LEGAL SERVICES CORPORATION

Sunshine Act Meetings

TIME AND DATE: The Finance Committee of the Legal Services Corporation Board of Directors will meet virtually on June 13, 2022. The meeting will commence at 3:30 p.m. EDT and will continue until the conclusion of the Committee’s agenda.

PLACE: Public Notice of Virtual Meeting. LSC will conduct the June 13, 2022 meeting via Zoom. 

Public Observation: Unless otherwise noted herein, the Finance Committee meeting will be open to public observation via Zoom. Members of the public who wish to participate remotely in the public proceedings may do so by following the directions provided below.

Directions for Open Sessions
June 13, 2022
To join the Zoom meeting by computer, please use this link.
• https://lsc-gov.zoom.us/j/87445557815?pwd=M2dlK05peks3b2x5JnMtdtUWxGQT09&fromadon.
○ Meeting ID: 874 7455 7815
○ Passcode: 504510
• To join the Zoom meeting by computer, please use this link.
○ +13017158592,,87445557815# US (Washington DC)
○ +16468769923,,87445557815# US (New York)
• To join the Zoom meeting by telephone, please dial one of the following numbers:
○ +1 301 715 8592 US (Washington, DC)
○ +1 646 876 9923 US (New York)
○ +1 312 626 6799 US (Chicago)
○ +1 346 248 7799 US (Houston)
○ +1 408 638 0968 US (San Jose)
○ +1 669 900 6833 US (San Jose)
○ +1 253 215 8782 US (Tacoma)
○ Meeting ID: 874 7455 7815
○ Passcode: 504510

To guide its consideration of these issues, the Office is soliciting public comments on topics related to this inquiry. These comments will be used to inform the Office’s discussions with the Library and its consideration of the Office’s needs with respect to deposits for registration purposes.

LIBRARY OF CONGRESS

Copyright Office

[Docket No. 2022–3]

Best Edition Study: Notice and Request for Public Comment

AGENCY: U.S. Copyright Office, Library of Congress.

ACTION: Notice of inquiry.

SUMMARY: The U.S. Copyright Office is undertaking a public study at the request of Senator Thom Tillis to evaluate the deposit requirements of section 407 and 408 of the Copyright Act and consider whether ‘‘removing the ‘best edition’ requirement from the registration deposit process in section 408 could help improve the registration process.’’ To aid in its review of this topic, the Office is soliciting input from interested members of the public.

DATES: Written comments must be received no later than 11:59 p.m. Eastern Time on July 18, 2022.

ADDRESSES: For reasons of government efficiency, the Copyright Office is using the regulations.gov system for the submission and posting of public comments in this proceeding. All comments are therefore to be submitted electronically through regulations.gov. Specific instructions for submitting comments are available on the Copyright Office website at https://www.copyright.gov/policy/best-edition. If electronic submission of comments is not feasible due to lack of access to a computer and/or the internet, please contact the Office using the contact information below for special instructions.

FOR FURTHER INFORMATION CONTACT: Megan Efthimiadis, Assistant to the General Counsel, by email at mmeft@copyright.gov or telephone at (202) 707–8350.

SUPPLEMENTARY INFORMATION: On May 24, 2021, Senator Thom Tillis sent a letter requesting the Copyright Office’s “expertise and guidance regarding adjusted copyright examination and registration requirements.” 1 Specifically, Senator Tillis requested that the Office complete “a study regarding the feasibility of decoupling the deposit requirements of Section 407 of Title 17 from Section 408.” 2 The letter states that “[s]ome have asserted that ‘decoupling ‘could help improve the registration process by permitting low resolution digital deposits, for example.’” 3 In conducting the study, Senator Tillis asked the Office to consult with the Library of Congress to address the Library’s need to grow its collections, as well as to consider the Office’s own needs as part of the registration process. 4

