§ 165.T11–136 Safety Zone; Coast Guard Island, Alameda, CA.

(a) Location. The following area is a safety zone: All waters of the Alameda Estuary, from surface to bottom, within 250 feet of the pier along the southwest side of Coast Guard Island.

(b) Definitions. As used in this section, “designated representative” means a Coast Guard Patrol Commander, including a Coast Guard Coxswain, petty officer, or other officer operating a Coast Guard vessel, or a Federal, State, or local officer designated by or assisting the Captain of the Port (COTP) San Francisco in the enforcement of the safety zone.

(c) Regulations. (1) Under the general safety zone regulations in subpart C of this part, you may not enter the safety zone described in paragraph (a) of this section unless authorized by the COTP or the COTP’s designated representative.

(2) Vessel operators desiring to enter or operate within the safety zone must contact the COTP or the COTP’s designated representative to obtain permission to do so. Vessel operators given permission to enter in the safety zone must comply with all lawful orders or directions given to them by the COTP or the COTP’s designated representative. Persons and vessels may request permission to enter the safety zone on VHF–23A or through the 24-hour Command Center at telephone (415) 399–3547.

(c) Enforcement period. This section will be enforced from 8 a.m. to 1 p.m. on August 13, 2023.

Taylor Q. Lam,
Captain, U.S. Coast Guard, Captain of the Port San Francisco.

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BILLING CODE 9110–04–P

LIBRARY OF CONGRESS

Copyright Office

37 CFR Parts 201 and 205
[Docket No. 2023–1]

Ex Parte Communications

AGENCY: U.S. Copyright Office, Library of Congress.

ACTION: Final rule

SUMMARY: The U.S. Copyright Office is issuing a final rule establishing procedures governing ex parte communications with the Office. This final rule adopts regulatory language set forth in the Office’s February 2023 notice of proposed rulemaking with some modifications in response to public comments. The rule defines ex parte communications, provides instructions on how to request an ex parte meeting, sets forth the parties’ responsibilities after an ex parte meeting, and explains how non-compliant communications will be treated.


FOR FURTHER INFORMATION CONTACT: Rhea Efthimiadis, Assistant to the General Counsel, by email at meft@copyright.gov, or Melinda Kern, Attorney-Advisor, by email at mkern@copyright.gov, or telephone at 202–707–8350.

SUPPLEMENTARY INFORMATION:

I. Background

On February 17, 2023, the Office published a notice of proposed rulemaking (“NPRM”) proposing new regulations governing ex parte communications with the Office in informal rulemakings. Specifically, the Office proposed codifying its existing policies for ex parte communications used in prior rulemakings. To aid in drafting the NPRM, the Office reviewed other agencies’ comparable regulations and the Administrative Conference of the United States’ recommendations.

The proposed regulations defined which communications with the Office should be considered “ex parte communications,” as well as which communications fall outside that definition’s scope. The NPRM also described the process to request an ex parte meeting with the Office. It provided that, after an ex parte meeting, parties must submit written summaries of the meeting and proposed a deadline for doing so. It stated that all meeting summaries will be made publicly available on the Office’s website. Finally, the NPRM described what communications related to informal rulemaking are impermissible, how the Office will treat such communications, and the steps that Office employees must follow if they receive such communications.

The Office sought public input concerning the proposed rule and received six comments. Commenters generally supported the rule and noted the value of ex parte communications in the rulemaking process, although some suggested various amendments. Having reviewed and carefully considered these comments, the Office now issues a final rule that largely adopts the proposed rule, with some modifications made in response to the submitted comments.

II. Final Rule

A. Definition of Ex Parte Meetings

The NPRM proposed that “ex parte communications” include only communications with the Office on substantive issues concerning an “ongoing rulemaking.” The Office received two comments requesting clarification on when a communication would fall within the scope of the ex parte communication rule. The Digital Licensee Coordinator (“DLC”) suggested that the Office revise the proposed rule to broaden its application to communications occurring after the publication of a notification (or notice) of inquiry (“NOI”). The DLC noted that “in their experience, Copyright Office rulemaking often commences not with an NPRM but with a Notification of Inquiry.” Spotify echoed the DLC’s suggestion.

The Office agrees with the suggested change and finds that it is consistent with the goal that the ex parte communications process “foster [a complete and transparent rulemaking record].” Accordingly, the final rule clarifies that ex parte communications include those communications that occur after the commencement of a rulemaking, whether the rulemaking process begins with the publication of an NOI (see 37 CFR 201.3) or a proposed rule (see 37 CFR 201.5).

