

public might differ from actual performance.²⁷ Specifically, Partial Amendment No. 1 would modify proposed FINRA Rule 2210(d)(1)(F)(iv)(c) to delete the following clauses: (1) “including whether the projected performance or targeted return is net of anticipated fees and expenses”, and (2) “including reasons why the projected performance or targeted return might differ from actual performance.”²⁸ FINRA stated that FINRA Rule 2210’s general content standards, together with the remaining proposed disclosure requirements that are specific to projections of performance and targeted returns, would help ensure that investors receive information to consider the risks and limitations of relying on such performance in determining how to invest.²⁹

III. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change, as amended by Partial Amendment No. 1, is consistent with the Act. Comments may be submitted by any of the following methods:

Electronic Comments

- Use the Commission’s internet comment form (<https://www.sec.gov/rules/sro.shtml>); or
- Send an email to rule-comments@sec.gov. Please include file number SR–FINRA–2026–004 on the subject line.

Paper Comments

- Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090.

All submissions should refer to file number SR–FINRA–2026–004. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission’s internet website (<https://www.sec.gov/rules/sro.shtml>). Copies of the filing will be available for inspection and copying at the principal office of FINRA. Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR–FINRA–2026–004 and should be submitted on or before July 28, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.³⁰

Sherry R. Haywood,

Assistant Secretary.

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SMALL BUSINESS ADMINISTRATION

SBIC License Issuance

AGENCY: U.S. Small Business Administration.

ACTION: Notice of Small Business Investment Company (SBIC) licenses.

SUMMARY: Pursuant to the authority granted to the United States Small Business Administration under section 301(c) of the Small Business Investment Act of 1958, as amended, to grant Small Business Investment Company licenses under the Small Business Investment Company Program, this notice satisfies the requirement effective August 17, 2023 under 13 CFR 107.501(a) to publish in the **Federal Register** the names of SBICs with date of licensure and Total Intended Leverage Commitments. The following SBICs received SBIC licenses as of the date indicated below:

SBIC fund name	Date of licensure	Leverage tiers ¹
Acequia Capital Origin SBIC, L.P	5/4/2026	1.25x.
Pelican Energy Partners Base Zero SBIC, L.P	5/12/2026	Non-Leveraged.
RSCF II SBIC, L.P	5/26/2026	2.00x.
Savory Fund III Financial Institutions Fund, L.P	5/27/2026	Non-Leveraged.
BSCP SBIC III, L.P	5/29/2026	2.00x.
New Canaan Funding Mezzanine VIII SBIC, L.P	6/9/2026	2.00x.
Navigate Capital Partners SBIC Fund II, L.P	6/11/2026	2.00x.
Aldine Capital Fund V, L.P	6/11/2026	2.00x.
Bayview Capital Partners V, L.P	6/15/2026	1.00x.

¹ Maximum amount of Leverage expressed as a multiple of Leverageable Capital pursuant to 13 CFR 107.1150.

Paul Van Eyl,

Director of Policy, Office of Investment and Innovation, U.S. Small Business Administration.

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DEPARTMENT OF STATE

[Public Notice 13053]

30-Day Notice of Proposed Information Collection: Brokering Approval (License)

ACTION: Notice of request for public comment and submission to OMB of proposed collection of information.

SUMMARY: The Department of State has submitted the information collection described below to the Office of Management and Budget (OMB) for

approval. In accordance with the Paperwork Reduction Act of 1995 we are requesting comments on this collection from all interested individuals and organizations. The purpose of this Notice is to allow 30 days for public comment.

DATES: Submit comments up to August 6, 2026.

ADDRESSES: Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to www.reginfo.gov/public/do/

²⁷ See proposed FINRA Rule 2210(d)(1)(F)(iv)(c) as proposed in the Initial Rule Filing.

²⁸ See proposed FINRA Rule 2210(d)(1)(F)(iv) as proposed in Partial Amendment No. 1.

²⁹ FINRA Response Letter at 15.

³⁰ 17 CFR 200.30–3(a)(12).