrors and illuminated with a white point source. The reflected image is focused onto a screen. Imaging tests such as this, as well as mechanical measurements, have verified that errors in blade shape are negligible compared to contributions due to crystal mosaic over the focal range of interest. Similar optical tests have been used to verify the horizontal focus performance.

The full-scale unit is currently under construction. When completed, the 1300 cm<sup>2</sup> monochromator will be the heart of the new cold neutron spectrometer under development at the NCNR. It is expected to provide an intense monochromatic neutron flux with  $0.1 < \Delta E < 0.5$  meV and  $\Delta Q \approx 0.1 \text{ \AA}^{-1}$  yielding a peak flux of order  $1.0 \times 10^8 \text{ n/cm}^2/\text{s}$ , higher than any currently available worldwide. This new instrument will be ideal for studying materials with excitations having a low characteristic velocity. The enhanced sensitivity will enable inelastic neutron scattering studies of smaller sample size and will provide dynamic information of unprecedented detail when large samples are available.

## THE BT-7 THERMAL TRIPLE AXIS ANALYZER/DETECTOR SYSTEM

As part of the modernization of the thermal neutron spectrometers a new triple-axis instrument is being designed for the BT-7 thermal beam port. For the analyzer portion of this machine several different types of systems have been proposed. One type is a horizontally focused pyrolytic graphite analyzer system shown in Fig. 3. The analyzer crystal system consists of 13 pyrolytic graphite blades, each 2 cm wide and 15 cm high, with either an individual detector for each of the 13 blades, or a position-sensitive detector using all the blades at once.

This is the modern equivalent of our present analyzer systems, and is expected to be the workhorse for the new thermal triple axis instruments. The blades of the analyzer can be freely rotated by 360 degrees and individually positioned, while the entire unit can be rotated as a whole to achieve the desired focusing condition. Each blade can then be matched with a detector that is capable of being positioned individually by a stepper motor on a circular track around the analyzers. A straight-through beam monitor is incorporated into the shielding behind the analyzer crystals to continuously monitor the flux of neutrons entering the analyzer system. A separate diffraction detector is also provided, which can be moved in front of the analyzer if the energy-integrated signal is to be measured.

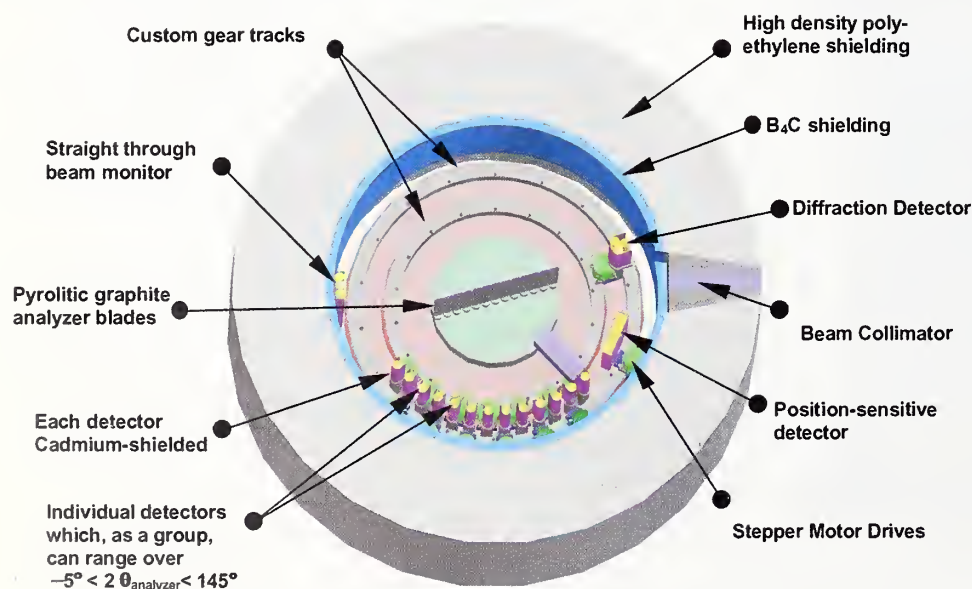


FIGURE 3. Horizontally focused pyrolytic graphite analyzer system for the BT-7 thermal triple-axis spectrometer.

The general design philosophy is to make the instrument as user friendly as possible while still meeting all the desired operating criteria. These include a built-in magnetic guide field for polarized beam operation; and various beam defining systems such as collimators, beam apertures, spin flippers, and filters. Ease of exchanging beam collimators before and after the analyzer crystals is an important design feature that presents an engineering challenge. Extracting the wiring from all the moving detectors and motors inside the system will also be a technical challenge.