2 Id.
3 Id.
4 Id.
I. Background

(A) Legal Background

The Copyright Act has two provisions requiring copyright owners to deposit copies of their works. First, under section 407 of the Act, once a copyrighted work is published in the United States, the copyright owner must, within three months of publication, deposit “two complete copies of the best edition” of the work with the Copyright Office “for the use or disposition of the Library of Congress.” 5 Second, under section 408, copyright owners who apply to register works that have been published must generally include with their applications a deposit that consists of “two complete copies or phonorecords of the best edition” of their works.6 The term “best edition,” as used in both section 407 and section 408 is defined as “the edition, published in the United States at any time before the date of deposit, that the Library of Congress determines to be most suitable for its purposes.”7

Copyright owners can deposit a single set of best edition materials that will satisfy their obligations under both sections 407 and 408. Section 408 explicitly provides that deposits made to satisfy section 407 may also be used to satisfy the registration deposit requirement, provided they are accompanied by a copyright application and fee.8 When applicants submit the required best edition copies with their registration applications, the Office provides the Library with copies of the materials that are within the Library’s selection criteria for addition to its collections.9

Together, the deposits received pursuant to sections 407 and 408 allow the Library of Congress to grow its collection as the nation’s library. The Copyright Office generally transfers over 700,000 copyright deposits to the Library each year.10

(B) Best Edition Requirements

The Office’s regulations at appendix B to part 202 of title 37 of the Code of Federal Regulations—known as the Best Edition Statement—describe how to identify the best edition of a work. The regulations do not require a specific format; they instead describe an order of preference for formats of different types of works.11 In most cases, physical copies of works must be submitted to meet the best edition requirement. For example, for printed textual matter, the Library of Congress prefers that the deposit be the largest possible size (other than a large-type edition for the partially-sighted), illustrated in color, and contain “archival-quality rather than less-permanent paper,” a hard cover, library binding, and a sewn rather than glued binding.12 For photographs, the Library prefers the most widely distributed edition of the photograph, or an unmounted 8x10-inch glossy print on archival-quality paper.13

(C) Exceptions to Best Edition Requirements

The Office has the authority to waive the requirement that deposits be the best edition of a work, and it has done so in many circumstances. For section 407 deposits, the Office has promulgated regulations permitting deposit of versions that might not be the best edition as defined by the Best Edition Statement. For example, copyright owners of pictorial and graphic works published in small numbers have the option to deposit “photographs or other identifying material” of the works.14 For other types of works, such as greeting cards and three-dimensional sculptural works, the Office’s regulations waive the deposit requirement altogether.15 Additionally, for electronic-only books and serials published only in electronic form and available only online, deposit is required only on demand from the depositors decreased in fiscal year 2020 due to a backlog of processing physical deposits as a result of the COVID–19 pandemic. U.S. Copyright Office, Annual Report Fiscal 2020, at 40 (2020), https://www.copyright.gov/reports/annual/2020/ar2020.pdf (roughly 550,000 deposits transferred).

6 See 17 U.S.C. 407(b), 408(b).

7 Id. 101. See also id. 407(b).

8 Id. 407(b).


Copyright Office.16 The Office also may waive the best edition requirement for section 407 deposits for individual works upon request for “special relief,” typically when complying with the requirement would be burdensome or impractical.17 These requests may permit copyright owners to deposit a version of their work that does not fit the best edition requirement, such as an electronic copy of a work that was published as physical printed text. The Library and the Copyright Office have entered into continuing “special relief” agreements with a number of publishers, whereby electronic copies of works in a publisher’s catalog are accepted as a substitute for the best edition under certain conditions.

The Office provides similar flexibility for section 408 registration deposits. For many works, such as computer programs, useful articles, and works exceeding 96 inches in any dimension, the Office permits applicants to deposit identifying material instead of the best edition.18 Identifying material is a deposit that provides at least “an adequate representation” of the content an applicant seeks to register.19 While the Office was closed to the public during the COVID–19 pandemic, the Office provided the option for applicants submitting electronic applications for works that required deposit of “best edition” physical copies to upload electronic copies of the works in addition to mailing the required physical copies, which enabled the Office to examine the works remotely. As with section 407 deposits, the Office, in consultation with the Library, may also waive the best edition requirement on a case-by-case basis as “special relief,” upon request to permit the deposit of other formats that are more convenient for the applicant.20

