

manipulative acts and practices, to remove impediments to and perfect the mechanism of a free and open market, and, in general, to protect investors and the public interest.

The Exchange proposes to amend Exchange Rule 5.3–O(g)(x) to permit the Exchange to list options on shares of a Commodity-Based Trust that holds multiple crypto assets, provided that the Commodity-Based Trust meets certain requirements, as described above. The proposal will allow the Exchange to list options on shares of these Commodity-Based Trusts without further approval from the Commission, thereby permitting the Exchange to list these options soon after the Exchange lists the underlying Commodity-Based Trust shares. Permitting the listing and trading of these options on the Exchange will provide investors with an additional vehicle for gaining and hedging exposure to the underlying Commodity-Based Trust shares. The Commission recently approved a Nasdaq ISE, LLC proposal to establish listing standards for options on shares of Commodity-Based Trusts that hold multiple crypto assets.<sup>25</sup>

Options on shares of Commodity-Based Trusts that hold multiple crypto assets will be subject to the same initial and continued listing requirements for options on Commodity-Based Trusts that hold a single crypto asset except that each crypto asset that a Commodity-Based Trust holds must (A) have an average daily market value of at least \$700 million over the last 12 months; and (B) underlie a derivatives contract that trades on a market with which the Exchange has a comprehensive surveillance sharing agreement, whether directly or through common membership in ISG. The requirements in proposed Exchange Rule 5.3–O(g)(3) are designed to help ensure that each of the crypto assets that a Commodity-Based Trust holds is sufficiently liquid that the creation and redemption process for shares of the Commodity-Based Trust will operate without disruption and that Commodity-Based Trust shares will be available to options market makers and other market participants that may use Commodity-Based Trust shares to hedge their positions. The Exchange will consider suspending opening transactions in Commodity-Based Trust share options if the requirements in proposed Exchange Rule 5.3–O(g)(3) are no longer satisfied.<sup>26</sup>

The Exchange represents that the same surveillance procedures applicable to ETF options currently listed and traded on the Exchange will apply to the trading of options on Commodity-Based Trust shares.<sup>27</sup> The Exchange states that its existing surveillance and reporting safeguards are designed to deter and detect possible manipulative behavior that might arise from listing and trading options on ETFs, including the listing of options on Commodity-Based Trust shares.<sup>28</sup> As discussed above, each crypto asset held by a Commodity-Based Trust must underlie a derivatives contract that trades on a market with which the Exchange has a comprehensive surveillance sharing agreement, whether directly or through common membership in ISG.<sup>29</sup> This requirement, in addition to the Exchange's existing surveillance procedures, should assist the Exchange in investigating suspected manipulations or other trading abuses in Commodity-Based Trust share options.

#### IV. Conclusion

*It is therefore ordered*, pursuant to Section 19(b)(2) of the Act,<sup>30</sup> that the proposed rule change (SR–NYSEARCA–2026–17) is approved.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>31</sup>

**Sherry R. Haywood,**

*Assistant Secretary.*

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## DEPARTMENT OF STATE

[Public Notice 12977]

### 60-Day Notice of Proposed Information Collection: Foreign Assistance Requirements

**ACTION:** Notice of request for public comment.

**SUMMARY:** The Department of State is seeking Office of Management and Budget (OMB) approval for the information collection described below. In accordance with the Paperwork Reduction Act of 1995, we are requesting comments on this collection from all interested individuals and organizations. The purpose of this notice is to allow 60 days for public comment preceding submission of the collection to OMB.

<sup>27</sup> See Notice, 91 FR at 8039.

<sup>28</sup> See *id.*

<sup>29</sup> See proposed Exchange Rule 5.3–O(g)(3).

<sup>30</sup> 15 U.S.C. 78s(b)(2).

<sup>31</sup> 17 CFR 200.30–3(a)(12).

**DATES:** The Department will accept comments from the public up to June 5, 2026.

**ADDRESSES:** You may submit comments by any of the following methods:

- *Web:* Persons with access to the internet may comment on this notice by going to [www.Regulations.gov](http://www.Regulations.gov). You can search for the document by entering “Docket Number: DOS–2026–0364” in the Search field. Then click the “Comment Now” button and complete the comment form.

- *Email:* [PHFFA\\_Comments@state.gov](mailto:PHFFA_Comments@state.gov).

You must include the information collection title (Foreign Assistance Requirements).

#### FOR FURTHER INFORMATION CONTACT:

Direct requests for additional information regarding the collection listed in this notice, including requests for copies of supporting documents, to [PHFFA\\_Comments@state.gov](mailto:PHFFA_Comments@state.gov).

#### SUPPLEMENTARY INFORMATION:

- *Title of Information Collection:* Foreign Assistance Recordkeeping Requirements.
- *OMB Control Number:* None.
- *Type of Request:* New Collection.
- *Originating Office:* Department of State, Office of Foreign Assistance Oversight.
- *Form Number:* No form.
- *Respondents:* Foreign non-governmental organizations (NGOs), U.S. NGOs, and international organizations.
- *Estimated Number of Respondents:* 2,500.
- *Estimated Number of Responses:* 2,500.
- *Average Time per Response:* 240 hours.
- *Total Estimated Burden Time:* 600,000 hours.
- *Frequency:* On occasion.
- *Obligation to Respond:* Mandatory.

We are soliciting public comments to permit the Department to:

- Evaluate whether the proposed information collection is necessary for the proper functions of the Department.
- Evaluate the accuracy of our estimate of the time and cost burden for this proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.