(“NCTA”) Comment at 1; National Music Publishers’ Association (“NMPA”) Comment at 1; Spotify Comment at 1. The Office also received a comment from Harvey Jarrod Johnson Jr. See Harvey Jarrod Johnson Jr Comment at 1.

4 Authors Alliance Comment at 2; DLC Comment at 1; NCTA Comment at 1; NMPA Comment at 1; Spotify Comment at 1.

* 88 FR 10248, 10252 (Feb. 17, 2023).

* DLC Comment at 3; Spotify Comment at 1.

* DLC Comment at 3. An NOI is an official document that provides or requests information, but is not a proposed or final rule, i.e., it cannot amend the Code of Federal Regulations. See 1 CFR 5.8(d) (also stating that an NOI cannot be an Executive order or Presidential proclamation). The Office has used NOIs to announce studies or public consultations, or to request public input in advance of issuing an NPRM. See, e.g., 86 FR 72638 (Dec. 22, 2021) (announcing public consultation on technical measures); 85 FR 34252 (June 3, 2020) (announcing sovereign immunity study); 84 FR 49966 (Sept. 24, 2019) (requesting public comments on implementing title I of the Music Modernization Act).

* DLC Comment at 3 (citing 88 FR 11398 (Feb. 23, 2023) (notification of inquiry on Fees for Late Royalty Payments Under the Music Modernization Act)).

* Spotify Comment at 1.

* 88 FR 10248, 10249 (Feb. 17, 2023).
an NPRM or another Federal Register notice, such as an NOI.

B. Timeframe for Submitting Meeting Summaries

In the NPRM, the Office proposed that a party that engages in an ex parte meeting with the Office normally must submit a summary of the meeting’s discussion within two business days, a timeframe that the Office has used in previous rulemakings. Commenters requested that the Office consider extending this timeframe. The DLC expressed concern that the proposed timeframe “imposes more than a ‘minimal burden’ on participating parties” and explained that ex parte meetings “almost always generate[,] follow-up questions from the Office, which require time to investigate, including on occasion additional time to survey DLC members, and then time to draft a response.” Similarly, the National Music Publishers’ Association (“NMPA”) indicated that the proposed timeframe “poses a hurdle, particularly to individual creators” and small businesses, “[g]iven the level of detail required” and those parties’ potential unfamiliarity with the regulatory process. Moreover, the NMPA explained that in its experience, any questions not answered during ex parte meetings “should be [answered]” in the meeting summary, but noted that the proposed timeframe is “often insufficient for compiling the necessary information and drafting a response.”

The Office concludes that the requested modification to the proposed rule is reasonable and supports the overall goal. Accordingly, the final rule includes a requirement that summaries be submitted within five business days of the ex parte meeting. This portion of the rule is designed to provide parties with sufficient time to submit compliant meeting summaries and ease any potential hardships. The final rule, however, retains language that provides the Office with flexibility to set a different deadline for submitting meeting summaries with respect to a specific rulemaking. The Office believes that this flexibility is appropriate in certain limited situations, such as where it needs to enlarge the timeframe to account for extenuating circumstances, or decrease the timeframe to meet a statutory deadline or respond quickly to significant developments, such as new legal precedent or facts, that may impact the Office’s reasoning or the rulemaking’s record.

C. Timeframe for Posting Meeting Summaries

While the proposed rule provided that the Office will publish a party’s meeting summary on its website, it did not include a deadline for the publication. The NMPA suggested that the Office amend the proposed rule to impose a timeframe for publishing meeting summaries that is “commensurate with the number of days [that] parties have to file their meeting summary letters.”

The Office understands the importance of prompt and effective disclosure of ex parte meeting summaries, but declines to include such language in its regulations. In past rulemakings, the Office has uploaded meeting summaries in a timely manner—in most cases within 24 hours of receiving a compliant summary. The Office will continue to post meeting summaries as soon as possible, after determining that they are compliant with its regulations. The Office believes that this practice sufficiently acknowledges and facilitates prompt and effective disclosure.

D. Confidential Information

Commenters made additional suggestions with respect to the ability to provide confidential information in meeting summaries. Specifically, the DLC requested that the Office “make clear that the ex parte meeting summary may exclude disclosure of any confidential or sensitive information provided to the Office,” such as financial and competitive information.