(D) Criticism of Best Edition Requirements

While the best edition requirement satisfies important Library acquisition objectives, it can in some instances be an obstacle to registration and generally increases the Copyright Office’s registration processing times. Some copyright owners have explained that they have difficulty complying with the best edition requirement because they do not possess copies of the best edition

15 See id. 202.20(c)(vii), (x)(A)(2), (xiii).


17 Id. 202.19(b).


19 Id. 202.21(b).


21 37 CFR 202.20(d);
of a work. Obtaining two copies—or even one—of the best edition of a work may sometimes be time-consuming and expensive. Shafer & Schmelzer, a consulting firm that works with visual artists, has explained that “[v]isual creators sometimes have to purchase published copies at full retail price to submit with their registration application, adding significant cost to our registration.” 22 The statutory requirement that the best edition be one that has been published also creates a hurdle because creators may have difficulty determining if a particular version of a work has been published. 23

In response to a prior Copyright Office inquiry, the Association of American Publishers (“AAP”) commented that publishers of literary works sometimes find the Office’s registration deposit requirements to be “costly, risky, and illogical.” 24 And purchasing two copies of a book, for example, unnecessarily increases registration expense.” 25 Likewise, the Copyright Alliance urged the Office to create options for applicants to upload digital deposits in a manner that takes into account applicants’ operational systems and work processes. 26 Visual artists also have maintained that the ability to submit digital deposits of their works encourages registration. 27

As the Office has explained to Congress, the section 408 best edition requirement often increases registration processing times for a number of reasons. 28 After electronic applications and fees have been submitted, authors or publishers must incur the time and expense of packaging and shipping physical copies of works, along with shipping slips that connect the physical works with the electronic applications. Once the physical copies arrive at the Office, they must undergo off-site security screening and decontamination, be matched to a corresponding electronic application, have security measures applied, and be physically brought to an examiner’s workspace before examination can begin. The time delay adversely affects applicants because the effective date of registration is not assigned until the Office has received the deposit in addition to the application and fee. 29 Second, under the current rules, in addition to examining whether a work is copyrightable, an examiner must review each deposit for compliance with the best edition rules to confirm whether the proper version has been received. Correspondence with applicants is often necessary to ensure that they have complied with the Library’s best edition criteria and the Copyright Office’s regulations. 30 This adds additional complexities and time to the examination process.

As a result, applications with physical deposits take much longer for the Office to process than those with electronic deposits. 31 On average, examination of electronic applications that do not need correspondence takes 1.1 months for those with electronic deposits and takes 10.8 months for those with physical deposits. The average processing time for electronic applications that do need correspondence is 3.4 months for those with electronic deposits and 13.1 months for those with physical deposits. 32

(E) Digital Deposit Options

The Office has been exploring options that would permit registration applicants to submit digital copies of works and provide the Library with physical copies only upon demand. Since 2018, the Office has required applicants seeking to register a group of newspapers to file an online application rather than a paper application and to upload a complete electronic copy of each issue through the electronic registration system instead of submitting them in physical form. 33 The Library has incorporated electronic copies of these registration deposits into its collections, and provides its patrons with secure onsite access to them, subject to a number of security restrictions. 34 If this model were applied to other categories and classes of registered works, the Office could both meet the Library’s collections needs and expand the ability of applicants to provide electronic deposits in lieu of physical best edition copies, while providing secure, rights-restricted access to the works.

The Library’s Office of Chief Information Officer (“OCIO”) is currently working with the Office to build a new Enterprise Copyright System (“ECS”) to improve the Office’s provision of copyright services to the public, including its registration services. This will include replacing the Office’s current electronic system for registration. As part of the Office’s prior rulemaking on registration modernization, the Office inquired about providing greater flexibility for copyright applicants to deposit digital versions of their works, with physical copies only deposited upon request. The responses to that inquiry were generally very positive. 35

The Library has been focusing on its digital collecting capacity and capability
for over two decades and has expressed a commitment to continuing to strengthen its digital collections. The Library has stressed that new electronic deposit options for copyright owners must take into account “the Library’s collection needs, technological capabilities, and security and access issues.”