- Minimize the reporting burden on those who are to respond, including the use of automated collection techniques or other forms of information technology.

Please note that comments submitted in response to this Notice are public

<sup>25</sup> See Securities Exchange Act Release No. 105072 (Mar. 24, 2026), 91 FR 14894 (Mar. 27, 2026).

<sup>26</sup> See proposed Exchange Rule 5.4–O(k)(3).

record. Before including any detailed personal information, you should be aware that your comments as submitted, including your personal information, will be available for public review.

### Abstract of Proposed Collection

This information collection relates to three final rules published on January 27, 2026. 91 FR 3319, 91 FR 3332, and 91 FR 3345. These rules implement the President's Protecting Human Flourishing in Foreign Assistance (PHFFA) policy, by requiring recipients of U.S. foreign assistance funds to agree to certain terms and conditions. In summary, foreign NGOs, U.S. NGOs, and international organizations are required to comply with the policy (foreign governments and parastatals may also be required to comply with the policies, but those entities are not "persons" under the Paperwork Reduction Act, thus not covered by this notice) and agree that during the period of the award they will segregate records so that the Department can verify that they are not violating the terms of the foreign assistance grants that were provided to them. Full details are included in the three final rules.

### Methodology

There is no form involved in this information collection. The final rules require recipients of U.S. foreign assistance funds to maintain records and make business records available upon request, which is a requirement governed by the Paperwork Reduction Act. Specifically, recipients of foreign assistance must maintain separate records for activities performed that are funded by federal grants, apart from activities funded from other sources, and must make the records relating to the former activities available for inspection upon request. Foreign assistance grantees must ensure that all award terms flow down to any subrecipients of such assistance, as applicable.

### Ryan E. Shrum,

Chief of Staff, Office of the Under Secretary for Foreign Assistance, Humanitarian Affairs, and Religious Freedom, Department of State.

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## DEPARTMENT OF STATE

### [Public Notice 12984]

### 60-Day Notice of Proposed Information Collection: Brokering Prior Approval (License)

**ACTION:** Notice of request for public comment.

**SUMMARY:** The Department of State is seeking Office of Management and Budget (OMB) approval for the information collection described below. In accordance with the Paperwork Reduction Act of 1995, we are requesting comments on this collection from all interested individuals and organizations. The purpose of this notice is to allow 60 days for public comment preceding submission of the collection to OMB.

**DATES:** The Department will accept comments from the public up to June 5, 2026.

**ADDRESSES:** You may submit comments by any of the following methods:

- *Web:* Persons with access to the internet may comment on this notice by going to [www.Regulations.gov](http://www.Regulations.gov). You can search for the document by entering "Docket Number: DOS-2026-0398" in the Search field. Then click the "Comment Now" button and complete the comment form.

- *Email:* [DDTCPublicComments@state.gov](mailto:DDTCPublicComments@state.gov).

- *Regular Mail:* Send written comments to: Directorate of Defense Trade Controls, Attn: Andrea Battista, 2401 E St. NW, Suite H-1205, Washington, DC 20522-0112.

You must include the DS form number (if applicable), information collection title, and the OMB control number in any correspondence.

### FOR FURTHER INFORMATION CONTACT:

Direct requests for additional information regarding the collection listed in this notice, including requests for copies of the proposed collection instrument and supporting documents, to Andrea Battista, who may be reached at [BattistaAL@state.gov](mailto:BattistaAL@state.gov) or 202-992-0973.

### SUPPLEMENTARY INFORMATION:

- *Title of Information Collection:*

Brokering Prior Approval.

- *OMB Control Number:* 1405-0142.

- *Type of Request:* Extension of a Currently Approved Collection.

- *Originating Office:* Directorate of Defense Trade Controls (DDTC).

- *Form Number:* DS-4294.

- *Respondents:* Respondents are U.S. and foreign persons who wish to engage in International Traffic in Arms Regulations (ITAR)-controlled brokering of defense articles and defense services.

- *Estimated Number of Respondents:* 170.

- *Estimated Number of Responses:* 170.

- *Average Time per Response:* 2 hours.

- *Total Estimated Burden Time:* 340 hours.

- *Frequency:* On Occasion.

- *Obligation to Respond:* Required to Obtain Benefit.

We are soliciting public comments to permit the Department to:

- Evaluate whether the proposed information collection is necessary for the proper functions of the Department.

- Evaluate the accuracy of our estimate of the time and cost burden for this proposed collection, including the validity of the methodology and assumptions used.

- Enhance the quality, utility, and clarity of the information to be collected.

- Minimize the reporting burden on those who are to respond, including the use of automated collection techniques or other forms of information technology.

Please note that comments submitted in response to this Notice are public record. Before including any detailed personal information, you should be aware that your comments as submitted, including your personal information, will be available for public review.

### Abstract of Proposed Collection

In accordance with part 129 of the International Traffic in Arms Regulations (ITAR), U.S. and foreign persons who wish to engage in ITAR-controlled brokering activity of defense articles and defense services must first register with DDTC. Brokers must then submit a written request for approval to DDTC and must receive DDTC's consent prior to engaging in such activities unless exempted. This information is currently used in the review of the brokering request submitted for approval and to ensure compliance with defense trade statutes and regulations. It is also used to monitor and control the transfer of sensitive U.S. technology.

### Methodology

Applicants may submit a Brokering Prior Approval Request electronically via DDTC's Defense Export Control and Compliance System (DECCS), using the DS-4294.

### Michael J. Vaccaro,

Deputy Assistant Secretary for Defense Trade Controls, U.S. Department of State.

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