The DLC’s comments cited a previous rulemaking in which the Office allowed public-facing meeting summaries to exclude confidential information and a regulation from the Federal Communications Commission that allows parties to request that confidential information be withheld from public inspection.

After considering these comments, the Office proposes no additional regulatory changes to address submitting confidential information. The Office understands that allowing parties to exclude confidential information from publicly posted meeting summaries would allow parties to be more open to participating in meetings with the Office and more candid in those meetings. At the same time, there is a strong public interest in transparent rulemaking proceedings, which the meeting summaries are intended to promote. In limited instances in which this balance between these interests weighs in favor of non-disclosure, the Office may exercise its discretion to allow parties to exclude confidential information from publicly posted meeting summaries. The Office may also consider formalizing its practices pertaining to confidential information in a future regulation.

E. Sanctions and Penalties

The NPRM addressed the situation where parties engaged, or attempted to engage, in impermissible substantive communications with the Office regarding an ongoing rulemaking. Specifically, communications not in compliance with the ex parte regulations would not be considered part of the rulemaking record, “unless [such information] has been introduced into the rulemaking record through a permitted method.” In response, the Authors Alliance asserted that the proposed penalty does not provide any “additional negative effect” on parties engaging in a prohibited ex parte communication and urged the Office to strengthen its enforcement mechanisms for noncompliance.

Where an impermissible ex parte communication occurs, the Authors Alliance (last visited May 11, 2023) (noting meeting summaries where a party “simultaneously submitted a version containing confidential information to advise the Copyright Office of certain confidential information pertaining to its business”).

23 DLC Comment at 2 (referencing 47 CFR 1.1206(b)(2)(ii) governing permit-but-disclose proceedings).

24 Spotify Comment at 1.

25 Authors Alliance Comment at 2 (suggesting that any noncompliance should result in a public posting of the noncompliance on the Office’s website).
recommended “sanctions and/or public notification to other parties about any impermissible ex parte communication” to “deter such behavior.” 27

At this time, the Office is not adding additional sanctions or penalty provisions to its final regulations. In light of its current experience with ex parte meetings, the Office believes that the proposed penalty (of not including noncompliant ex parte communications as part of the rulemaking record and not considering the substance of such communications) provides enough of a deterrent to prevent noncompliance with the rule. In addition, nothing prevents the Office from noting the meeting on its website or authoring its own meeting summary, if it believes that doing so would serve the public interest. Further, the Office believes it is valuable to evaluate the effectiveness of the penalty, and stakeholders’ adjustments to it, before considering additional sanctions or penalties. In the future, the Office may reevaluate the need for additional sanctions or penalties, such as those suggested by the Authors Alliance or used by other federal government agencies. 28

F. Attempting To Initiate Noncompliant Ex Parte Meetings With Other Employees

The Authors Alliance recognized that some parties may contact Office staff other than the staff member listed as the contact for further information in the Federal Register or the Assistant to the Office’s General Counsel—to initiate an ex parte meeting, and suggested that the Office amend its rule to either “explicitly disallow[]” initiating ex parte communications with persons not listed in the regulations or “provide[] a mechanism to manage and disclose such communications.” 29

The Office understands that additional clarity is called for on this subject. The final rule clarifies the process for managing requests for an ex parte meeting, but declines to incorporate the Authors Alliance’s other suggestions. The rule addresses instances where a party requests an ex parte meeting through an Office employee not listed as a contact in the

27 Authors Alliance Comment at 2. The Authors Alliance also suggested that to help “disincentivize[]” noncompliance with the proposed rule, the Office should utilize these penalties, which may include involving the impermissible communication from the rulemaking record, when parties fail to submit any meeting summary. Authors Alliance Comment at 3.
28 88 FR 10248, 10251 n.24 (Feb. 17, 2023) (listing sanctions or penalties imposed by other federal government agencies on parties that engage in impermissible ex parte communications).
29 Authors Alliance Comment at 3.
§ 205.24 Ex Parte communications in informal rulemakings.

(a) General. The rules in this section governing ex parte communications in informal rulemakings are intended to provide an opportunity for parties to clarify evidence or arguments made in prior written submissions, to respond to assertions or requests made by other parties, or to respond to questions from the Copyright Office on any of those matters.

(b) Applicability. (1) An ex parte communication is a written or oral communication regarding the substance of an ongoing rulemaking between a Copyright Office employee and a member of the public that must be included in the rulemaking record, as described in this section.