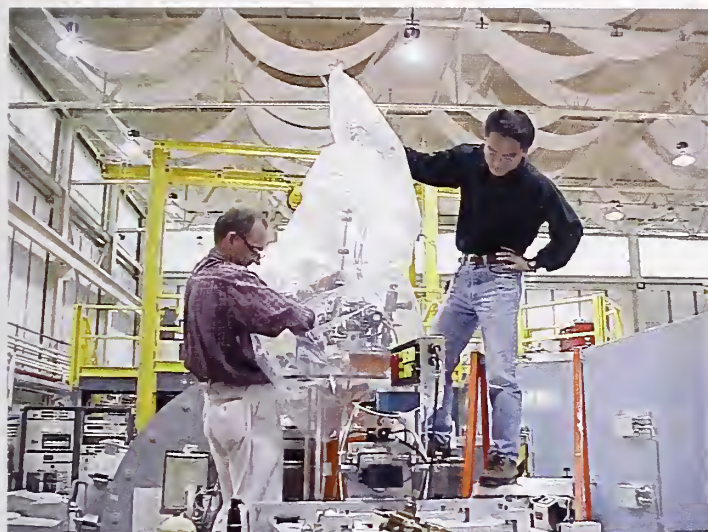
A second type of analyzer system will consist of a series of up to 30 individual and isolated analyzer/detector systems. Other analyzer options, to be developed in the future, include incorporating a velocity selector into the analyzer system, and developing a "conventional" double-focusing analyzer with a single, well-shielded detector.

## THERMAL NEUTRON PROMPT GAMMA-RAY ACTIVATION ANALYSIS (PGAA) FACILITY AT VT-5.

The vertical beam tube VT-5 thermal neutron PGAA facility is being upgraded through a collaboration of members of the NCNR, the Nuclear Methods Group, and the U.S. Food and Drug Administration. The current facility consists of an internal neutron-collimating beam tube and shutter assembly, an external beam tube, sample chamber, a beam stop, and a gamma-ray detection system.

All components except the internal beam tube and shutter assembly will be replaced and a sapphire filter installed in the shutter assembly. The new components will be designed to reduce background count rates and improve detection limits. The external components will be constructed as a single unit to simplify removal and re-assembly of the instrument to make room for reactor refueling.





**FIGURE 4.** Andrzej Rajca (left, University of Nebraska) and NCNR's Sung-Min Choi load a sample under special conditions. For this SANS experiment, the sample had to be kept below 170 K from storage in liquid nitrogen to top-loading into the pre-cooled cryostat. The transfer was performed in a helium atmosphere using a glove bag in order to prevent water condensation on the sample cell surface.

A cylindrical sapphire filter 5.3 cm long (4.3 cm diameter) was added to the shutter. This modification reduced the number of fast neutrons by a factor of five and greatly reduced the low-energy gamma-ray background. The gamma-ray background measured at the perimeter of the apparatus has decreased by a factor of two and the peak-to-background ratios for gamma-ray energies below 500 keV increased by a factor of two to three.

The design of the new system is complete. The steel component of the old beam stop will be replaced by aluminum to eliminate iron capture gamma-ray background. The external beam tube will be evacuated to minimize neutron scattering and capture in air and will be constructed from aluminum tubing lined internally with lithoflex to eliminate the background for boron determinations. The detection system will consist of a 40 % (relative efficiency) germanium detector with a bismuth germanate Compton suppression system. The new system will decrease analysis times and improve detection for most elements determined.

## SAMPLE ENVIRONMENT TEAM

Fiscal year 2000 marked the creation of a new Sample Environment Team, dedicated to providing a central resource for the sample environment needs of neutron researchers. This team provides a single contact point to resolve any issue related to the sample condi-

tions during an experiment, such as temperature, pressure, magnetic field, electric field, or *in situ* measurements. The scope of this team includes sample preparation and mounting, equipment scheduling, special equipment configurations, operational procedures, provision of necessary resources, sample storage, and equipment repair. Figure 4 shows researchers loading a sample. In this case a difficult SANS experiment was made possible by the team working with the researchers to choose the appropriate sample environment equipment and operating procedures.

The most visible change during FY2000 is in the high-bay sample preparation area at the NCNR. This area was extensively remodeled to provide more workspace, greater ease of use, more convenient preparation of cryogenic equipment, and better access to sample storage. Additionally, extensive documentation is now available for the most widely used sample environment equipment, the closed-cycle helium refrigerators. This documentation details individually-measured operating characteristics, sample mounting information, temperature sensor data, and operating guidelines. Significant new equipment acquired for the user community in FY2000 is listed in Table 1.

**TABLE 1.** Significant sample environment purchases during FY2000.

100 mm access top-loading helium cryostat	1.5 K to 300 K, dedicated to time-of-flight spectrometer
70 mm access top-loading helium cryostat	1.5 K to 300 K, general use
Seven additional sample probes for 50 mm, 70 mm, and 100 mm access top-loading helium cryostats	Provide for faster sample changes and specialized needs such as gas handling
Closed-cycle helium refrigerator	10 K to 350 K, dedicated to backscattering spectrometer
Closed-cycle helium refrigerator	10 K to 350 K, general use
Electromagnet	0-0.7 Tesla, dedicated to vertical reflectometer
Thirty indium-sealing sample cans	Mounting of powder samples and single crystal samples
Six temperature controllers	Multiple sensor capability, PID control
Nine turbopumps	10 <sup>-7</sup> mbar base pressure
Two large rotary vane pumps	10 <sup>-4</sup> mbar base pressure

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H. H. Chen-Mayer<sup>106</sup>, G. P. Lamaze<sup>106</sup>, L. Pilione<sup>137</sup>, and B. Weiss<sup>212</sup>

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### Quality Assurance Programs

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### Reactor Characterization for NAA

D. A. Becker<sup>106</sup>

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