The Library’s Digital Collections Strategy: Fiscal Years 2022–2026

focuses on “further mainstreaming and routinizing digital collecting and digital collections management across the wide range of areas, formats, and subjects the Library of Congress collects.”

Pursuant to this Strategy, the Library has committed to continuing to work closely with the Office to explore possible regulatory updates to the deposit requirements, including “planning electronic deposit workflows related to the acquisition of electronic deposits for mandatory deposit and registration deposit” for works that could include “books, serials, motion pictures, sound recordings, music compositions, maps, photographs, prints, drawings, design and architectural materials, technical designs, technical reports, and web content.” The Strategy also notes that the Library plans to transition to “e-preferred,” in which digital formats are preferred over traditional physical formats, across its major acquisitions streams, including deposits from the Copyright Office.

II. Subjects of Inquiry

To guide the Office’s consideration of these issues and its consultation with the Library, the Office invites written comments on the subjects below. A party choosing to respond to this notice of inquiry need not address every subject, but the Office requests that responding parties clearly identify and separately address each subject for which a response is submitted. The Office also requests that commenters explain their interest in the study and, with respect to each answer, the basis for their knowledge. Citations to published data and other external documents that support commenters’ viewpoints are particularly helpful to the Office’s review of written comments.

1. One way to address concerns raised regarding the best edition requirement would be to limit the categories of deposits to it applies. To what category(ies) of deposits do you think the best edition requirement should apply and why? What would be the impact on Library collections? What would be the impact on claimants’ ability to register their copyrights?

2. If registration and mandatory deposit requirements were no longer linked, how would this affect the deposit burden on copyright owners? How would it affect the Library’s collections? How would it affect claimants’ ability to register their copyrights?

3. Should the Office expand the options for submitting electronic deposits for the purpose of examining registration applications and selection by the Library for its collections while retaining the requirement to submit best edition copies upon demand by the Library pursuant to section 407? Why or why not?

4. Would copyright owners prefer to deposit electronic deposit copies for registration purposes instead of copies that meet the best edition standards? Why or why not? Would copyright owners like the option to provide electronic copies or best edition physical copies? Why or why not? How would the submission of electronic copies for registration affect the Library’s collections and operations? What effect would the use of electronic copies have on the public record, and on a researcher’s ability to use the work?

5. Would the option to deposit electronic deposit copies create security concerns that the Copyright Office’s and the Library’s protocols do not currently address? What are the security concerns most important to applicants if electronic deposit copies are permitted and how could the Library address them?

6. The Copyright Act requires that a “best edition” of a work must be the edition published in the U.S. Can this definition be interpreted to include digital file formats that were not themselves distributed to the public but contain the same copyrightable material as the edition distributed to the public?

7. Please identify any pertinent issues regarding digital deposit and the best edition requirement not referenced above that the Office should consider in conducting its study.


Suzanne V. Wilson,  
General Counsel and Associate Register of Copyrights.

OFFICE OF MANAGEMENT AND BUDGET

Proposing To Extend the Information Collection 0348–0065

AGENCY: Office of Information and Regulatory Affairs, Office of Management and Budget, Executive Office of the President.

ACTION: Notice and request for comments.

SUMMARY: The Office of Information and Regulatory Affairs (OIRA) within the Office of Management and Budget (OMB) is proposing to extend the information collection 0348–0065 it uses for members of the public who request a meeting with OIRA on rules under review at the time pursuant to Executive Order 12866. The information collected is subject to the Paperwork Reduction Act (PRA) and this notice announces and requests comment on OIRA’s proposal for such a collection.

DATES: Provide comments by July 5, 2022.

ADDRESSES: Submit comments by the following method:


 Instructions: Please submit comments only and cite Information Collection 0348–0065 in all correspondence related to this collection. To confirm receipt of your comment(s), please check regulations.gov, approximately two to three business days after submission to