(2) An ex parte communication does not include the following:
   (i) Communications made prior to the publication of a Federal Register document commencing a rulemaking proceeding;
   (ii) Non-substantive inquiries, such as those regarding the status of a rulemaking or the Copyright Office’s procedures;
   (iii) Communications made by members of Congress, Federal departments and agencies, the Judiciary, foreign governments, or state and local governments;
   (iv) Communications required by law.

(3) To the extent that communications made on Copyright Office web pages, including social media pages, would be considered ex parte communications under paragraph (b)(1) of this section, such communications are not subject to the rules described in this section and will not be considered as part of the rulemaking.

(c) Process—(1) Submitting an ex parte meeting request. (i) A party may request an in-person, telephonic, or hybrid ex parte meeting to discuss aspects of an ongoing rulemaking by submitting a written request to either—
   (A) The Copyright Office employee listed as the contact for further information in the Federal Register for the ongoing rulemaking that the party wishes to discuss; or
   (B) The Copyright Office’s Assistant to the General Counsel. The current contact information for this employee can be obtained by contacting the Copyright Office.
   (ii) If a party makes an ex parte meeting request only to a Copyright Office employee not identified in paragraph (c)(1)(i)(A) or (B) of this section, that employee will either direct the party making the request to contact the appropriate employee(s) or forward the party’s request to the appropriate employee(s).
   (iii) The Copyright Office permits ex parte meetings in informal rulemakings at its discretion. When ex parte meetings are permitted, the Office will determine the most appropriate format (e.g., in-person, telephonic, virtual, or hybrid) for each meeting, but will consider the requesting party’s preferences in making that determination.
   (iv) The request should be submitted by email. If email submission of an ex parte meeting request is not feasible, a party may contact the Copyright Office for special instructions.

(2) Ex parte meeting request content. An ex parte meeting request must identify the following information:
   (i) The names of all proposed attendees;
   (ii) The party or parties on whose behalf each attendee is appearing; and
   (iii) The rulemaking that will be discussed.

(3) Ex parte meeting summary. (i)(A) Unless otherwise directed by the Copyright Office, within five business days after an ex parte meeting, attendees must email the Copyright Office employee identified in paragraph (c)(1)(i)(A) or (B) of this section a letter detailing the information identified in paragraph (c)(2) of this section and summarizing the meeting’s discussion. The letter must summarize the substance of the views expressed and arguments made at the meeting in such a way that a non-participating party would understand the scope of issues discussed. Merely listing the subjects discussed or providing a short description will not be sufficient. If email submission of the letter is not feasible, an attendee may contact the Copyright Office for special instructions.
   (B) Meeting attendees representing different groups may submit a joint summary letter, but if the groups represent conflicting viewpoints, the groups must submit separate summary letters.

(C) If a party’s ex parte meeting summary letter does not comply with paragraph (c)(3)(i) of this section or contains inaccuracies, the Copyright Office shall notify the ex parte meeting attendee and request a corrected letter. Unless otherwise directed by the Copyright Office, the attendee must submit the correction within two business days of receiving such notification from the Office.

(D) If the ex parte meeting attendee does not provide a corrected letter under paragraph (c)(3)(i)(C) of this section, the Copyright Office may add a notation on its website noting or describing the deficiency. The Copyright Office may also, in its discretion, decline to consider the noncompliant letter as part of the rulemaking record.

(e) Impermissible communications—
   (1) General; attempts to circumvent the ex parte communication process. If a party attempts to make an ex parte communication outside of the process described in paragraph (c) of this section to a Copyright Office employee, the employee shall attempt to prevent the communication. If unsuccessful in preventing the communication, the employee shall advise the person making the communication that it will not be considered by the Copyright Office as a part of the rulemaking record and shall deliver either a copy of the communication or, if the communication was made orally, a summary of the communication to the Copyright Office’s General Counsel and Associate Register of Copyrights.

(2) Other impermissible communications—(i) Post-deadline communications. The Copyright Office may impose a deadline to make ex parte meeting requests or to submit written comments for a rulemaking. Parties normally may not make requests after that deadline has passed, unless the deadline is removed by the Copyright Office or until after a final rule is published in the Federal Register for that rulemaking.
   (ii) New documentary material. (A) The Copyright Office generally will not consider or accept new documentary materials once the rulemaking record has closed.
   (B) The restriction in this paragraph (e)(2)(ii) does not apply to any Copyright Office requests, e.g., requests for supporting legal authority or additional documentary evidence.

(C) The restriction in this paragraph (e)(2)(ii) does not apply to non-substantive visual aids used in an ex parte meeting that are not otherwise submitted by a party as part of the rulemaking record. The Copyright Office, in its discretion, may include a copy of the visual aid in the rulemaking record.

(f) Effect of impermissible ex parte communications. No prohibited ex parte communication shall be considered as
part of the rulemaking record, unless it has been introduced into the rulemaking record through a permitted method. In the interests of justice or fairness, the Copyright Office may waive this restriction.

Dated: July 24, 2023.

Shira Perlmutter,
Register of Copyrights and Director of the U.S. Copyright Office.

Approved by:
Carla D. Hayden,
Librarian of Congress.

[FR Doc. 2023–17162 Filed 8–10–23; 8:45 am]

BILLING CODE 1410–30–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

50 CFR Part 648

[Docket No. 230804–0183]

RIN 0648–BM06

Fisheries of the Northeastern United States; Monkfish; Framework Adjustment 13

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce.

ACTION: Final rule.

SUMMARY: NMFS is implementing specifications submitted by the New England and Mid-Atlantic Fishery Management Councils (collectively, the Councils) in Framework Adjustment 13 to the Monkfish Fishery Management Plan (FMP) by the New England and the Mid-Atlantic Fishery Management Councils (collectively, the Councils). The fishery extends from Maine to North Carolina from the coast out to the end of the continental shelf. The Councils manage the fishery as two management units, with the Northern Fishery Management Area (NFMA) covering the Gulf of Maine and northern part of Georges Bank, and the Southern Fishery Management Area (SFMA) extending from the southern flank of Georges Bank through Southern New England and into the Mid-Atlantic Bight to North Carolina.

The monkfish fishery is primarily managed under the Monkfish Fishery Management Plan (FMP) by the New England and the Mid-Atlantic Fishery Management Councils (collectively, the Councils). The fishery extends from Maine to North Carolina from the coast out to the end of the continental shelf. The Councils manage the fishery as two management units, with the Northern Fishery Management Area (NFMA) covering the Gulf of Maine and northern part of Georges Bank, and the Southern Fishery Management Area (SFMA) extending from the southern flank of Georges Bank through Southern New England and into the Mid-Atlantic Bight to North Carolina.

The approved specifications include a 41-percent decrease in the TAL for the Northern area and a 52-percent decrease in the TAL for the Southern area. The measures were recommended by the Councils, as approved by the Secretary of Commerce, as part of the Framework 13 measures in the interest of efficiency.


SUPPLEMENTARY INFORMATION:

Background

The monkfish fishery is jointly managed under the Monkfish Fishery Management Plan (FMP) by the New England and Mid-Atlantic Fishery Management Councils (collectively, the Councils). The fishery extends from Maine to North Carolina from the coast out to the end of the continental shelf. The Councils manage the fishery as two management units, with the Northern Fishery Management Area (NFMA) covering the Gulf of Maine and northern part of Georges Bank, and the Southern Fishery Management Area (SFMA) extending from the southern flank of Georges Bank through Southern New England and into the Mid-Atlantic Bight to North Carolina.

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1. Specifications

This action sets the NFMA and SFMA quotas for fishing years 2023 through 2025 (Table 1), based on the Councils’ recommendations, which are consistent with the recommendations from the New England Council’s Scientific and Statistical Committee (SSC) from January 2023. Further information on the development of these specifications by the SSC and Councils is available in the proposed rule (88 FR 25351).

The approved specifications include a 25-percent decrease in the acceptable biological catch (ABC) and annual catch limit (ACL) in the NFMA and a 52-percent decrease in the ABC and ACL in the SFMA, when compared to the 2020–2022 specifications. Discards, which are calculated using the median of the most recent 10 years of data, decreased in both areas, but more significantly in the SFMA. After accounting for discards, the specifications result in a 20-percent decrease in the TAL for the NFMA and a 41-percent decrease in the TAL for the SFMA.

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<th>TABLE 1—FRAMEWORK 13 SPECIFICATIONS</th>
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<tr>
<td><strong>Catch limits</strong></td>
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<tr>
<td><strong>2023–2025 Specs (mt)</strong></td>
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<tr>
<td>Acceptable Biological Catch</td>
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<tr>
<td>Annual Catch Limit</td>
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<td>Management Uncertainty (3%)</td>
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